

# $n$ -localization property in iterations\*

Jindřich Zapletal<sup>†</sup>  
University of Florida

July 15, 2008

## Abstract

The  $n$ -localization property is preserved under the countable support iteration of suitably definable forcings. This solves a question of Rosłanowski and greatly simplifies the proofs in the area.

## 1 Introduction

Newelski and Rosłanowski [6] introduced the  $n$ -localization property of forcings.

**Definition 1.1.** A tree  $T \subset \omega^{<\omega}$  is an  $n$ -tree if for every sequence  $t \in \omega^{<\omega}$  the set  $\{m \in \omega : t \frown m \in T\}$  has size at most  $n$ .

**Definition 1.2.** A forcing  $P$  has an  $n$ -localization property if for every function  $x \in \omega^\omega$  in the extension there is an  $n$ -tree  $T$  in the ground model such that  $x \in [T]$ .

This property can serve as a tool to discern between closely related forcings such as the usual Sacks forcing, which has 2-localization, and the 3-Sacks forcing, in which the nodes in trees split into three immediate successors and it does not have the 2-localization property. Several people [3, 4, 7, 8] wondered about the preservation of the  $n$ -localization property in countable support iteration and product. The existing approaches yield awkward proofs applicable only in very special situations. In this paper, I will prove

**Theorem 1.3.** *Assume that suitable large cardinals exist. Let  $n \in \omega$  be a number. The  $n$ -localization property is preserved under the countable support iterations of suitably definable proper forcings.*

Here, a suitably definable forcing is one of the form  $P_I = \text{Borel sets positive with respect to some } \sigma\text{-ideal } I \text{ on a Polish space } X$  such that, writing  $A \subset 2^\omega \times X$  for a universal analytic set, the set  $\{x \in 2^\omega : A_x \in I\}$  is universally Baire [2]. The large cardinal assumption sufficient to carry the proof is the existence of

---

\*2000 AMS subject classification 03E40.

<sup>†</sup>Partially supported by NSF grant DMS 0300201

proper class many Woodin cardinals. Many definable proper forcings adding a single real are of this form [12, Section 2.1.3].

There is a ZFC version of the previous theorem that is sufficient in all practical cases that I know of. I will say that  $P$  is an *analytic CRN forcing* if  $P$  is an analytic set of finitely branching trees on  $\omega$  ordered by inclusion, closed under restriction, and such that for every  $P$ -name  $\dot{y}$  there is a condition  $p \in P$  and a continuous function  $f : [p] \rightarrow 2^\omega$  such that  $p \Vdash \dot{y} = \check{f}(\dot{x}_{gen})$  where  $\dot{x}_{gen} \in \omega^\omega$  is the name for the intersection of all conditions in the generic filter. This class should be compared with the snep forcings of [11]. Analytic CRN forcings are bounding. Most definable proper bounding forcings adding a single real can be represented as such. There are some unpleasant exceptions to this rule, such as the posets of [9, Section 2.2], and the methods of this paper cannot handle them directly.

**Theorem 1.4.** *Let  $n$  be a number. The  $n$ -localization property is preserved under the countable support iterations of analytic CRN proper forcings.*

This solves some open questions of Rosłanowski [8]: for example, the countable support iteration of 2-Silver forcing does not add a 3-Silver generic. The theorem fails for arbitrary (undefinable) proper forcings already for iterations of length 2, as Theorem 5.4 shows.

The proof of the iteration theorems follows a pattern familiar from [12, Section 6.3.1], and uses the concept of Fubini properties of ideals [12, Section 3.2]. I will first identify some c.c.c. forcings, I will then show that their Fubini properties precisely characterize the  $n$ -localization property, and then use [12, Theorem 6.3.3] to show that these Fubini properties are preserved under the countable support iteration of suitably definable forcings.

The notation used in this paper follows the set theoretic standard of [5]. If  $t \in 2^{<\omega}$  is a finite binary sequence then  $O_t$  denotes the clopen subset of  $2^\omega$  consisting of all infinite binary sequences containing  $t$  as an initial segment. If  $I$  is a  $\sigma$ -ideal on a Polish space  $X$  then  $P_I$  is the quotient poset of all Borel sets not in the ideal  $I$  ordered by inclusion. This forcing adds a single element of the Polish space  $X$ , namely the point contained in all sets in the generic filter; the name for this point will be denoted by  $\dot{x}_{gen}$ . For a tree  $T \subset \omega^{<\omega}$  the symbol  $[T]$  stands for the set of all infinite branches of  $T$ . A subset of a Polish space is *universally Baire* [2] if its continuous preimages in Hausdorff spaces have the property of Baire.

## 2 A c.c.c. forcing

The main tool of this paper is the  $n$ -localization forcing  $P_n$ :

**Definition 2.1.** Let  $n \in \omega$  be a natural number. The  $n$ -localization forcing  $P_n$  consists of finite sets  $a \subset \omega^\omega$  such that for every  $t \in \omega^{<\omega}$  the set  $\{m \in \omega : \exists x \in a \ t \hat{\ } m \subset x\}$  has size at most  $n$ . The ordering is that of reverse inclusion.

It is not difficult to see that if  $G \subset P_n$  is a generic filter then  $y_{gen} = \{t \in \omega^{<\omega} : \exists a \in G \exists x \in a \ t \subset x\}$  is an  $n$ -ary tree, and the generic filter  $G$  can be recovered from  $y_{gen}$  as  $G = \{a \in P_n \cap V : a \subset [y_{gen}]\}$ . Thus the poset  $P_n$  can be viewed as adding a single point in the Polish space  $Y_n$  of all  $n$ -ary trees on  $\omega$ , with topology inherited from  $K(\omega^\omega)$ . An obvious genericity argument shows that given a ground model function in the Baire space  $\omega^\omega$ , one can change finitely many values of it in such a way that the resulting function is a branch of the generic  $n$ -ary tree. A critical observation: the forcing  $P_n$  satisfies a certain strengthening of the countable chain condition.

**Claim 2.2.**  $P_n$  is  $\sigma$ - $n$ -centered.

*Proof.* I must show that  $P_n = \bigcup_m A_m$  where every  $n$  many elements of  $A_m$  have a common lower bound. For every condition  $a \in P_n$  let  $t(a) \subset 2^{<\omega}$  be the inclusion-smallest finite tree such that for every terminal node of  $t(a)$  there is exactly one element of  $a$  extending it. Decompose the forcing  $P_n$  into countably many pieces according to the value of  $t(a)$ . It is not difficult to see that for any collection  $\{a_i : i \in n\} \subset P_n$  with a common value of  $t(a_i)$  the union  $\bigcup_i a_i$  is a condition in  $P_n$  and a common lower bound.  $\square$

Let  $J_n$  be the  $\sigma$ -ideal associated with the forcing  $P_n$ . That is,  $J_n$  is the  $\sigma$ -ideal on the Polish space  $Y_n$  generated by those Borel sets  $B \subset Y_n$  such that  $P_n \Vdash \dot{y}_{gen} \notin \dot{B}$ . Another elementary but critical observation: the forcing  $P_n$  is suitably homogeneous and therefore the ideal  $J_n$  is ergodic in the sense of [12, Section 3.7.1]: there is a countable Borel equivalence relation  $E$  such that for every Borel  $E$ -invariant set either it or its complement belongs in the ideal  $J_n$ .

**Claim 2.3.** *The ideal  $J_n$  is ergodic.*

*Proof.* Suppose that  $k \in \omega$  is a number and  $\pi$  is an automorphism of the tree  $k^{\leq k}$ . Extend  $\pi$  to an automorphism  $\hat{\pi}$  of the whole space  $Y_n$  by setting  $\hat{\pi}(y) = \{\pi(s) \hat{\ } t : s \hat{\ } t \in y \text{ and } s \text{ is the longest initial segment that belongs to } \text{dom}(\pi)\}$ . Note that the same definition also yields an automorphism of the forcing  $P_n$ . Let  $E$  be the countable Borel equivalence relation on the space  $Y_n$  generated by the graphs of all the countably many automorphisms obtained in this way. I claim that  $E$  has the required properties.

Indeed, suppose that  $B \subset Y_n$  is a Borel  $E$ -invariant set and assume for contradiction that neither  $B$  nor its complement are in the ideal  $J_n$ . This means that there must be conditions  $p, q \in P_n$  such that  $p \Vdash \dot{y}_{gen} \in \dot{B}$  and  $q \Vdash \dot{y}_{gen} \notin \dot{B}$ . There is a sufficiently large number  $k \in \omega$  and an automorphism  $\pi$  of  $k^{\leq k}$  such that the conditions  $p$  and  $\hat{\pi}(q)$  are compatible in  $P_n$ , with a lower bound  $r$ . Then  $r$  forces that  $\hat{\pi}^{-1}$ -image of the generic filter is a generic filter containing the condition  $q$ , and by the forcing theorem  $\dot{y}_{gen} \in \dot{B}$  and  $\hat{\pi}^{-1}(\dot{y}_{gen}) \notin \dot{B}$ . Thus the set  $B$  is not  $E$ -invariant in the generic extension, and by an absoluteness argument, it is not invariant in the ground model either. Contradiction!  $\square$

To simplify several complexity computations and identify natural variations of the localization concept, I will use restricted versions of the above localization forcings. Suppose  $f \in \omega^\omega$  is a function, and  $n \in \omega$  is a number. The forcing  $P_n \restriction f$  is defined in exactly the same way as  $P_n$ , except the conditions consist of functions dominated pointwise by  $f$ . The whole treatment transfers verbatim to the restricted versions. I will denote the space of all  $n$ -ary trees dominated by  $f$  by  $Y_n \restriction f$ , and the  $\sigma$ -ideal on it generated by the forcing  $P_n \restriction f$  will be denoted by  $J_n \restriction f$ . The main difference between the original forcings  $P_n$  and their restricted versions is that the restricted  $\sigma$ -ideal  $J_n \restriction f$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$  [12, Section 3.8]: for every analytic set  $A \subset 2^\omega \times Y_n \restriction f$  the set  $\{x : A_x \in I_n \restriction f\}$  is coanalytic.

**Claim 2.4.** *Let  $f \in \omega^\omega$  and  $n \in \omega$ . The ideal  $J_n \restriction f$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ .*

*Proof.* By [12, Proposition 3.8.11], it is enough to show that the set of maximal antichains of  $Q_n \restriction f$  is a Borel subset of  $(Q_n \restriction f)^\omega$ —in the language of [10], the poset is very Suslin. Fix a countable set  $A \subset Q_n \restriction f$ . Pairwise incompatibility of elements of  $A$  is certainly a Borel condition. The maximality of  $A$  is equivalent to the statement  $\forall t \bigcap_{a \in A} B_{t,a} = 0$ , where  $B_{t,a} = \{b \in Q_n \restriction f : t = t(b) \wedge a \perp b\}$  and  $t(b)$  is defined as in the proof of Claim 2.2. It is not difficult to check that the sets  $B_{t,a}$  are closed subsets of the compact set  $C_t \subset P_n \restriction f$  where  $a \in C_t$  if and only if for every endnode of the tree  $t$  there is exactly one element of  $a$  extending it. Therefore they and their intersections are compact, and the statement that they are empty is Borel.  $\square$

While this definability property may seem mysterious, it has immediate forcing consequences.

**Corollary 2.5.** *The forcings  $P_n \restriction f$  do not add dominating reals.*

This follows immediately from [12, Proposition 3.8.15]. Note that the unrestricted forcings  $P_n$  do add dominating reals and therefore the ideals  $J_n$  are not  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ .

### 3 Localization vs. Fubini property

This section is the heart of the paper. It contains just one key proposition connecting the  $n$ -localization property with the Fubini properties of the  $\sigma$ -ideal  $J_n$ . Such properties were introduced in [12, Section 3.2]: for  $\sigma$ -ideals  $K, L$  on respective Polish spaces  $X$  and  $Y$ , the symbol  $K \perp L$  denotes the fact that there are a Borel  $K$ -positive set  $B \subset X$ , a Borel  $L$ -positive set  $C \subset Y$ , and a Borel set  $D \subset B \times C$  such that the vertical sections of  $D$  are  $L$ -small, while the horizontal sections of its complement are  $K$ -small.

**Proposition 3.1.** *Let  $I$  be a  $\sigma$ -ideal on a Polish space  $X$  such that the quotient forcing  $P_I$  is proper, and every analytic  $I$ -positive set has a Borel  $I$ -positive subset. Let  $n$  be a natural number. The following are equivalent:*

1.  $P_I$  has the  $n$ -localization property;
2.  $P_I$  is bounding and for every function  $f \in \omega^\omega$ ,  $I \not\perp J_n \upharpoonright f$ .

Towards the proof of the proposition, first note that if the first item fails, then so does the other. If  $P_I$  does not have the  $n$ -localization property, then either it is not bounding or else it adds a function  $\dot{g} \in \omega^\omega$  forced to be dominated by some ground model function  $f \in \omega^\omega$ , and not covered by any ground model  $n$ -tree. In the former case (2) fails immediately. In the latter case find a Borel  $I$ -positive set  $B \subset X$  and a Borel function  $h : B \rightarrow \omega^\omega$  such that  $B \Vdash \dot{g} = \dot{h}(\dot{x}_{gen})$  and observe that the Borel set  $D = \{(x, T) \in B \times Y_n \upharpoonright f : h(x) \text{ is not modulo finite equal to any branch of the tree } T\}$  has Borel  $J_n \upharpoonright f$ -small vertical sections, and the horizontal sections of its complement are  $I$ -small, and (2) fails again.

For the reverse direction, let  $n \in \omega$  be a natural number and suppose that the quotient forcing  $P_I$  does have the  $n$ -localization property. Clearly, it has the Sacks property and so is bounding. Let  $f \in \omega^\omega$  be a function; I must show that  $I \not\perp J_n \upharpoonright f$ . Suppose that  $B \subset X$  is an  $I$ -positive Borel set, and  $D \subset B \times Y_n \upharpoonright f$  is a Borel set whose horizontal sections are  $J_n \upharpoonright f$ -small. It will be enough to produce an  $I$ -positive horizontal section of the complement of the set  $D$ .

To simplify the notation, assume  $X = 2^\omega$ . Choose a countable elementary submodel  $M$  of a large enough structure, and use the properness and the bounding property of the poset  $P_I$  to find an  $I$ -positive compact set  $C \subset B$  consisting of  $M$ -generic points, such that every subset of  $X$  in the model  $M$  has relatively clopen intersection with the set  $C$ . I will show that whenever  $k \in \omega^\omega$  is a fast increasing function and  $C' \subset C$  is a compact set such that  $\forall j \in \omega \ |\{t \in 2^{k(j)} : O_t \cap C' \neq \emptyset\}| \leq 2^j$ , then there is a point  $y \in Y_n \upharpoonright f$  such that  $C' \times \{y\} \cap D = \emptyset$ . Note that it is possible to find an  $I$ -positive set  $C' \subset C$  like that simply by using the Sacks property of the forcing  $P_I$  to find a condition enclosing the sequence  $\dot{x}_{gen}(k(j)) : j \in \omega$  into a tunnel of thickness  $2^j$ . This will complete the proof.

The construction of the  $n$ -ary tree  $y$  is the key step, and the following notion will be instrumental. A *wall* is a Borel function  $h \in M$  with Borel  $I$ -positive domain and range consisting of conditions in  $P_n \upharpoonright f$  which *cohere*:  $\bigcup \text{rng}(h)$  is covered by branches of some  $n$ -tree, or equivalently, subsets of  $\text{rng}(h)$  of size  $n+1$  all have lower bounds. The walls are ordered by  $h' \leq h$  if  $\text{dom}(h') \subset \text{dom}(h)$  and  $\forall x \in \text{dom}(h') \ h'(x) \leq h(x)$ . Finally, consider the poset  $Q$  of all walls  $h$  such that  $C' \subset \text{dom}(h)$ . I will show

**Claim 3.2.** *Whenever  $\dot{O} \in M$  is a  $P_I$ -name for an open dense subset of the poset  $P_n \upharpoonright f$ , the collection of all walls  $h$  such that  $\text{dom}(h) \Vdash \dot{h}(\dot{x}_{gen}) \in \dot{O}$  is dense in  $Q$ .*

Once this claim is proved, the proposition follows: suppose that  $g \subset Q$  is a filter meeting all the countably many open dense subsets of  $Q$  described in this claim. For every point  $x \in C'$ , the set  $\{h(x) : h \in g\} \subset P_n \upharpoonright f$  is then  $M[x]$ -generic. The resulting  $n$ -ary tree  $y$  does not depend on the choice of the point  $x$ , due to the coherence condition in the definition of a wall. Since the

tree  $y$  is  $M[x]$ -generic, it cannot belong to the  $J_n \upharpoonright f$ -small set  $D_x \subset Y_n \upharpoonright f$ . Thus  $C' \times \{y\} \cap D = 0$  as required.

To prove the claim, fix a wall  $h \in M$  and a  $P_I$ -name  $\dot{O} \in M$  for an open dense set. Choose a number  $m \in \omega$ . I will show that there is a number  $l = l(m, h, \dot{O}) \in \omega$  such that for every  $m$ -tuple  $\langle t_i : i \in m \rangle$  of binary sequences of length  $l$ ,

- either for some index  $i \in m$ ,  $O_{t_i} \cap \text{dom}(h) \cap C = 0$
- or there is a wall  $h' \leq h$  such that  $C \cap \bigcup_{i \in m} O_{t_i} \subset \text{dom}(h')$  and  $\text{dom}(h') \Vdash h'(\dot{x}_{gen}) \in \dot{O}$ .

This will immediately prove the claim. If  $h \in Q$  is a wall and  $\dot{O} \in M$  is a name for an open dense set, then the fast growth of the function  $k$  ensures that there will be a number  $j \in \omega$  such that  $k(j) > l(2^j, h, \dot{O})$ . The set  $\{t \in 2^{k(j)} : C' \cap O_t \neq 0\}$  has size  $\leq 2^j$ , and the second item above produces a wall  $h' \in Q$ ,  $h' \leq h$ , and  $\text{dom}(h') \Vdash h'(\dot{x}_{gen}) \in \dot{O}$  as required.

To produce the number  $l = l(m, h, \dot{O})$ , first investigate generic extensions of the model  $M$ . Suppose  $\bar{x}_i : i \in m$  are distinct points in the set  $C \cap \text{dom}(h)$ . If they are not distinct just erase the repetitions. The set  $p = \bigcup_{i \in m} h(x_i)$  is a condition in the poset  $P_n \upharpoonright f$  by the coherence condition in the definition of a wall. For every index  $i \in m$ , the point  $x_i$  is  $M$ -generic, so the expression  $\dot{O}/x_i$  makes sense and denotes an open dense subset of the forcing  $P_n \upharpoonright f \cap M[x_i]$ . An analytic absoluteness argument shows that this set is in fact predense in the whole poset  $P_n \upharpoonright f$ , and there must be conditions  $q_i \in \dot{O}/x_i$ ,  $q_i \leq h(x_i)$  such that the whole collection  $\{p, q_i : i \in m\}$  has a lower bound. Creatively use the  $n$ -localization property to find an  $n$ -tree  $y \in M$  such that  $\bigcup_{i \in m} q_i \subset [y]$ .

By the forcing theorem, this situation must be reflected in the model  $M$ . That is, there are pairwise disjoint sets  $B_i : i \in m$  in  $P_I \cap M$  and Borel functions  $h_i : B_i \rightarrow P_n \upharpoonright f : i \in m$  in  $M$  such that for every index  $i \in m$ ,  $x_i \in B_i$ ,  $B_i \Vdash \dot{h}_i(\dot{x}_{gen}) \in \dot{O}$ , and for every point  $x \in B_i$ ,  $h_i(x) \leq h(x)$  and  $h_i(x) \subset y$ .

The point now is that the sets  $\text{dom}(h), B_i : i \in m$  are relatively clopen in the set  $C$ . Thus the compact set  $(C \cap \text{dom}(h))^m$  is covered by relatively open sets with certain properties. A compactness argument yields a finite subcover and the required number  $l$ .

## 4 The cinch

The work in the previous sections leads to the proof of the theorems from the introduction via [12, Theorem 6.3.3].

I will first treat the ZFC case. Suppose that  $P$  is an analytic CRN forcing. Consider the ideal  $I$  on  $\omega^\omega$  generated by analytic sets  $A$  such that there is no tree  $p \in P$  such that  $[p] \subset A$ . [12, Proposition 2.1.6, Theorem 3.8.9] shows that this is a  $\mathbf{\Pi}_1^1$  on  $\mathbf{\Sigma}_1^1$  ideal, every positive analytic set has a positive compact subset, and the forcing  $P$  is naturally isomorphic to a dense subset of the quotient  $P_I$ . Theorem 1.4 then immediately follows from the conjunction of Proposition 3.1,

Theorem 6.3.3 of [12], and the fact that iterations of bounding forcings are bounding [1, Theorem 6.3.5].

The more general large cardinal case is almost identical. If  $I$  is suitably definable, then so is the ideal  $I^*$  generated by all universally Baire sets without an  $I$ -positive subset. The amended ideal  $I^*$  then satisfies the assumptions of Proposition 3.1, the quotients  $P_I$  and  $P_{I^*}$  are identical, and the last sentence of the previous paragraph applies again.

## 5 Variations and limitations

The  $n$ -localization property implies the Sacks property, and therefore very few forcings actually exhibit it. A number of partial orders adding unbounded reals nevertheless possess a *bounded* 2-localization property: every function  $x \in \omega^\omega$  in the extension bounded by some ground model function is in fact a branch of a ground model binary tree. In some cases, a straightforward generalization of the above approach yields a nice iteration theorem.

**Theorem 5.1.** *The countable support iteration of Miller forcing has the bounded 2-localization property.*

*Proof.* Fix a function  $f \in \omega^\omega$ . The ideal  $J_2 \upharpoonright f$  is  $\mathbf{\Pi}_1^1$  on  $\Sigma_1^1$ , and therefore the forcing  $P_2 \upharpoonright f$  does not add a dominating real. Thus  $P_2 \upharpoonright f \Vdash \omega^\omega \cap V \notin I$ , where  $I$  is the  $\sigma$ -ideal associated with the Miller forcing: the ideal of  $\sigma$ -bounded sets. By [12, Proposition 3.2.2], this is equivalent to  $I \not\leq J_2 \upharpoonright f$ . This Fubini property is preserved by the countable support iteration of Miller forcing by [12, Theorem 6.3.3], and therefore the countable support iteration of Miller forcing exhibits the bounded 2-localization property.  $\square$

I conjecture that even the countable support iterations of Mathias forcing have the bounded 2-localization property. However, the approach of this paper cannot lead to such a result. Mathias forcing adds a reaping real while every suitably definable c.c.c. forcing adds a splitting real, leading to a failure of the requisite Fubini property.

The iteration theorems from the introduction deal with suitably definable forcings only. This is no accident, as 2-localization property is not preserved even under iterations of undefinable forcings of length 2. I will show that the 4-Silver forcing  $Q_4$  can be decomposed into a two step iteration  $Q_2 * \dot{R}$  such that  $Q_2$  is the 2-Silver forcing (and so has 2-localization) and  $Q_2 \Vdash \dot{R}$  has the 2-localization property as well. It is not difficult to see that the 4-Silver forcing fails the 2-localization—the generic point is not a branch of any ground model 2-tree—and therefore the general iteration theorem fails. The point of course is that the remainder forcing  $\dot{R}$  does not have a definition to which Theorems 1.3 or 1.4 can apply.

**Definition 5.2.** Let  $n \in \omega$ . The  $n$ -Silver forcing  $Q_n$  consists of partial functions  $p : \omega \rightarrow n$  with coinfinite domain, ordered by reverse inclusion.

**Theorem 5.3.** *Let  $n \in \omega$ . The  $n$ -Silver forcing has the  $n$ -localization property.*

This result is optimal. Clearly, the  $n$ -Silver forcing fails the  $n - 1$ -localization property, since the generic real cannot be enclosed by any ground model  $n - 1$ -tree.

*Proof.* Suppose  $p \Vdash \dot{y} \in \omega^\omega$  is a function; strengthening  $p$  if necessary we may find a continuous function  $f : n^\omega \rightarrow \omega^\omega$  such that  $p \Vdash \dot{y} = \dot{f}(\dot{x}_{gen})$ . For a point  $x \in n^\omega$  and a finite partial function  $u : \omega \rightarrow n$  let  $x \dot{\cup} u$  be the function obtained from  $x$  by replacing  $x \upharpoonright \text{dom} u$  with  $u$ . By a standard fusion argument find a condition  $q \leq p$  such that, enumerating the infinite set  $\omega \setminus \text{dom}(q)$  by  $\{n_i : i \in \omega\}$  in increasing order, the following holds.

- (\*) For every  $i \in \omega$  there is a number  $m_i \geq n_i$  such that for every function  $u : \{n_j : j \in i\} \rightarrow n$ , for every  $x \in n^\omega$  with  $q \subset x$  the initial segment  $f(x \dot{\cup} u) \upharpoonright m_i$  is the same sequence  $g(u)$ , and for two such functions  $u, v$ ,  $g(u) = g(v) \leftrightarrow \forall x \in n^\omega \ q \subset x \rightarrow f(x \dot{\cup} u) = f(x \dot{\cup} v)$ .

Now let  $C = f''\{x \in n^\omega : q \subset x\}$ . I will show that  $C = [T]$  for some  $n$ -tree  $T$ ; then clearly  $q \Vdash \dot{y} \in [T]$  and the  $n$ -localization follows. Clearly  $C$  is a compact set and as such it consists of all branches of some tree  $T$ . Suppose for contradiction that the tree  $T$  branches into  $n + 1$  many immediate successors at some point, and let  $\{x_l : l \in n + 1\}$  be points in  $n^\omega$  such that  $q \subset x$  and such that the points  $f(x_l) : l \in n + 1$  split all at once at some natural number  $k$ .

Let  $j \in \omega$  be the least number such that the set  $a = \{x_l \upharpoonright \{n_i : i \in j\} : l \in n + 1\}$  has size greater than 1. Note that this set has size at most  $n$ . The key point: the sequences  $\{g(u) : u \in a\}$  must be all the same. If two of them were different, then  $m_j > k$ , and since  $\{f(x_l) \upharpoonright m_j : l \in n + 1\} = \{g(u) : u \in a\}$ , this contradicts the fact that the set  $\{f(x_l) \upharpoonright k + 1 : l \in n + 1\}$  has size  $n + 1$ .

This means that for every  $l \in n + 1$  and every  $u \in a$ , it is the case that  $f(x_l) = f(x_l \dot{\cup} u)$ , and it is possible to rewrite the sequences  $\{x_l : l \in n + 1\}$  in such a way that their restriction to the set  $\{n_i : i \in j\}$  is any given single element  $u \in a$ , without changing the values  $\{f(x_l) : l \in n + 1\}$ . One can repeat this procedure many times, pushing the first disagreement between the sequences  $x_l : l \in n + 1$  past the number  $n_k$ , but then the value  $f(x_l)(k)$  will be the same for all numbers  $l \in n + 1$ , contradiction.  $\square$

**Theorem 5.4.** *The 4-Silver forcing  $Q_4$  can be decomposed as  $Q_2 * \dot{R}$ , where  $Q_2 \Vdash \dot{R}$  has the 2-localization property.*

The remainder forcing  $\dot{R}$  clearly preserves  $\aleph_1$  since  $Q_4$  does. If the Continuum Hypothesis holds then the remainder will be in fact proper; I will avoid the awkward argument.

*Proof.* The decomposition is simple. Let  $4 = a_0 \cup a_1$  be a partition into two disjoint sets of size 2. Suppose  $x_4$  is a 4-Silver generic point. Let  $x_2 \in 2^\omega$  be the point defined by  $x_2(n) = i \leftrightarrow x_4(n) \in a_i$ . It is rather obvious that  $x_2$  is a 2-Silver generic. The forcing decomposition then follows the chain  $V \subset V[x_2] \subset V[x_4]$



of generic extensions. I just have to verify that the second step has the 2-localization property, in other words, every point  $y \in V[x_4] \cap \omega^\omega$  is a branch of a 2-tree in the model  $V[x_2]$ .

Back to  $V$ . Suppose  $p \in Q_4$  is a condition and  $\dot{y}$  is a  $Q_4$ -name for a point in  $\omega^\omega$ . Strengthening the condition  $p$  if necessary find a continuous function  $f : 4^\omega \rightarrow \omega^\omega$  such that  $p \Vdash \dot{y} = \dot{f}(\dot{x}_{gen})$ . Find a condition  $q \leq p$  satisfying (\*) in the proof of the previous theorem. Now move to the model  $V[x_2]$  and consider the set  $C = f''\{x \in 4^\omega : \forall i \in \omega x(i) \in a_{x_2(i)} \wedge q \subset x\}$ . The same argument as in the previous theorem shows that  $C = [T]$  for some 2-tree  $T \subset \omega^{<\omega}$ . Clearly,  $T \in V[x_2]$  is a 2-tree such that  $y \in [T]$ , and the theorem follows.  $\square$

## References

- [1] Tomek Bartoszynski and Haim Judah. *Set Theory. On the structure of the real line*. A K Peters, Wellesley, MA, 1995.
- [2] Qi Feng, Menachem Magidor, and Hugh Woodin. Universally Baire sets of reals. In Haim Judah, W. Just, and Hugh Woodin, editors, *Set theory of the continuum*, number 26 in MSRI publications, pages 203–242. Springer Verlag, New York, 1992.
- [3] Stefan Geschke. More on the convexity numbers of closed sets in  $\mathbb{R}^n$ . *Proceedings of the American Mathematical Society*, 133:1307–1315, 2005.
- [4] Stefan Geschke and Sandra Quickert. On Sacks forcing and the Sacks property. In B. Löwe, B. Piwinger, and T. Räscher, editors, *Foundations of the formal sciences III*. Kluwer Academic Publishers. to appear.
- [5] Thomas Jech. *Set Theory*. Academic Press, San Diego, 1978.
- [6] Ludomir Newelski and Andrzej Roslanowski. The ideal determined by an unsymmetric game. *Proceedings of the American Mathematical Society*, 117:823–831, 1993.
- [7] Andrzej Roslanowski. Mycielski ideals generated by uncountable systems. *Colloquium Mathematicum*, 64:187–200, 1994.
- [8] Andrzej Roslanowski.  $n$ -localization property. *Journal of Symbolic Logic*, 71:881–902, 2006.
- [9] Andrzej Roslanowski and Saharon Shelah. Norms on possibilities I: forcing with trees and creatures. *Memoirs of the American Mathematical Society*, 141:xii + 167, 1999. math.LO/9807172.
- [10] Andrzej Roslanowski and Saharon Shelah. Sweet & sour and other flavours of ccc forcing notions. *Archive for Mathematical Logic*, 43:583–663, 2004. math.LO/9909115.

- [11] Saharon Shelah. Properness without elementarity. *Journal of Applied Analysis*, 10:168–289, 2004. math.LO/9712283.
- [12] Jindřich Zapletal. *Forcing Idealized*. Cambridge Tracts in Mathematics 174. Cambridge University Press, Cambridge, 2008.