

# Theory for ferromagnetism in disordered systems.

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I- Introduction.

II- RPA for disordered magnetic systems.

III- Diluted Magnetic Semiconductors.

IV- Conclusions.

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# I- Introduction.

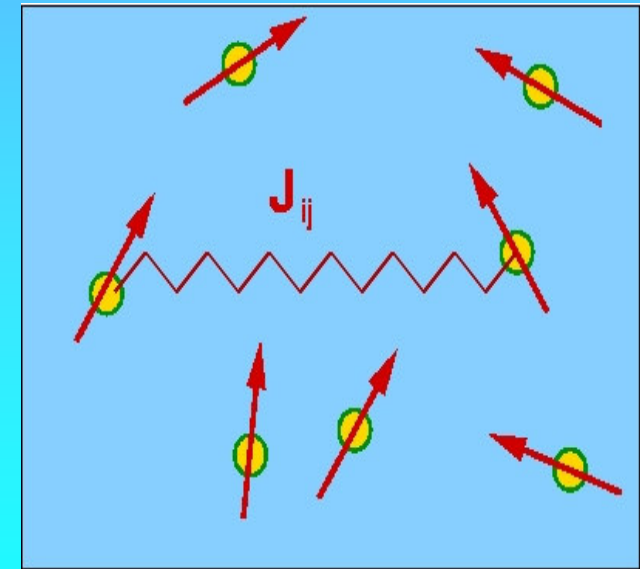
**Aim:** Study the magnetic properties of disordered magnetic systems (*Curie temperature, magnetic excitations spectrum, local moments, ...*) of a system of  $N_{imp}$  interacting magnetic impurities with spin  $\mathbf{S}$  (*quantum or classical*) randomly distributed on a given lattice.

$$H = - \sum_{ij} p_i p_j J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

( $p_i = 1$  if the site is occupied otherwise 0)

➔ The approach should be able to:

- Treat disorder effects beyond a simple Virtual Crystal Approximation (VCA).
- Treat properly thermal and quantum fluctuations beyond Mean Field (MFT).




## A-No disorder: treatment of thermal fluctuations.

### Mean Field Theory for Heisenberg model:

$$H_{MF} = \sum_i h_i^{eff} S_i^z \text{ avec } h_i^{eff} = \sum_j J_{ij} \langle S^z \rangle$$

$$\langle S^z \rangle = SB_s \left( \frac{h_i^{eff}}{k_B T} \right)$$


$$k_B T_C = \frac{1}{3} S(S+1) \sum_j J_{0j}$$

### MFT has several shortcomings.

- *Underestimate the effects of thermal fluctuations.*
- *Collective excitations are not included.*
- *Violate both theorems, Mermin-Wagner and Goldstone (finite Curie temperature for 1D et 2D)*

## B- How to improve the treatment of thermal and transverse fluctuations?

→ RPA (Random Phase Approximation) (*details of the derivation will be given for the general case of disordered systems.*)

- ✓ *Includes collective excitations.*
- ✓ *Fulfills both theorems Mermin-Wagner and Goldstone (in absence of anisotropy  $T_c=0$  for 1D and 2D)*

$$k_B T_C^{RPA} = \frac{1}{3} S(S+1) \frac{1}{\frac{1}{N} \sum_q \frac{1}{\omega(q)}}, \quad \omega(q) = J(0) - J(q)$$

- ✓ *Better treatment of thermal fluctuations.*
- ✓ *Very good agreement with **exact** Monte Carlo (Peczack et al. PRB 1991, Chen et al. PRB 1993) calculations.*

*simple cubic lattice, nearest neighbor exchange  $J$ .*

$$k_B T_C^{MC} = 1.44 J, \quad k_B T_C^{RPA} = 1.39 J, \quad k_B T_C^{MF} = 2J$$

## II- RPA for disordered systems.

- *Virtual Crystal Approximation (VCA) over simplified to treat the disorder effects:  $T_d(x) = x T_d(1)$*
- *Monte Carlo calculations are too heavy et very costly in CPU, especially when the exchange couplings are long-ranged.*
- *RPA-CPA (Coherent Potential Approximation) (G.B and P. Bruno PRB 2002): does not allow to include the cluster effects and correlations in the disorder.*



**Generalization of RPA by treating disorder effects exactly!!**

- [1] G. B. T. Ziman and J. Kudrnovsky, Europhys. Lett., **69** 812 (2005).
- [2] G. B. T. Ziman and J. Kudrnovsky, APL **85**, 4941 (2004).
- [3] G. B. T. Ziman and J. Kudrnovsky PRB **72**, 125207 (2005)
- [4] R. Bouzerar, G.B. and T. Ziman, Phys. Rev. B **73**, 024411 (2006)
- [5] G.B., R. Bouzerar, T. Ziman and J. Kudrnovsky, submitted (2005)
- [3] G. B. and T. Ziman submitted to Phys. Rev. (2006)

## Summary of the theory.

The approach is based on real space and finite temperature Green's functions formalism.

$$G_{ij}(\omega) = \langle\langle S_i^+ ; S_j^- \rangle\rangle = -i \int_0^{+\infty} \langle [S_i^+(t); S_j^-(0)] \rangle e^{i\omega t} dt$$

➤ Equation of motion for the retarded Green's function.

$$\omega G_{ij}(\omega) = 2 \delta_{ij} \langle S_i^z \rangle + \langle\langle [S_i^+, H]; S_j^- \rangle\rangle$$

➤ RPA decoupling of the higher order GF.

$$\left( \omega - 2 \sum_l J_{il} \langle S_l^z \rangle \right) G_{ij}(\omega) = 2 \langle S_i^z \rangle \left( \delta_{ij} - \sum_l J_{il} G_{lj}(\omega) \right)$$

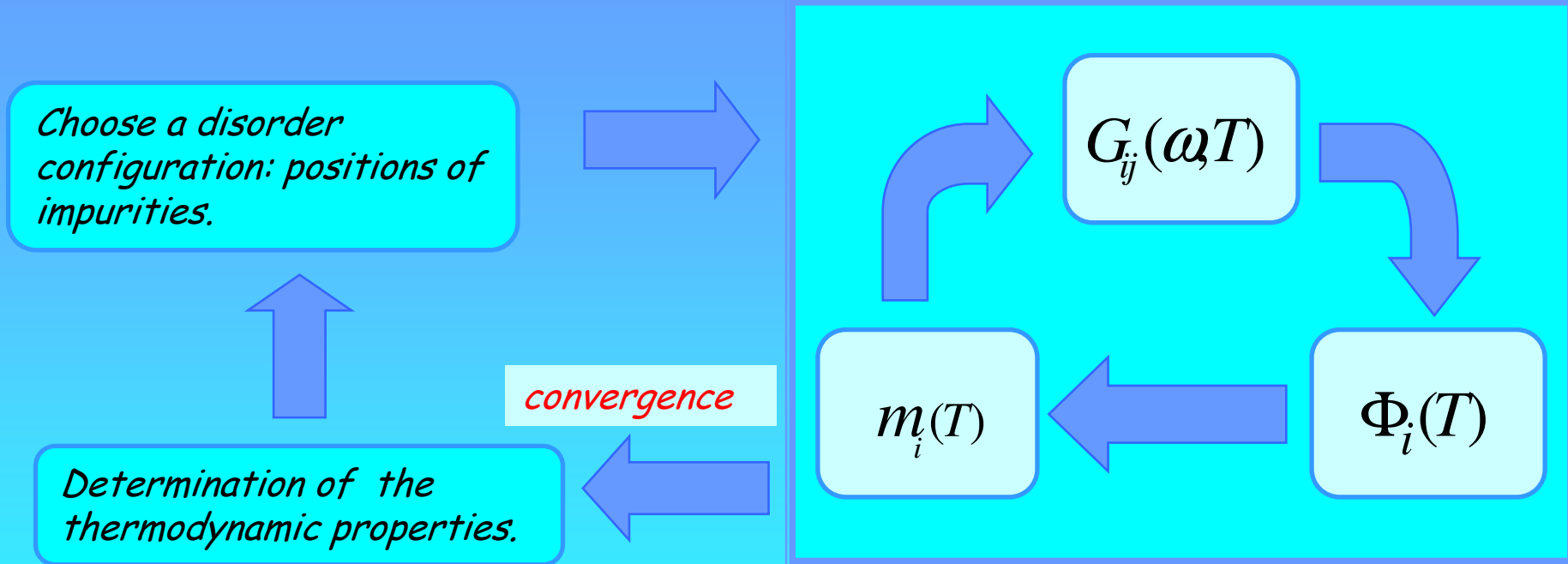
➤ Equations for the local magnetizations to close the system (*Callen*).

$$\langle S_i^z \rangle = \frac{(S - \Phi_i)(1 + \Phi_i)^{2S+1} + (S + 1 + \Phi_i)\Phi_i^{2S+1}}{(1 + \Phi_i)^{2S+1} - \Phi_i^{2S+1}}$$

$$\text{with } \Phi_i = -\frac{1}{2\pi \langle S_i^z \rangle} \int_{-\infty}^{+\infty} \frac{\Im m [G_{ii}(\omega)]}{\exp(\beta\omega) - 1} d\omega$$

## Local magnetizations and thermodynamic properties.

*Summary of the procedure to determine the local magnetizations at a given temperature  $T$ .*



*For a given temperature  $T$ , after convergence one can calculate:*

- *The distribution of the local magnetizations  $m_i(T)$ .*
- *The spectral function  $A(q, \omega, T)$  and magnetic excitations.*
- *The spin-spin correlation functions  $\langle S_i \cdot S_j \rangle$ .*
- *Etc. ....*

# Semi-analytical expression of the Curie temperature.

*By combining together the previous set of equations one can derive a semi-analytical expression for the Curie temperature for disordered ferromagnetic systems.*

$$k_B T_C = \frac{1}{3N_{imp}} S(S+1) \sum_i \frac{1}{F_i}$$

$$F_i = -\frac{1}{2\pi\lambda_i} \int_{-\infty}^{+\infty} \frac{\Im m(G_{ii}(E))}{E} dE,$$

$$\lambda_i = \lim_{T \rightarrow T_C} \frac{\langle S_i^z \rangle}{m} \quad \left( m = \frac{1}{N_{imp}} \sum_{i=1}^{N_{imp}} \langle S_i^z \rangle \right)$$

## Remark:


*For the determination of the Curie temperature we explicitly use the fact that in the limit  $T \rightarrow T_c$  ;  $m_i \rightarrow 0$  but  $\lambda_i \neq 0$  which are determined self-consistently!*

*This expression is the generalization of RPA to disordered ferromagnetic systems!*



## III- Diluted Magnetic semiconductors.

*The strong interest for diluted magnetic is partly motivated by their technological potential in the domain of Spintronics.*

 Need for materials with relatively high Curie temperature (beyond room temperature).

 Understand experimentally and theoretically which physical quantities control  $T_c$ :

- *Role and effects of disorder?*
- *Importance of thermal fluctuations?*
- *Influence of the band structure of the material?*
- *Dependence with the carrier density and magnetic impurities concentration?*
- *Effects of intrinsic defects (compensation)?*
- ...

# III-V Semiconductors: GaMnAs and GaMnN

## A- Introduction.

*1- GaMnAs is one of the most studied material and exhibits relatively high  $T_C$ :  $x_{Mn}=5%$  ,  $T_C=110$  K and  $x_{Mn}=7.5%$  ,  $T_C=175$  K.*

*2- GaMnN is more controversial: some pretends to observe paramagnetism and other ferromagnetism with very high Curie temperature.*

- *In III-V materials the substitution of  $Ga^{3+}$  by  $Mn^{2+}$  introduce simultaneously a localized spin  $S=5/2$  and an itinerant carrier (hole) in the valence band or impurity band.*
- *The exchange between impurities is of « generalized RKKY-type » (polarization of the gas of carrier + effects of resonances).*

## B- Compensation.

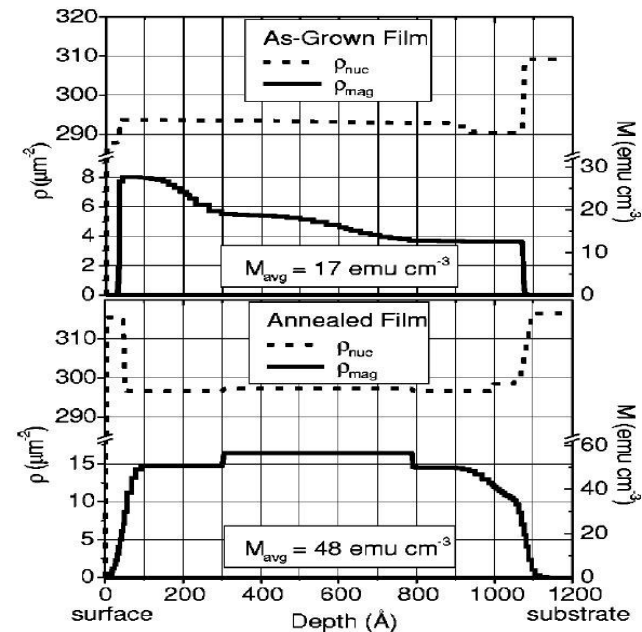
Additional source of disorder.

These defects appear during the MBE growth of the samples for example. These intrinsic defects have a drastic effects on both TRANSPORT and MAGNETISM.

There are two types of such defects:

- ✓ As anti-sites which affect only the density of carrier.
- ✓ Mn(I) affect both the hole density and the density of magnetically active Mn.

Profile of magnetization and density of Mn



Kirby et al. PRB (2004)

Question: Can we explain quantitatively the Curie temperatures measured experimentally?

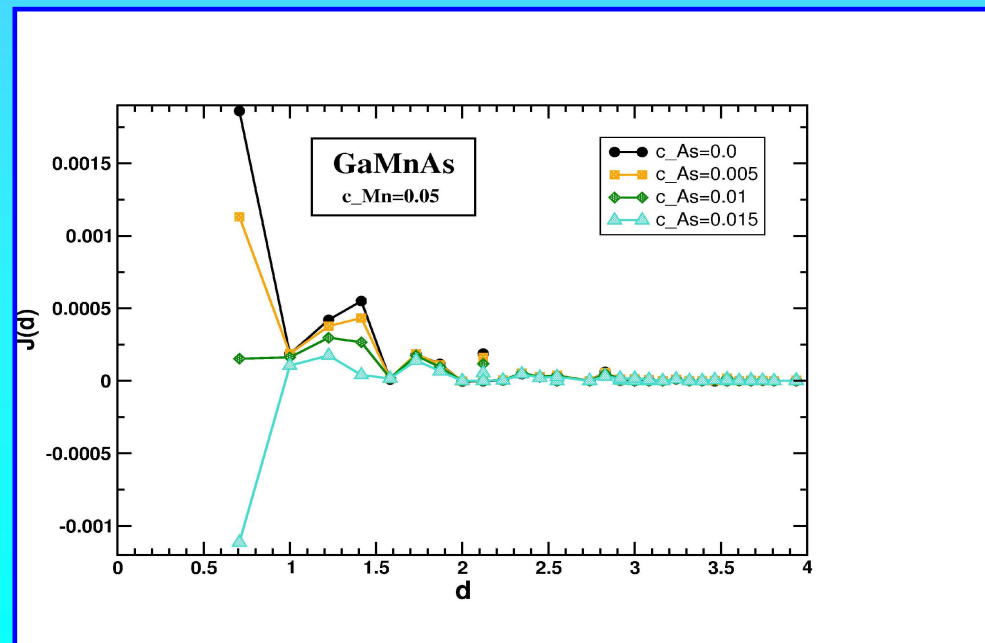
To allow a direct quantitative comparison, we choose an approach with no adjustable parameters.

*A two step approach:*

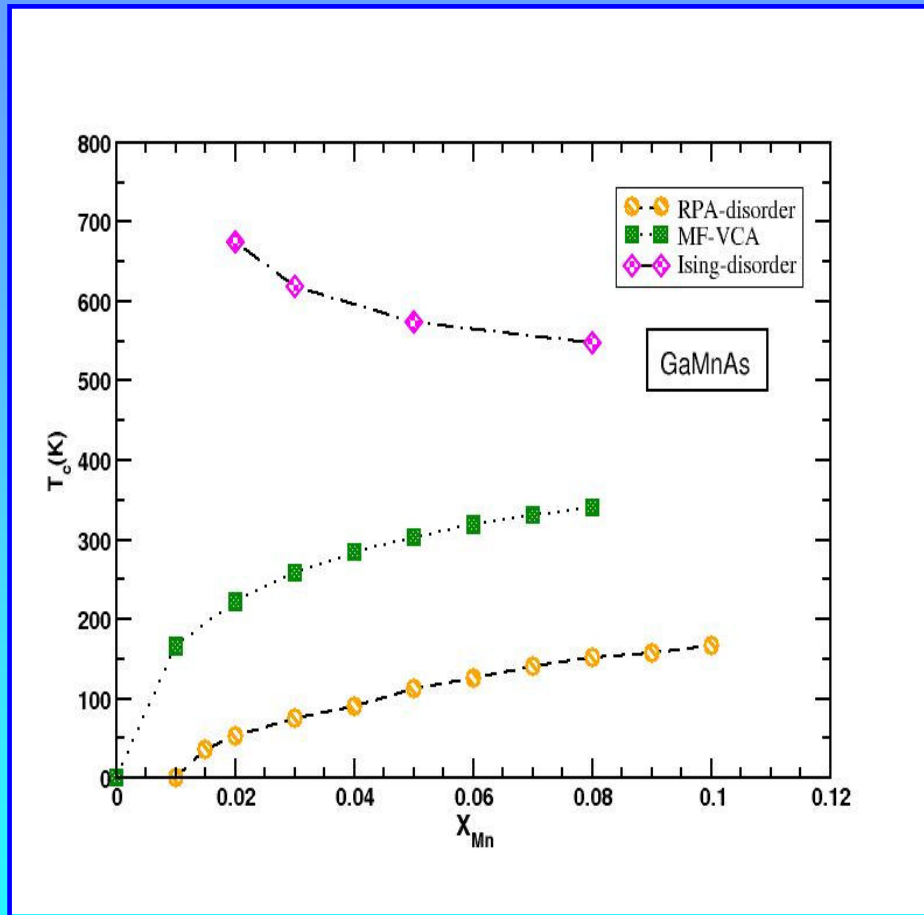
1- Determination of the exchange integrals  $J_{ij}$  from first principle methods (*T*ight *B*inding -*L*inear *M*uffin *T*in *O*rbitals)

2- Proper treatment of the effective Heisenberg Hamiltonian to calculate the magnetic properties.

Couplings obtained within LDA calculations for GaMnAs in presence of As anti-sites.



# 1- Different treatment of the Heisenberg Hamiltonian.

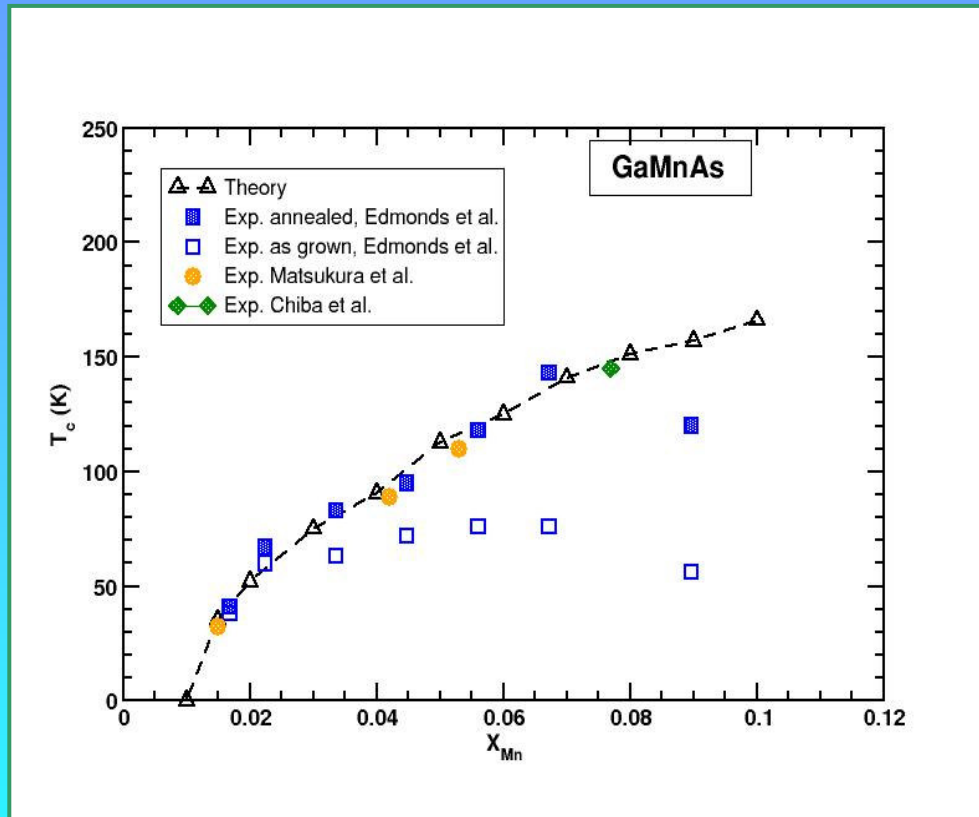


- The Mean field VCA underestimates the fluctuations, and overestimates strongly the Curie temperature (for 5% Mn  $T_C=300$  K).
- The «Ising» calculations: Effects of disorder included but not transverse fluctuations lead to non unrealistic values for  $T_C$ .
- The SC-LRPA lead to much smaller values for the Curie temperature.
- Good agreement with Monte Carlo: for 5% Mn and the same couplings : 137 K [1] et 103 K [2].

[1] L. Bergqvist et al. Phys. Rev. Lett., **93** 137202 (2004)

[2] K. Sato et al. Phys. Rev. B, **70** 201202 (2004)

## 2- Comparison between experiment and theory for GaMnAs.



✓ We observe a very good agreement between experiment and theory for optimally annealed samples (calculations are done for non compensated systems).

✓ « As-grown » samples exhibit much smaller Curie temperature: strong reduction due to the presence of compensating defects.

✓ The calculations provide the maximum value of the Curie temperature for each concentration of Mn.

✓ Below 1% no ferromagnetism (percolation threshold).

***Remark: (1) The TB-LMTO calculations to estimate the couplings and (2) the treatment of disorder and fluctuations within SC-LRPA are reliable.***

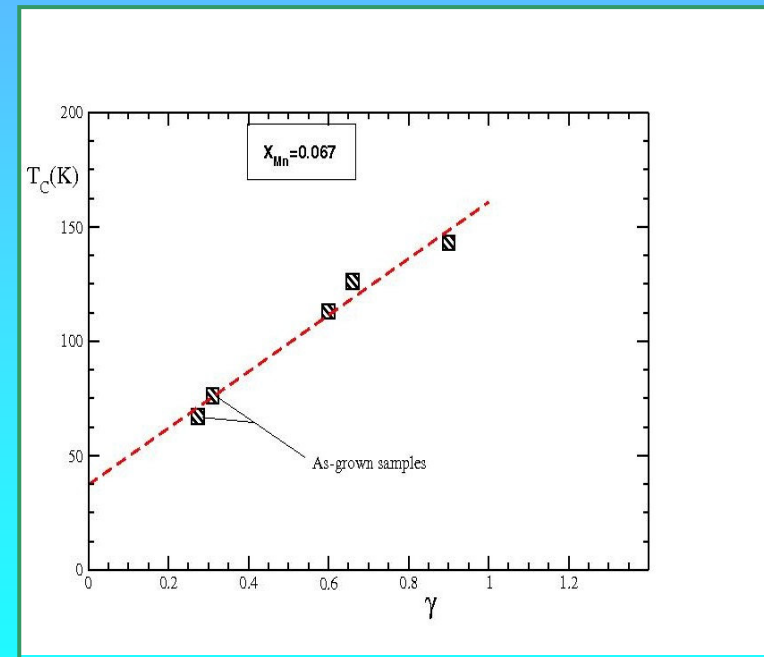
# 3-Effects of compensating defects.

a- Experimental results. (*Data from Edmonds et al. Nottingham*).

Variation of  $T_C$  for a fixed total density of  $Mn^{2+}$  after different annealing treatment for  $Ga_{1-x}Mn_xAs$ . The total Mn concentration is:

$$x_{Mn} = 0.067 \pm 0.003 = (15 \pm 1)10^{20} \text{ cm}^{-3}$$

$n_h$ ( $10^{20} \text{ cm}^{-3}$ )	$T_C$ (K)	détails
4.1 +/- 0.50	67	As grown
9.0 +/- 0.90	113	6hrs@180 °C
10.0 +/- 1.0	126	15hrs@180 °C
13.5 +/- 2.0	143	140hrs@180 °C
4.6 +/- 0.50	76	As grown



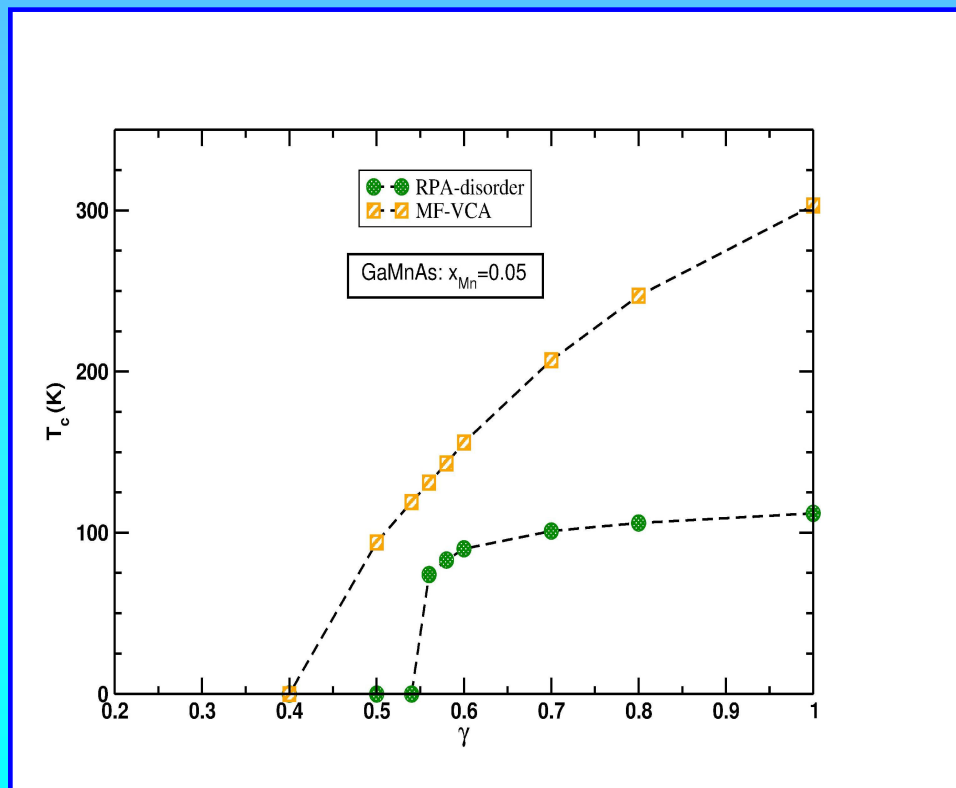
## Conclusion :

$T_C$  varies in a significant manner from 67 K to 143 K depending on the history sample (annealing conditions).

## b- Effects of As anti-sites.

We assume that Mn(Ga) density is fixed  $x_{Mn}$  and we vary the density  $y_{As}$  of As anti-sites:  $(Ga_{1-x_{Mn}-y_{As}}Mn_{x_{Mn}}As_{y_{As}})As$ . As on Ga sublattice is double donor, the density of holes is,

$$n_h = x_{Mn} - 2y_{As} \quad \text{We introduce the reduced variable } \gamma = \frac{n_h}{x_{Mn}}$$



✓ Weak variation of  $T_c$  with  $\gamma$  for  $\gamma > 0.60$

✓ For  $\gamma < 0.55$  the ferromagnetism becomes unstable, the super-exchange dominates in the nearest neighbour exchange (frustration).

✓ Simple theory RKKY MF-VCA predicts that  $T_c = x^{4/3} \gamma^{1/3}$  inconsistent with our results and experiments.

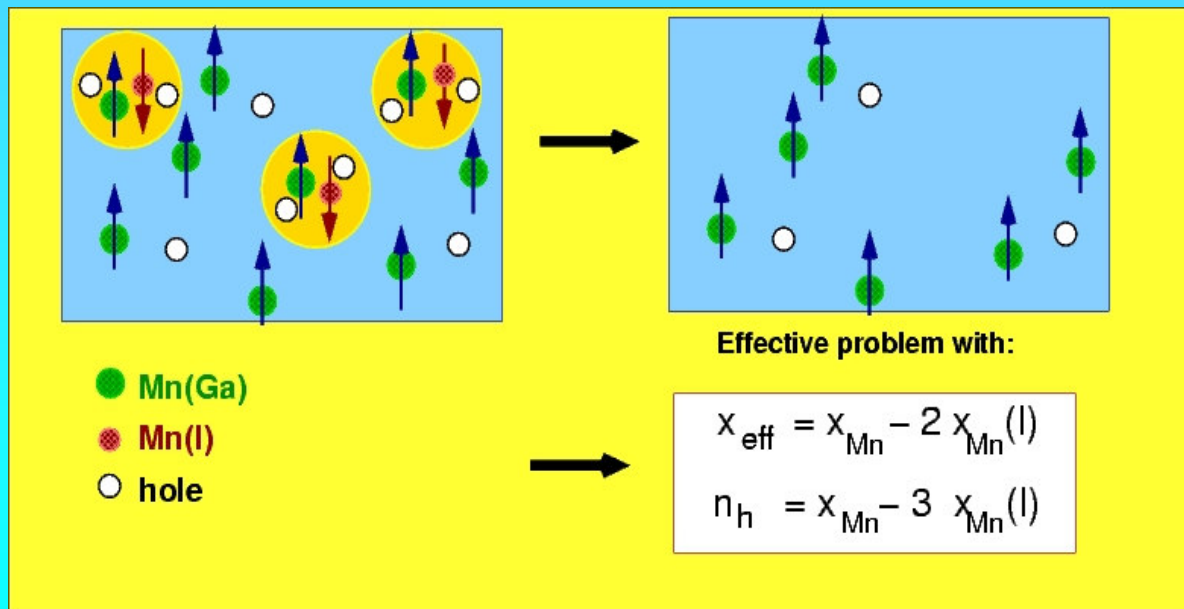
**We can not explain the experimental results by assuming that As anti-sites is the dominating mechanism of compensation.**



## c- Effects of Mn interstitials (Mn(I)).

- ✓ It is energetically more favourable for Mn(I) to be located near Mn(Ga). The coupling is **strongly** antiferromagnetic: reduction of Mn(Ga) magnetically active (J Masek and F. Maca PBR 2004).
- ✓ Mn(I) is a double donor: reduction of the carrier density.
- ✓ After annealing Mn(Ga)-Mn(I) pairs break and release carriers and increase active Mn(Ga).
- ✓ The problem reduces to an effective model of  $x_{\text{eff}} = x_{\text{Mn}} - 2x_{\text{Mn}}(\text{I})$  interacting active Mn with a hole density

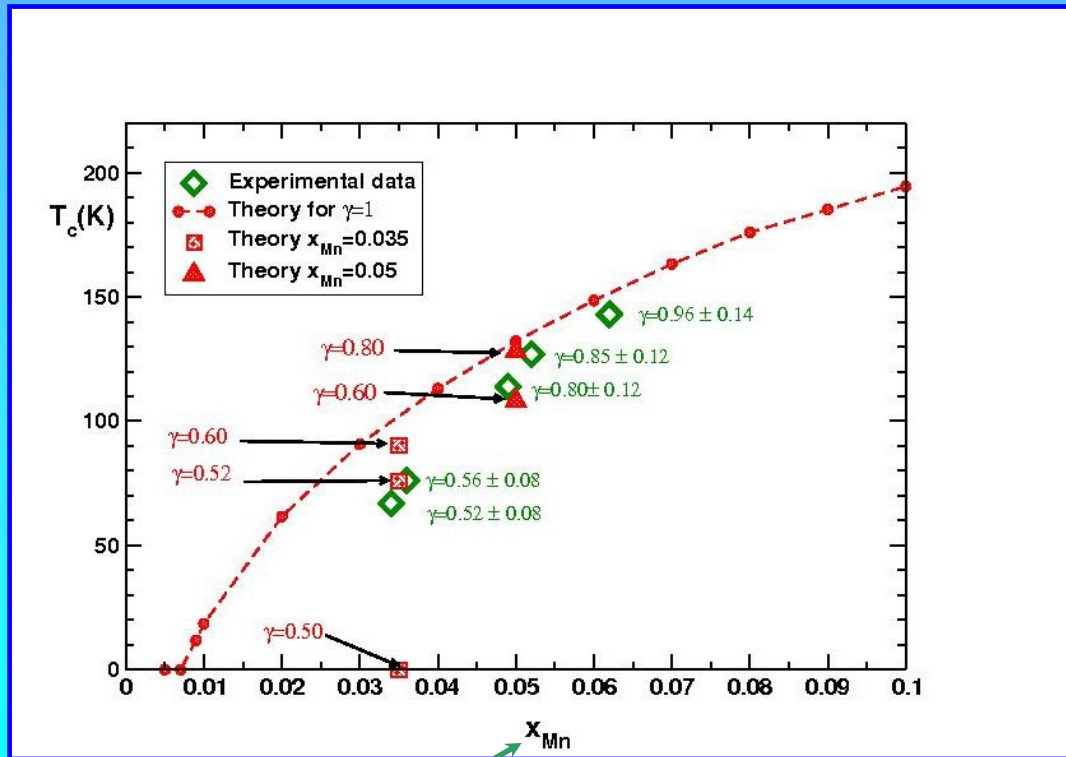
$$n_h = x_{\text{Mn}} - 3x_{\text{Mn}}(\text{I})$$



## d- Comparison between experiment and theory.

For each sample to calculate  $T_c$  we use the following expressions:

$$x_{eff} = \frac{x_{Mn} + 2n_h}{3} \text{ et } \gamma_{eff} = \frac{3n_h}{x_{Mn} + 2n_h}$$



$x_{eff}$

✓ Samples with highest  $T_c$  are in very good agreement with the calculations done for  $\gamma = 1$ .

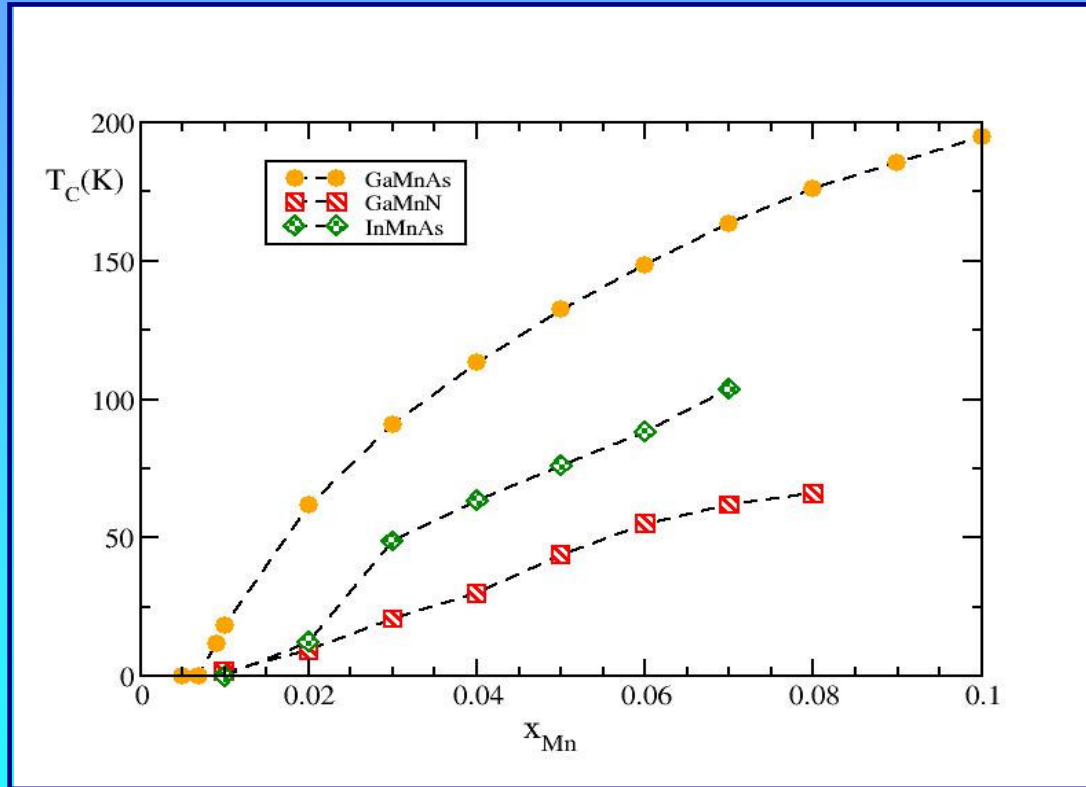
✓ For « as-grown » samples we also reproduce  $T_c$  by taking into account that  $\gamma < 1$ .

✓ This study confirms that the dominating mechanism of compensation is due to Mn interstitials.

✓ The theoretical curve ( $\gamma = 1$ ) allows to provide a good estimate of the value of  $T_c$  for each sample :

$$T_c = 649 (x_{eff} - 0.0088)^{1/2}$$

## 4- Comparison between different DMS.



✓ **GaMnAs** is the III-V material with the highest Curie temperature.

✓ Instead of the theoretical predictions based on MF-VCA calculations (Dietl et al.) that  $T_C = 600$  K for  $x_{Mn} = 6\%$  **GaMnN** has a very small  $T_C$ . In spite of a very strong nearest neighbour coupling (10 times that of **GaMnAs**)

✓ **InMnAs** exhibit intermediate Curie temperatures

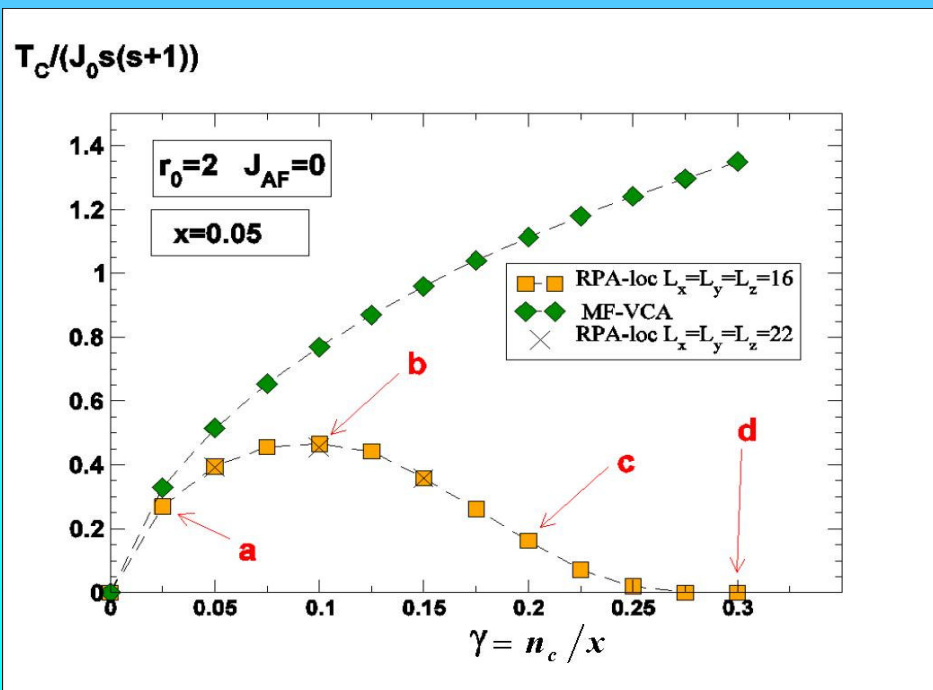
## 5- Are RKKY couplings appropriate in DMS?

In many model based studies the couplings are considered to be of RKKY type (perturbative treatment of the coupling between impurity and valence band). Additionally the Heisenberg Hamiltonian is usually treated within MF-VCA

We consider the following case:

### a- Effect of carrier density

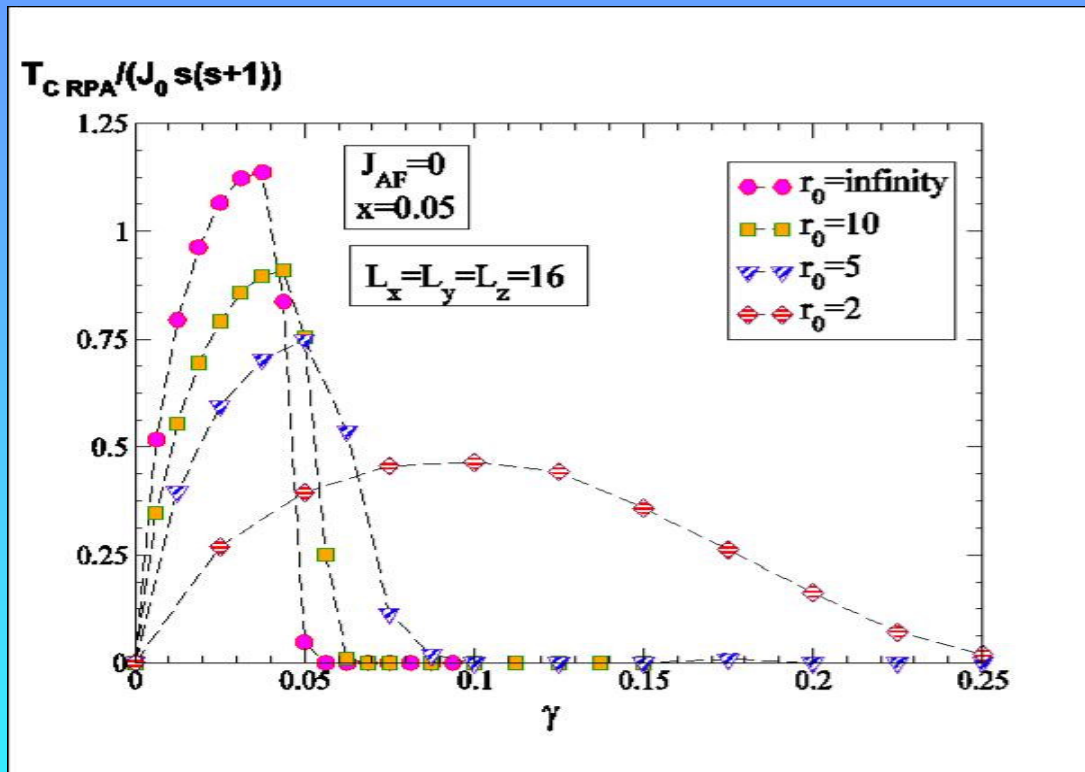
$$J_{ij} = J_0 \exp\left(-\frac{r}{r_0}\right) \left( \frac{\sin(2k_F r) - 2k_F r \cos(2k_F r)}{r^4} \right) + J_{ij}^{AF}$$



R. Bouzerar, GB, and . Ziman PRB (2006)

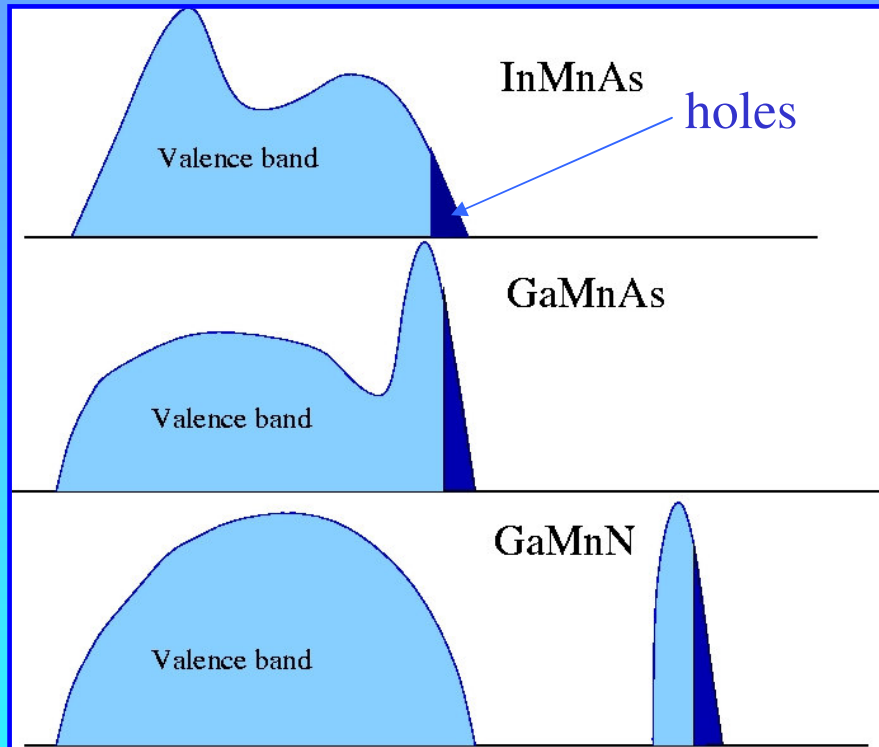
- In contrast to MF-VCA (Priour-Das Sarma)  $T_C$  within SC-LRPA is much smaller: disorder and thermal fluctuations should be treated properly.
- The stability region of ferromagnetism is very narrow. In spite of the presence of the cut-off, frustration effects are still very efficient to suppress ferromagnetism and probably lead to a SG phase
- $T_C$  exhibit a maximum at very low carrier density. It is qualitatively different from MF-VCA.
- The results are inconsistent with the experimental observation of ferromagnetism for  $\gamma$  close to 1.

## b- Influence of the cut-off



- For the pure undamped RKKY case the region of stability of ferromagnetism is **extremely narrow** (combined effects of frustration, disorder and thermal fluctuations)
- With increasing the cut-off the region of stability increases (suppression of the oscillating tail) but the maximum value of TC is reduced.
- Even for relatively strong value of the cut-off parameter the stability region remains relatively narrow.

## 6- Which relevant parameters control $T_c$ ?



### ✓ *InMnAs:*

- *The exchange couplings are closer to a standard RKKY standard (oscillations).*
- *Because of the oscillations of the couplings  $T_c$  will not be so large.*

### ✓ *GaMnAs:*

- *The impurity band is well defined.*
- *Effects of resonances on the exchange integrals (suppress the RKKY oscillation)*

### ✓ *GaMnN:*

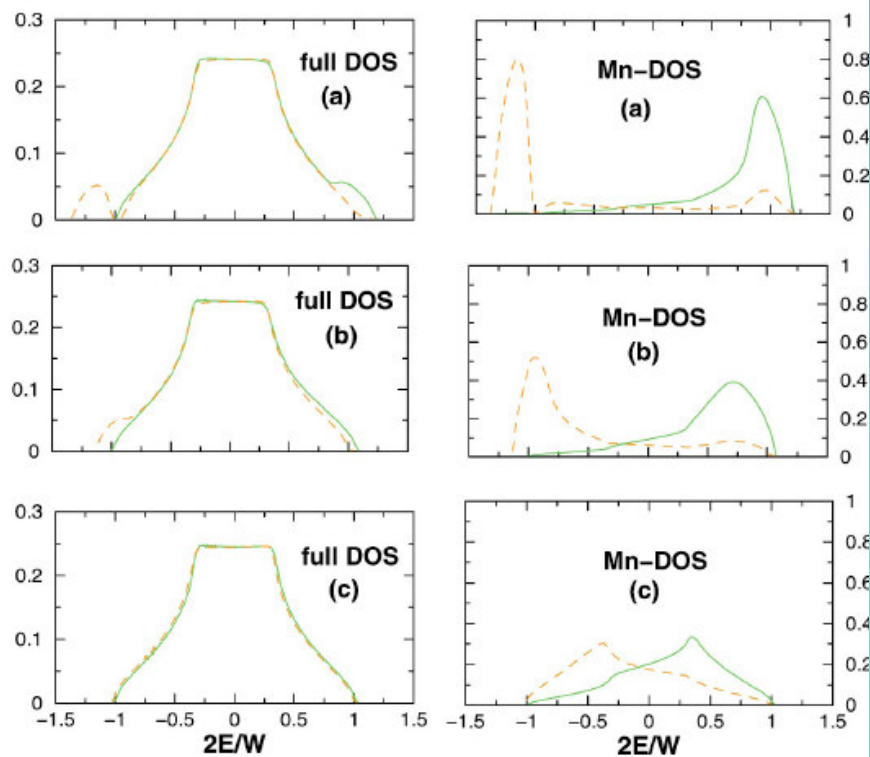
- *The impurity band is located in the middle of the gap between VB-CB.*
- *The couplings are strongly damped (very short range)*

Both (i) the position of the impurity band with respect to the top of the VB and (ii) the density of carriers play a crucial role.

# 7- $V$ - $J_{pd}$ model: a good candidate for model studies

$$H = \sum_k t_{ij} c_{i\sigma}^+ c_{j\sigma} + \text{hc} + \sum_{i \in I} J_{pd} S_i \cdot s_i + \sum_{i \in I} V n_i$$

$\alpha$ -DOS

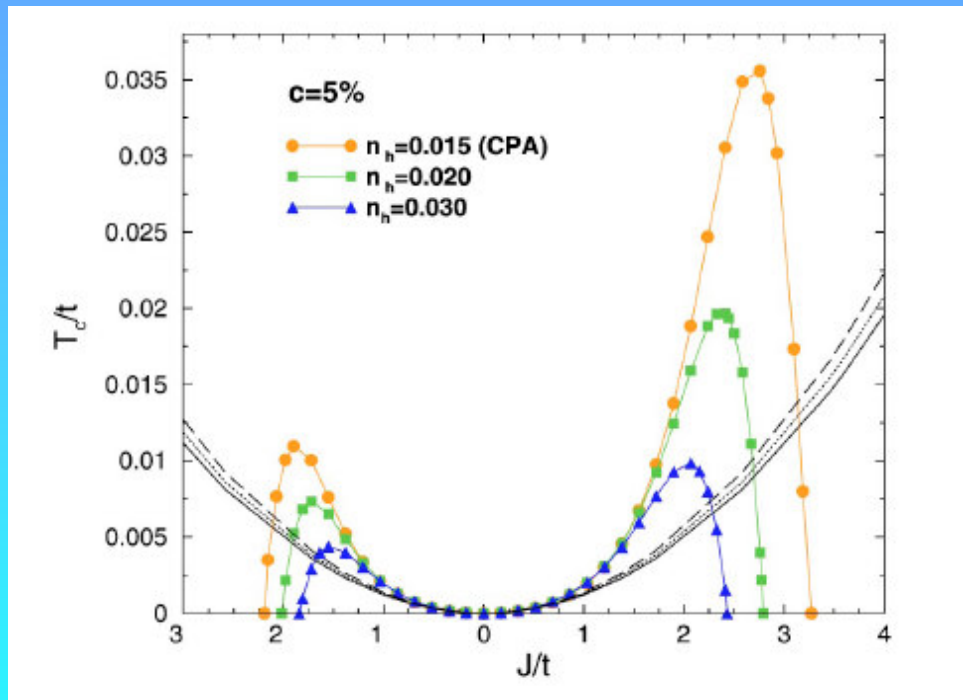


*In this approach the disorder and the multiple scatterings on the magnetic impurities are treated within CPA treatment. The calculation were performed for  $W=0$ .*

*(a)  $J_{pd}=3.45t$ ; (b)  $J_{pd}= 2.33t$  and (c)  $J_{pd}=0.86t$  ;*

*$x=5\%$  of impurities and the impurity spin  $S=5/2$*

## b- Mean Field Curie temperature



✓ The exchange couplings were calculated within CPA (disorder is partly included)

✓ We recover the RKKY limit for very weak coupling  $T_c$  scales with  $J_{pd}^2$

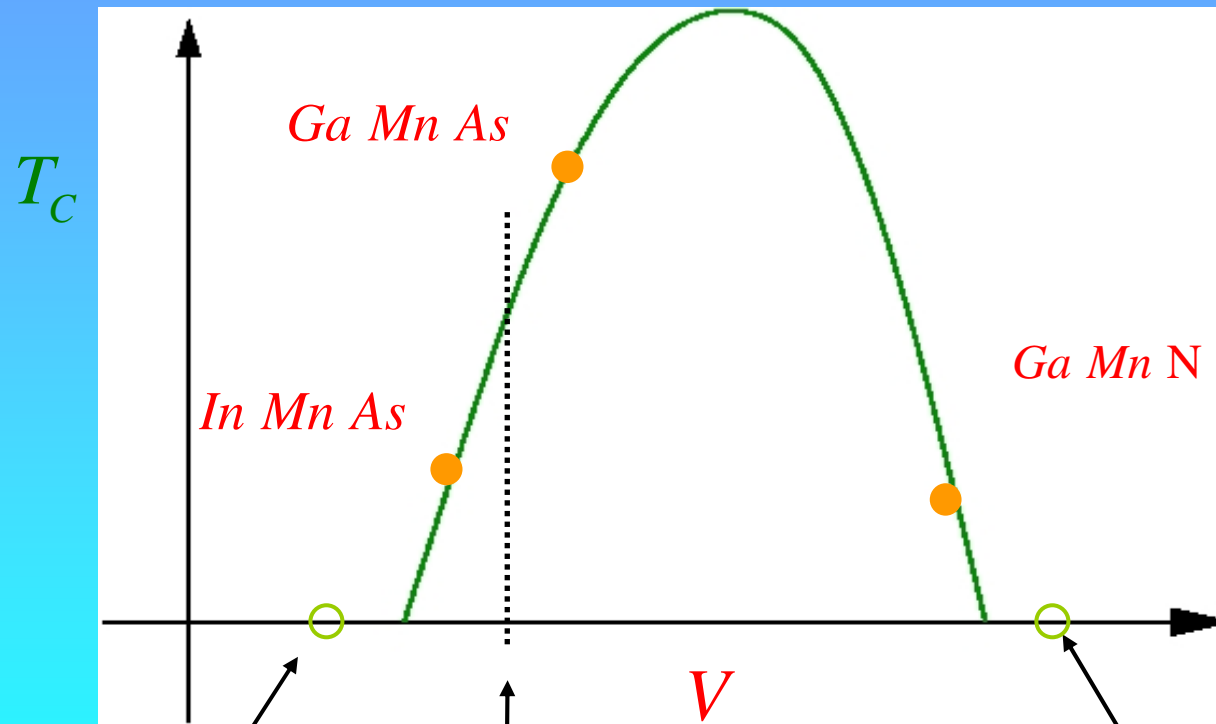
✓  $T_c$  exhibit a well defined peak corresponding to the pre-formation of the impurity band. Disorder enhances the the MF Curie temperature.

✓ For strong coupling limit the Curie temperature vanishes.

*Remark: (i) The couplings should be now calculated with an exact treatment of disorder and (ii) The Heisenberg model diagonalized within SC-LRPA to show the effects of both thermal fluctuations and disorder (R. Bouzerar et al. work in progress)*



We maintain  $J_{pd}$ ,  $t$  constant and vary  $V$  to tune the position of the impurity band with respect to the top of the valence band.



RKKY oscillation

Impurity band is formed

Superexchange dominate

## IV- Conclusions.

- The SC-LRPA is **reliable** to study ferromagnetism in disordered systems.
- The detailed study of GaMnAs has provided a **very good agreement** with the experimental results (*before and after annealing*) and shows that ab-initio **TB-LMTO are reliable**.
- The theory is **general** and can be applied to various systems (*d<sup>0</sup> ferromagnetism, manganites, double perovskites,....*)
- Without any change one can include the effects of **correlations** and **inhomogeneities** in the disorder.
- From CPU point of view the SC-LRPA calculations which agree with Monte-Carlo are at least **3 orders of magnitude** faster.
- Under study: *magnetic excitations, magnetizations as a function of temperature,...*
- *The study of the V-Jpd model is in progress, it should allow to provide a coherent picture of ferromagnetism in DMS.*