



## UNESCO/IUPAC Postgraduate Course in Polymer Science

Lecture:

# Controlled polymerization of vinyl monomers; anionic and free-radical methods

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## TAILOR-MADE POLYMERS; EXAMPLES

### A. HOMOPOLYMERS

**with predetermined microstructure**

(*polydienes, polyolefines*)

**polymers with narrow MWD**

(*calibration standards for SEC*)

**functionalized polymers**

(*reactive polymers, macromonomers, cross-linkers*)

- monofunctionalized (monochelic)



- telechelic



- polyfunctionalized



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## B. COPOLYMERS

(thermoplastic elastomers, blend compatibilizers, amphiphilic and ampholytic copolymers,etc)

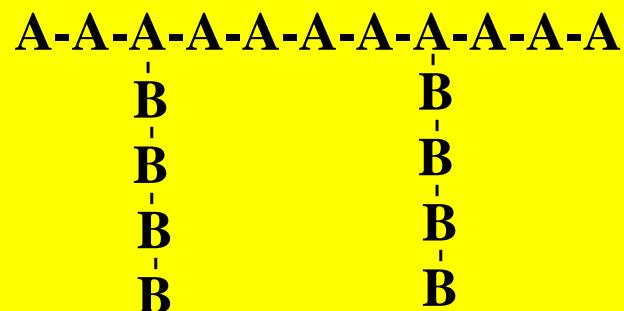
**Random (statistic)**



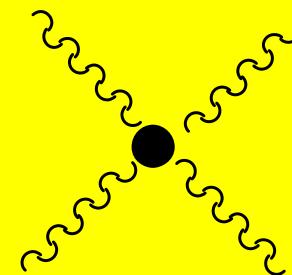
**Block copolymers**



**Graft copolymers**



**Star polymers and copolymers**





## WAYS TO TAILOR-MADE POLYMERS

### 1. Ionic controlled processes, “living” polymerization

#### A. Anionic

**Initiators:** organometallic nucleophiles (BuLi, ester enolates, *tert*-alkoxides), tetraalkylammonium salts, metalloporphyrines, lanthanocenes

**Monomers:** vinylic or heterocyclic (styrene, dienes, methacrylates, lactones, lactams)

#### B. Cationic

**Initiators:** Alkylhalides, water, in a combination with Lewis acids ( $\text{H}_2\text{O} + \text{BF}_3$ ;  $\text{RCl} + \text{AlCl}_3$ )

**Monomers:** vinylic or heterocyclic (styrene, isobutene, vinyl ethers, dienes, THF)

#### C. Ziegler-Natta complex polymerization

**Initiators:** complexes of transition metal salts (Ti, V, W, Co) with aluminum alkyls or alkyl halides

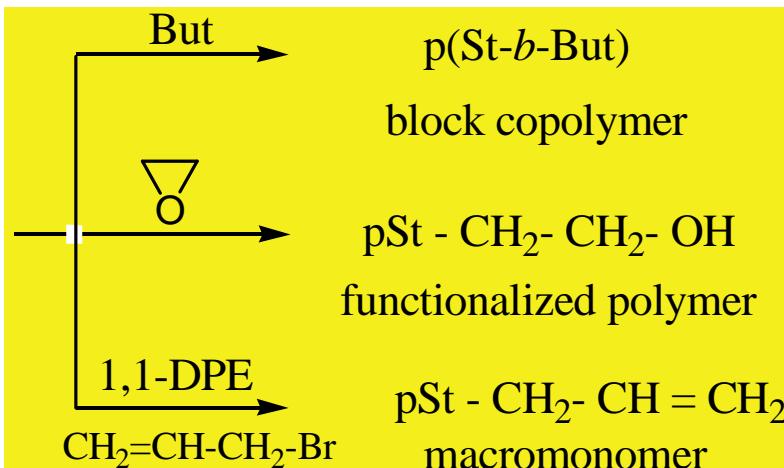
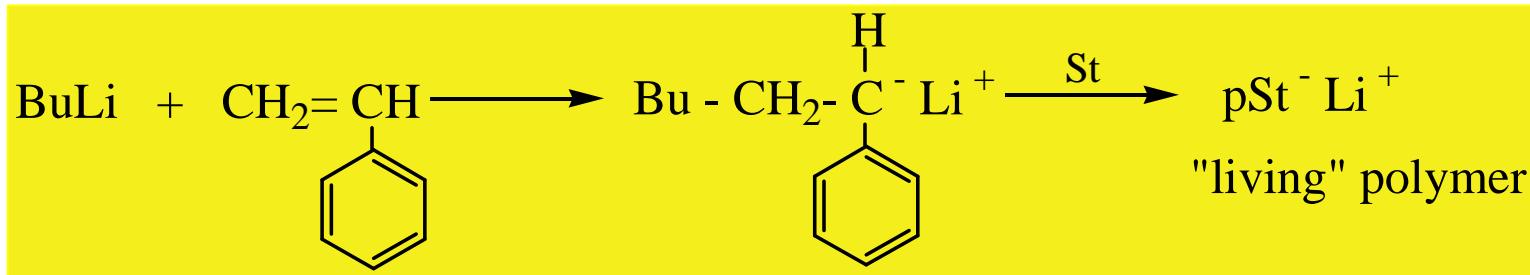
**Monomers:** vinylic ( $\alpha$ -olefins, dienes, styrene, methacrylate)

## ANIONIC POLYMERIZATION

**Non-polar vinyl monomers (styrene, dienes)**

(very low extent of side reactions, long lifetime of active chains)

### Scheme



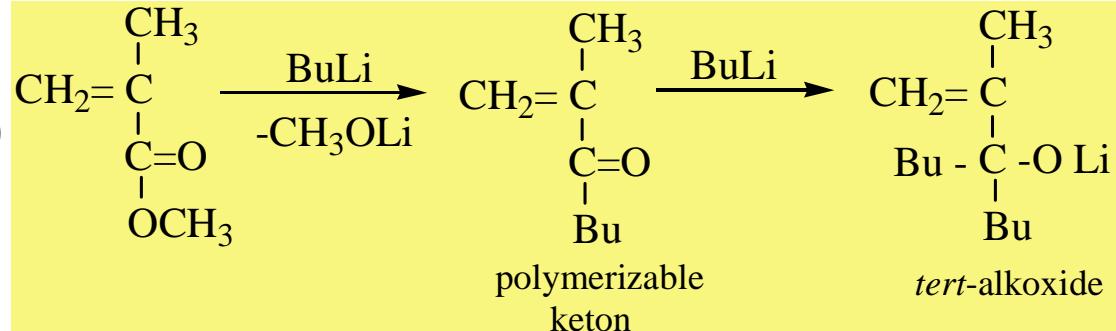
Quirk: Anionic Polymerization.  
M. Dekker, NY, 1996

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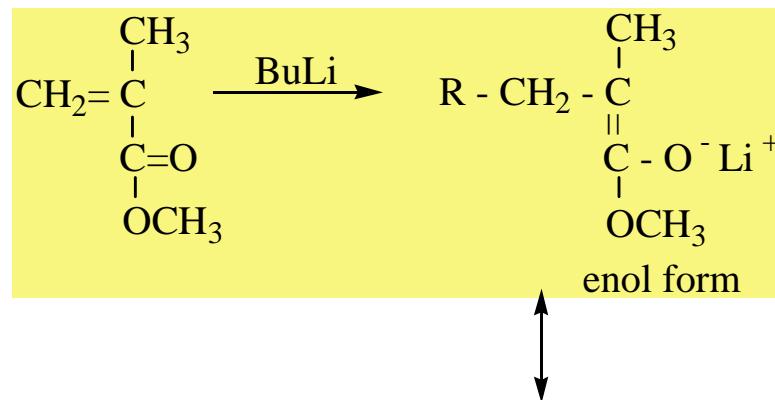
## Reactions of R-Li<sup>+</sup> initiator with methacrylate monomer

### 1,2-addition

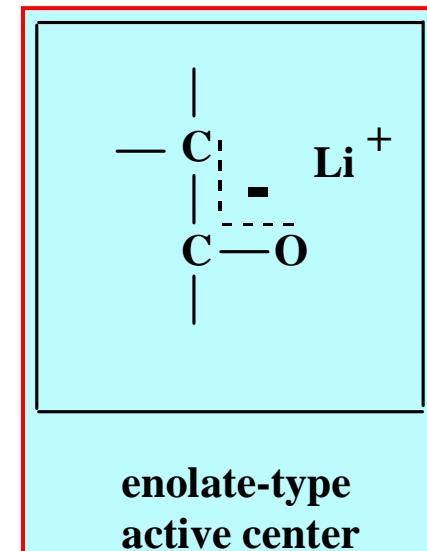
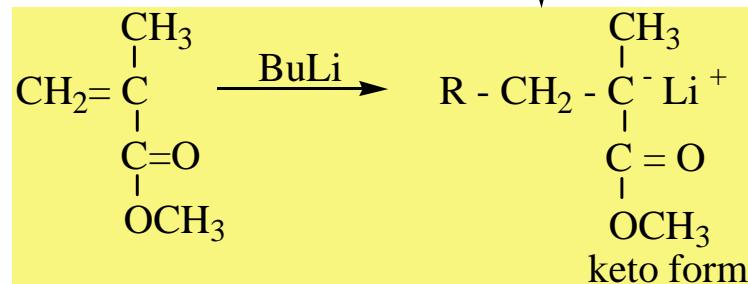
(decomposition of initiator)



### 1,4-addition



### 3,4-addition

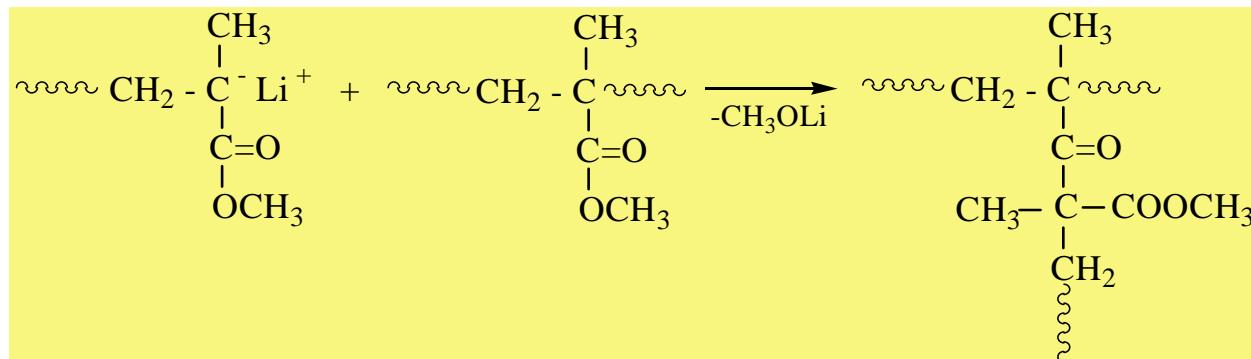


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## Side reactions of “living” PMMA chain

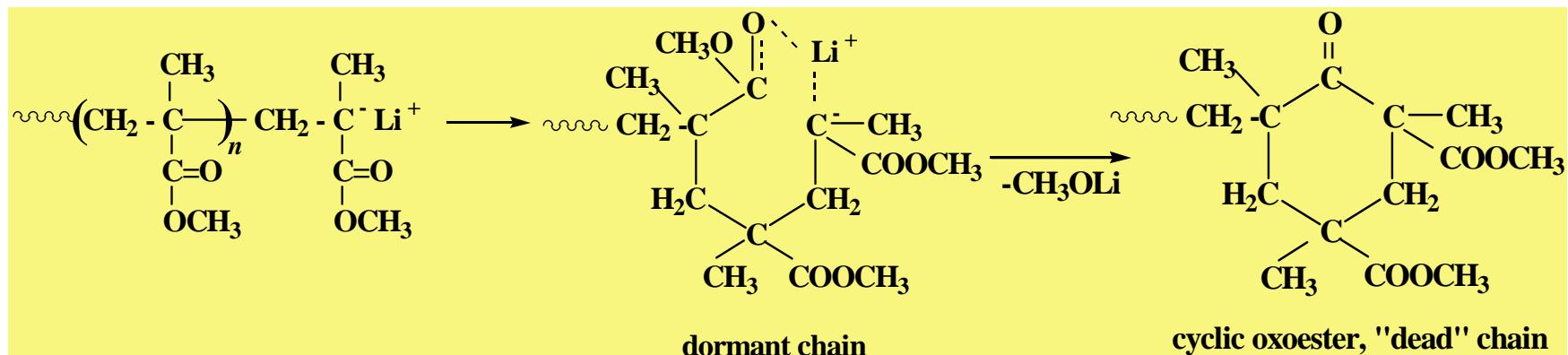
# Branching



## **Self-termination by “back-biting” raction**

Baskaran: Prog. Polym. Sci. 28, 521 (2003)

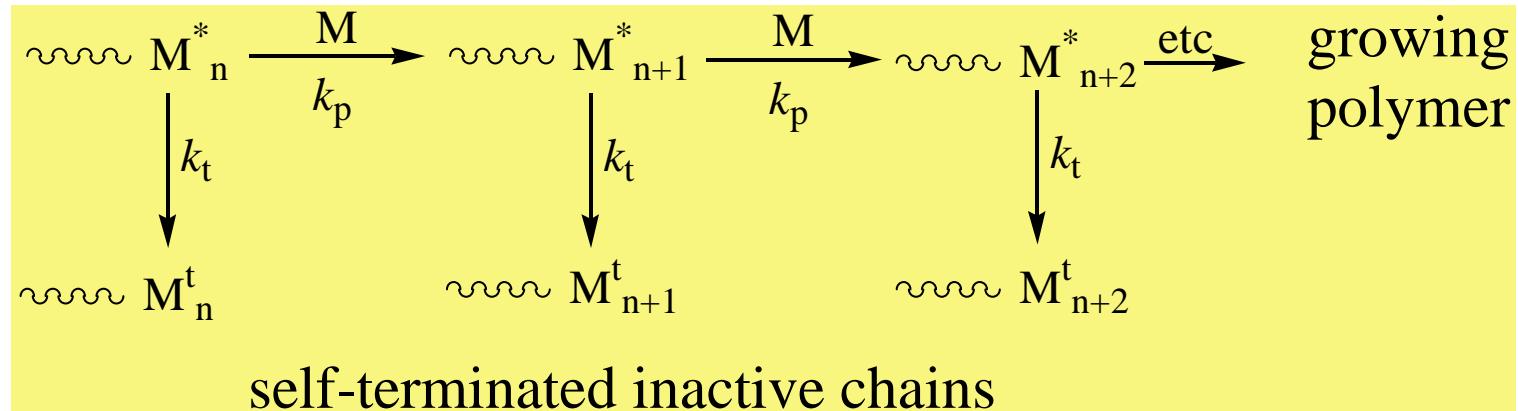
Vlcek: Prog. Polym. Sci. 24, 793 (1999)



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## The reactions competing in MMA polymerization

### Chain propagation versus self-termination



For “livingness” of polymerization, a ratio of rates of propagation and self-termination is important given by a ratio of the corresponding rate constants

$$k_p / k_t$$

Acrylic monomers exhibit distinctly higher tendency to all the mentioned side reactions than methacrylates

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## METHODS OF ANIONIC CONTROLLED POLYMERIZATION OF POLAR VINYL MONOMERS

- **Group transfer polymerization** (GTP) initiated with silylketene acetal  
(*Webster, Hertler, Sogah, Bandermann*)
- **Metal-free anionic polymerization** (MFP) initiated with tetraalkyl ammonium salts  
(*Reetz, Sivaram, Bandermann*)
- **Polymerization initiated with metalloporphyrine initiators**  
(*Inoue, Aida*)
- **Polymerization by rare earth metal complexes**, initiated with lanthanocenes  
(*Yasuda, Okamoto, Novak*)
- **Ligated anionic polymerization** (LAP) initiated with complex alkali metal initiators  
(*Lochmann, Vlcek, Teyssié, Müller, Ballard, Sivaram*)

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## GROUP TRANSFER POLYMERIZATION (GTP)

**Initiator:** 1-methoxy-1-trimethylsilyloxy-2-methylpropene (MTS)

**Catalysts:** nucleophilic (for methacrylate polymerization)  $(C_2H_5)_4N^+ CN^-$ ;  
 $(C_4H_9)_4N^+ F^-$ ;  $[(CH_3)_2N]_3S^+ HF_2^-$ ; carboxylate;  
(0.1% mol/initiator)

electrophilic (for acrylate polymerization), Lewis acids, zinc halides,  
alkylaluminium halides, HgI (10% mol/initiator)

**Polymerization temperature:** mostly from -30 to 30°C

**Solvents:** THF, toluene, 1,2-dimethoxyethane, acetonitrile, DMF  
(for nucleophilic catalysis)  
dichloromethane, 1,2-dichloroethane (for electrophilic (catalysis))

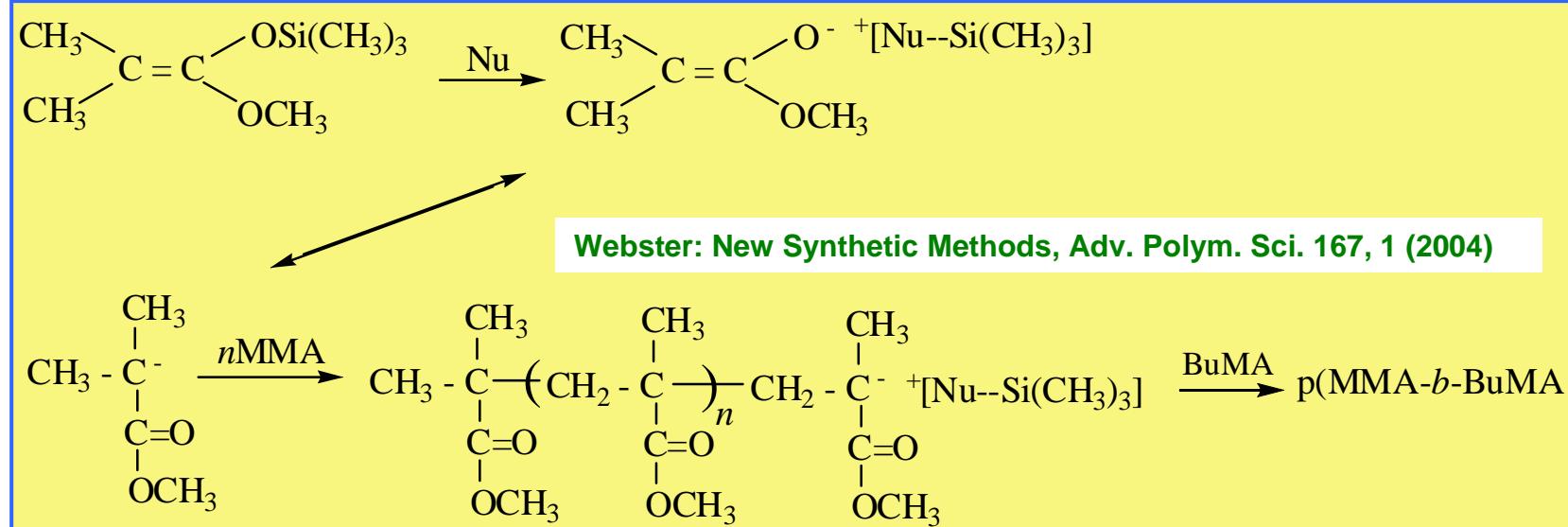
**Monomers:** (meth)acrylic esters, N,N-dialkylmethacrylamides,  
methacrylonitrile, epithiopropane

**Block copolymerization:** methacrylate – methacrylate  
methacrylate – methacrylonitrile  
epithiopropane – methacrylate  
**methacrylate – acrylate (different catalysts!!!!)**

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## GTP – general scheme

- Catalyst cleaves Si-O bond in an initiator forming enol-form of active center
- It is believed that the catalyst quickly migrates among initiator molecules or “living” growing chains
- Polymer chains remain active for a relatively long time and can thus initiate polymerization of another methacrylate, giving block copolymer
- GTP is accompanied with self-termination “back-biting” reaction as well as for typical anionic polymerization



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## METAL-FREE ANIONIC POLYMERIZATION (MFP)

### Initiators - tetraalkylammonium salts (acrylate polymerization)

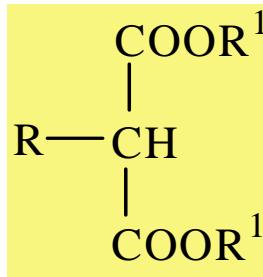
**1. Alkyl or aryl thiolates**,  $\text{BuS}^- + \text{NBu}_4$ , room temperature

- a) unstable initiators → thioethers
- b) low-molecular-weight products ( $10^3$ ), broad MWDs

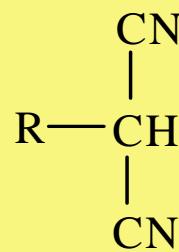
**2. Carbanionic salts** (derivatives of alkylmalonic acid), room temperature

Bandermann: Macromol. Chem. Phys. 196, 2335 (1995)

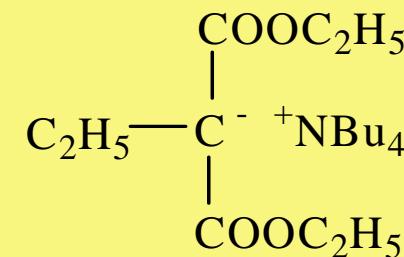
- a) more stable initiators
- b) higher molecular weights ( $10^4$ )



diester



dinitrile



diethylester of ethylmalonic acid

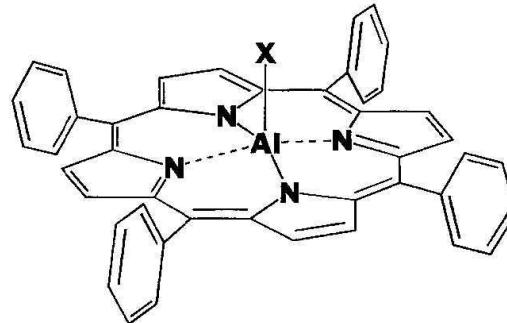
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## POLYMERIZATION BY METALOPORPHYRINS

**Initiators:** Metaloporphyrin derivatives

M = Al, Zn, Mn

X = Cl, alkyl, alkoxide, carboxylate, thiolate



**Monomers:**

1. heterocyclic; epoxides, lactones, lactides (M = Al, Zn, Mn; X = halide, alkoxide)
2. vinylic; (meth)acrylates, methacrylonitrile (M = Al; X = alkyl, enolate, thiolate)

**Conditions:** CH<sub>2</sub>Cl<sub>2</sub>, toluene, bulk at 0-35°C; activation with visible light!!!!

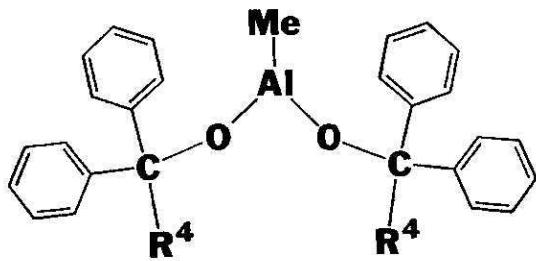
MMA polymerization by (TPP)Al-Me<sup>a</sup>

| Temp.<br>°C | Conv.<br>% | 10 <sup>-3</sup> . M <sub>n</sub> (calc) | 10 <sup>-3</sup> . M <sub>n</sub> (SEC) | M <sub>w</sub> /M <sub>n</sub> |
|-------------|------------|--|---|--------------------------------|
| 30          | 100        | 20.0                                     | 19.9                                    | 1.19                           |
| 15          | 100        | 10.0                                     | 9.1                                     | 1.10                           |

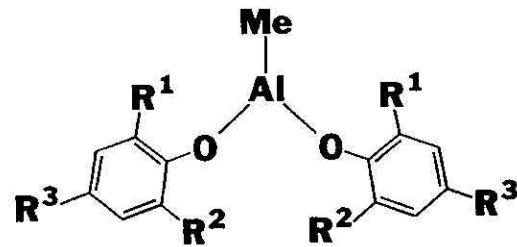
<sup>a</sup> room temp., visible light, > 12 hours

Aida: Prog. Polym. Sci. 19, 496 (1994)

## Acceleration of the process by organoaluminium compounds



2a:  $\text{R}^4 = \text{Ph}$   
2b:  $\text{R}^4 = \text{H}$



- 1b:  $\text{R}^1 = \text{R}^3 = t\text{-Bu}; \text{R}^2 = \text{H}$   
 1c:  $\text{R}^1 = \text{R}^2 = t\text{-Bu}; \text{R}^3 = \text{Me}$   
 1d:  $\text{R}^1 = \text{R}^2 = t\text{-Bu}; \text{R}^3 = \text{H}$   
 1e:  $\text{R}^1 = \text{R}^2 = \text{H}; \text{R}^3 = t\text{-Bu}$   
 1f:  $\text{R}^1 = \text{R}^2 = \text{H}; \text{R}^3 = \text{OMe}$   
 1g:  $\text{R}^1 = t\text{-Bu}; \text{R}^2 = \text{H}; \text{R}^3 = \text{OMe}$

### MMA polymerization in $\text{CH}_2\text{Cl}_2$ at 35°C

Without additive, conversion 6% only after 2.5 h

In the presence of **1a-d or 2a** complete conversion within minute!!

Addition of **1e-g or 2b** – no acceleration

Simple aluminium alkyls ( $\text{Me}_3\text{Al}$ ) terminate polymerization at room temp.

## POLYMERIZATION INITIATED WITH LANTHANOCENES

Initiators: bis(pentamethylcyclopentadienyl) compounds of lanthanides

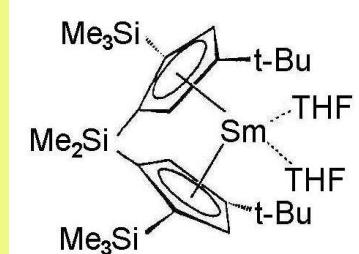
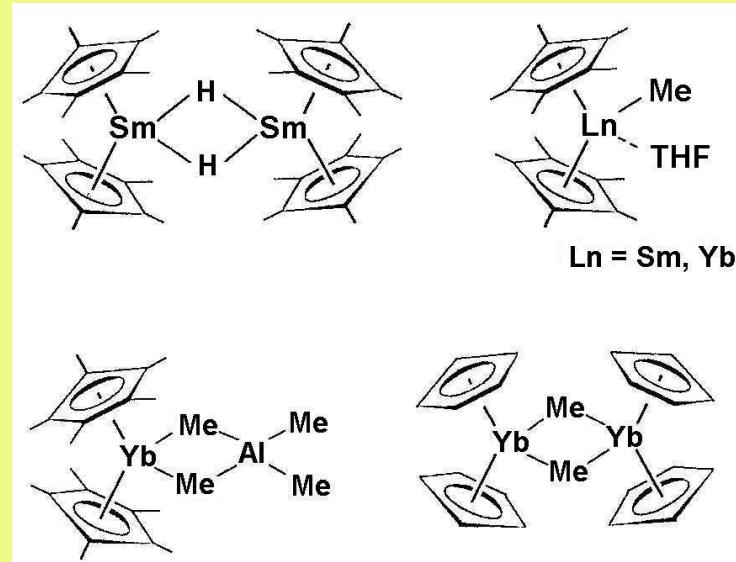
oxidation state III,  $\text{LaR}(\text{C}_5\text{Me}_5)_2$

oxidation state II,  $\text{La}(\text{C}_5\text{Me}_5)_2$

Yasuda: Macromolecules 28, 7886 (1995)

Monomers: 1. vinylic; (meth)acrylates, ethylene  
2. heterocyclic; caprolactone, valerolactone

Conditions: toluene, THF, temperature from -90 to 60°C



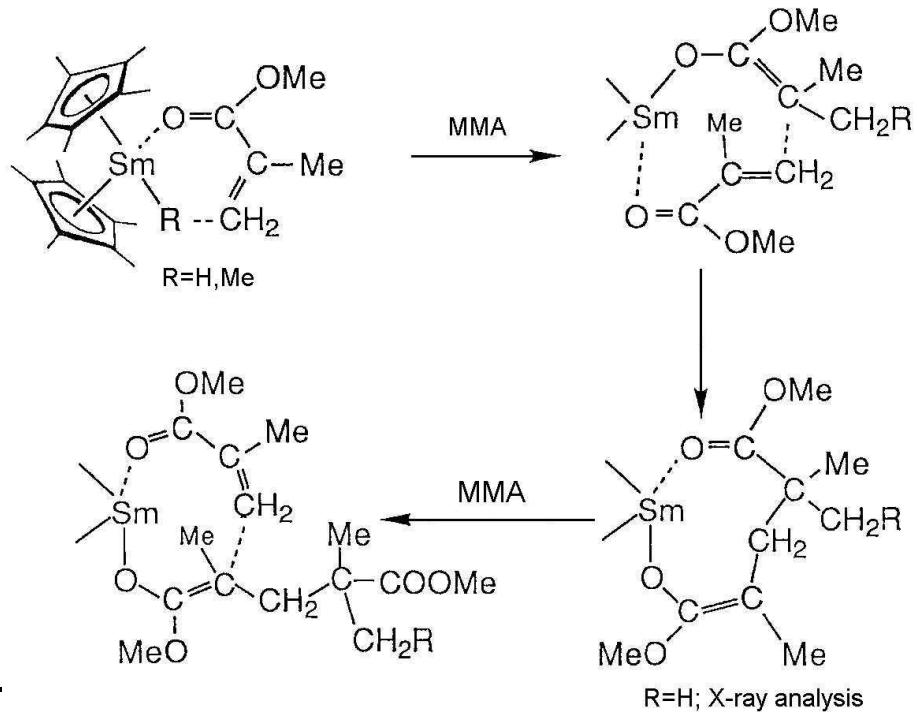


## Mechanism of the lanthanocene initiated polymerization

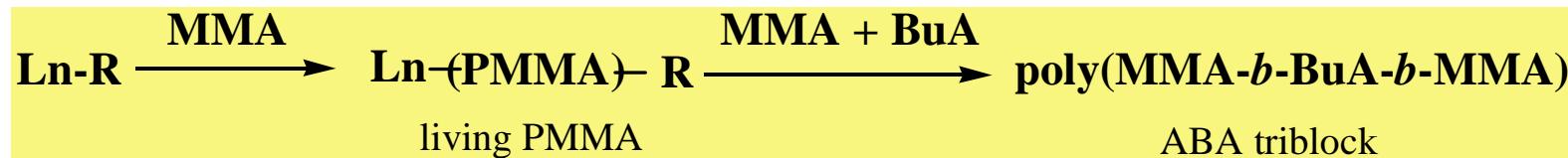
## Enolate-controlled process proceeding via 8-membered ring enolate intermediate

## Monomer reactivity ratios

| <b>monomers</b> | <b>r<sub>1</sub></b> | <b>r<sub>2</sub></b> |
|-----------------|----------------------|----------------------|
| <b>MMA/MeA</b>  | <b>0.015</b>         | <b>19.9</b>          |
| <b>MMA/EtA</b>  | <b>0.008</b>         | <b>15.9</b>          |
| <b>MMA/BuA</b>  | <b>0.024</b>         | <b>21.3</b>          |



# Synthesis of ABA triblock copolymer



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## LIGATED ANIONIC POLYMERIZATION

**Principle:** Initiating complexes are composed of an initiator and additive (ligand) which tailor the environment of growing chain-end lowering thus its nucleophilicity and forming sterical hindrance

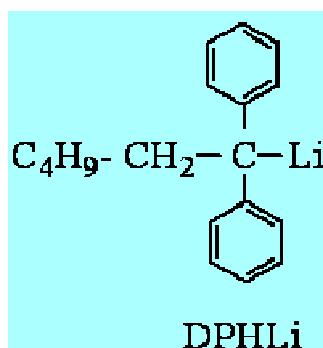
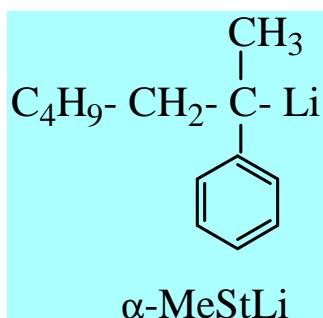
### Main types of the ligands

|  |   |
|--|---|
| 1. Alkali metal halides                | Initiators: bulky organometallics, DPHLi<br>Additives: almost exclusively LiCl<br>Temp.: from -78 to 0°C  |
| 2. Bidentate alkoxides                 | Initiators: bulky organometallics, DPHLi<br>Additives: $\text{CH}_3\text{O}(\text{CH}_2-\text{CH}_2\text{O})_2\text{Li}$ ,<br>Temp.: $\leq -78^\circ\text{C}$ |
| 3. Aluminum alkyls                     | Initiators: <i>t</i> -BuLi, ester-enolates<br>Additives: triethyl, triisobutyl, biphenoxyalkylaluminums<br>Temp.: from -78 to 20°C                            |
| 4. Alkali metal <i>tert</i> -alkoxides | Initiators: alkali metal ester-enolates<br>Additives: mostly Li <i>tert</i> -butoxide<br>Temp.: from -78 to 20°C  |
| 5. Miscellaneous                       | (Li silanlates, Li perchlorate, TMEDA, etc)   |

## Effect of LiCl on (meth)acrylate anionic polymerization

### Initiators:

Bulky  
organolithiums



### Effect of LiCl on polymerization of t-BuA initiated with $\alpha\text{MeStLi}$

| Solvent            | LiCl/init<br>m/m | Temp<br>°C | $M_w/M_n$ | $\Phi$ |
|--------------------|------------------|------------|-----------|--------|
| THF                | 0                | -78        | 3.61      | -      |
| THF                | 2                | -78        | 1.30      | 0.80   |
| THF                | 5                | -78        | 1.20      | 0.77   |
| 75/25 <sup>a</sup> | 1.3              | -78        | 1.20      | 0.72   |
| 75/25              | 1.2              | -30        | 1.30      | 0.71   |
| 75/25              | 1.2              | 0          | 1.63      | 0.61   |

<sup>a</sup> toluene/THF (v/v)

Baskaran: Prog.  
Polym. Sci. 28,  
521 (2003)

### Effect of various Li salts on MMA polymerization in THF at -78°C

| initiator             | salt               | Conv.<br>%  | SEC           |             |             |
|-----------------------|--------------------|-------------|---------------|-------------|-------------|
|                       |                    |             | $10^{-3} M_n$ | $M_w/M_n$   | $\Phi$      |
| $\alpha\text{MeStLi}$ | -                  | 99          | 41.0          | 1.20        | 0.67        |
| "                     | <b>LiCl</b>        | <b>99.5</b> | <b>32.5</b>   | <b>1.09</b> | <b>0.85</b> |
| "                     | LiF                | 99          | 46.5          | 1.17        | 9.58        |
| "                     | LiBr               | 96          | 38.0          | 1.16        | 0.69        |
| "                     | LiBPh <sub>4</sub> | 68          | 25.5          | 1.24        | 0.74        |
| DPHLi                 | -                  | 100         | 16.0          | 1.13        | 0.94        |
| DPHLi                 | LiBPh <sub>4</sub> | 100         | 18.0          | 1.12        | 0.86        |
| <b>DPMPLi</b>         | <b>LiCl</b>        | <b>93</b>   | <b>22.0</b>   | <b>1.09</b> | <b>0.93</b> |

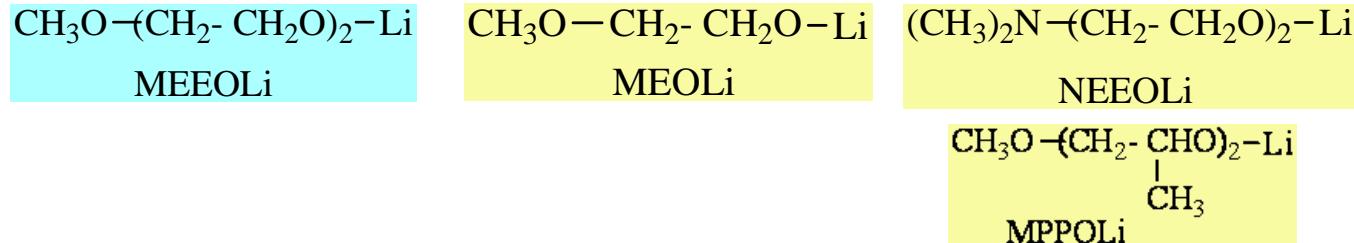
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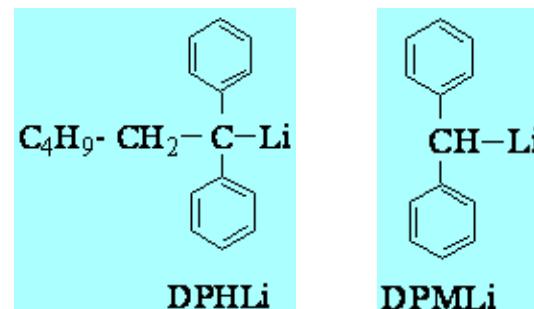
## Bidentate lithium alkoxides

### Ligands



### Initiators

(sterically hindered organolithiums)



| Alkyl | Ligand | Conv.<br>% | $M_n$ (theor)<br>$\cdot 10^{-3}$ | SEC                 |                   |
|-------|--------|------------|----------------------------------|---------------------|-------------------|
|       |        |            |                                  | $M_n \cdot 10^{-3}$ | $M_w/M_n$         |
| Bu    | NEEOLi | 46         | 8.6                              | 23.0                | 1.65 <sup>b</sup> |
| Bu    | MPPOLi | 25         | 4.3                              | 13.0                | 2.00 <sup>b</sup> |
| Bu    | MEOLi  | 80         | 7.0                              | 22.0                | 3.3               |
| Bu    | MEEOLi | 100        | 24.7                             | 34.0                | 1.30              |
| Nonyl | MEEOLi | 98         | -                                | 14.0                | 1.20              |
| Et    | MEEOLi | 100        | 12.5                             | 19.0                | 1.30              |
| Me    | MEEOLi | 100        | 10.9                             | 13.0                | 1.50              |

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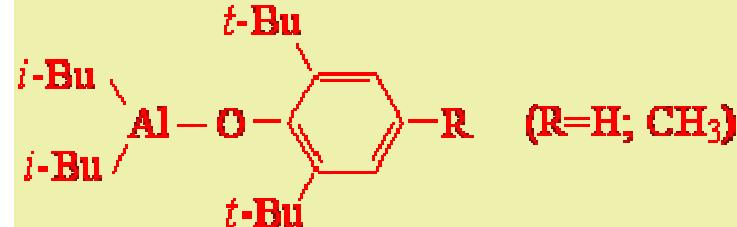
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## ALUMINIUM ALKYLS – MMA POLYMERIZATION

Initiators: *t*-BuLi; Li ester-enolates

Ligands: Et<sub>3</sub>Al; (*i*-Bu)<sub>3</sub>Al; toluene



### Effect of Al/Li ratio on MMA polymerization

| Al/Li<br>m/m | Conv.<br>% | M <sub>n</sub><br>.10 <sup>-3</sup> | M <sub>w</sub> /M <sub>n</sub> |
|--------------|------------|-------------------------------------|--------------------------------|
| 0.4          | 46         | 17.1                                | 1.28                           |
| 0.7          | 75         | 19.9                                | 1.12                           |
| 1.2          | 99         | 32.4                                | 1.09                           |
| 2.0          | 100        | 44.1                                | 1.11                           |
| 3.0          | 99         | 28.4                                | 1.09                           |

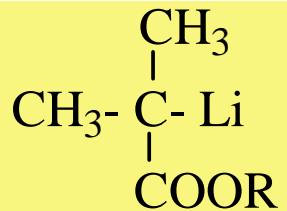
### Lifetime of MMA growing chains – 4-doses experiment

| Time<br>min | Σ MMA<br>mol/l | MMA/ <i>t</i> -BuLi<br>m/m | M <sub>n</sub><br>.10 <sup>-3</sup> | Increment<br>M <sub>n</sub> .10 <sup>-3</sup> | M <sub>w</sub> /M <sub>n</sub> |
|-------------|----------------|----------------------------|-------------------------------------|---|--------------------------------|
| 65          | 1.06           | 75                         | 11.7                                | 11.7  | 1.09                           |
| 130         | 2.12           | 150                        | 23.2                                | 11.5  | 1.10                           |
| 195         | 3.18           | 225                        | 33.9                                | 10.7  | 1.1                            |
| 260         | 4.24           | 300                        | 44.1                                | 10.2  | 11.1                           |

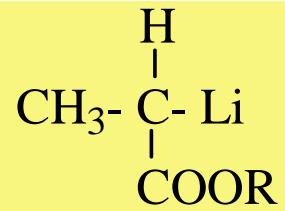
## tert-Alkoxide-stabilized polymerization

### Initiators:

Esters of 2-lithioisobutyric or 2-lithiopropionic acids, at -78 – 0°C



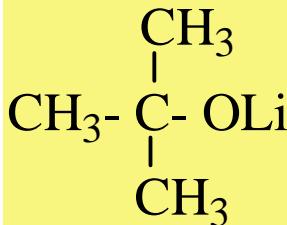
2-lithioisobutyrate



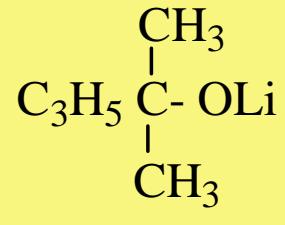
2-lithiopropionate

### Additives:

Alkali metal **tert**-alkoxides, in THF, toluene/THF mixtures



Li *tert*-butoxide



Li *tert*-hexoxide

Vlcek: Prog. Polym. Sci. 24, 793 (1999)

Institute of Macromolecular Chemistry ASCR, Heyrovsky sq. 2, Prague -162 06

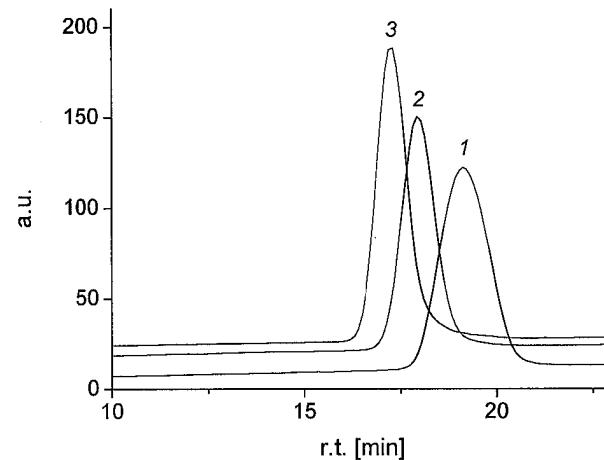
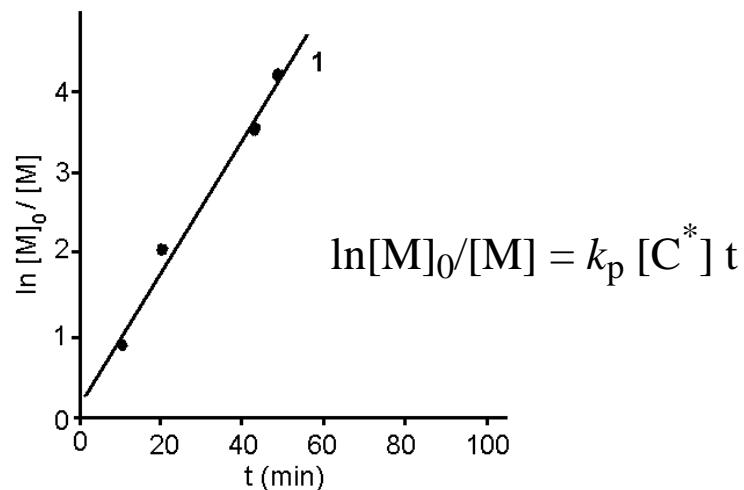
<http://www.imc.cas.cz/unesco/index.html>; [unesco.course@imc.cas.cz](mailto:unesco.course@imc.cas.cz)

## Lifetime of PMMA living chains

**Initiator: 1:10 MIB-Li/*t*-BuOLi system; THF; -60°C**

| Dose | $\Delta t^b$ | $t^c$ | SEC           |           |
|------|--------------|-------|---------------|-----------|
|      | min          | min   | $10^{-3} M_n$ | $M_w/M_n$ |
| 1    | 0            | 60    | 7.8           | 1.20      |
| 2    | 60           | 150   | 19.0          | 1.11      |
| 3    | 150          | 250   | 30.1          | 1.12      |

<sup>a</sup>  $[MMA]_0 = 0.486 \text{ mol/l}$ , mole ratio  $[MMA]_0:[\text{MIB-Li}]_0:[t\text{-BuOLi}]_0 = 50/1/10$  in the first step, THF, T = -60 °C; <sup>b</sup> time period after the addition of the first dose; <sup>c</sup> total reaction time

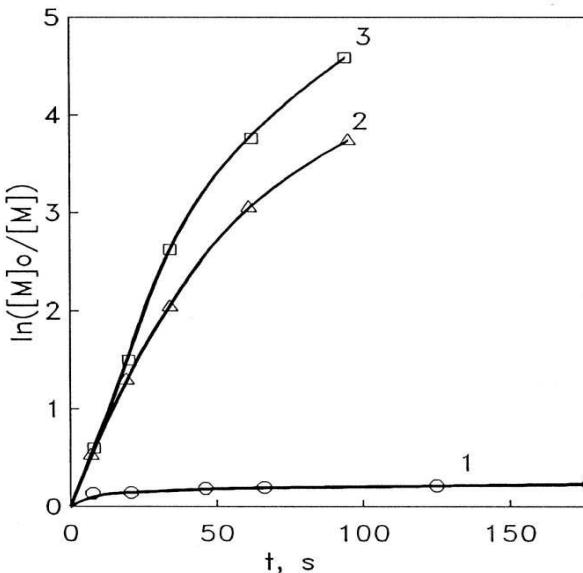


## Polymerization of BuA initiated with tBIB-Li/t-BuOLi

Initiation: tBIB-Li in toluene/THF (9/1); -70°C

| Run | t-BuOLi (exc) | Time (s) | Conv. (%) | $10^{-3} M_n$ | $M_w/M_n$ |
|-----|---------------|----------|-----------|---------------|-----------|
| 1   | 0             | 8        | 12.7      | 2.9           | 7.8       |
|     |               | 600      | 22.7      | 3.3           | 18.8      |
| 2   | 3             | 8        | 41.1      | 6.1           | 1.17      |
|     |               | 600      | 99        | 14.0          | 1.31      |
| 3   | 10            | 8        | 45.1      | 5.8           | 1.15      |
|     |               | 185      | 100       | 11.7          | 1.21      |

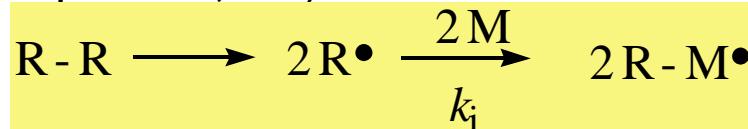
$$\ln[M]_0/[M] = k_p [C^*] t$$



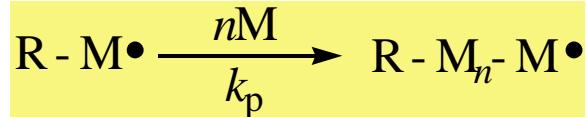
# CONTROLLED RADICAL POLYMERIZATION BASIC APPROACH

## Simplified scheme of conventional FRP

**Initiation:**(diazocompounds, etc)



**Propagation:**



**Spontaneous termination:**(recombination, disproportionation)



## Rates of propagation and termination

$$R_p = k_p [R - M_n - M \cdot] \times [M]$$

$$R_t = k_t [R - M_n - M \cdot]^2$$

**Principle:** Lowering the concentration of “living” macroradicals by reversible process perceptibly lowers the rate of termination



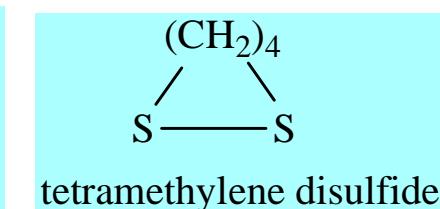
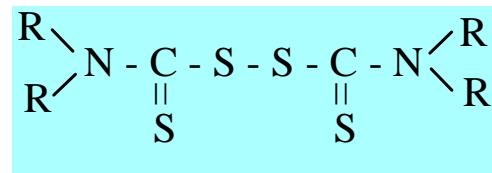
## METHODS OF CONTROLLED RADICAL POLYMERIZATION

- Iniferter Technique (*initiation, transfer, termination*)
- Degenerative Transfer (DT)
- Reversible Addition-Fragmentation Chain Transfer (RAFT)
- Stable Counter-Radicals (nitroxide-mediated, NMP or TEMPO)
- Atom-Transfer Radical Polymerization (ATRP)

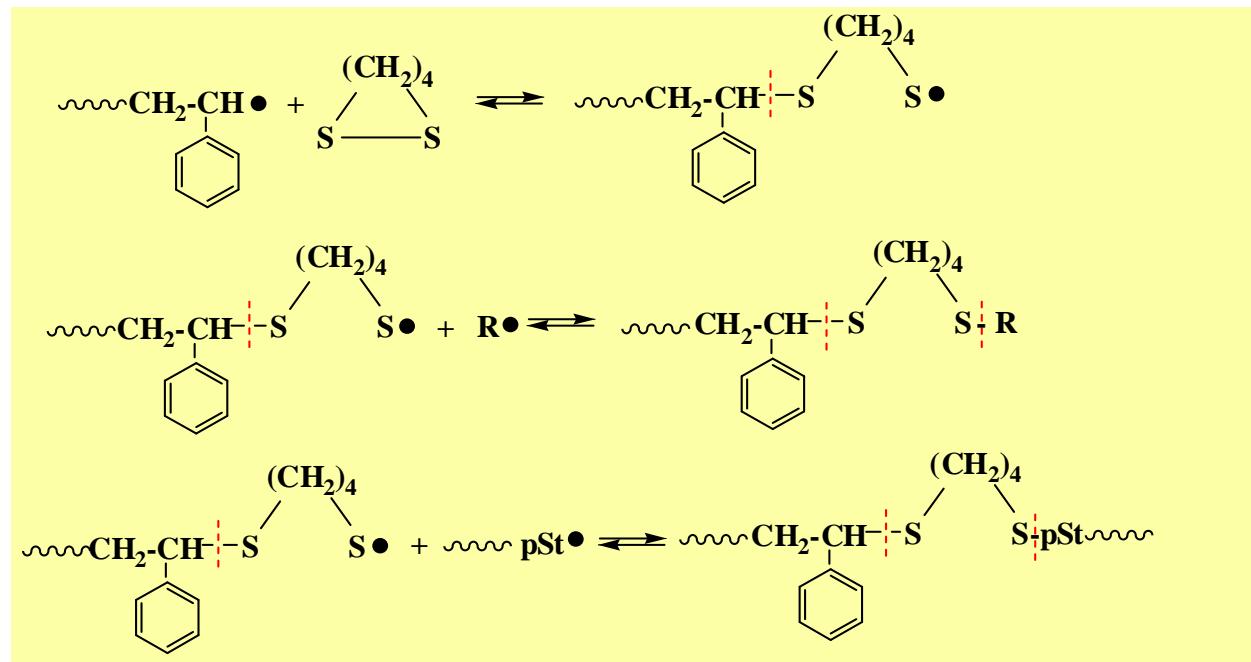
## INIFERTERS

**Initiators:** Diazocompounds, peroxides

**Iniferters:** Radical end-capping particles  
forming dormant macroradicals  
with weak C-S bond



**Probable mechanism**



## DEGENERATIVE TRANSFER

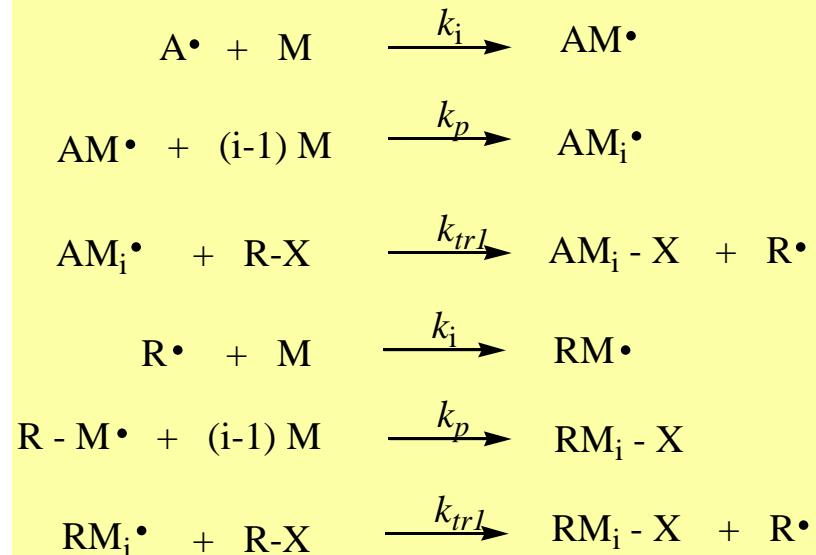
**Initiators:** diazocompounds, peroxides (AIBN,  $Bz_2O_2$ )

**Transfer agents:** iodoform, alkyl iodides ( $CH_3I$ , R-I)

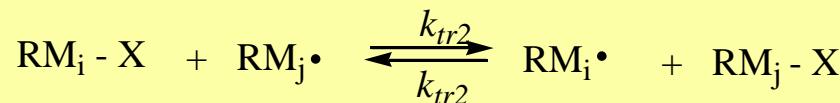
**Monomers:** styrene, (meth)acrylates, acrylamide

Prevailingly applied to  
a tailoring of oligomers  
with predetermined DP

1) Transfer reaction to RX



2) Transfer reaction to chain

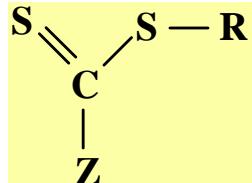




## RAFT – basic scheme

### Initiators: diazocompounds, peroxides

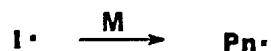
### **RAFT agents: thiocarbonyl thiocompounds**



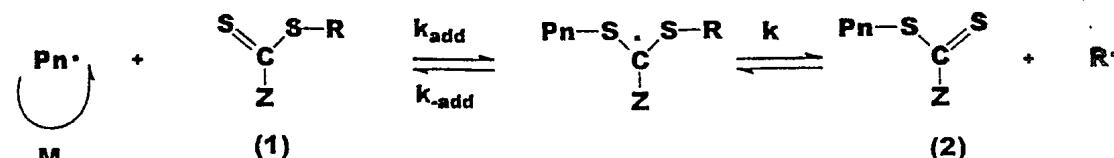
$\mathbf{R} = -\text{C}(\text{CH}_3)_2\text{Ph}; \text{ } -\text{CH}_2\text{Ph}$

**Z = alkyl**

## Initiation



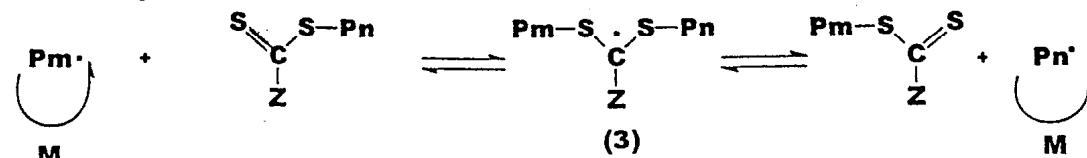
### **Chain Transfer**



### **Reinitiation**



### chain equilibrium



### **Termination**



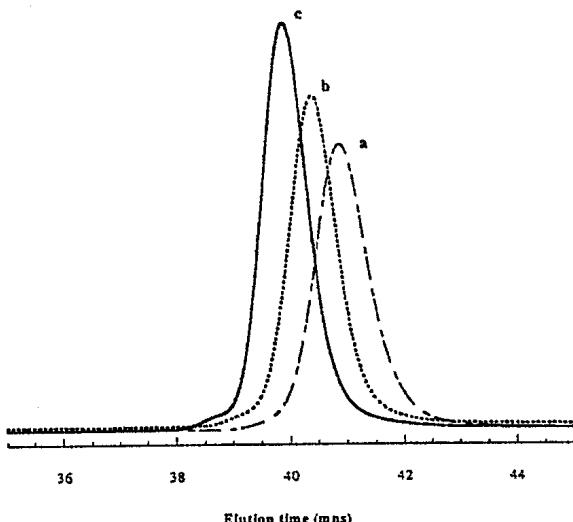
Lowe: Prog. Polym. Sci.  
32, 283 (2007)

## Effect of RAFT agent on polymerization

### Raft agents: dithiocarbamates with various R and Z substituents

| Monomer            | Time (h) | Conv. (%) | $M_n$ (theor) | $M_n$ (exp) | $M_w/M_n$ |
|--------------------|----------|-----------|---------------|-------------|-----------|
| EtA <sup>a,c</sup> | 3        | 99        |               | 69000       | 2.83      |
| EtA                | 4        | 87        | 7350          | 7750        | 1.2       |
| St <sup>b,c</sup>  | 24       | 98        |               | 376000      | 2.26      |
| St                 | 24       | 94        | 8170          | 7730        | 1.22      |
| MMA <sup>a,c</sup> | 4        | 52        |               | 70800       | 2.28      |
| MMA                | 7        | 98        | 8150          | 10740       | 1.74      |

<sup>a</sup> 50% in toluene, 80°C, AIBN; <sup>b</sup> in bulk, 110°C, thermal initiat.; <sup>c</sup> no RAFT agent



GPC traces of pSt prepared in the presence of dithiocarbamate

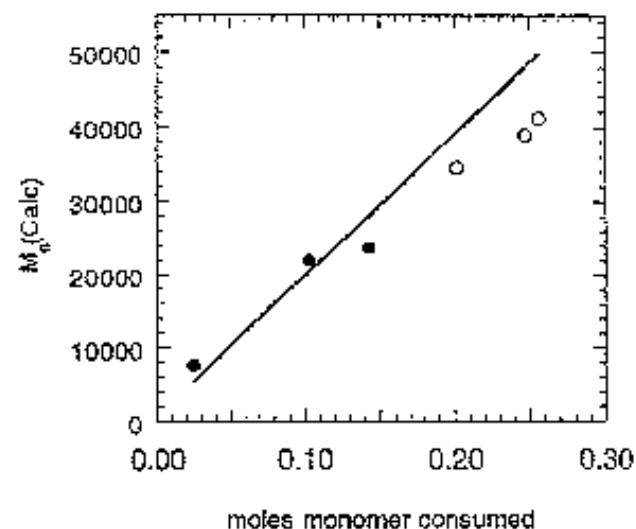
- a) 36%;  $M_n= 14700$ ; PDI=1.16
- b) 63%;  $M_n= 26200$ ; PDI=1.12
- c) 86%;  $M_n= 33500$ ; PDI=1.10

## Synthesis of poly(*St-b-MMA*) by RAFT polymerization in emulsion

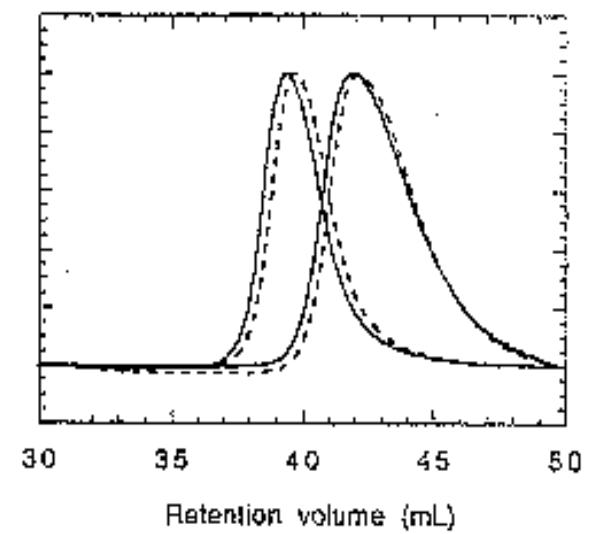
Initiator: 4,4'-azobis(4-cyanopentanoic acid)

RAFT agent: benzyl dithioacetate

| Temp.<br>°C | Time<br>min | Monomer added (ml) |     | Conv. |       |           |
|-------------|-------------|--------------------|-----|-------|-------|-----------|
|             |             | St                 | MMA | %     | $M_n$ | $M_w/M_n$ |
| 80          | 30          | 6                  | 0   | 43    | 7700  | 1.37      |
|             | 75          | 15                 | 0   | 99    | 23700 | 1.35      |
| 90          | 100         | 15                 | 7.5 | 84    | 39000 | 1.56      |
|             | 190         | 15                 | 15  | 92    | 41300 | 1.57      |



$M_n$  evolution vs. monomer consumption



SEC traces of p(*St-b-MMA*) and p*St*; dashed line – UV det, solid line – RI det

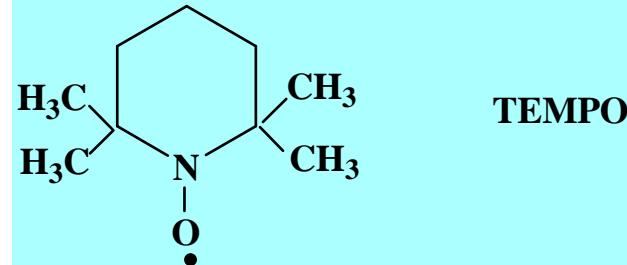
## STABLE COUNTER-RADICALS

**Initiators:** Diazocompounds, peroxides

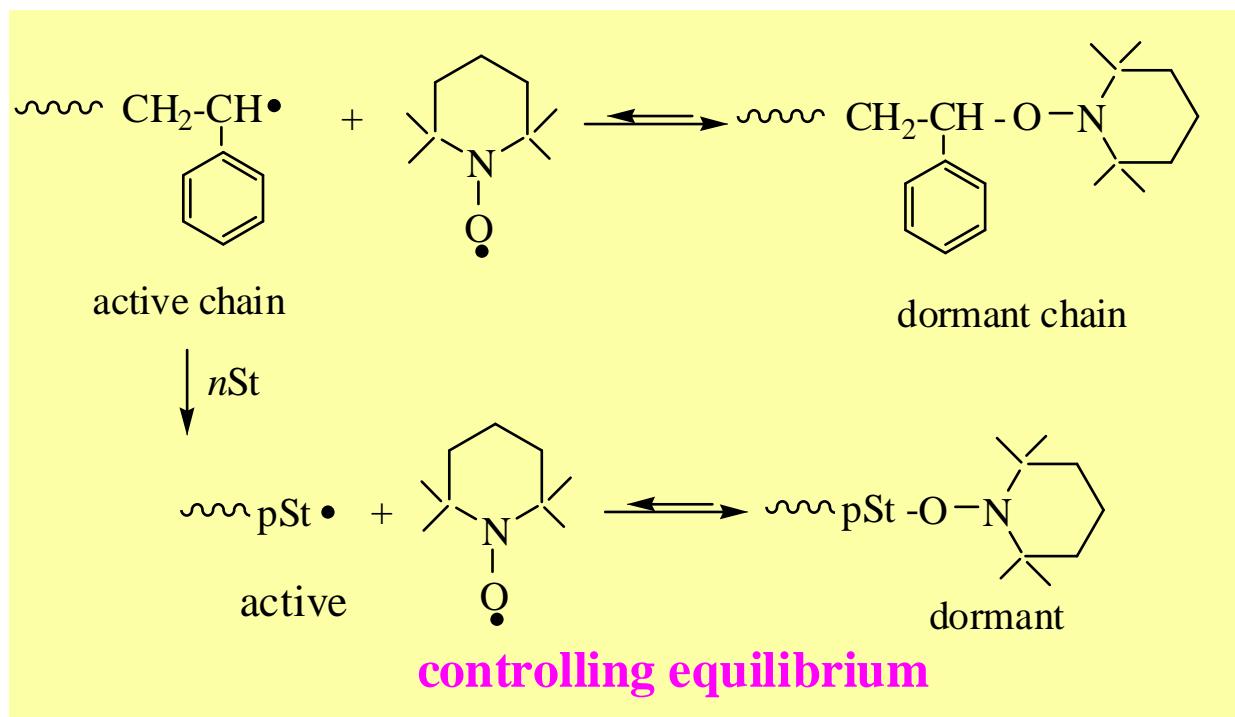
**Nitroxide radicals;**

2,2,6,6-tetramethyl-1-piperidinyloxy radical

Georges: Macromolecules 26, 5316 (1993)



**Probable mechanism**

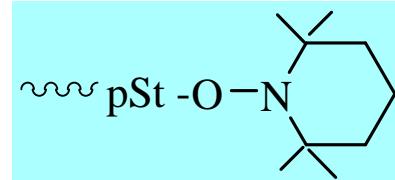


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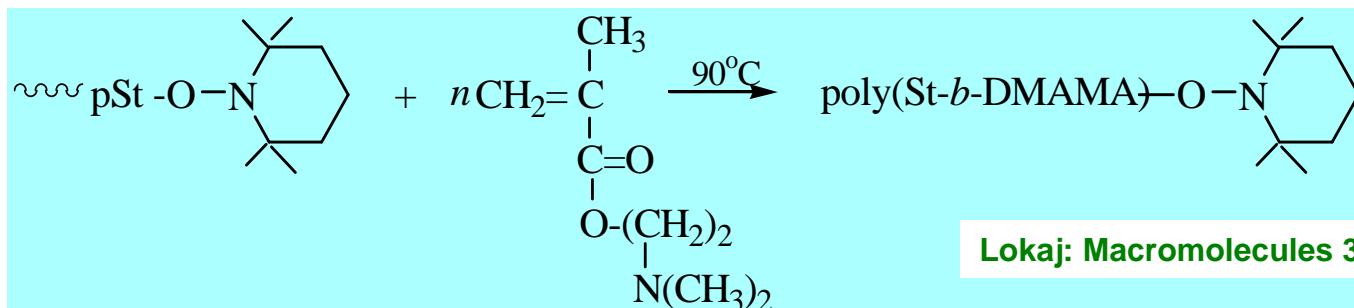
<http://www.imc.cas.cz/unesco/index.html>; [unesco.course@imc.cas.cz](mailto:unesco.course@imc.cas.cz)

## TEMPO-mediated synthesis of poly(styrene-*b*-2-dimethylaminoethyl methacrylate) copolymer

**1st step: Synthesis of pSt macroinitiator by controlled radical polymerization in bulk in the presence of TEMPO**



**2nd step: Bulk polymerization of DMAMA by pSt-TEMPO macroinitiator => p(St-*b*-DMAMA) with TEMPO termini**



Lokaj: Macromolecules 30, 7644 (1997)

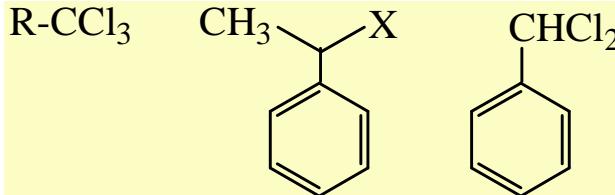
| pSt<br>$M_n \cdot 10^{-3}$ | Time<br>h | DMAMA<br>% | $M_n \cdot 10^{-3}$ |       |           |
|----------------------------|-----------|------------|---------------------|-------|-----------|
|                            |           |            | SEC                 | NMR   | $M_w/M_n$ |
| 53.4                       | 2         | 40         | 67.1                | 107.1 | 1.25      |
| (1.13) <sup>a</sup>        | 8         | 39         | 67.0                | 105.0 | 1.29      |
| 28.1                       | 2         | 26         | 34.0                | 43.0  | 1.28      |
| (1.10) <sup>a</sup>        | 8         | 29         | 36.3                | 45.4  | 1.30      |

<sup>a</sup> polydispersity indexes

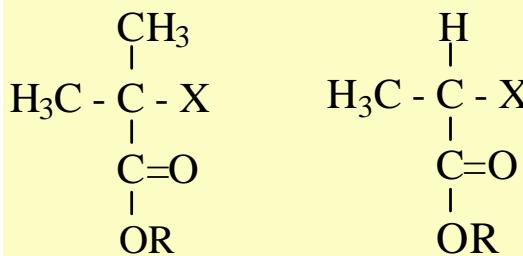
## ATRP - three-component initiating system

### 1. Initiator – organic halides

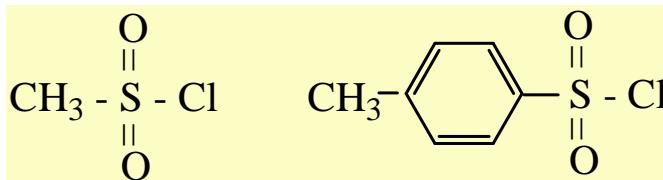
Alkyl halides



Esters of 2-haloacids



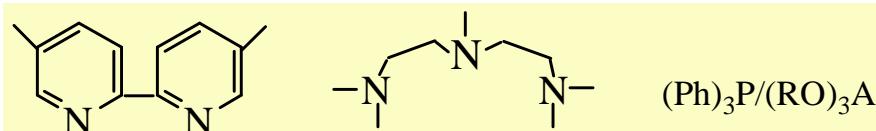
Sulfonyl halides



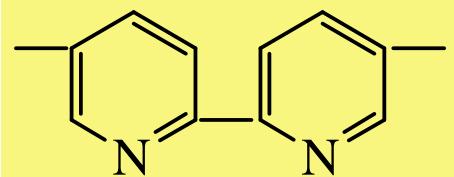
### 2. Catalyst – transition metal salts in the lower oxidation state

$\text{CuCl}$ ,  $\text{CuBr}$ ,  $\text{FeCl}_2$ ,  $\text{RuCl}_2$

### 3. Complexing ligand – aminocompounds, phosphorus compounds



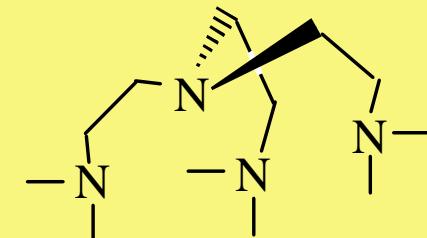
## Amine-type ligands



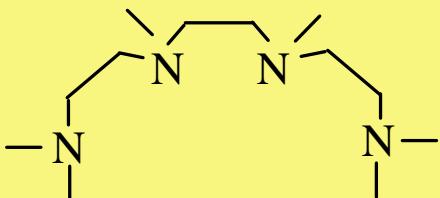
2,2'-bipyridyl (bPy)  
5,5'-disubstituted



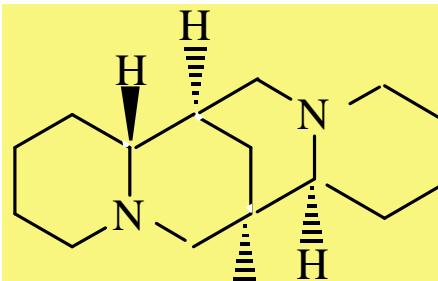
N,N,N',N'',N'''-pentamethyl  
diethylene triamine (PMDETA)



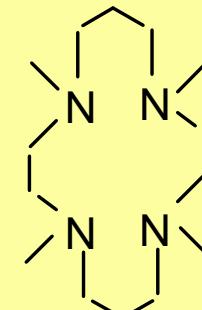
tris[2-(dimethylamino)ethyl]  
amine (Me<sub>6</sub>TREN)



1,1,4,7,10,10-hexamethyltriethylene  
tetramine (HMTETA)



sparteine



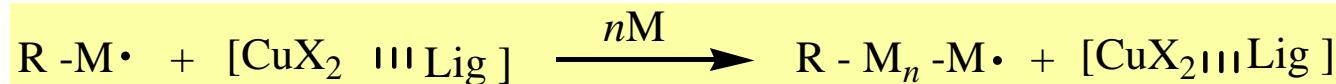
1,4,8,11-tetramethyl-1,4,8,11-  
tetraaza-cyclotetradecane  
(Me<sub>4</sub>cyclam)

## ATRP – basic scheme

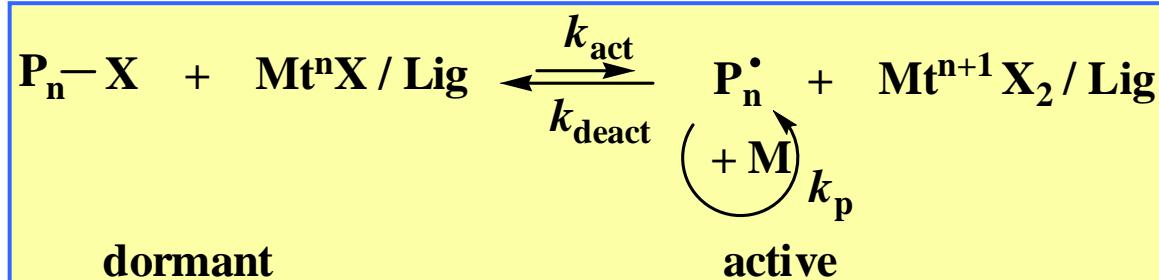
### Initiation



### Propagation



### Determining equilibrium



Matyjaszewski: Chem. Rev. 101, 2921 (2001)

Sawamoto: Chem. Rev. 101, 3669 (2001)

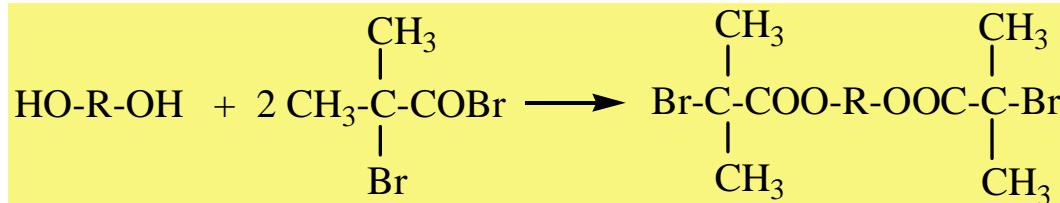
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<http://www.imc.cas.cz/unesco/index.html>; [unesco.course@imc.cas.cz](mailto:unesco.course@imc.cas.cz)

## Multifunctional ATRP initiators

### Bifunctional

diesters of  
2-haloacids

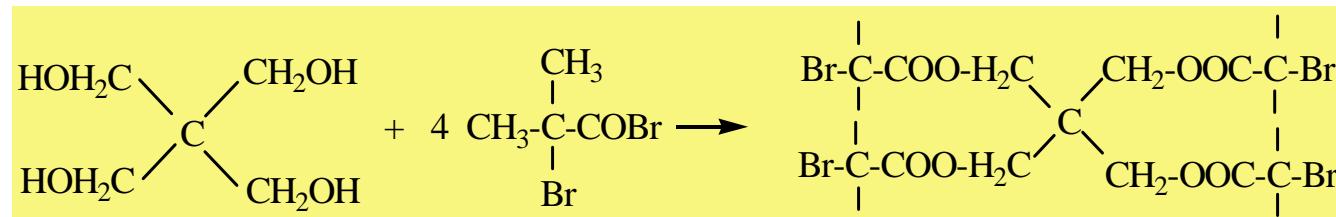


substituted urethanes or ureas



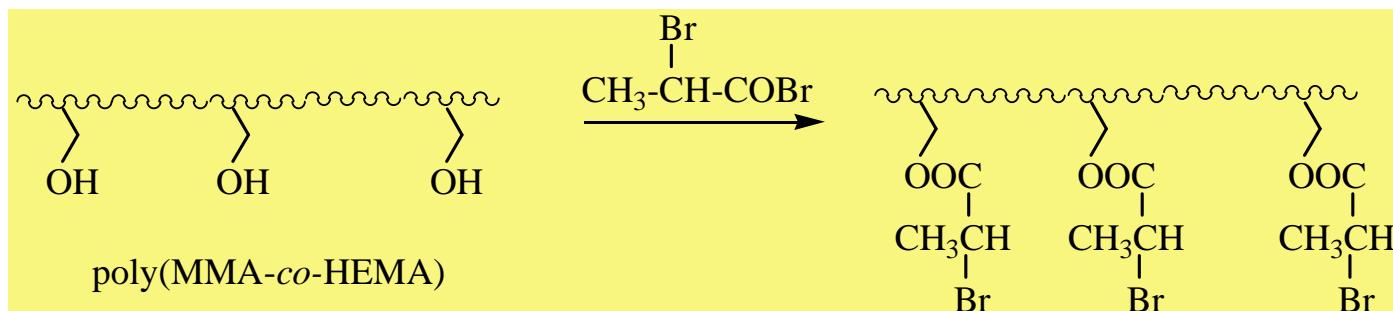
### Tetrafunctional

tetraesters (pentaerythritol)

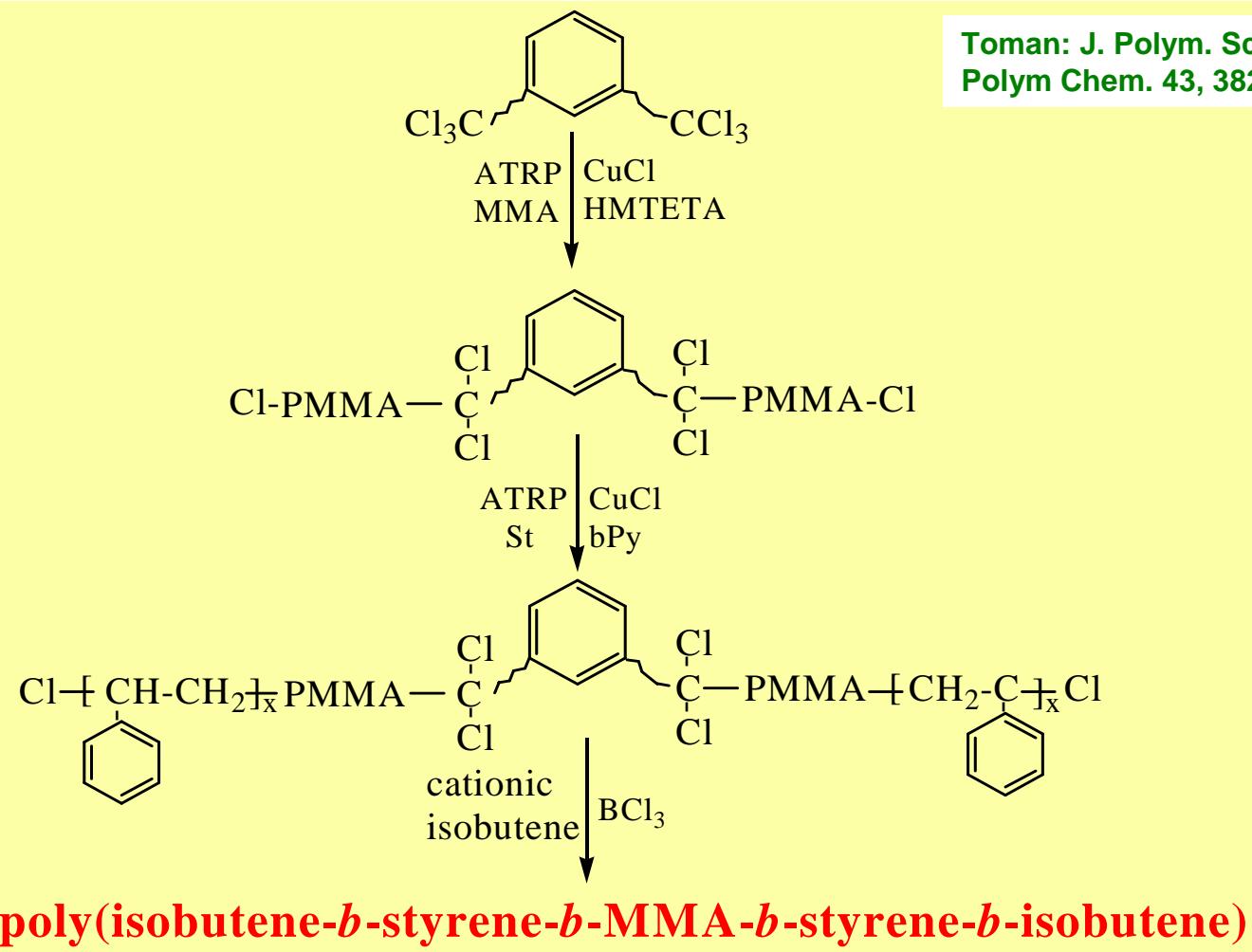


### Polyfunctional

polymeranalogous reactions

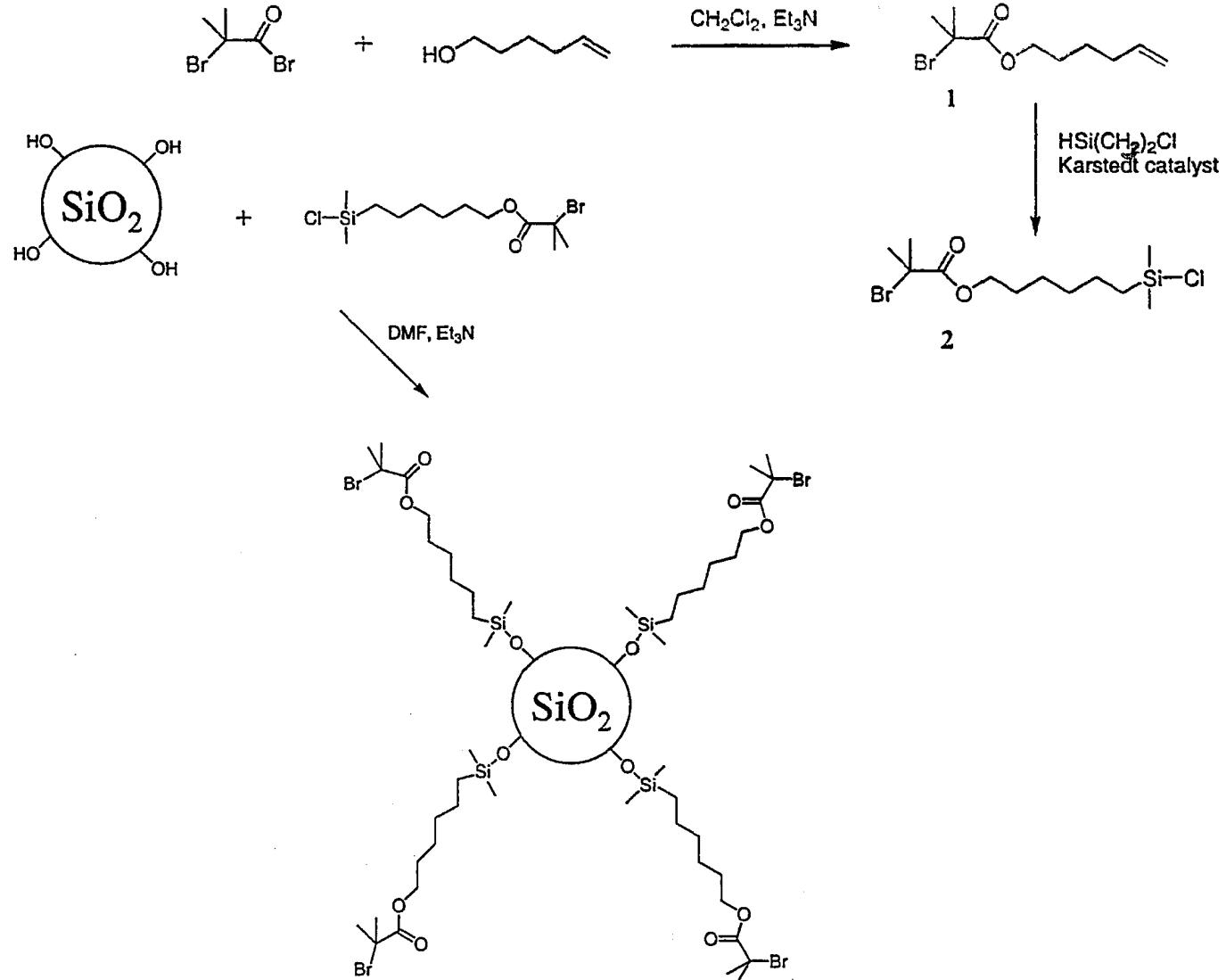


## Multiblock copolymer by a combination of ATRP and cationic polymerization



## ATRP grafting of silica surface with BuA

**Synthesis of  
functionalized  
ATRP initiator**



**Anchoring  
of the initiator  
onto silica  
particles**

## Reverse ATRP (RATRP)

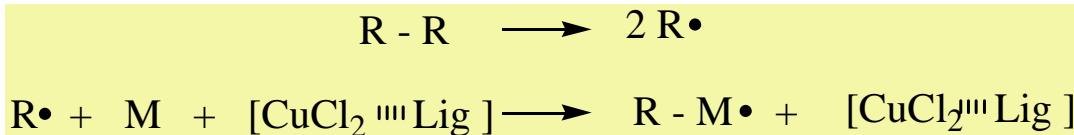
**Principle:** Reversible deactivation of growing macroradical with a salt of transition metal in the higher oxidation state

**Initiators:** Commonly used radical initiators, mostly diazocompounds

### General scheme

Initiating system: diazocompound, CuCl<sub>2</sub>, ligand (subst. bPy)

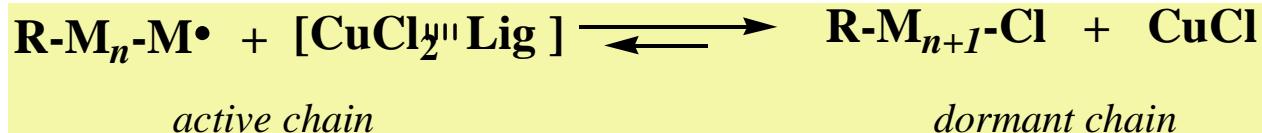
#### Initiation



#### Propagation



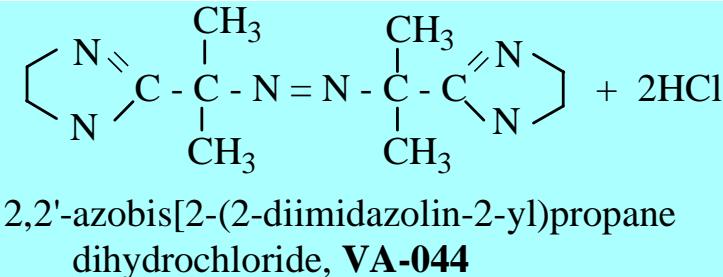
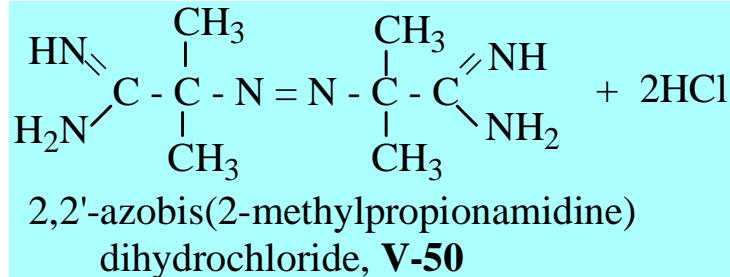
### Determining equilibrium between active and dormant chains





## RATRP of BuMA in emulsion

### Water-soluble initiators: K<sub>2</sub>S<sub>2</sub>O<sub>8</sub>; soluble azoinitiators



### Catalyst: 2,2'-bipyridine, CuBr<sub>2</sub>; non-ionic surfactant

| Run <sup>a</sup> | Init   | T<br>°C | CuBr <sub>2</sub><br>m/m <sup>b</sup> | Time<br>min | Conv.<br>% | 10 <sup>-3</sup> M <sub>n</sub> | M <sub>w</sub> /M <sub>n</sub> | Φ <sup>c</sup> |
|------------------|--------|---------|---------------------------------------|-------------|------------|---------------------------------|--------------------------------|----------------|
| 1                | V-50   | 90      | 0                                     | 15          | 98         | 255.5                           | 3.56                           | <0.1           |
| 2                | V-50   | 90      | 1                                     | 300         | 92         | 69.2                            | 1.26                           | 0.4            |
| 3                | V-50   | 90      | 2                                     | 350         | 60         | 76.2                            | 1.19                           | 0.2            |
| 4                | VA-044 | 80      | 1.5                                   | 240         | 37         | 15.3                            | 1.43                           | 0.7            |
| 5                | VA-044 | 70      | 1.5                                   | 540         | 84         | 47.3                            | 1.28                           | 0.5            |

<sup>a</sup> [I]<sub>0</sub>/[BuMA]<sub>0</sub> = 1/400; [CuBr<sub>2</sub>]<sub>0</sub>/[l\bPy]<sub>0</sub> = 1/2; <sup>b</sup> [CuBr<sub>2</sub>]<sub>0</sub>/[I]<sub>0</sub> mole ratio; <sup>c</sup> initiator efficiency

## Single electron transfer-living radical polymerization – SET-LRP

### Three-component initiating system:

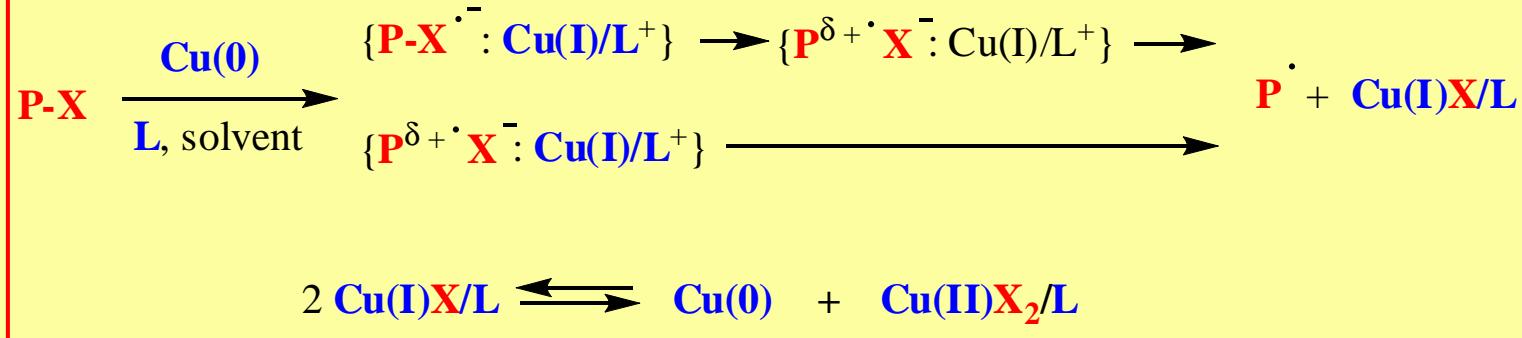
Initiator: alkyl halides, sulfonyl halides, haloforms

Catalyst: zerovalent metallic copper Cu(0), fine powder or tin wire

Ligand: nitrogen compounds, Me<sub>6</sub>TREN, PMDETA

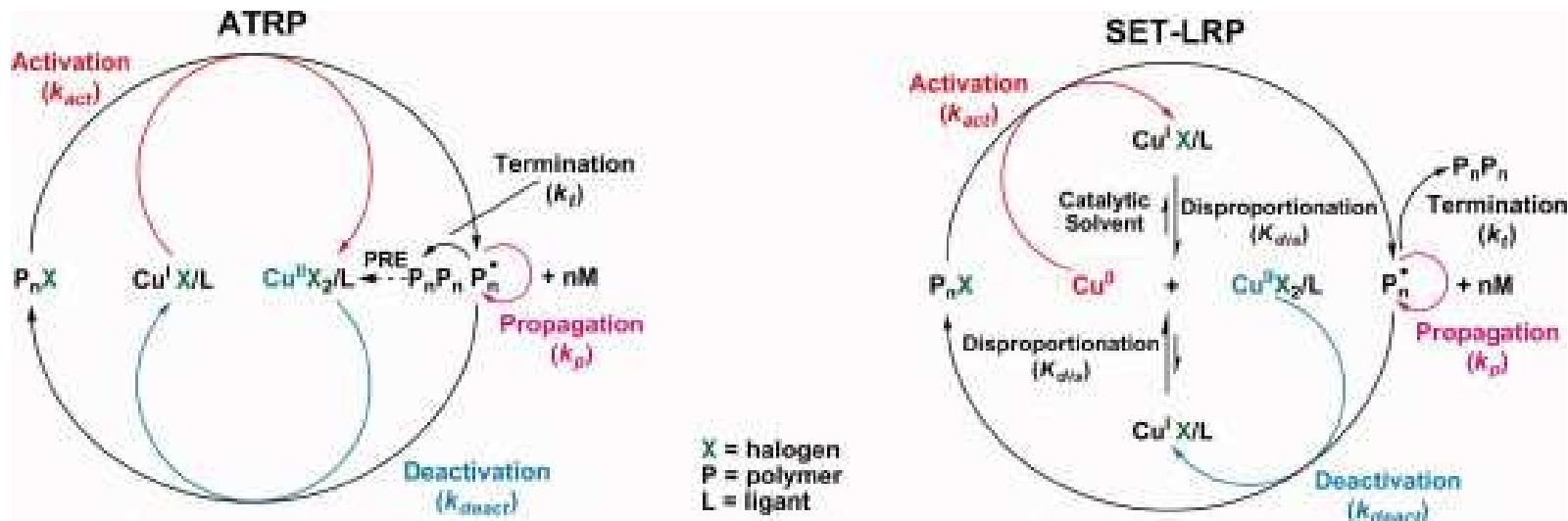
Solvents: aprotic polar solvent (DMSO), protic solvents (water, alcohols)

### Possible SET activation mechanism



Monomers: Acrylates, methacrylates, styrene (?), vinylchloride

## SET-LRP vs. ATRP, scheme



| M   | Initiator         | Ligand               | Ratio <sup>a</sup><br>m/m | Time<br>min | Convers.<br>% | SEC                 |           |
|-----|-------------------|----------------------|---------------------------|-------------|---------------|---------------------|-----------|
|     |                   |                      |                           |             |               | $M_n \cdot 10^{-3}$ | $M_w/M_n$ |
| MA  | MBrP              | Me <sub>6</sub> TREN | 220/1/1/1                 | 50          | 100           | 20                  | 1.3       |
| MA  | CHBr <sub>3</sub> | Me <sub>6</sub> TREN | 220/1/0.1/0.1             | 70          | 90            | 18                  | 1.6       |
| MMA | DCAP              | PMDETA               | 200/1/1/1                 | 300         | 90            | 20                  | 1.3       |
| VC  | CHBr <sub>3</sub> | TREN                 | 350/1/1/1                 | 200         | 85            | 32                  | 1.6       |

<sup>a</sup> monomer/initiator/Cu(0)/ligand



## LAP and ATRP - comparison

|            | LAP   | ATRP   |
|------------|---|--|
| Advantages | Better control over the process                         | Synthesis of macroinitiators, easy preparation of block copolymers |
|            | Very narrow MWDs (<1.1)                                 | Less time- and labor-consuming                                     |
|            | Controlling the microstructure                          | Non-sensitive to protic impurities, water-resistant                |
|            | Easy functionalization of chains                        | Elevated temperatures, low costs                                   |
| Drawbacks  | Very sensitive to protic impurities                     | Broader MWDs (1.3 or more)   |
|            | High purity requested, low temperature; i.e. high costs | Lower control over the process                                     |
|            | Side reactions (polar vinyl monomers)                   | Hardly controllable microstructure                                 |
|            | No monomers with OH, COOH, NH <sub>2</sub> groups       | No dienes  |