

Nuclear Structure and Reactions in Fermionic Molecular Dynamics



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Ab Initio Methods in Nuclear Physics

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Overview: UCOM



**Nuclear degrees of freedom and
nuclear interactions**

Short-range correlations

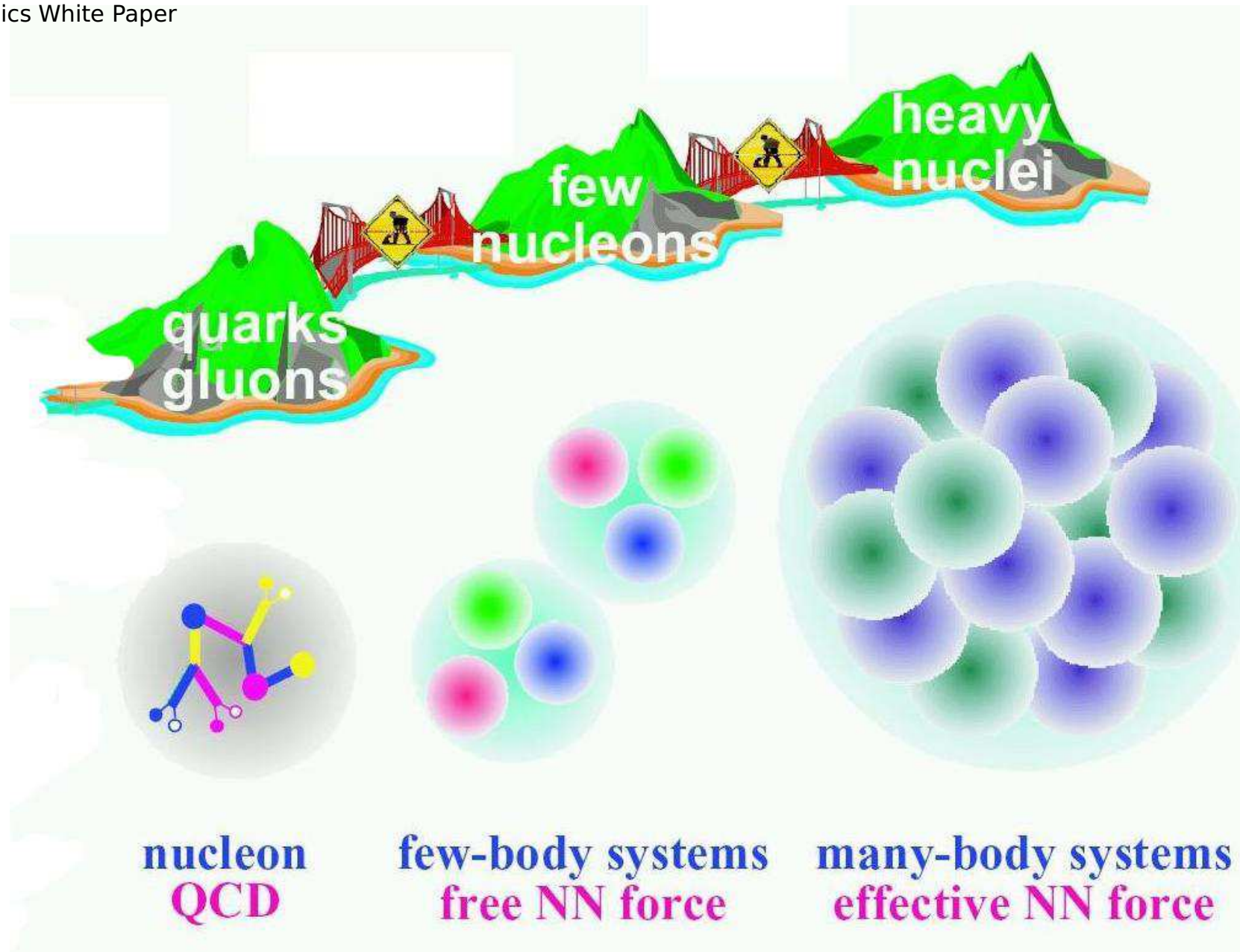
Unitary Correlation Operator Method (UCOM)

Similarity Renormalization Group (SRG)

No-Core Shell Model (NCSM)

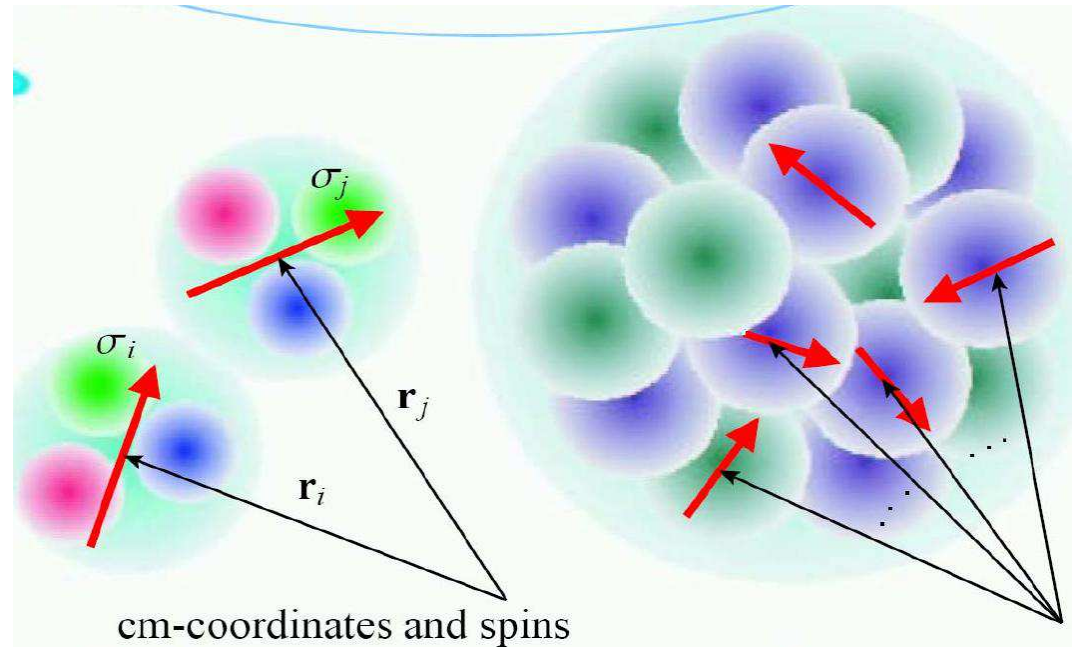
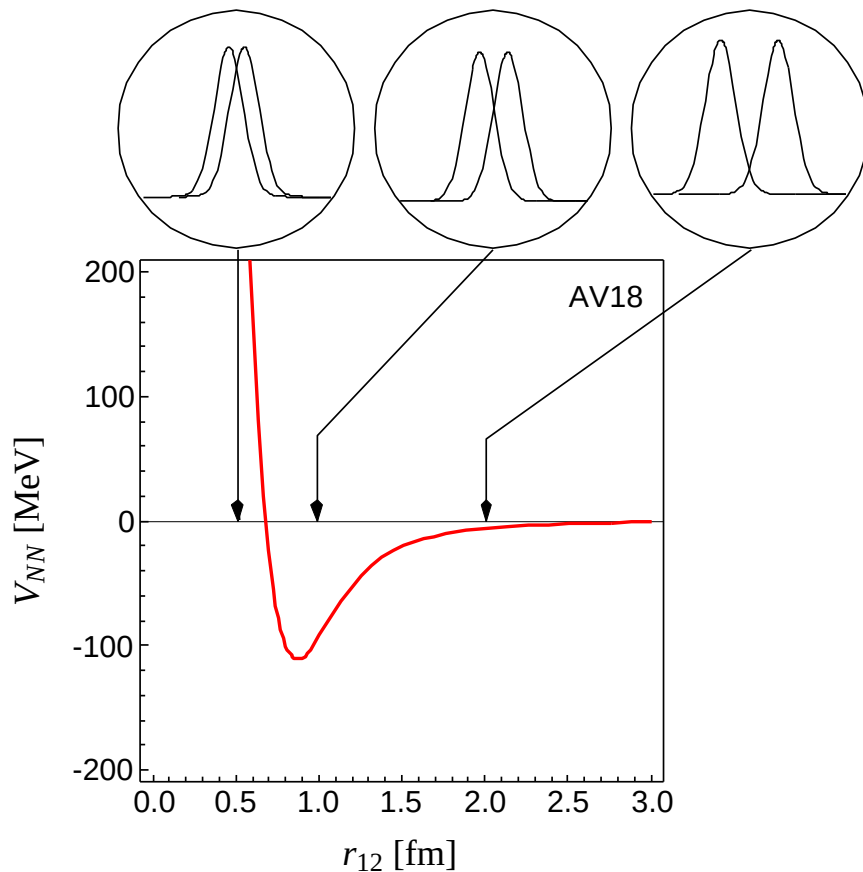
- Introduction
- **Nuclear Degrees of Freedom**

RIA Physics White Paper



Introduction

Nucleons as effective Degrees of Freedom



- at low energies nuclei can be described as a system of nucleons – we neglect pions, deltas, ...
- nucleons are not point-like particles, proton charge radius $r_p \approx 0.88$ fm
- ➡ nucleon-nucleon force is something like the van-der-Waals force between atoms

Two-Nucleon System (Relative Motion)

- Couple Spin and Isospin

$$|S, M_S\rangle = \sum_{m_{s1}, m_{s2}} C\left(\begin{matrix} \frac{1}{2} & \frac{1}{2} \\ m_{s1} & m_{s2} \end{matrix} \middle| \begin{matrix} S \\ M_S \end{matrix}\right) \left|\frac{1}{2}, m_{s1}\right\rangle \otimes \left|\frac{1}{2}, m_{s2}\right\rangle, \quad S = 0, 1$$

Spin/Isospin
Singlet, Triplet

$$|T, M_T\rangle = \sum_{m_{t1}, m_{t2}} C\left(\begin{matrix} \frac{1}{2} & \frac{1}{2} \\ m_{t1} & m_{t2} \end{matrix} \middle| \begin{matrix} T \\ M_T \end{matrix}\right) \left|\frac{1}{2}, m_{t1}\right\rangle \otimes \left|\frac{1}{2}, m_{t2}\right\rangle, \quad T = 0, 1$$

- Couple Orbital Angular Momentum with Spin

$$\langle \mathbf{r} | \alpha, (LS)JM; TM_T \rangle = \sum_{M_L, M_S} C\left(\begin{matrix} L & S \\ M_L & M_S \end{matrix} \middle| \begin{matrix} J \\ M \end{matrix}\right) \phi_\alpha(r) Y_{LM_L}(\hat{\mathbf{r}}) |S, M_S\rangle \otimes |T, M_T\rangle$$

- Antisymmetry

$$(S, T) = (0, 1) \text{ or } (1, 0) \quad \longrightarrow \quad L = 0, 2, 4, \dots$$

Even channels

$$(S, T) = (0, 0) \text{ or } (1, 1) \quad \longrightarrow \quad L = 1, 3, 5, \dots$$

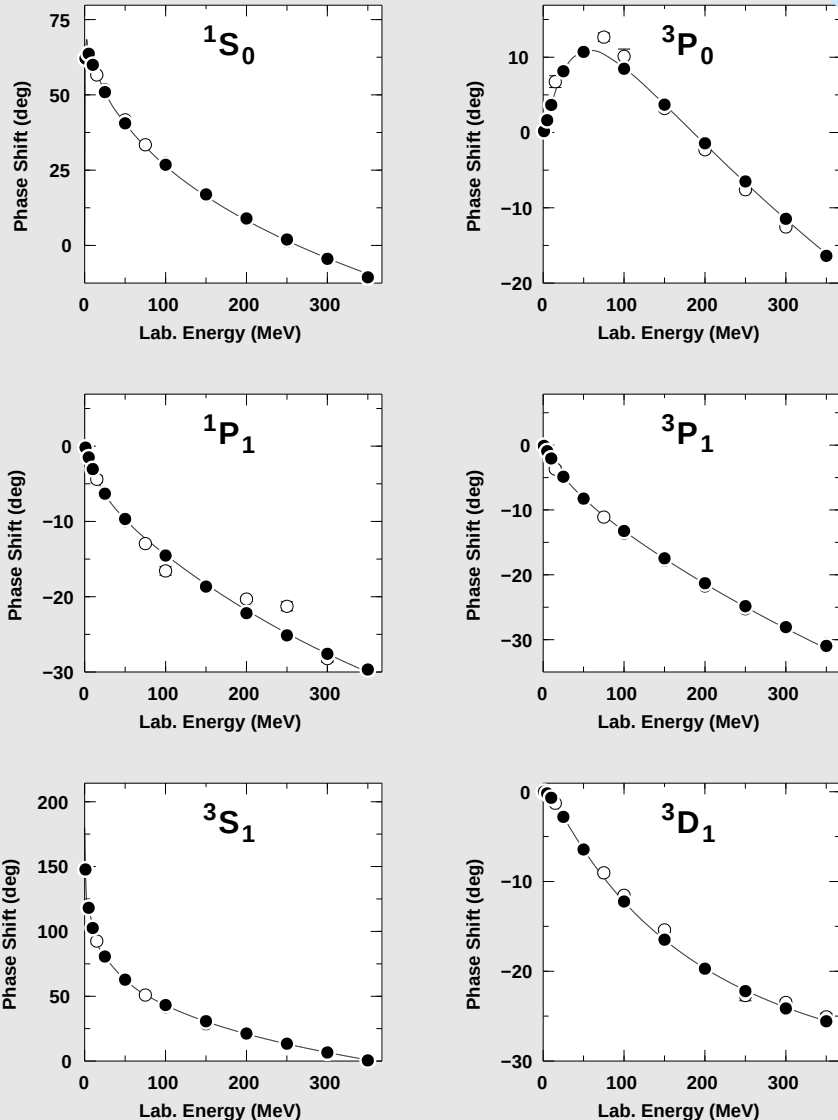
Odd channels

Introduction

Nucleon-Nucleon Force

NN scattering data

$$2S+1L_J$$



Machleidt, Phys. Rev. C **63**, 024001 (2001)

Realistic Interactions

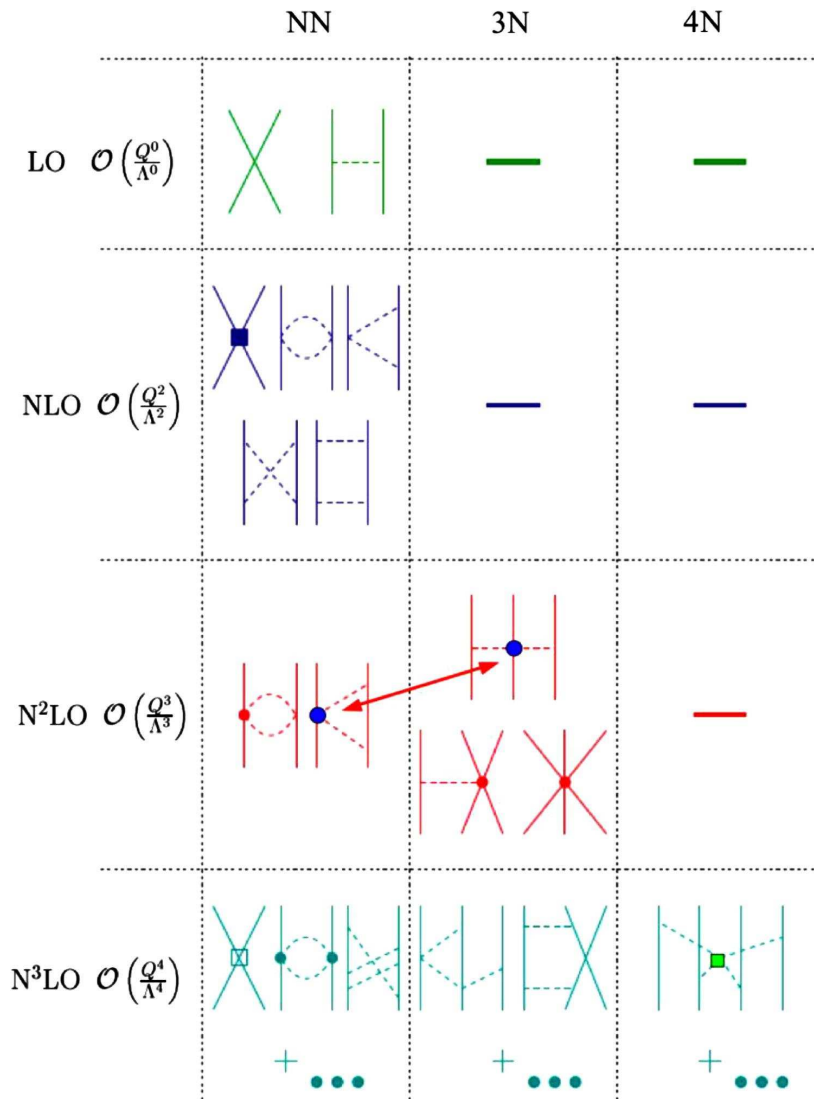
- describe NN phaseshifts ($\chi^2/\text{datum} \approx 1$)
- describe deuteron properties
- short-range (high-momentum) and off-shell behavior not constrained by data
- ➔ **nucleon-nucleon force not completely constrained**

Some Realistic Interactions

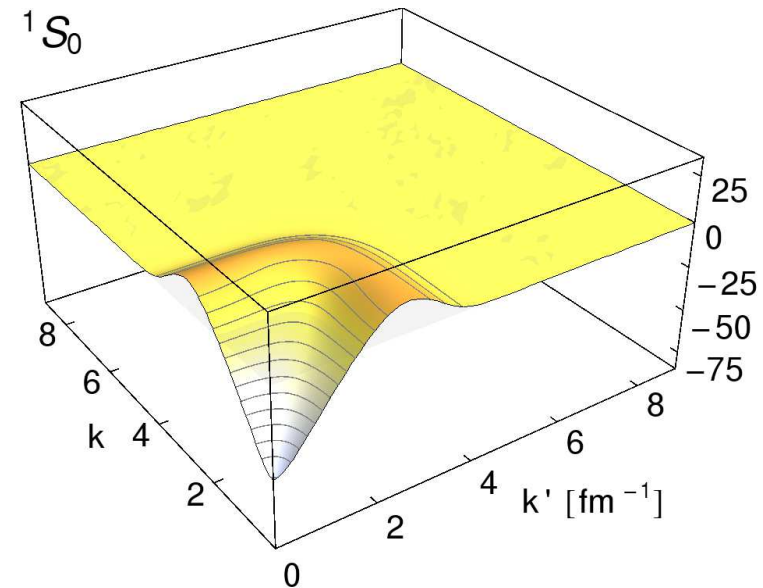
- **Bonn-Potentials** (based on meson-exchange)
- **Argonne V18** (pion-exchange, phenomenological short-range)
- interactions based on **Chiral Perturbation Theory**

Introduction

Interaction from Chiral Perturbation Theory



- derived using chiral EFT
- includes full pion dynamics
- short-range behavior given by contact-terms
- power counting
- regulated by cut-off (500 MeV)



$$\langle k(LS)J | \tilde{V} | k'(L'S)J \rangle$$

- (almost) local interaction in coordinate space

Central

$$V(\mathbf{r}_1, \mathbf{r}_2, \mathbf{p}_1, \mathbf{p}_2, \boldsymbol{\sigma}_1, \boldsymbol{\sigma}_2, \boldsymbol{\tau}_1, \boldsymbol{\tau}_2) = V(r) + V^\sigma(r)\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + V^\tau(r)\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 + V^{\sigma\tau}(r)\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 +$$

$$V_{l^2}(r)\mathbf{L}^2 + V_{l^2}^\sigma(r)\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \mathbf{L}^2 + V_{l^2}^\tau(r)\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \mathbf{L}^2 + V_{l^2}^{\sigma\tau}(r)\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \mathbf{L}^2 +$$

$$V_{ls}(r)\mathbf{L} \cdot \mathbf{S} + V_{ls}^\tau(r)\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \mathbf{L} \cdot \mathbf{S} +$$

Spin-Orbit

$$V_{ls^2}(r)(\mathbf{L} \cdot \mathbf{S})^2 + V_{ls^2}^\tau(r)\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 (\mathbf{L} \cdot \mathbf{S})^2 +$$

$$V_t(r)S_{12} + V_t^\tau(r)\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 S_{12} +$$

Tensor

four charge dependent and charge asymmetric terms

$$\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2, \quad \mathbf{p} = \frac{1}{2}(\mathbf{p}_1 - \mathbf{p}_2)$$

$$\mathbf{L} = \mathbf{r} \times \mathbf{p}, \quad \mathbf{S} = \frac{1}{2}(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2)$$

$$S_{12} = 3(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}}) - \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2$$

Unitary Correlation Operator Method



Short-range Correlations

Unitary Correlation Operator Method

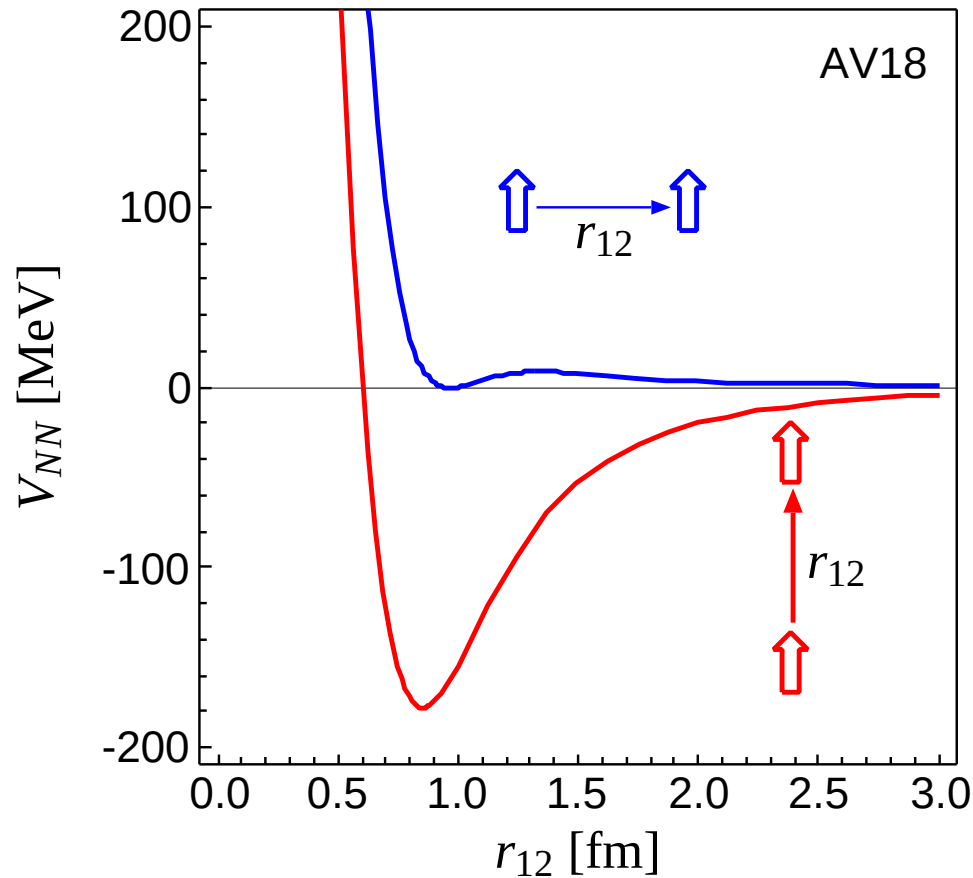
- Unitary Transformation
- Central Correlations
- Tensor Correlations
- Interaction in Momentum Space

Interaction

Nuclear Force

Argonne V18 ($T=0$)

spins aligned parallel or perpendicular to the relative distance vector



- strong repulsive core: nucleons can not get closer than ≈ 0.5 fm

➔ **central correlations**

- strong dependence on the orientation of the spins due to the tensor force

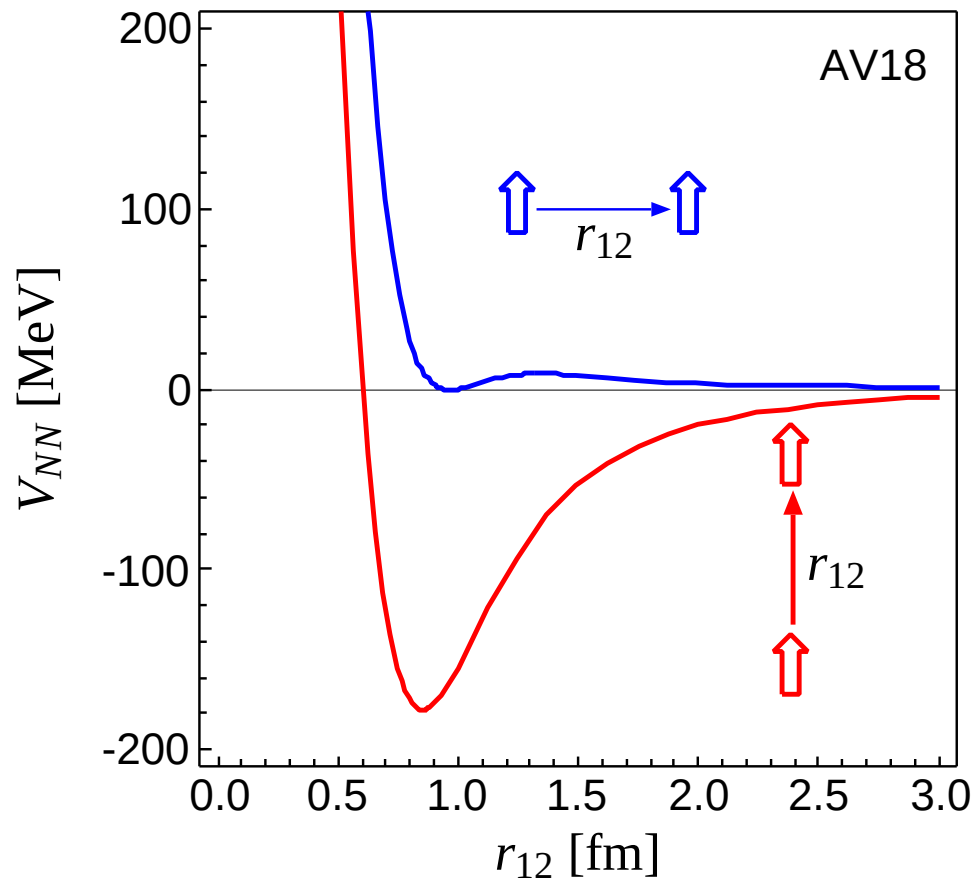
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➔ **tensor correlations**

the nuclear force will induce **strong short-range correlations** in the nuclear wave function

One- and Two-Body Densities

(Diagonal) One-body density

$$\rho^{(1)}(\mathbf{r}) = \sum_{m_t, m_s} \langle \Psi | a_{m_s, m_t}^\dagger(\mathbf{r}) a_{m_s, m_t}(\mathbf{r}) | \Psi \rangle$$

Probability to find **one nucleon** at position $\mathbf{r}$

(Diagonal) Two-body density

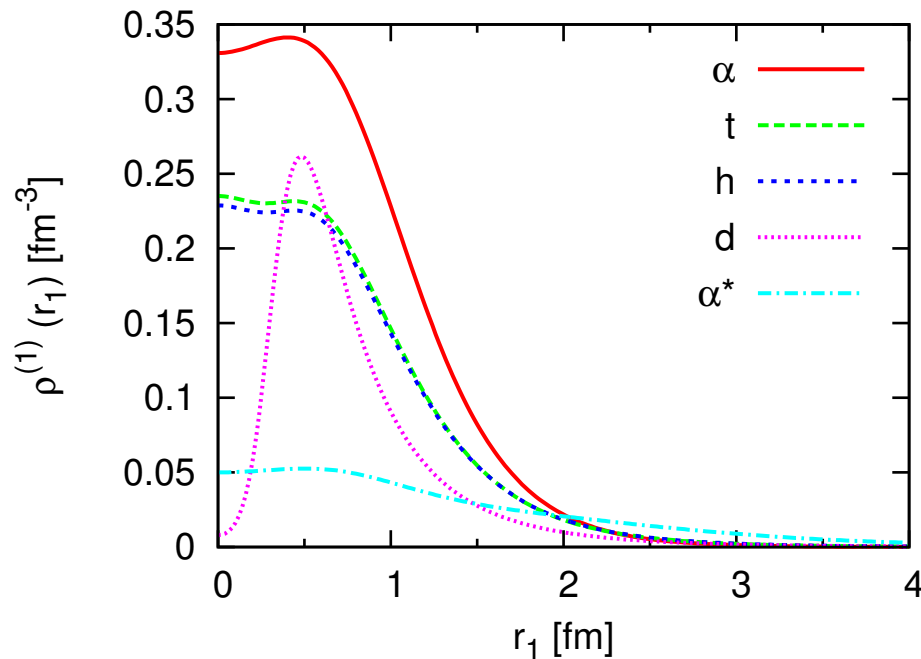
$$\rho_{S, M_S; T, M_T}^{(2)}(\mathbf{r}, \mathbf{r}') = \sum_{m_s, m'_s} c\left(\begin{array}{cc} \frac{1}{2} & \frac{1}{2} \\ m_s & m'_s \end{array} \middle| \begin{array}{c} S \\ M_S \end{array}\right) \sum_{m_t, m'_t} c\left(\begin{array}{cc} \frac{1}{2} & \frac{1}{2} \\ m_t & m'_t \end{array} \middle| \begin{array}{c} T \\ M_T \end{array}\right) \times \\ \langle \Psi | a_{m_s, m_t}^\dagger(\mathbf{r}) a_{m'_s, m'_t}^\dagger(\mathbf{r}') a_{m'_s, m'_t}(\mathbf{r}') a_{m_s, m_t}(\mathbf{r}) | \Psi \rangle$$

$$\rho_{S, M_S; T, M_T}^{(2)}(\mathbf{r}) = \int d^3R \rho_{S, M_S; T, M_T}^{(2)}\left(\frac{1}{2}\mathbf{R} + \mathbf{r}, \frac{1}{2}\mathbf{R} - \mathbf{r}\right)$$

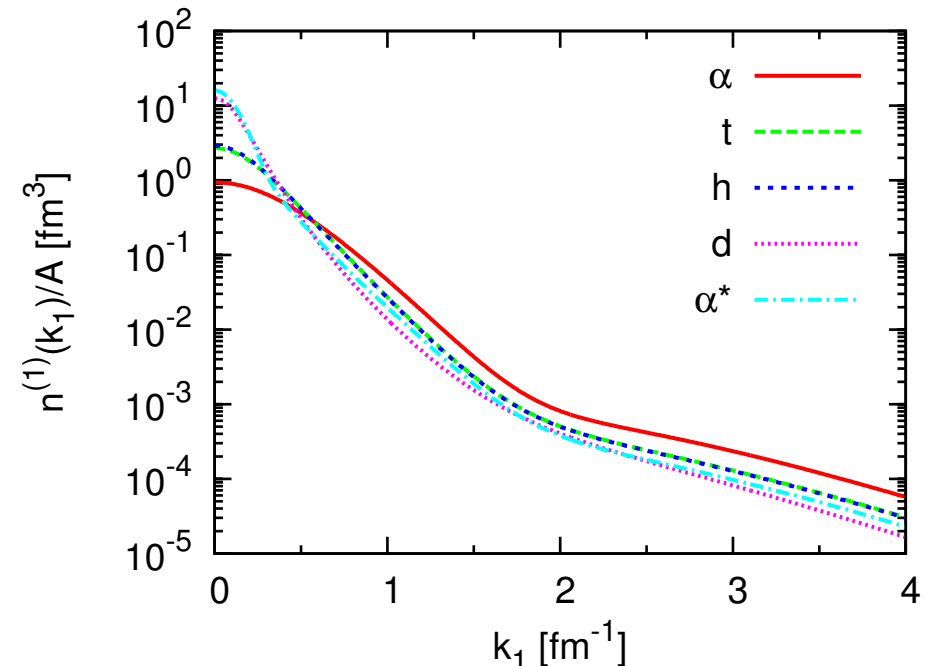
Probability to find **a pair of nucleons** at a relative distance $\mathbf{r}$

- Universality of short-range correlations
- One-body densities

coordinate space



momentum space

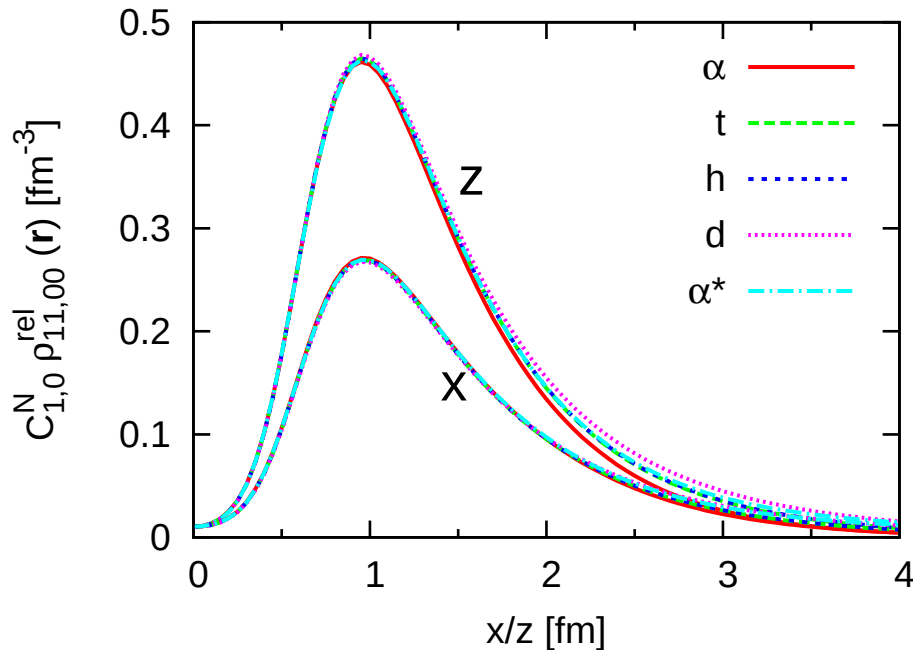


- one-body densities calculated from exact wave functions for AV8' interaction
- coordinate space densities reflect different sizes and densities of ^2H , ^3H , ^3He , ^4He and the 0_2^+ state in ^4He
- similar high-momentum tails in the momentum densities

- Universality of short-range correlations
- **Two-body densities in $A = 2, 3, 4$ Nuclei — AV8'**

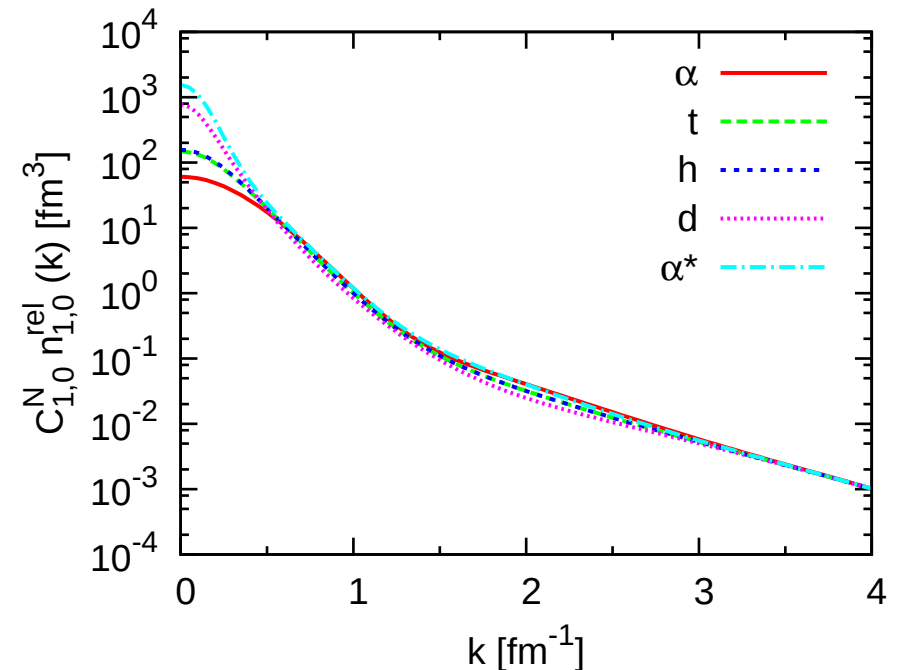
coordinate space

$S = 1, M_S = 1, T = 0$



momentum space

$S = 1, T = 0$



- normalize two-body density in coordinate space at $r=1.0$ fm
- normalized two-body densities in coordinate space are identical at short distances for all nuclei
- use the **same** normalization factor in momentum space – high momentum tails agree for all nuclei

Realistic Interactions

- reproduce scattering data and deuteron properties
- meson-exchange (Bonn), phenomenological (AV18), χ -PT (Idaho)
- **repulsive core** and **tensor force** induce **strong short-range correlations**

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Effective Interactions

- phenomenological effective interactions describe many properties of nuclear systems like energies, radii, spectra successfully using simple many-body wave functions (HF, shell model, microscopic cluster models)
- No-Core Shell Model uses Lee-Suzuki transformation in oscillator basis
- G-matrix and V_{lowk} derive effective interaction in momentum space

Realistic and Effective Nucleon-Nucleon Interactions

Realistic Interactions

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Effective Interactions

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Our approach

- derive **effective interaction** from **realistic interaction** by explicitly including correlations with **unitary correlation operator** $\tilde{C}$ formulated in **coordinate space**
- correlated (effective) interaction

$$\hat{H} = \tilde{C}^\dagger H \tilde{C}$$

Unitary Transformation

Transform the eigenvalue problem

$$\tilde{H}|\hat{\Psi}_n\rangle = E_n|\hat{\Psi}_n\rangle$$

with the unitary operator $\tilde{C}$

$$|\hat{\Psi}_n\rangle = \tilde{C}|\Psi_n\rangle, \quad \tilde{C}^{-1} = \tilde{C}^\dagger$$

into the equivalent eigenvalue problem

$$\hat{H}|\Psi_n\rangle = (\tilde{C}^\dagger \tilde{H} \tilde{C})|\Psi_n\rangle = E_n|\Psi_n\rangle$$

Finally solve eigenvalue problem in a (relatively small) model space
 $\{|\Psi_n\rangle, n = 1, \dots, N\}$

“pre-diagonalization”

correlator $\tilde{C}$ describes short-range correlations that are very similar (for the states in the model space)

correlator $\tilde{C}$ admixes components from outside the model space
 it does not project on the model space

Unitary Correlation Operator Method

Correlation Operator

- induce short-range (two-body) central and tensor correlations into the many-body state

$$\underline{\mathcal{C}} = \underline{\mathcal{C}}_{\Omega} \underline{\mathcal{C}}_r = \exp\left[-i \sum_{i < j} \underline{g}_{\Omega, ij}\right] \exp\left[-i \sum_{i < j} \underline{g}_{r, ij}\right] \quad , \quad \underline{\mathcal{C}}^{\dagger} \underline{\mathcal{C}} = \underline{1}$$

- correlation operator should conserve the symmetries of the Hamiltonian and should be of finite-range, correlated interaction **phase shift equivalent** to bare interaction by construction

Correlated Operators

- correlated operators will have contributions in higher cluster orders

$$\underline{\mathcal{C}}^{\dagger} \underline{O} \underline{\mathcal{C}} = \hat{\underline{O}}^{[1]} + \hat{\underline{O}}^{[2]} + \hat{\underline{O}}^{[3]} + \dots$$

- two-body approximation: correlation range should be small compared to mean particle distance

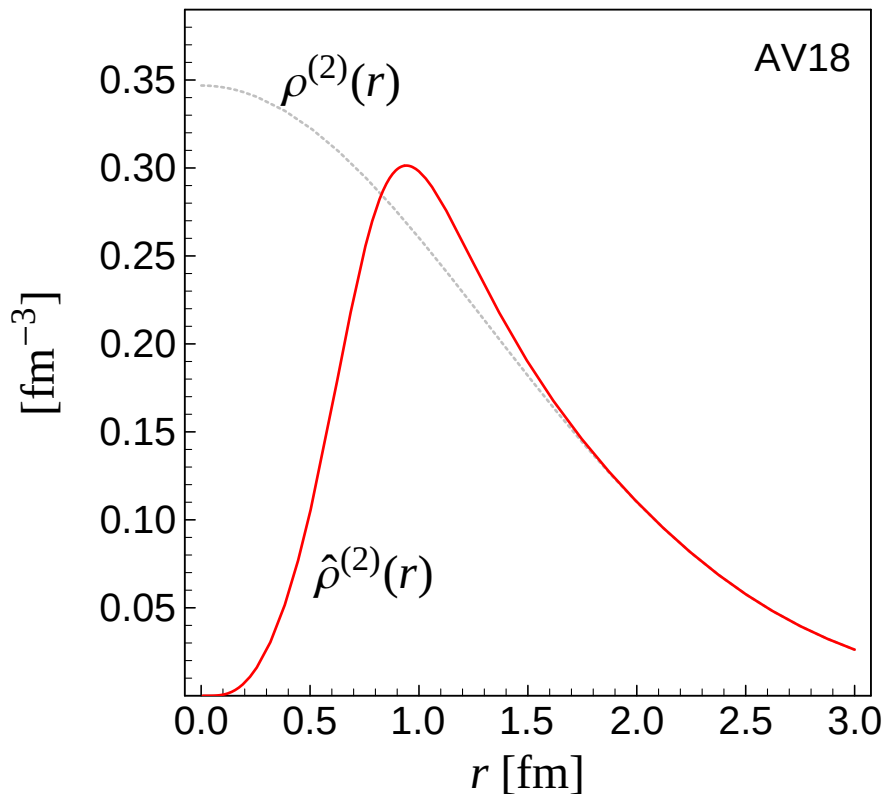
Correlated Interaction

$$\underline{\mathcal{C}}^{\dagger} (\underline{T} + \underline{V}) \underline{\mathcal{C}} = \underline{T} + \underline{V}_{\text{UCOM}} + \underline{V}_{\text{UCOM}}^{[3]} + \dots$$

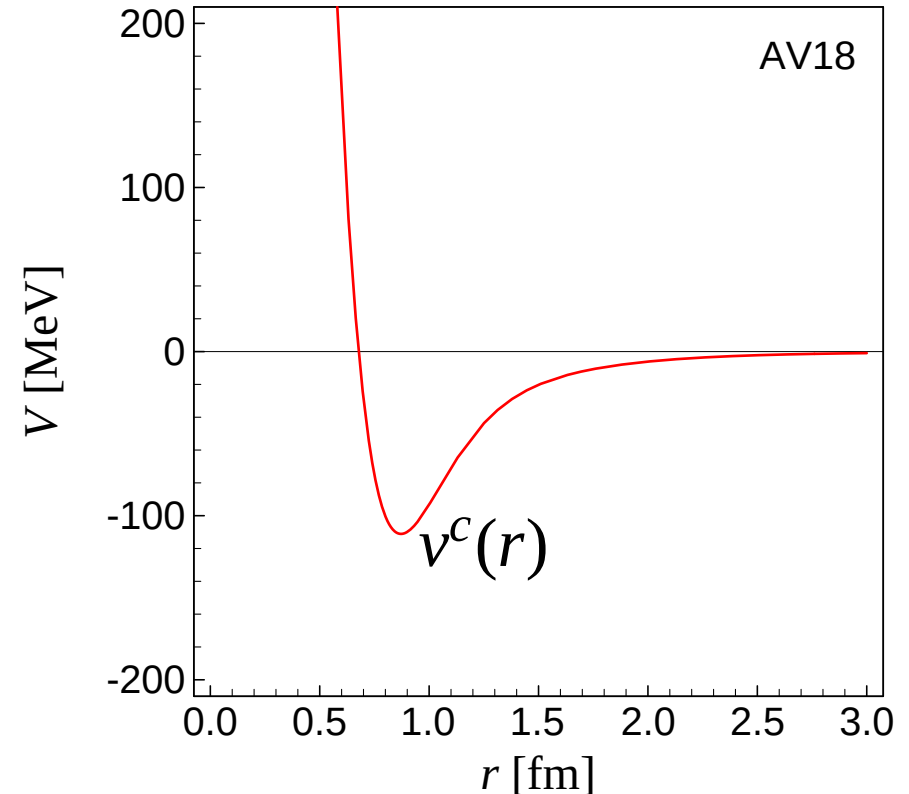
Central Correlations

- radial distance-dependent **shift in the relative coordinate** of each nucleon pair

$$g_r = \frac{1}{2} [p_r s(r) + s(r) p_r] \quad , \quad p_r = \frac{1}{2} [\mathbf{p} \cdot \mathbf{r} + \mathbf{r} \cdot \mathbf{p}]$$



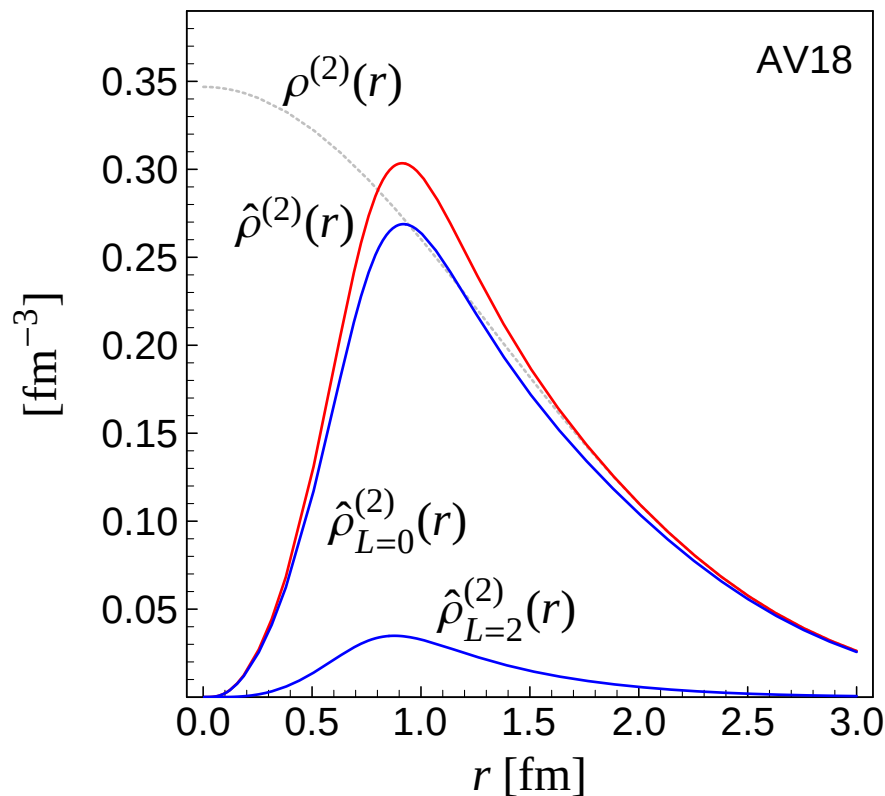
$S = 0, T = 1$



nucleons shifted out of repulsive core

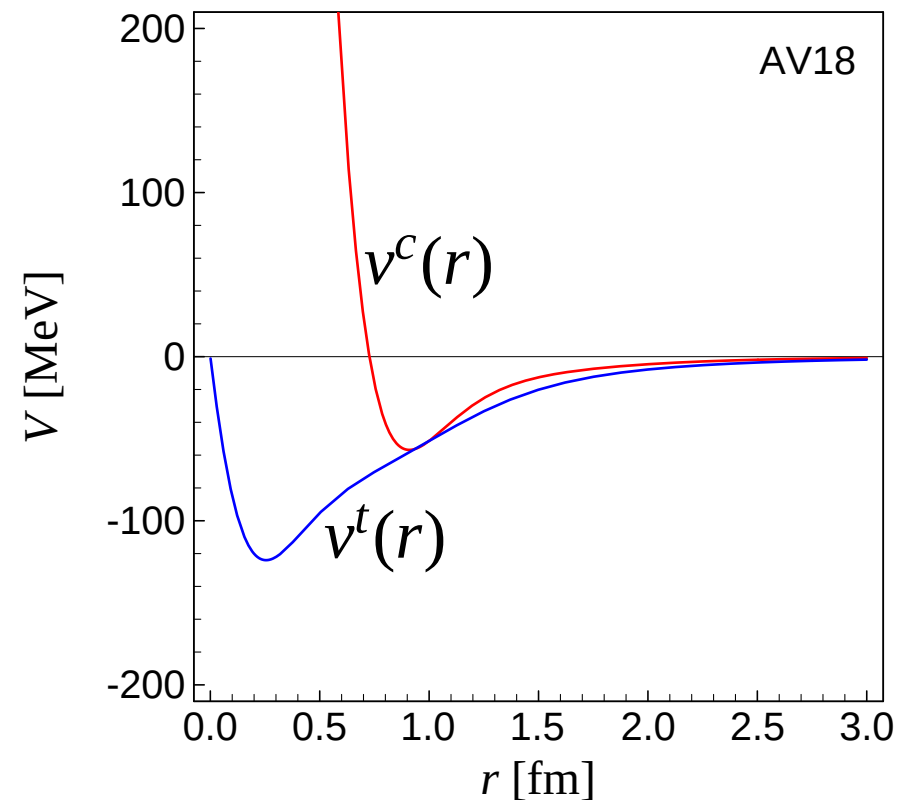
- angular shift in the relative coordinate** of each nucleon pair depending on the orientation of the spins

$$g_{\Omega} = g(r) \left[\frac{3}{2}(\boldsymbol{\sigma}_1 \cdot \mathbf{p}_{\Omega})(\boldsymbol{\sigma}_2 \cdot \mathbf{r}) + \frac{3}{2}(\boldsymbol{\sigma}_1 \cdot \mathbf{r})(\boldsymbol{\sigma}_2 \cdot \mathbf{p}_{\Omega}) \right] \quad , \quad \mathbf{p}_{\Omega} = \mathbf{p} - \mathbf{r} p_r$$



$S = 1, T = 0$

nucleons aligned with total spin
of nucleon pair



Central and Tensor Correlations

$$\zeta = \zeta_{\Omega} \zeta_r$$

$$\mathbf{p} = \mathbf{p}_r + \mathbf{p}_{\Omega}$$

$$\mathbf{p}_r = \frac{1}{2} \left\{ \frac{\mathbf{r}}{r} \left(\frac{\mathbf{r}}{r} \mathbf{p} \right) + \left(\mathbf{p} \frac{\mathbf{r}}{r} \right) \frac{\mathbf{r}}{r} \right\}, \quad \mathbf{p}_{\Omega} = \frac{1}{2r} \left\{ \mathbf{I} \times \frac{\mathbf{r}}{r} - \frac{\mathbf{r}}{r} \times \mathbf{I} \right\}$$

- UCOM
- Central and Tensor Correlations

$$\zeta = \zeta_\Omega \zeta_r$$

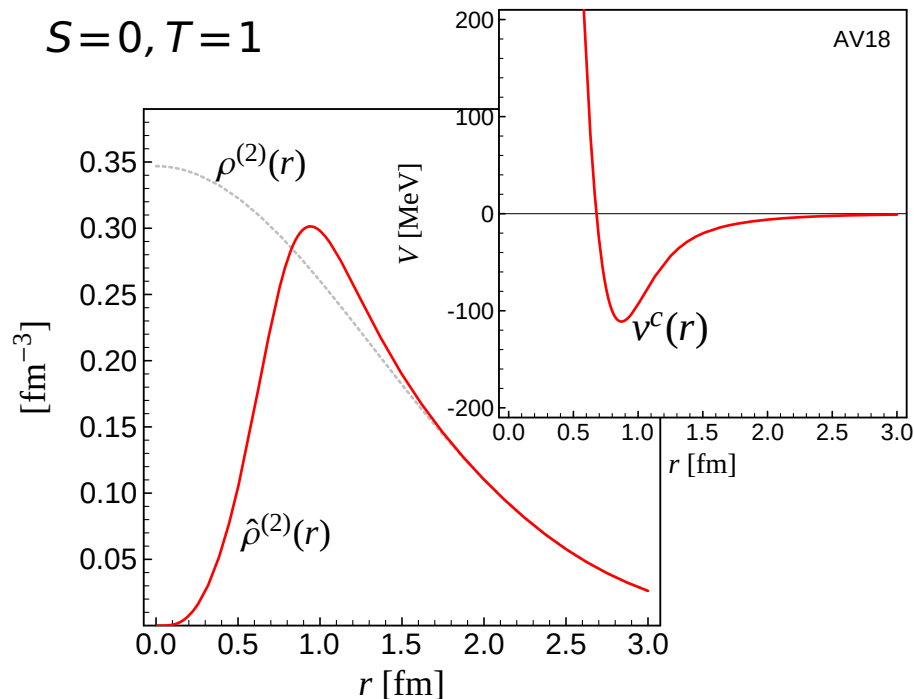
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Central Correlations

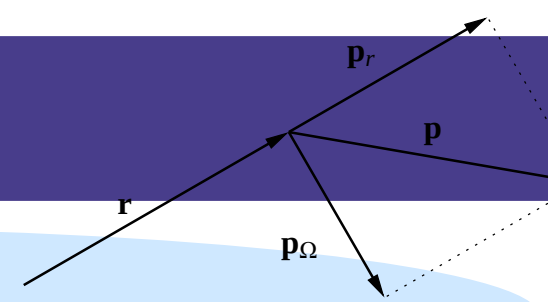
$$\zeta_r = \exp \left\{ -\frac{i}{2} \{ p_r s(r) + s(r) p_r \} \right\}$$

➡ probability density shifted out of the repulsive core



UCOM

Central and Tensor Correlations



$$\zeta = \zeta_\Omega \zeta_r$$

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Central Correlations

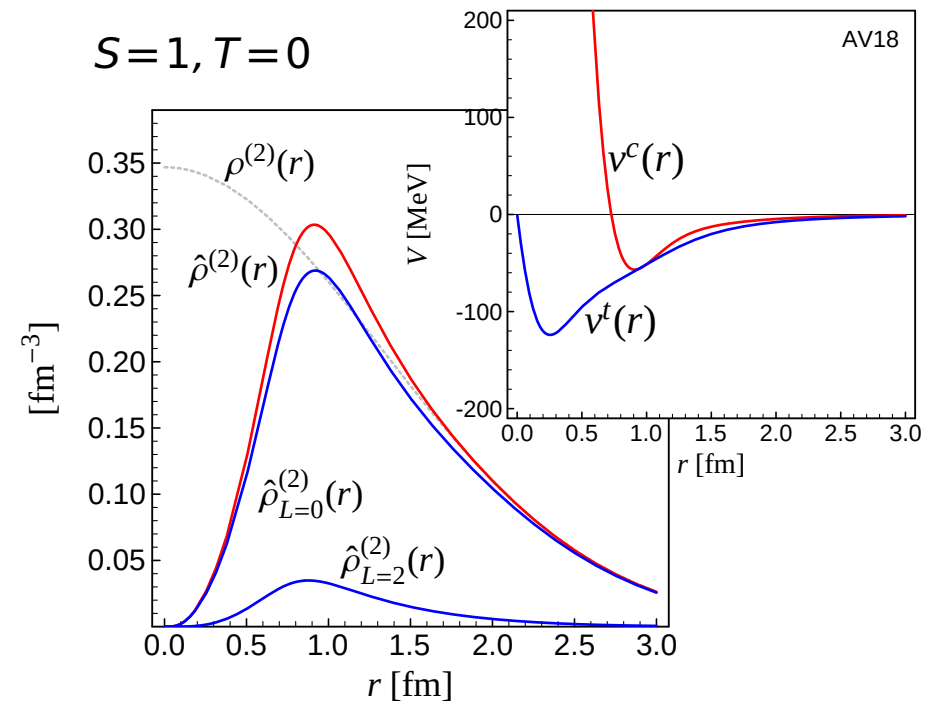
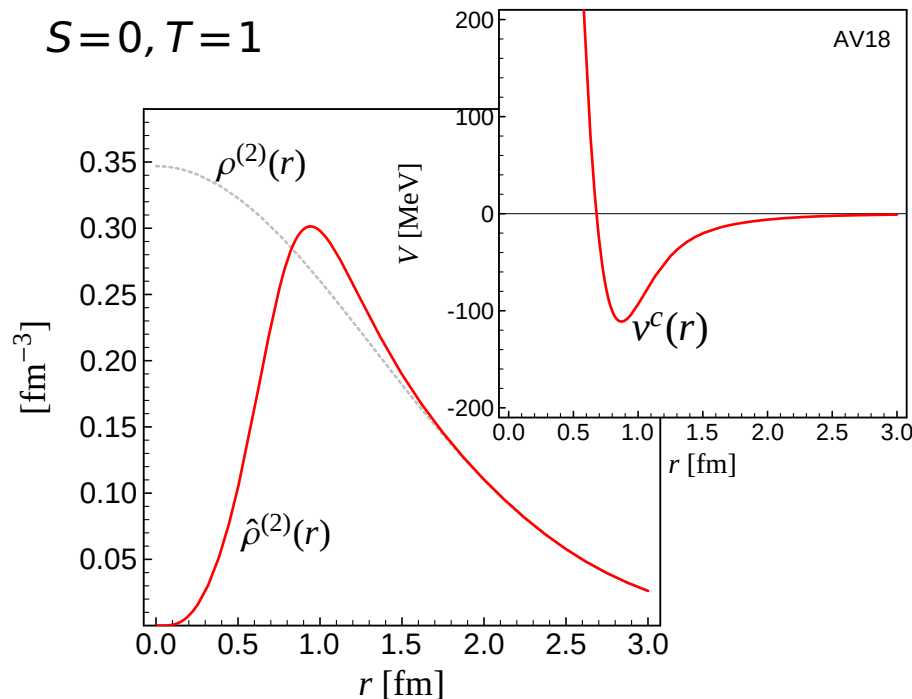
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➔ probability density shifted out of the repulsive core

Tensor Correlations

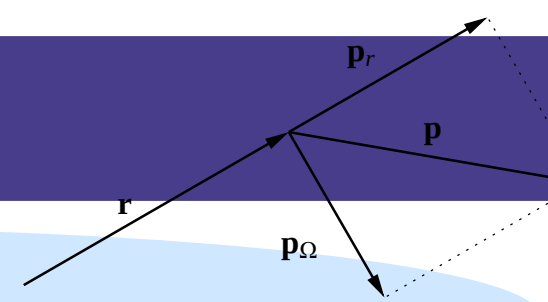
$$\zeta_\Omega = \exp \left\{ -i\theta(r) \left\{ \frac{3}{2} (\boldsymbol{\sigma}_1 \cdot \mathbf{p}_\Omega) (\boldsymbol{\sigma}_2 \cdot \mathbf{r}) + \frac{3}{2} (\boldsymbol{\sigma}_1 \cdot \mathbf{r}) (\boldsymbol{\sigma}_2 \cdot \mathbf{p}_\Omega) \right\} \right\}$$

➔ tensor force admixes other angular momenta



UCOM

Central and Tensor Correlations



$$\zeta = \zeta_\Omega \zeta_r$$

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Central Correlations

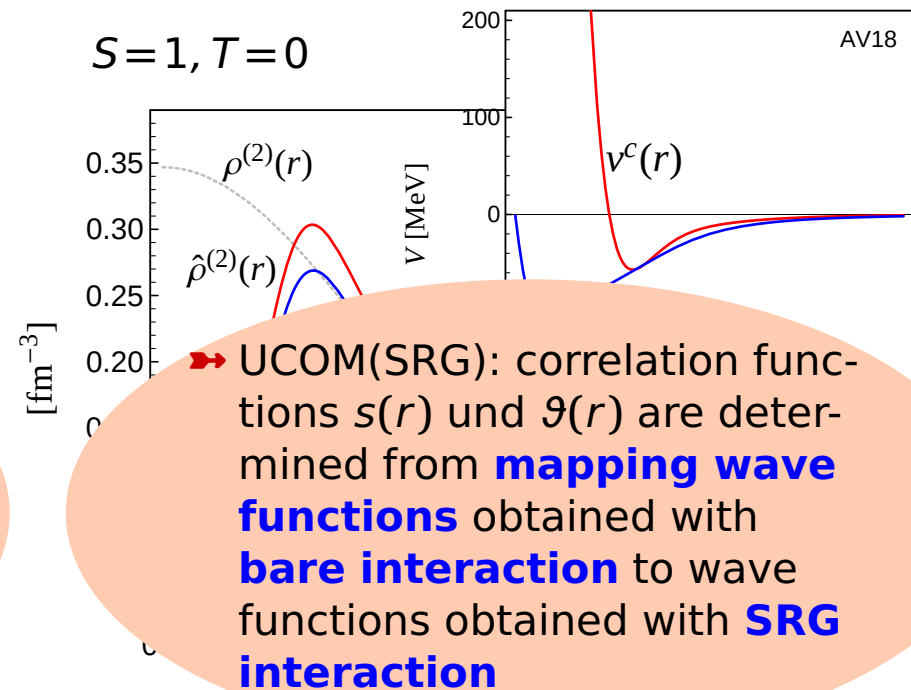
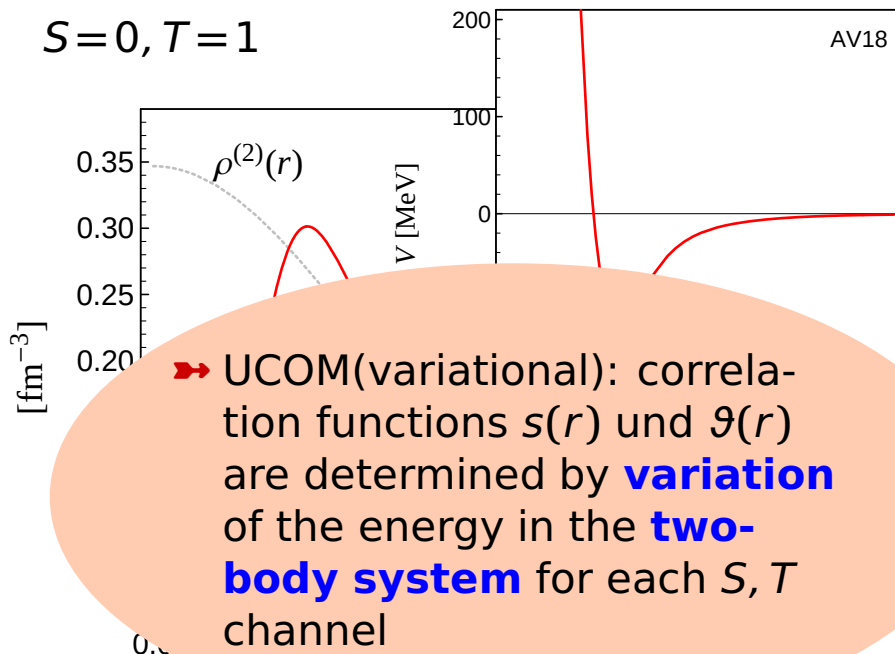
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➔ probability density shifted out of the repulsive core

Tensor Correlations

$$\zeta_\Omega = \exp \left\{ -i\vartheta(r) \left\{ \frac{3}{2} (\boldsymbol{\sigma}_1 \cdot \mathbf{p}_\Omega) (\boldsymbol{\sigma}_2 \cdot \mathbf{r}) + \frac{3}{2} (\boldsymbol{\sigma}_1 \cdot \mathbf{r}) (\boldsymbol{\sigma}_2 \cdot \mathbf{p}_\Omega) \right\} \right\}$$

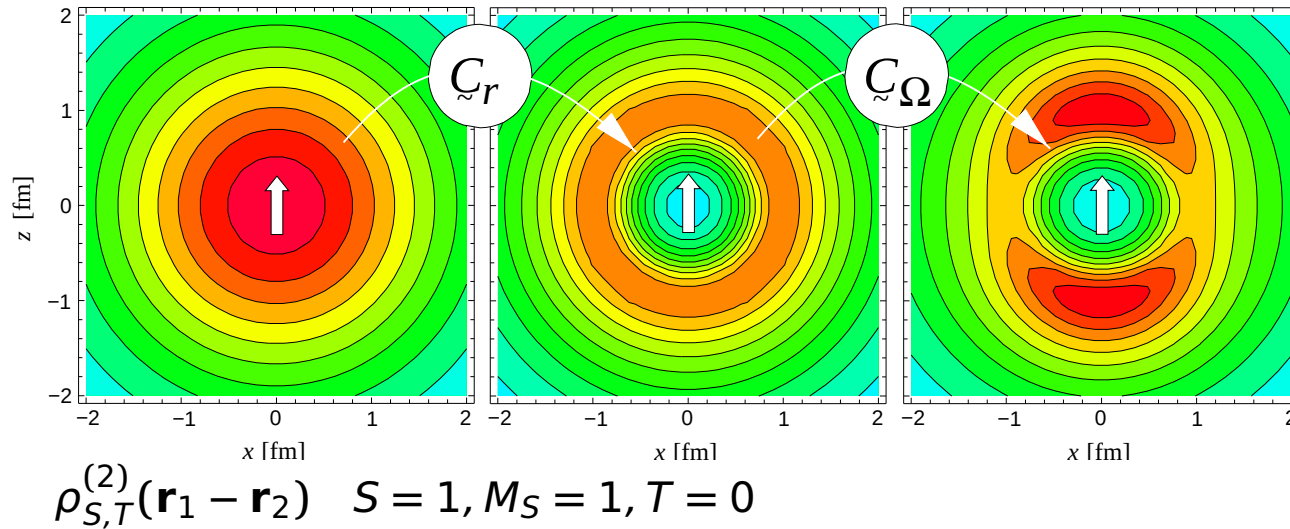
➔ tensor force admixes other angular momenta



Unitary Correlation Operator Method

Correlations and Energies

two-body densities

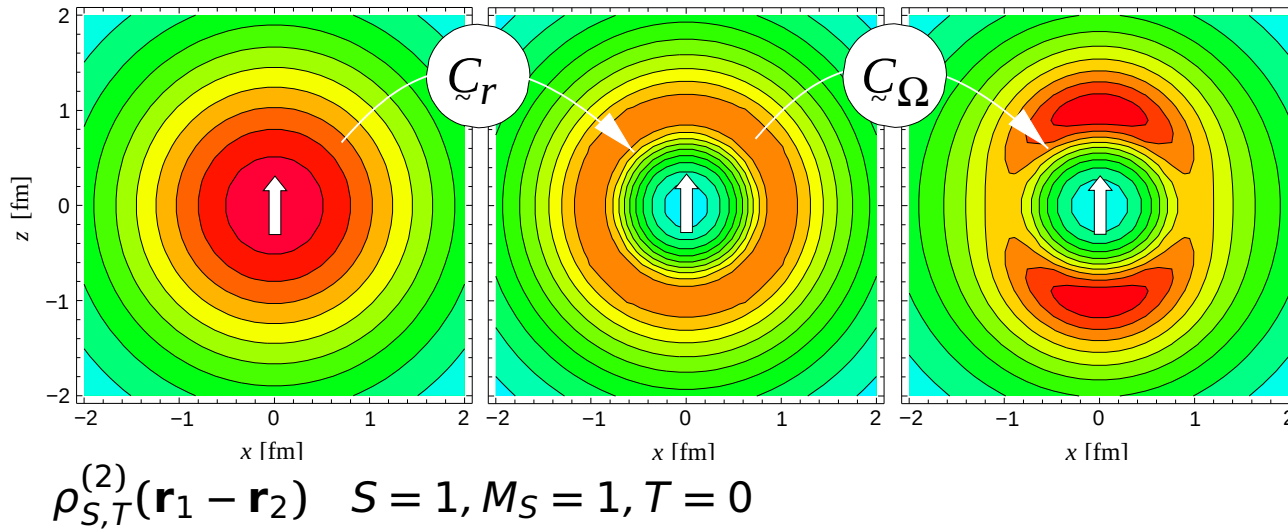


central correlator $\tilde{C}_r$
shifts density out of
the repulsive core

tensor correlator $\tilde{C}_\Omega$
aligns density with spin
orientation

Unitary Correlation Operator Method Correlations and Energies

two-body densities



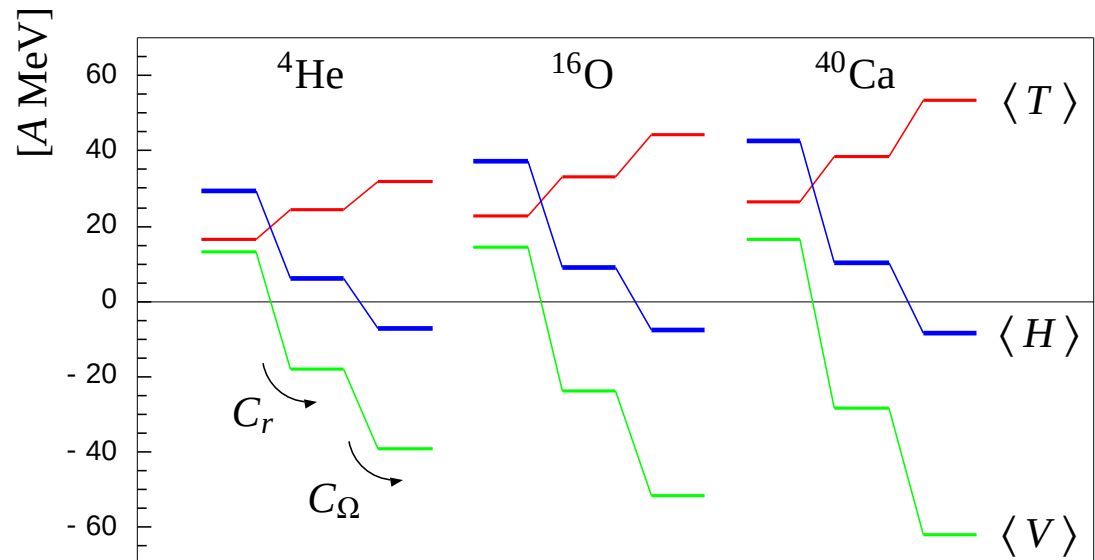
central correlator $\tilde{C}_r$
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tensor correlator $\tilde{C}_\Omega$
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orientation

both central
and tensor
correlations are
essential for
binding

energies

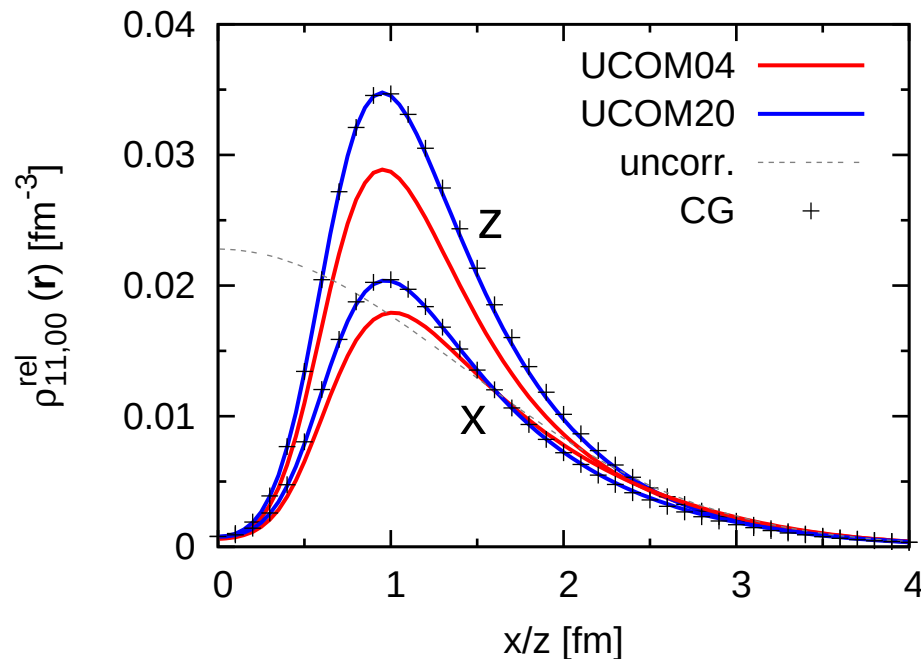
$0\hbar\omega$ Harmonic Oscillator



• Unitary Correlation Operator Method • Two-body Densities

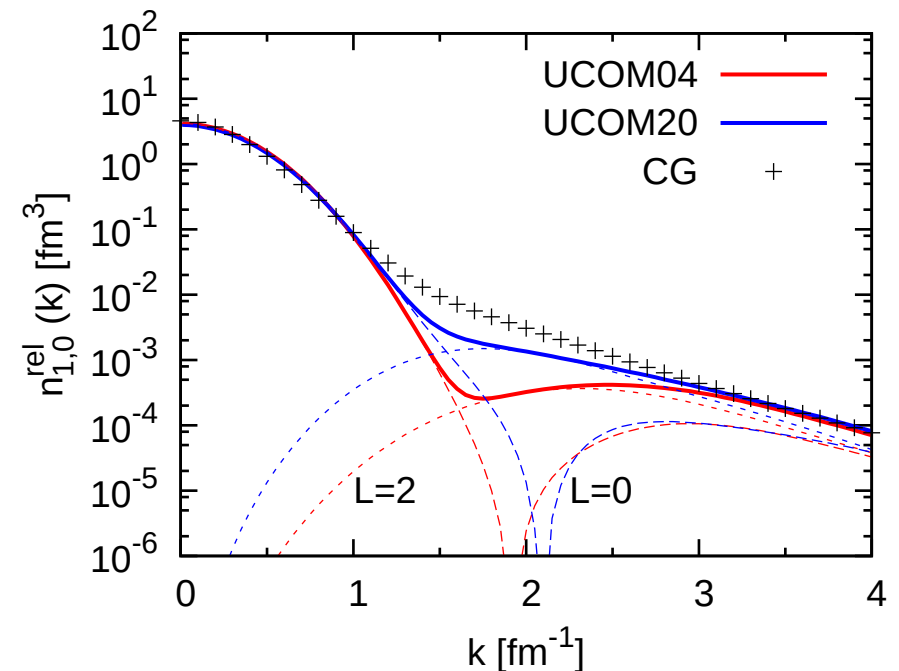
coordinate space

$S = 1, M_S = 1, T = 0$



momentum space

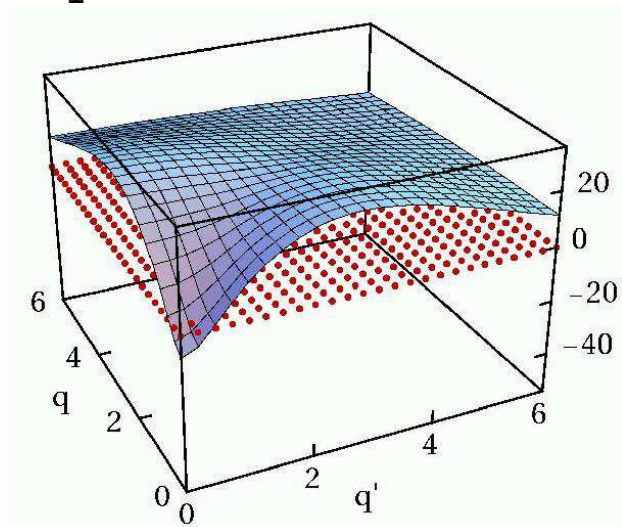
$S = 1, T = 0$



- two-body densities calculated from $0\hbar\Omega$ ^4He and correlated density operators
- high-momentum components dominated by tensor correlations
- long-range correlations should fill up momentum space two-body density above the Fermi momentum

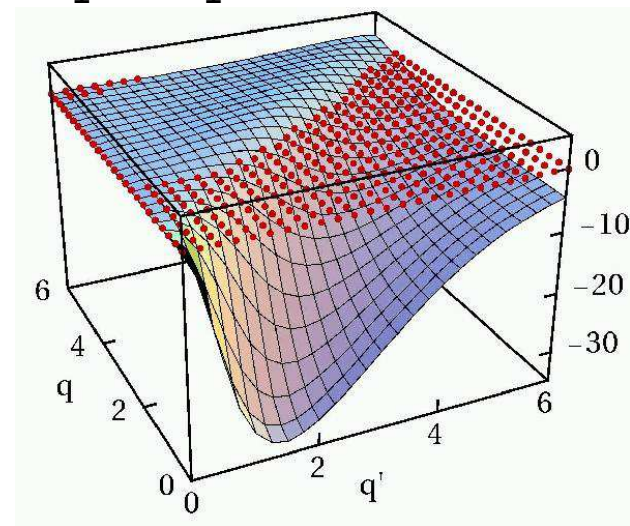
- Unitary Correlation Operator Method
- **Correlated Interaction in Momentum Space**

3S_1 bare



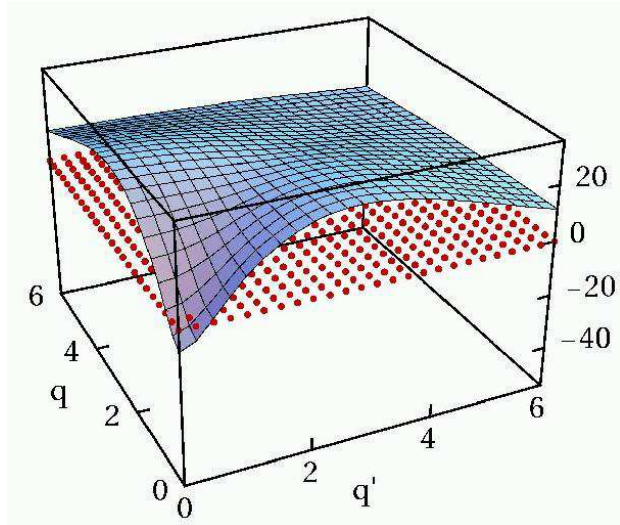
bare interaction has
strong
off-diagonal matrix
elements connecting
to high momenta

$^3S_1 - ^3D_1$ bare



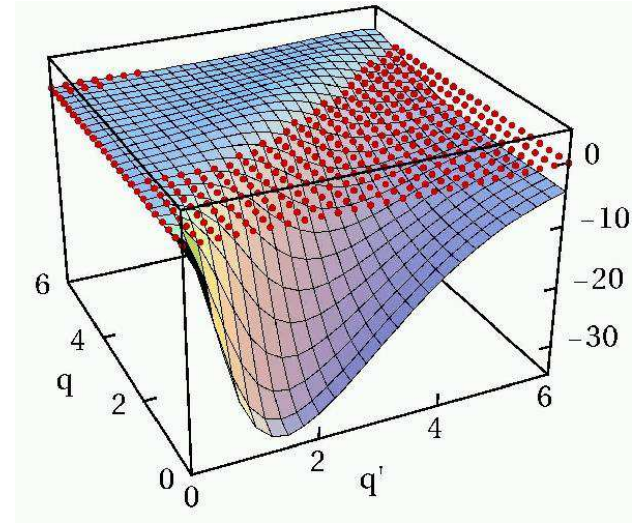
- Unitary Correlation Operator Method
- Correlated Interaction in Momentum Space

3S_1 bare



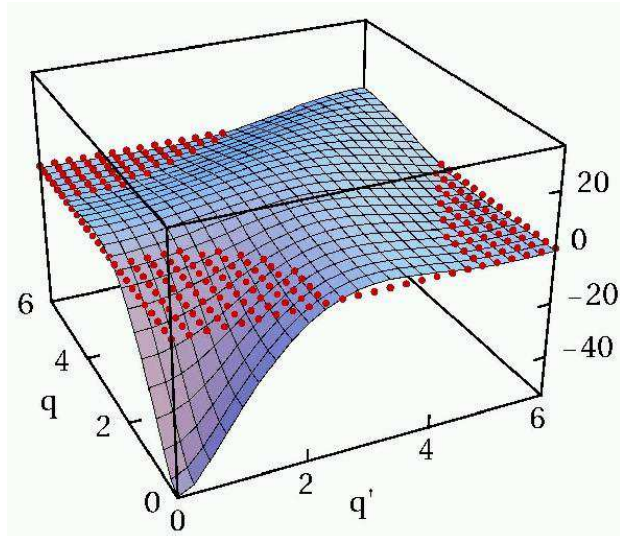
bare interaction has **strong off-diagonal** matrix elements connecting to high momenta

$^3S_1 - ^3D_1$ bare



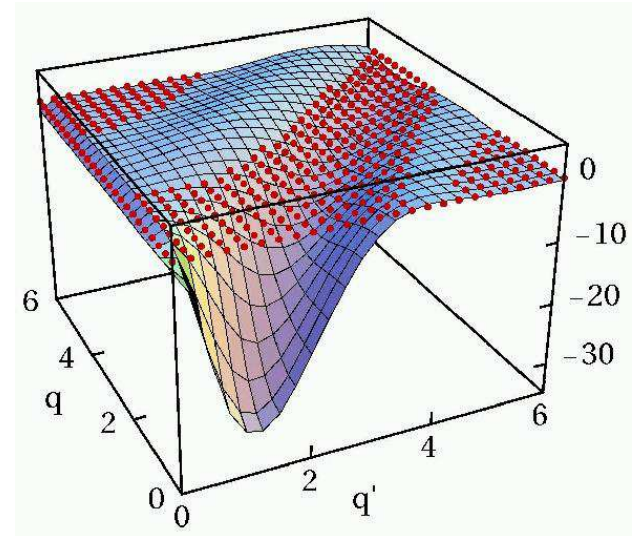
correlated interaction is **more attractive** at low momenta

3S_1 correlated



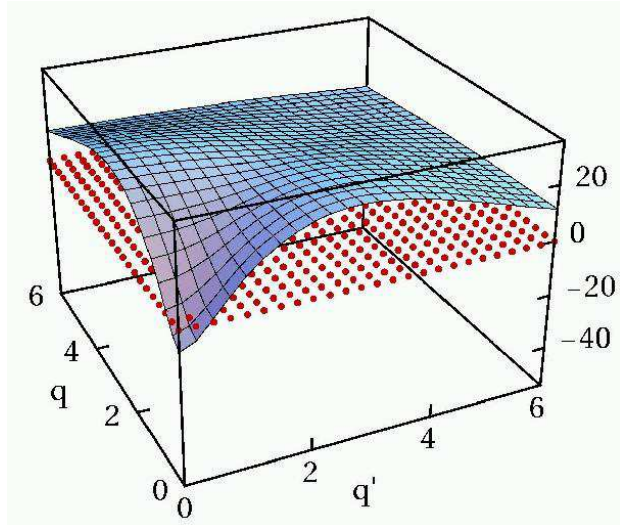
off-diagonal matrix elements connecting low- and high- momentum states are **strongly reduced**

$^3S_1 - ^3D_1$ correlated



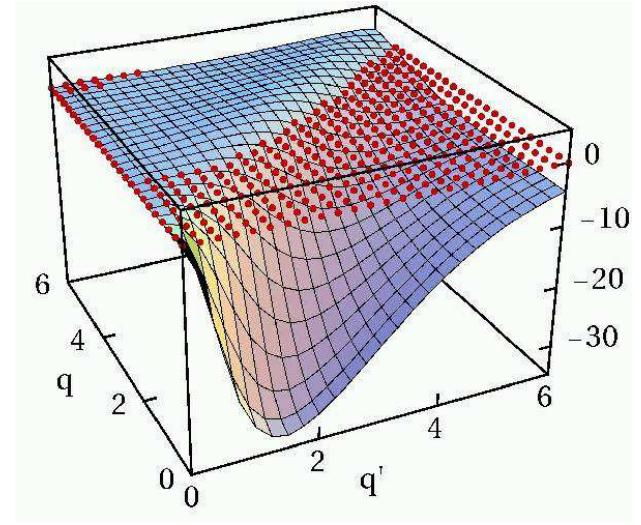
- Unitary Correlation Operator Method
- **Correlated Interaction in Momentum Space**

3S_1 bare



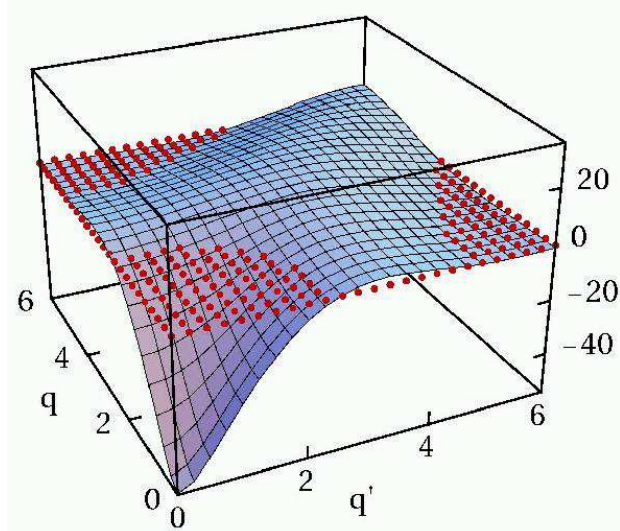
bare interaction has
strong off-diagonal matrix
elements connecting
to high momenta

${}^3S_1 - {}^3D_1$ bare



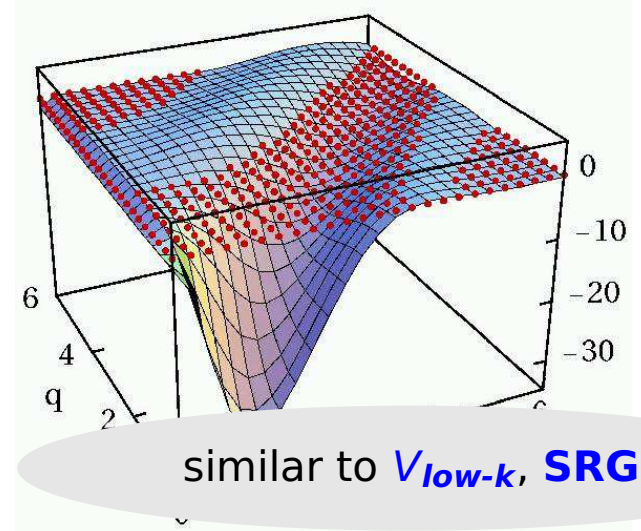
correlated interaction
is **more attractive**
at low momenta

3S_1 correlated



**off-diagonal
matrix elements**
connecting low- and
high- momentum
states are **strongly
reduced**

${}^3S_1 - {}^3D_1$ correlated



similar to V_{low-k} , **SRG**

Similarity Renormalization Group



SRG Interaction

Connection between UCOM and SRG

SRG in Momentum Space

Many-Body Calculations

UCOM and SRG Similarity Renormalization Group

SRG approach

- Unitary transformation of the Hamiltonian

$$\tilde{H}(\alpha) = \tilde{C}^\dagger(\alpha) H \tilde{C}(\alpha)$$

- Transformation given by Flow Equation

$$\tilde{H}(0) = H, \quad \frac{d}{d\alpha} \tilde{H}(\alpha) = [\tilde{\eta}(\alpha), \tilde{H}(\alpha)]_-$$

- Generator $\eta(\alpha)$ chosen to evolve Hamiltonian into band-diagonal structure in momentum-space

$$\tilde{\eta}(\alpha) = [\tilde{T}, \tilde{H}(\alpha)]_-$$

- Technically flow-equation is easily solved in given (momentum) basis

Hergert, Roth, Phys. Rev. C **75**, 051001(R) (2007)
Bogner et. al., Phys. Rev. C **75**, 061001(R) (2007)

SRG and UCOM

- UCOM generators for central and tensor correlations are generated by flow equation

$$[p_r^2, V(r)]_- = -i \left(p_r V'(r) + V'(r) p_r \right)$$

$$\left[\frac{\mathbf{L}^2}{r^2}, V_T(r) \tilde{S}_{12}(\hat{r}, \hat{r}) \right]_- = -4i \frac{V_T(r)}{r^2} \tilde{S}_{12}(\mathbf{r}, \mathbf{p}_\Omega)$$

- Generator for $\alpha = 0$ has the form of the UCOM generator

$$\tilde{\eta}(0) = \frac{i}{2} \left(p_r S(r) + S(r) p_r \right) + i \Theta(r) \tilde{S}_{12}(\mathbf{r}, \mathbf{p}_\Omega)$$

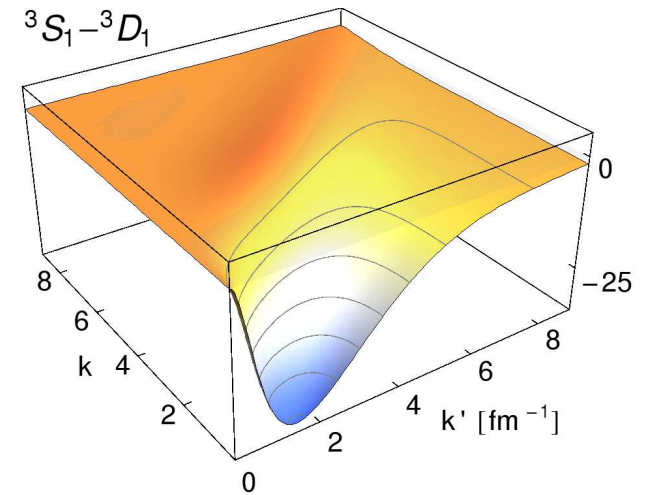
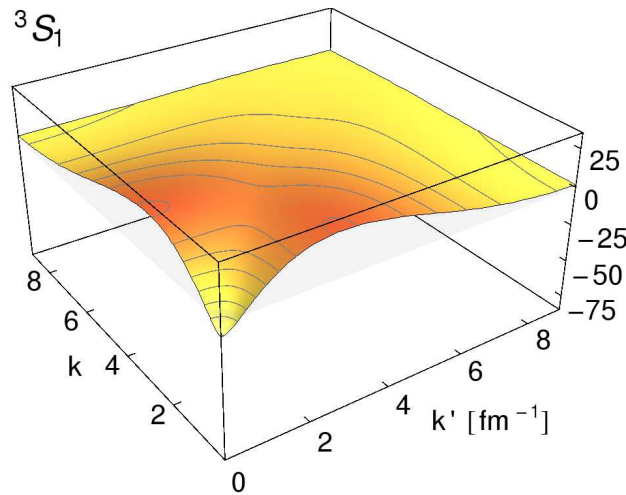
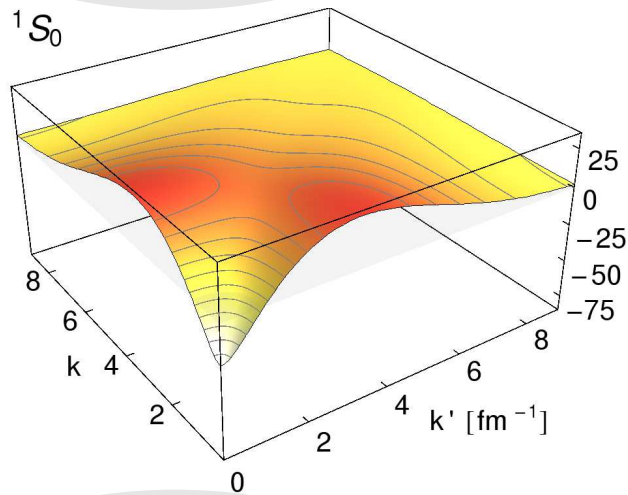
UCOM(SRG)

- Define UCOM correlation functions by mapping of S- and P-wave zero-energy scattering solutions:

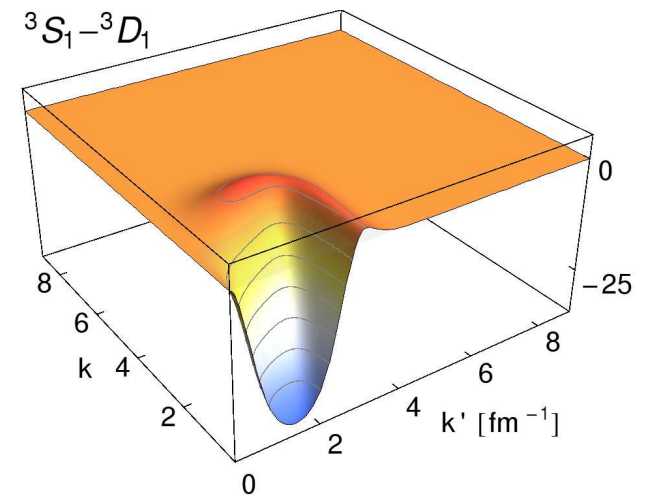
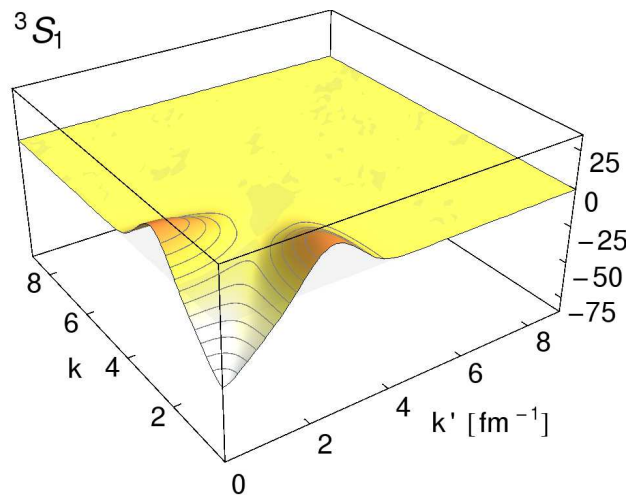
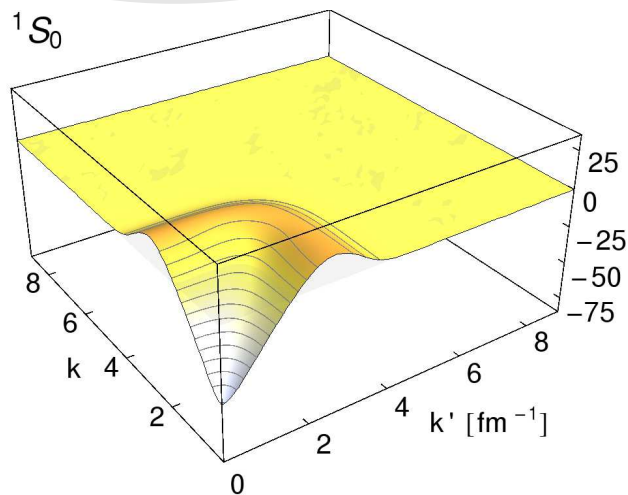
$$|\tilde{\psi}(0)\rangle = \tilde{C}_\Omega \tilde{C}_r |\tilde{\psi}(\alpha)\rangle$$

SRG Evolution

AV18



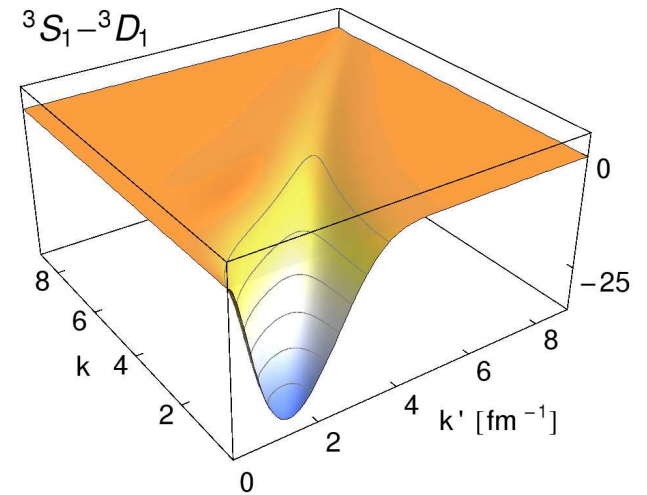
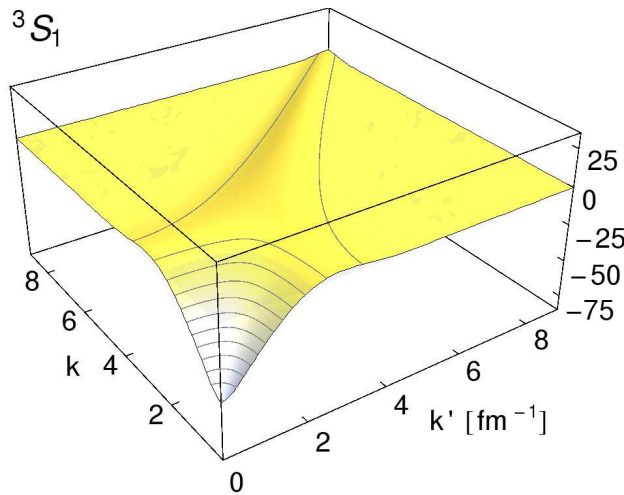
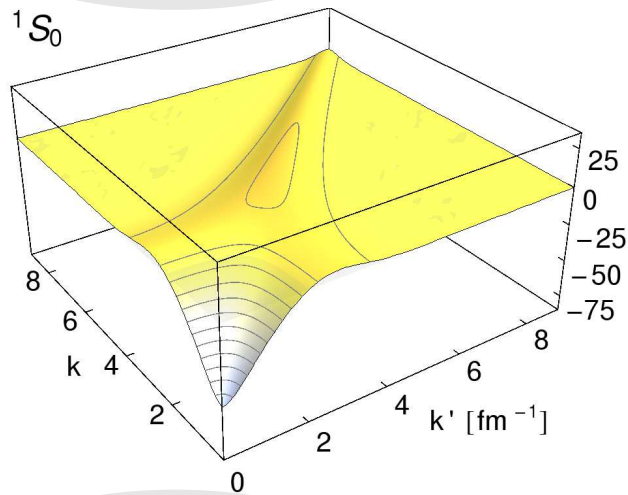
N3LO



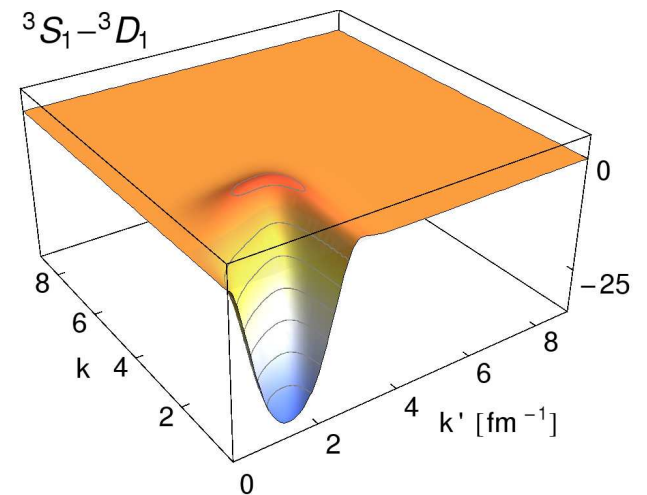
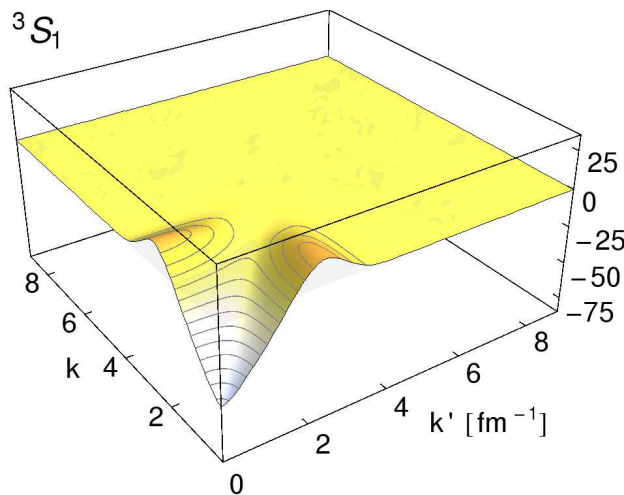
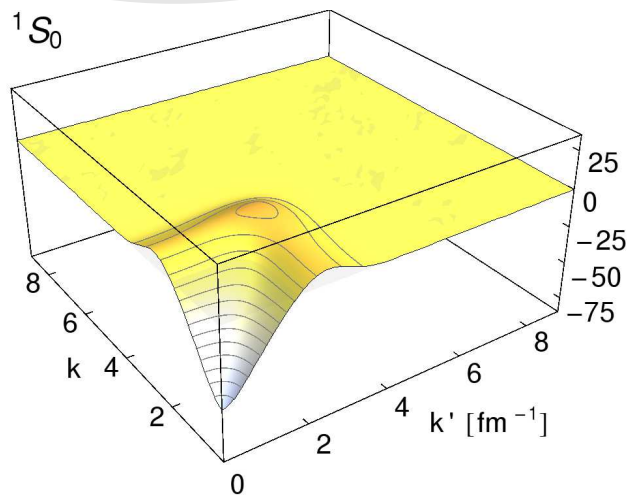
$$\alpha = 0.00 \text{ fm}^4$$

SRG Evolution

AV18



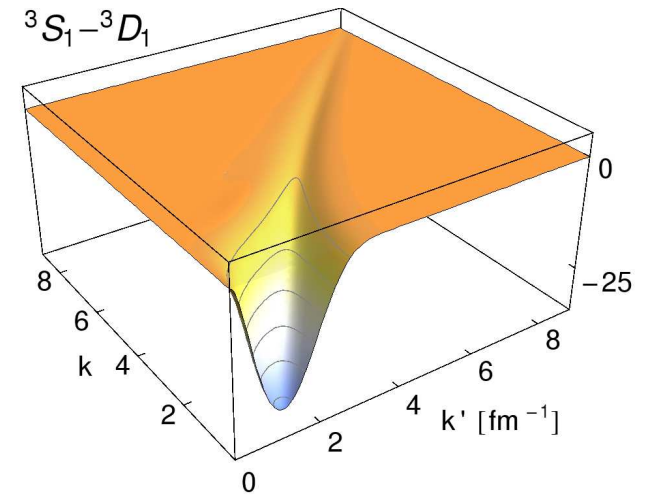
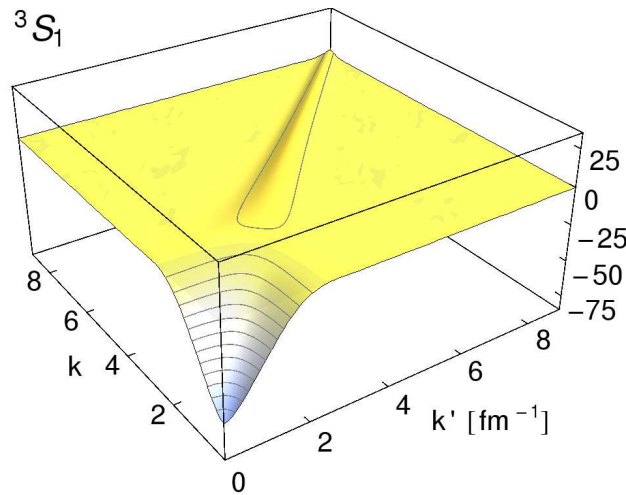
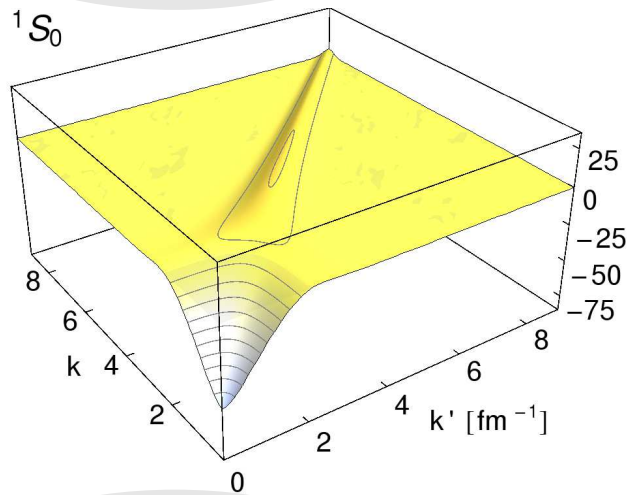
N3LO



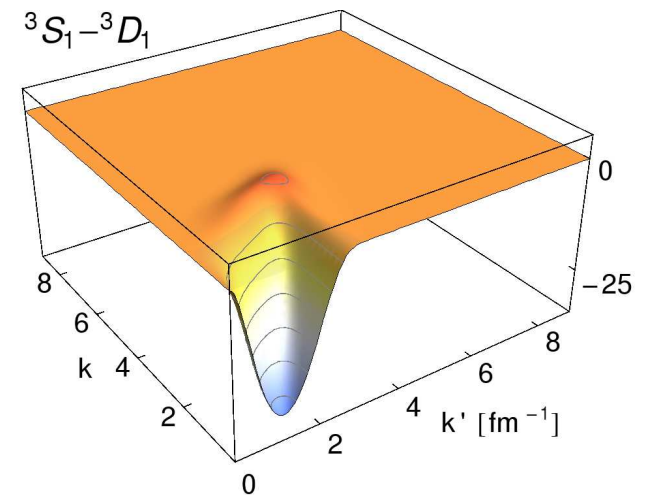
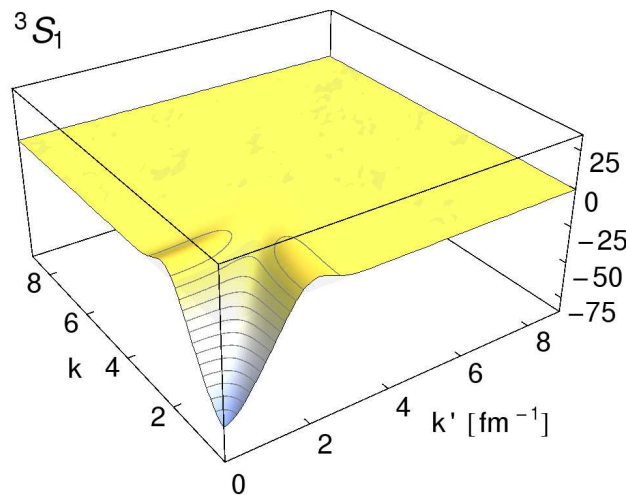
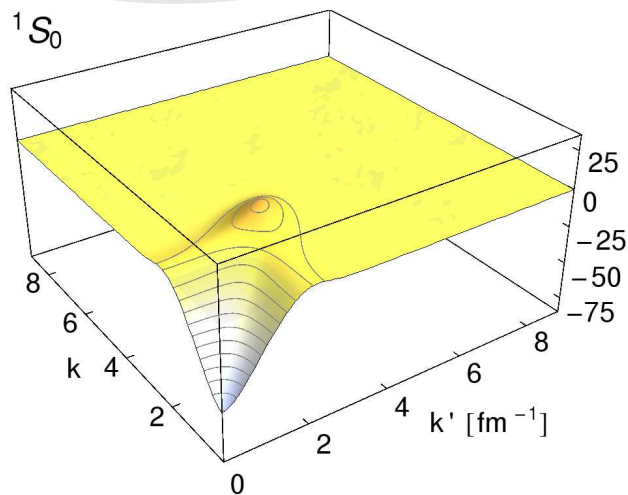
$$\alpha = 0.01 \text{ fm}^4$$

SRG Evolution

AV18



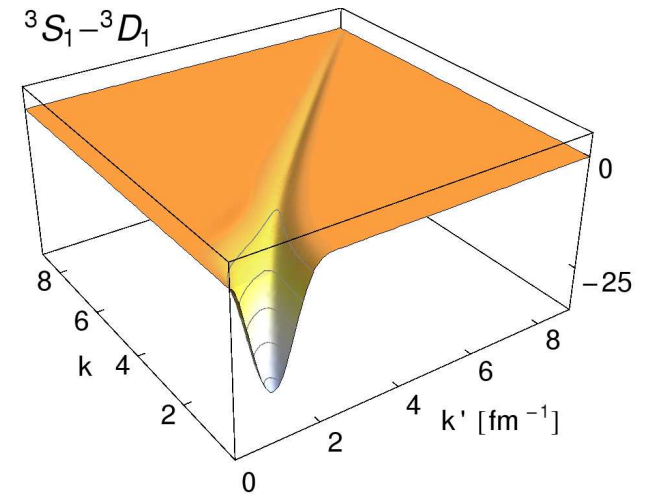
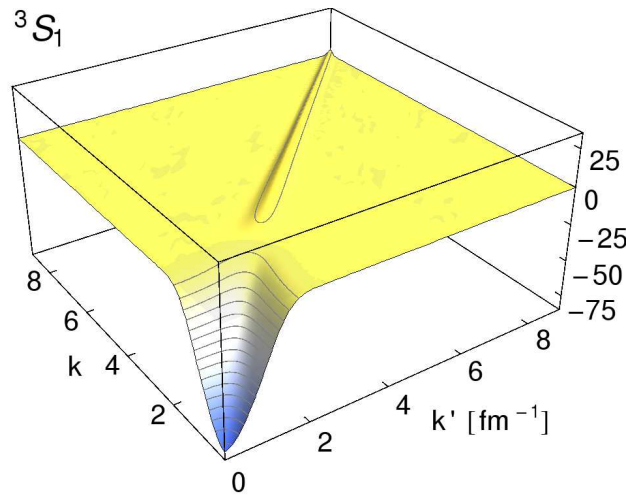
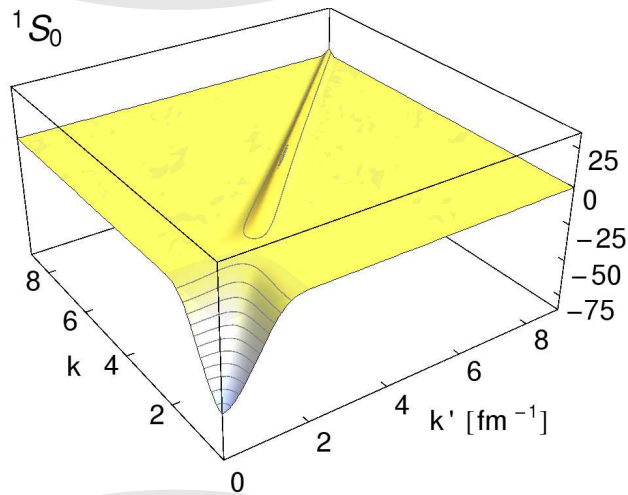
N3LO



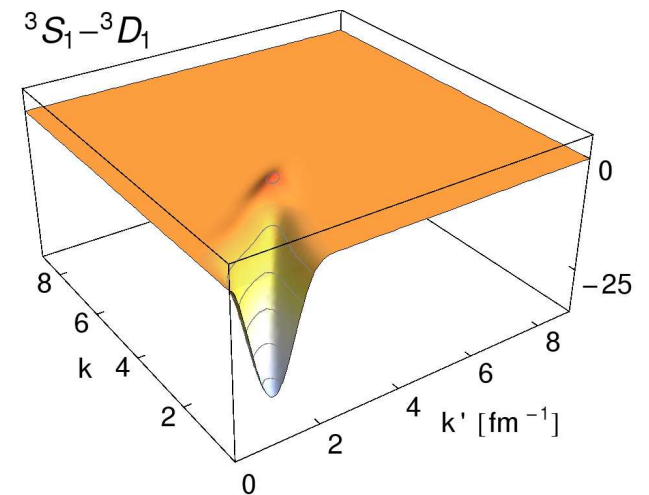
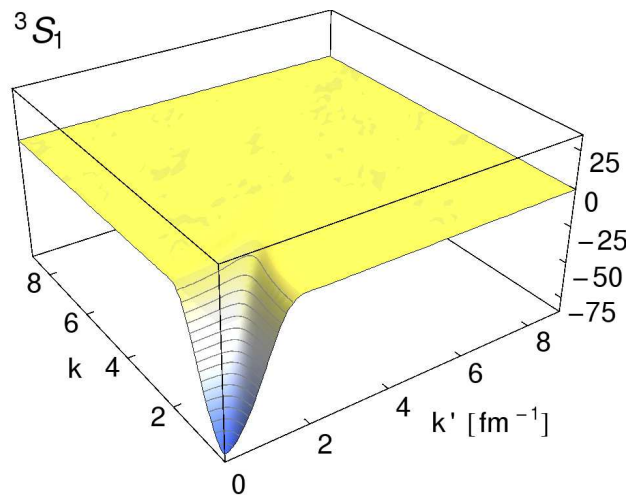
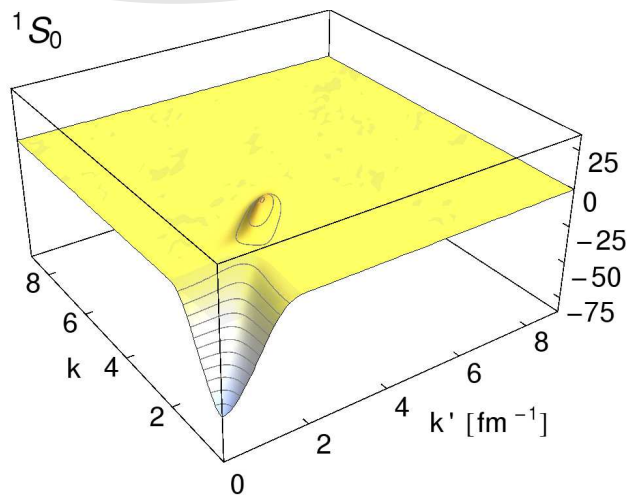
$$\alpha = 0.04 \text{ fm}^4$$

SRG Evolution

AV18



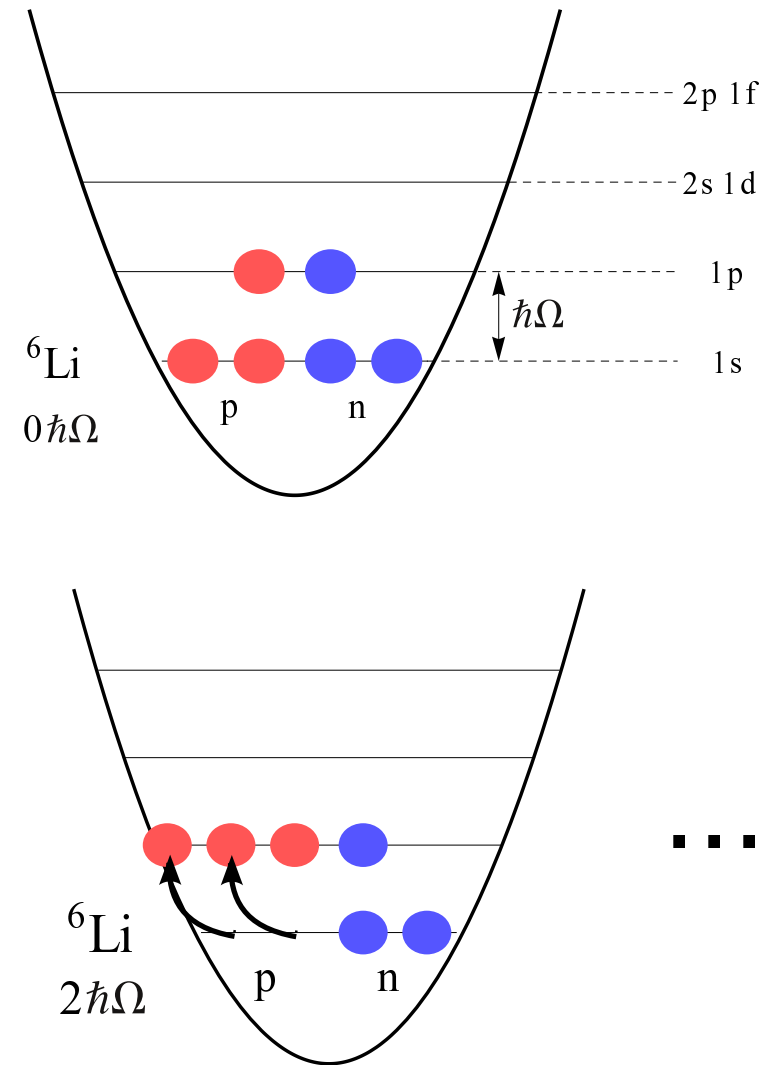
N3LO



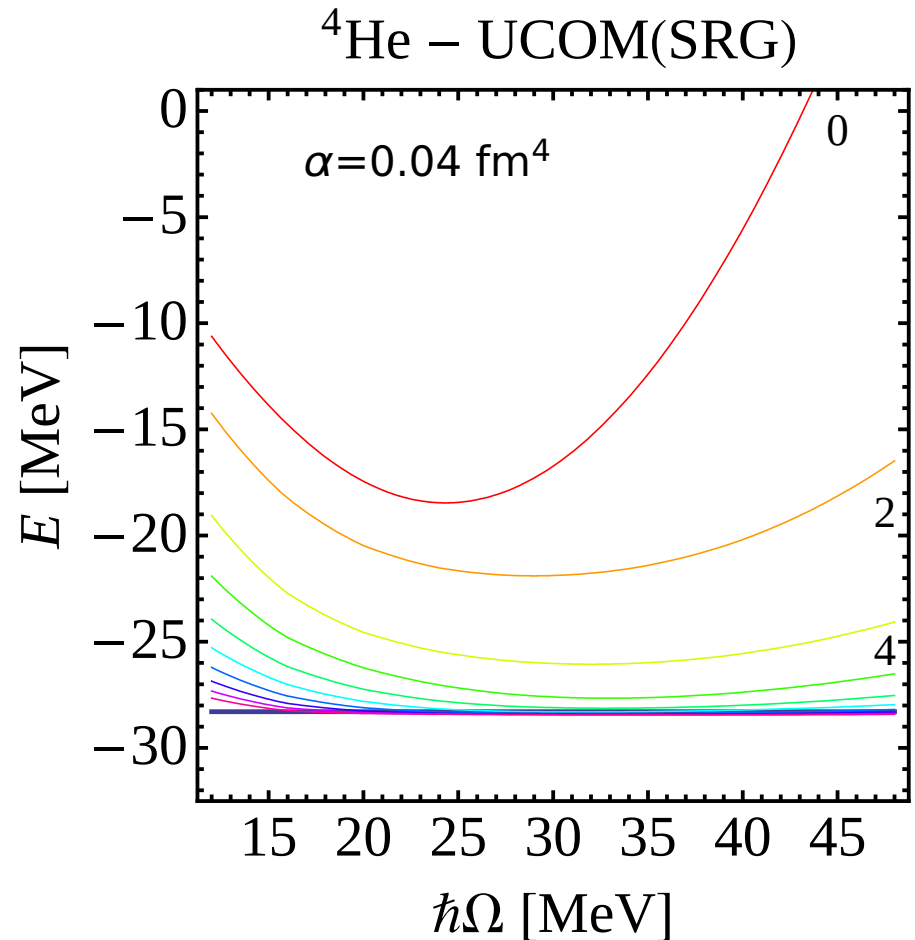
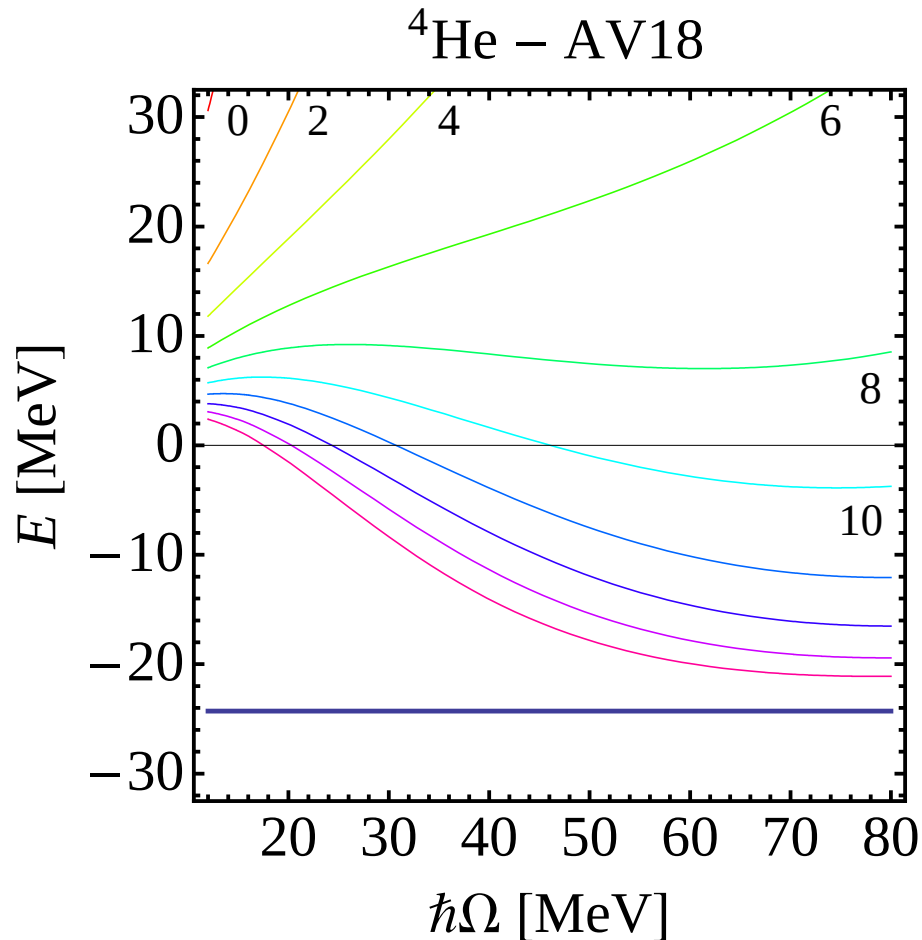
$\alpha = 0.20\text{fm}^4$

No-Core Shell Model

- Diagonalize Hamiltonian
- Slater determinants built from harmonic oscillator single-particle states
- $0\hbar\Omega$ configurations: occupy lowest single particle orbits
- $N\hbar\Omega$ configurations: N oscillator quanta above $0\hbar\Omega$ configuration
- use all configurations with $N \leq N_{\max}$
- Check for convergence with respect to $N_{\max}$
- Check for independence with respect to oscillator parameter
- Model space sizes grow rapidly with N and A



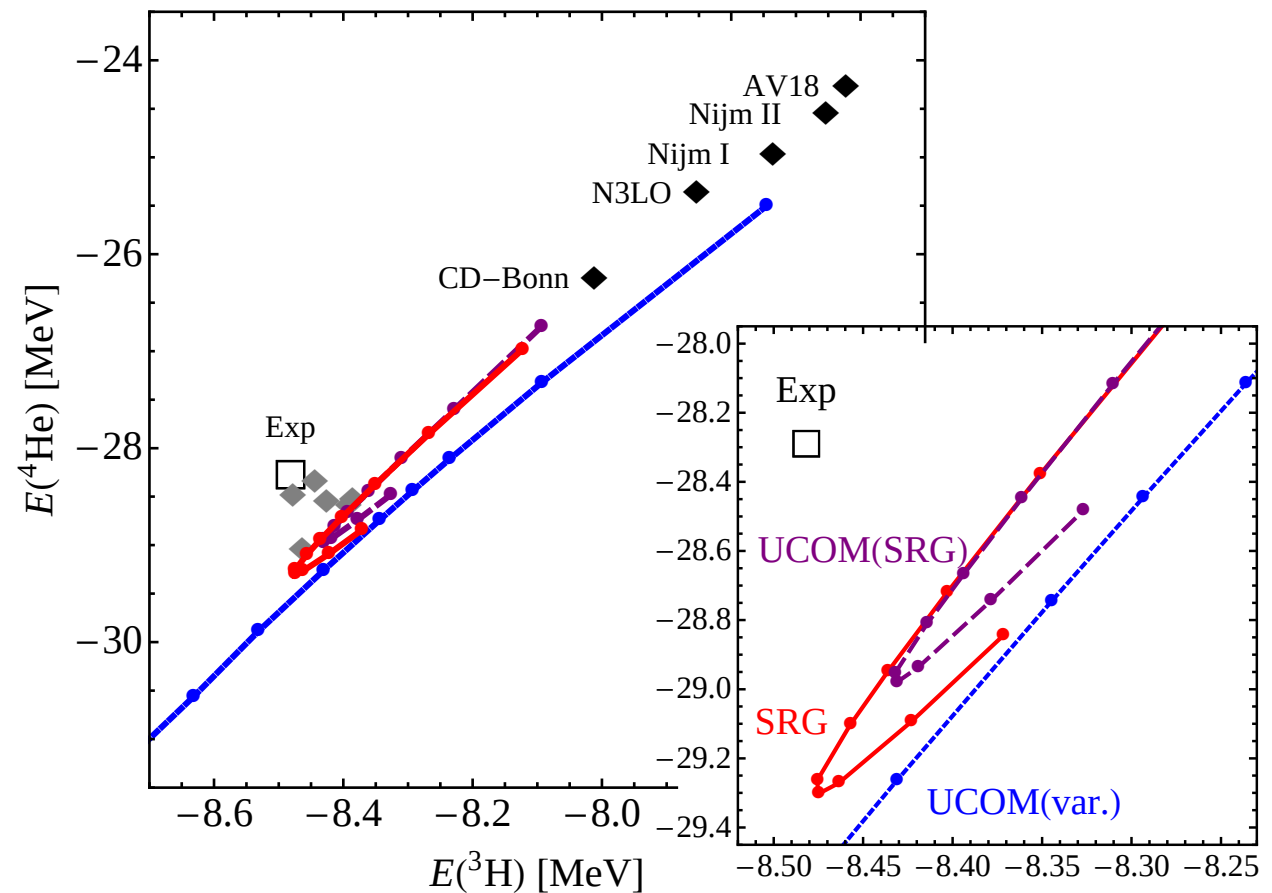
- UCOM(SRG)
- No-Core Shell Model Calculations



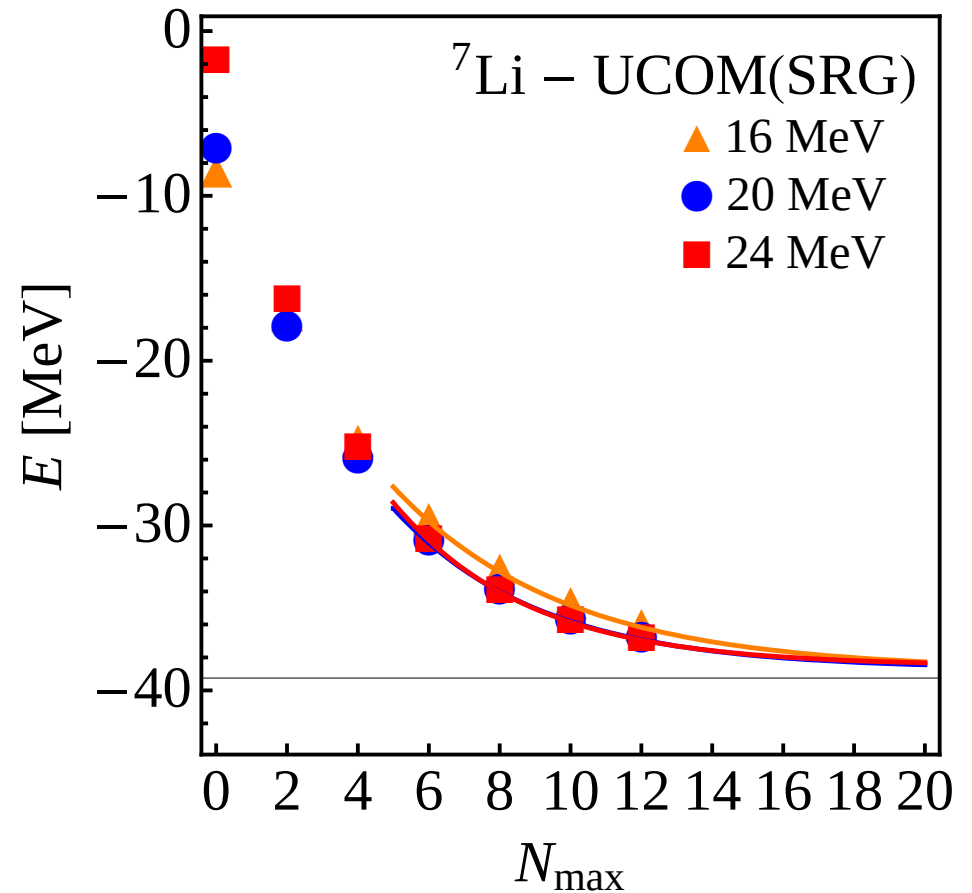
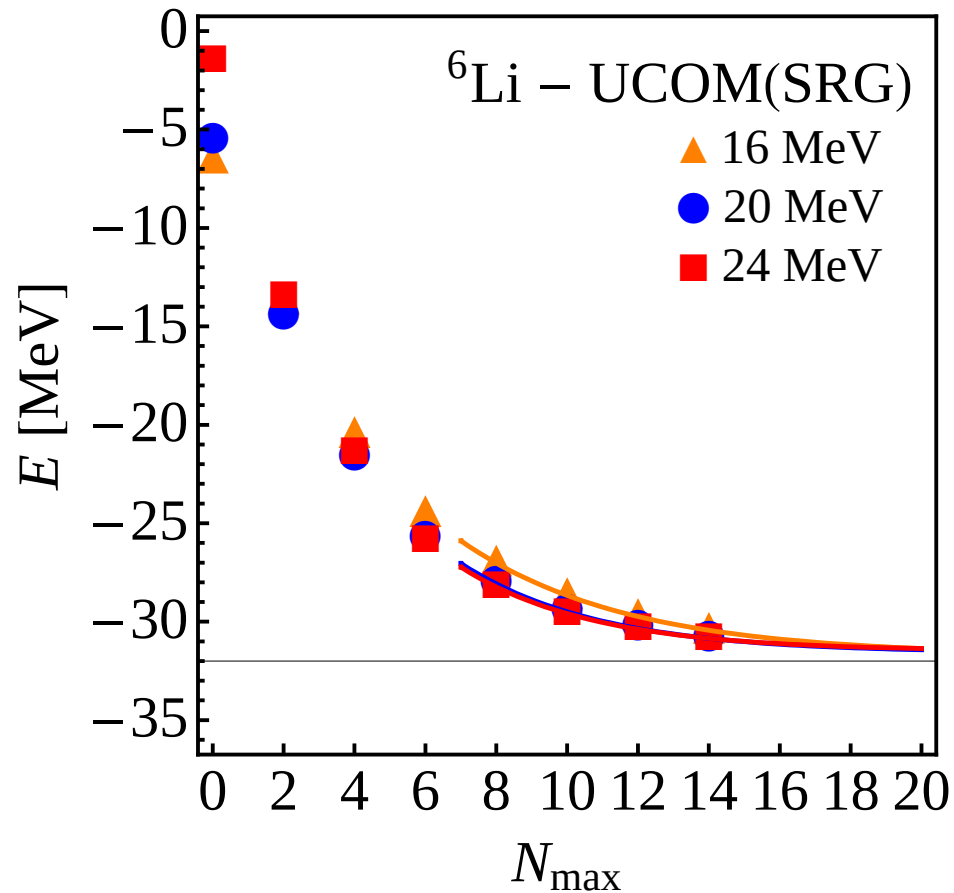
- convergence much improved compared to bare interaction
- effective interaction – in two-body approximation – converges to different energy than bare interaction

UCOM and SRG Tjon-line

- transformations are not unitary on the many-body level!
- many-body results depend on the flow parameter α
- unitarily transformed two-body Hamiltonian gives results closer to experimental results for light nuclei
- partial cancellation of induced and genuine three-body forces

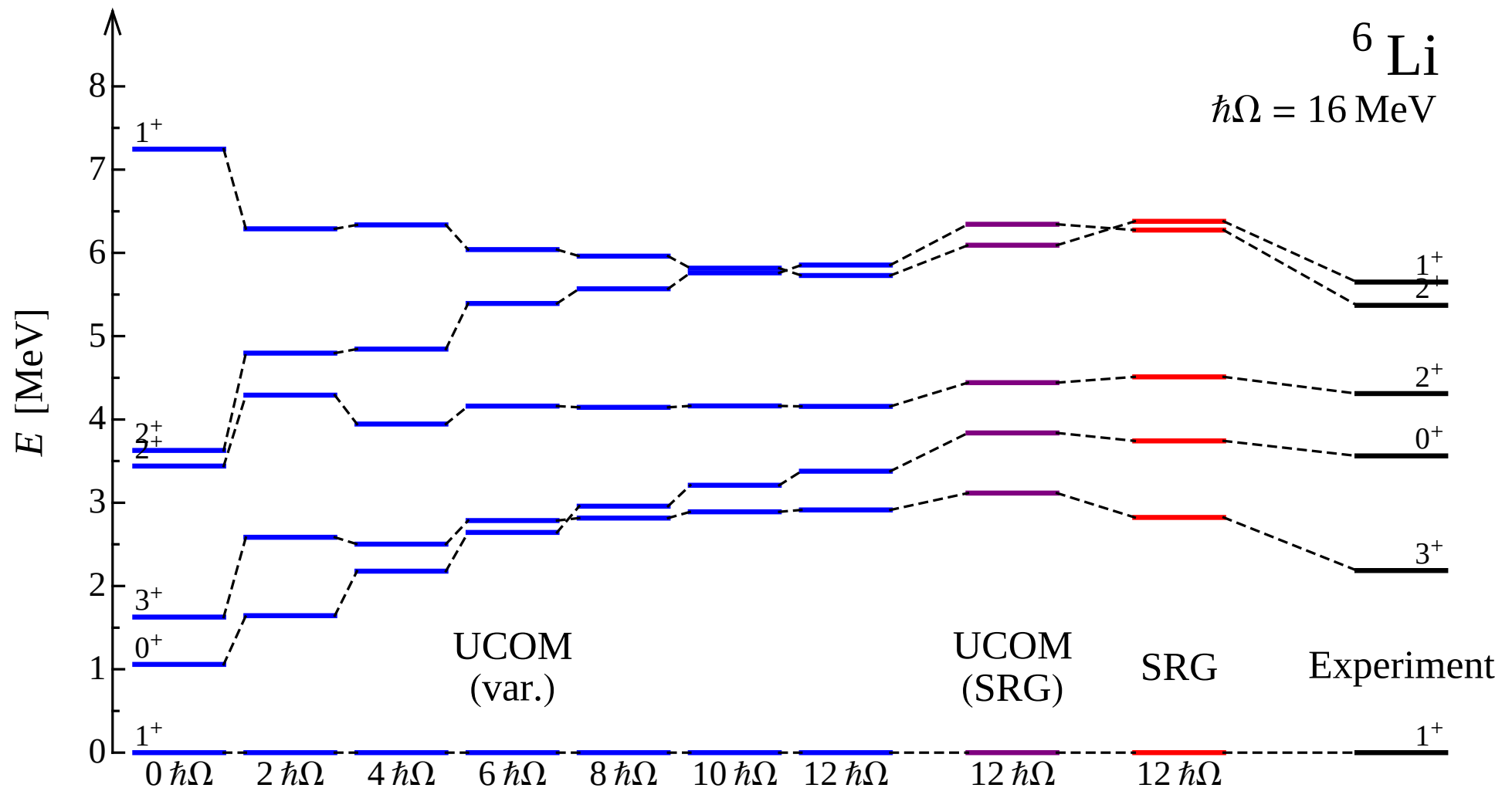


- UCOM(SRG)
- NCSM ${}^6\text{Li}/{}^7\text{Li}$ ground state energy

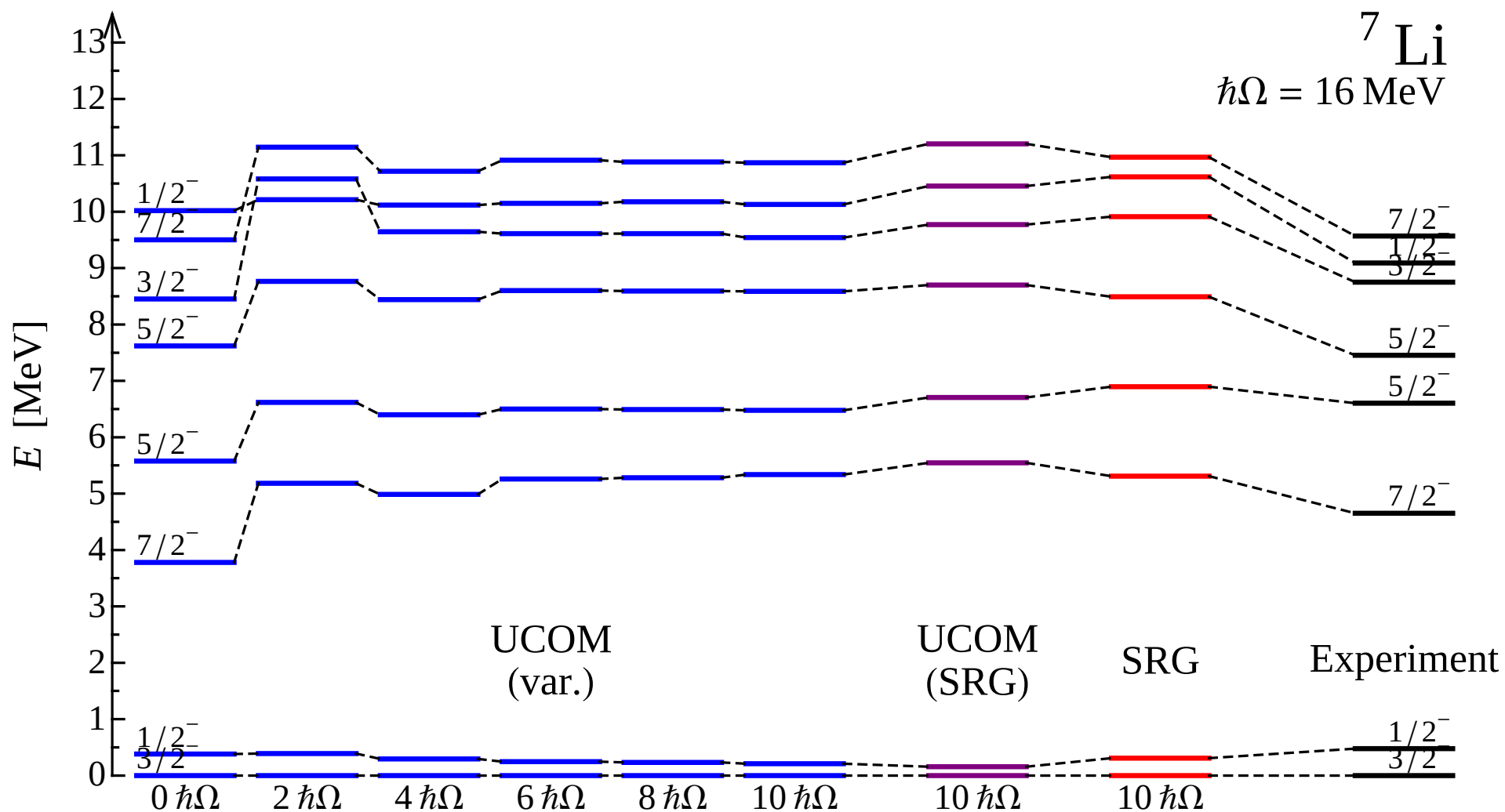


- effective two-body interaction also works well for (slightly) heavier nuclei

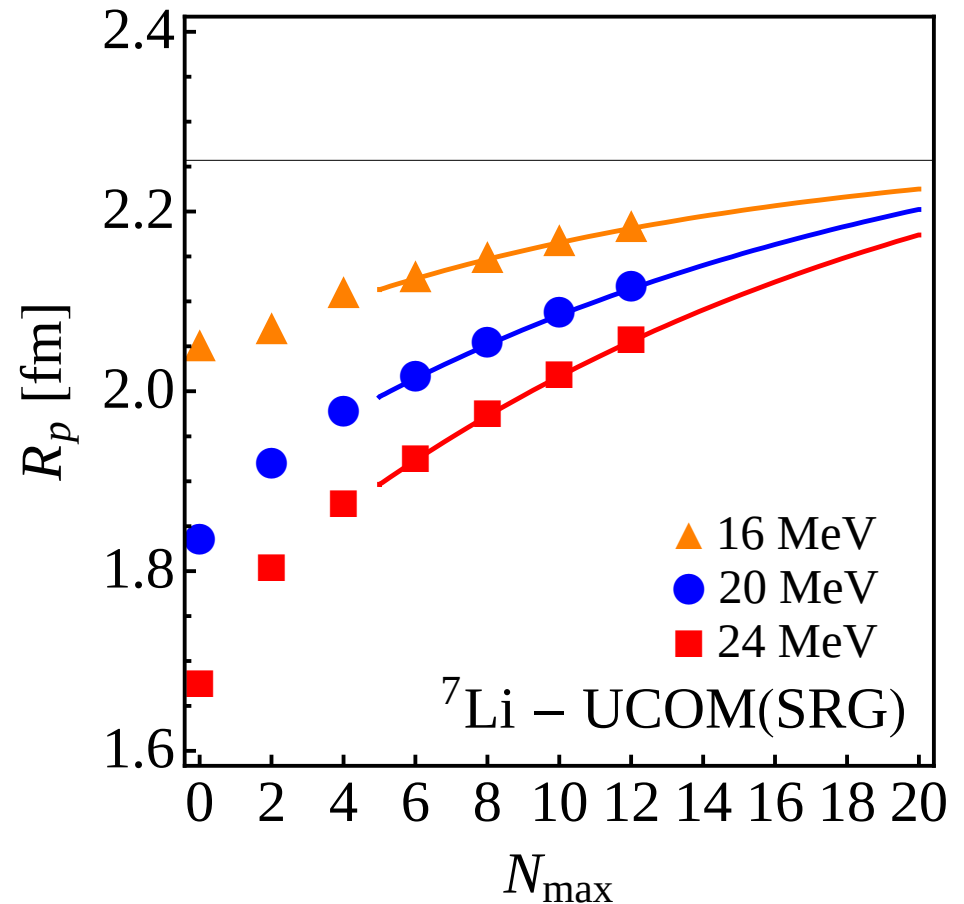
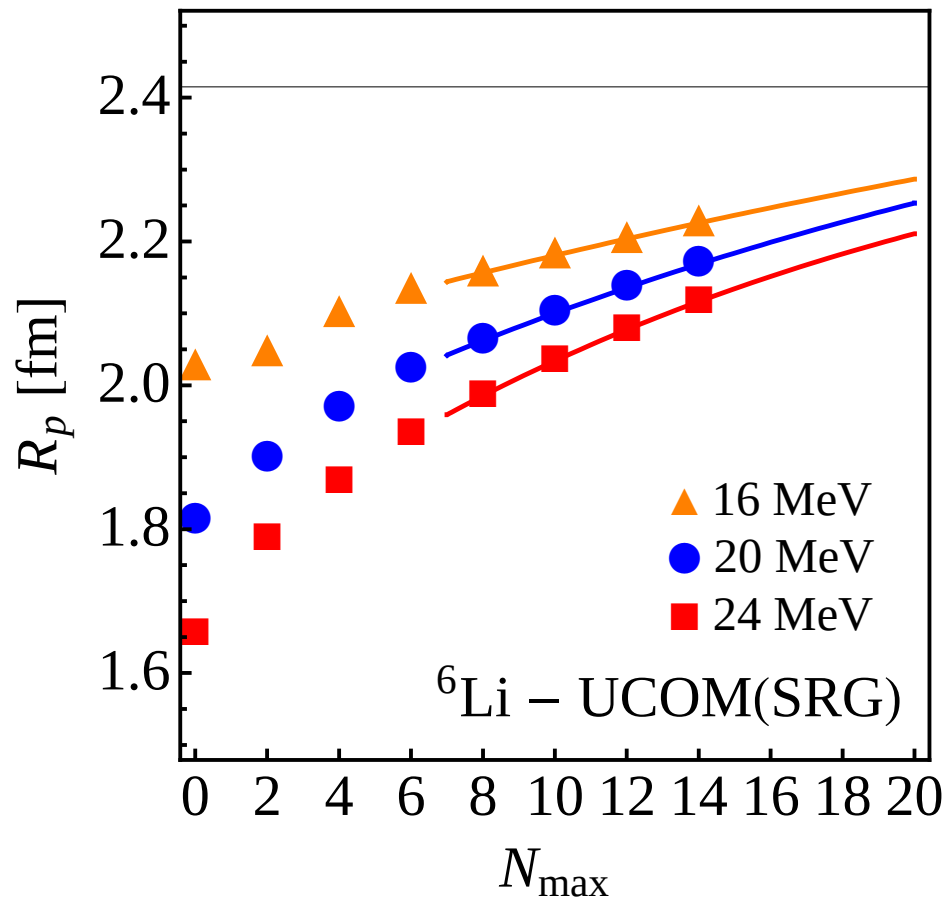
- UCOM and SRG
- NCSM Li6 spectrum



- UCOM and SRG
- NCSM ^7Li spectrum

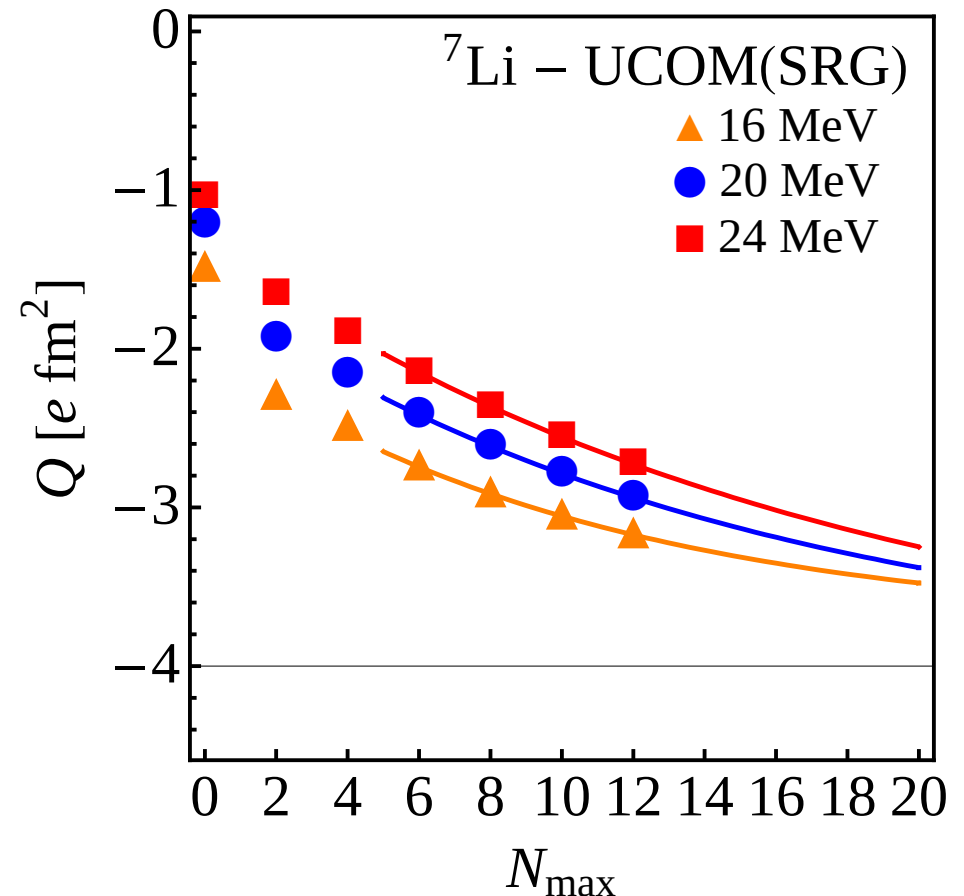
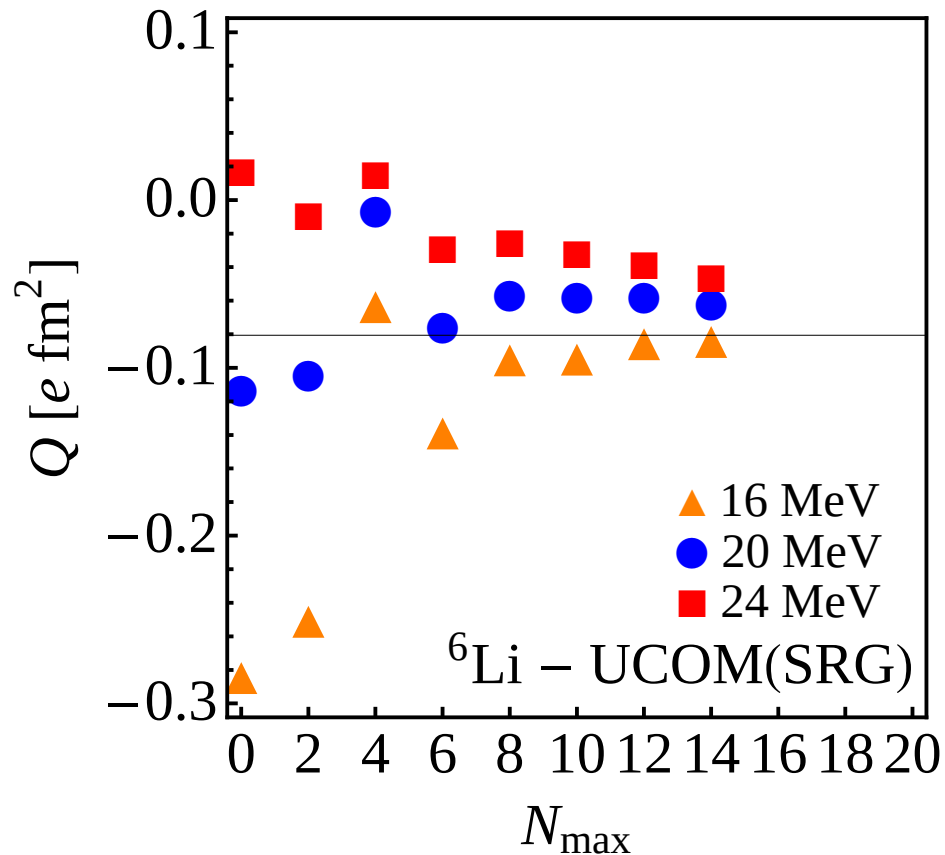


- UCOM(SRG)
- NCSM ${}^6\text{Li}/{}^7\text{Li}$ radii



- radii converge worse than energies
- harmonic oscillator basis not well suited to describe tails of weakly bound nuclei

- UCOM(SRG)
- NCSM $^6\text{Li}/^7\text{Li}$ quadrupole moments



- ^6Li quadrupole moment small and negative – essentially $L = 0$ relative motion of deuteron relative to ^4He
- ^3H - ^4He cluster structure in ^7Li – slow convergence in NCSM model space

Summary: UCOM ...

- Realistic nucleon-nucleon interactions describe the deuteron and the nucleon-nucleon scattering data
- Realistic nucleon-nucleon interactions induce strong short-range central and tensor correlations
- Short-range correlations can be “absorbed” by unitary transformations (UCOM/SRG)
- Unitarily transformed interactions decouple low- and high-momentum modes
- Such “low-momentum” interactions make many-body calculations possible
- The No-Core Shell is a very powerful many-body approach – but the harmonic oscillator basis is not very suited for loosely bound systems

Overview: FMD



**Fermionic Molecular Dynamics (FMD)
Wave Functions**

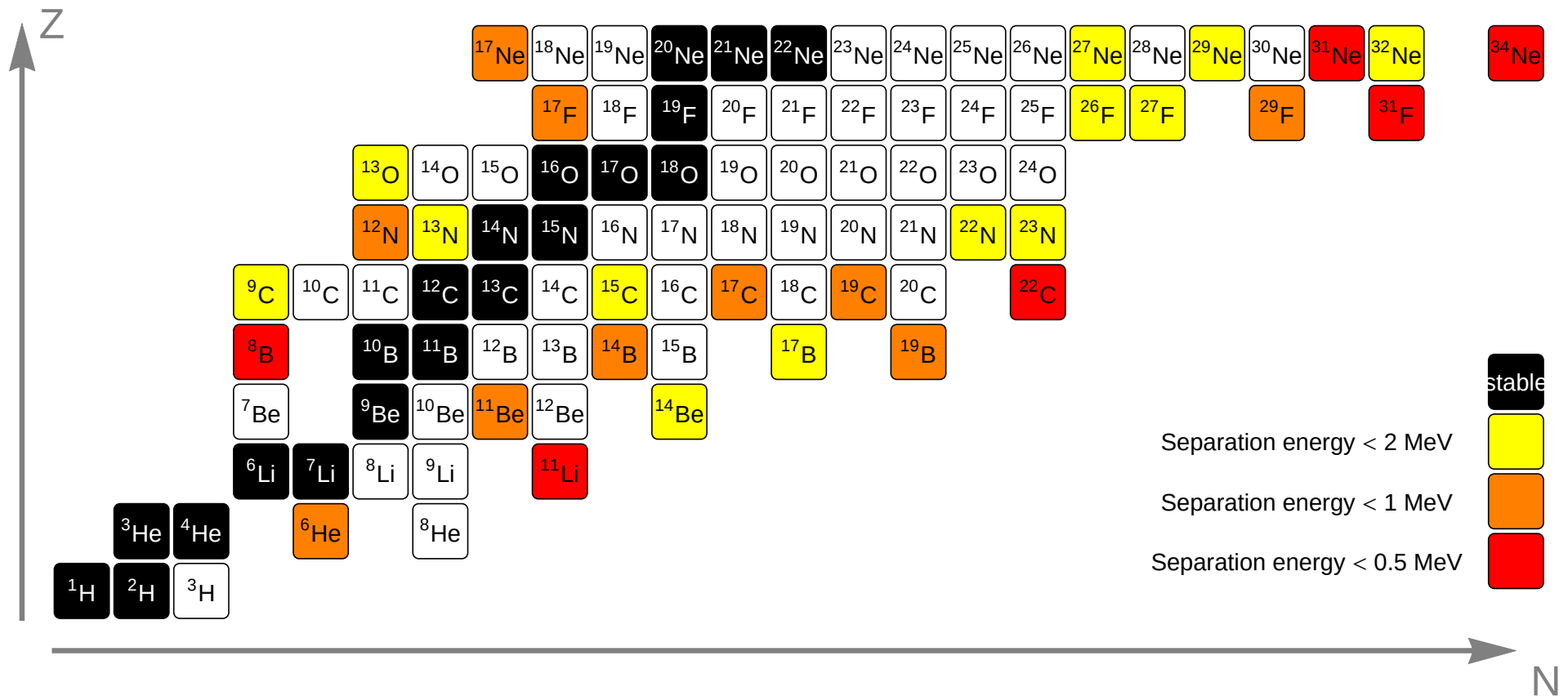
Nucleon-Nucleon Interaction

Mean-Field Calculations

**Projection After Variation,
Variation After Projection
and Multiconfiguration Mixing**

Clustering and Delocalization

Exotica: Special Challenges



- ➡ states close to one-nucleon, two-nucleon or cluster thresholds can have well developed **halo** or **cluster** structure
- ➡ these are hard to tackle in the harmonic oscillator basis

Fermionic

Slater determinant

$$|Q\rangle = \mathcal{A} \left(|q_1\rangle \otimes \cdots \otimes |q_A\rangle \right)$$

- antisymmetrized A-body state

Molecular

single-particle states

$$\langle \mathbf{x} | q \rangle = \sum_i c_i \exp \left\{ -\frac{(\mathbf{x} - \mathbf{b}_i)^2}{2a_i} \right\} \otimes |\chi_i^\uparrow, \chi_i^\downarrow\rangle \otimes |\xi\rangle$$

- Gaussian wave-packets in phase-space (complex parameter $\mathbf{b}_i$ encodes mean position and mean momentum), spin is free, isospin is fixed
- width a_i is an independent variational parameter for each wave packet
- superposition of two wave packets for each single particle state

Gaussian Wave Packets

- Wave Packet

$$\langle \mathbf{x} | a, \mathbf{b} \rangle = \exp \left\{ -\frac{(\mathbf{x} - \mathbf{b})^2}{2a} \right\}$$

- Norm

$$\langle a, \mathbf{b} | a, \mathbf{b} \rangle = \left(2\pi \frac{a^* a}{a^* + a} \right)^{3/2} \exp \left\{ -\frac{(\mathbf{b}^* - \mathbf{b})^2}{2(a^* + a)} \right\}$$

- Mean Position, Mean Momentum

$$\frac{\langle a, \mathbf{b} | \tilde{\mathbf{x}} | a, \mathbf{b} \rangle}{\langle a, \mathbf{b} | a, \mathbf{b} \rangle} = \frac{a^* \mathbf{b} + a \mathbf{b}^*}{a^* + a}, \quad \frac{\langle a, \mathbf{b} | \tilde{\mathbf{k}} | a, \mathbf{b} \rangle}{\langle a, \mathbf{b} | a, \mathbf{b} \rangle} = i \frac{\mathbf{b}^* - \mathbf{b}}{a^* + a}$$

- Variance of Position and Momentum

$$\frac{\langle a, \mathbf{b} | (\tilde{\mathbf{x}} - \langle \tilde{\mathbf{x}} \rangle)^2 | a, \mathbf{b} \rangle}{\langle a, \mathbf{b} | a, \mathbf{b} \rangle} = 3 \frac{a^* a}{a^* + a} \quad \frac{\langle a, \mathbf{b} | (\tilde{\mathbf{k}} - \langle \tilde{\mathbf{k}} \rangle)^2 | a, \mathbf{b} \rangle}{\langle a, \mathbf{b} | a, \mathbf{b} \rangle} = 3 \frac{1}{a^* + a}$$

Gaussian Wave Packets - Transformations

- Translation by $\mathbf{d}$

$$\langle \mathbf{x} | \tilde{T}_{\mathbf{d}} | a, \mathbf{b} \rangle = \exp \left\{ -\frac{(\mathbf{x} - (\mathbf{b} + \mathbf{d}))^2}{2a} \right\} = | a, \mathbf{b} + \mathbf{d} \rangle$$

- Boost with $\mathbf{v}$

$$\begin{aligned} \langle \mathbf{x} | \tilde{B}_{\mathbf{v}} | a, \mathbf{b} \rangle &= \exp \left\{ -\frac{(\mathbf{x} - (\mathbf{b} + ima\mathbf{v}))^2}{2a} \right\} \cdot \exp \left\{ im\mathbf{b} \cdot \mathbf{v} - \frac{a}{2}m^2\mathbf{v}^2 \right\} \\ &= | a, \mathbf{b} + ima\mathbf{v} \rangle \cdot \exp \left\{ im\mathbf{b} \cdot \mathbf{v} - \frac{a}{2}m^2\mathbf{v}^2 \right\} \end{aligned}$$

- Parity

$$\langle \mathbf{x} | \tilde{\Pi} | a, \mathbf{b} \rangle = \exp \left\{ -\frac{(\mathbf{x} + \mathbf{b})^2}{2a} \right\} = | a, -\mathbf{b} \rangle$$

- Rotation by $\Omega = (\alpha, \beta, \gamma)$

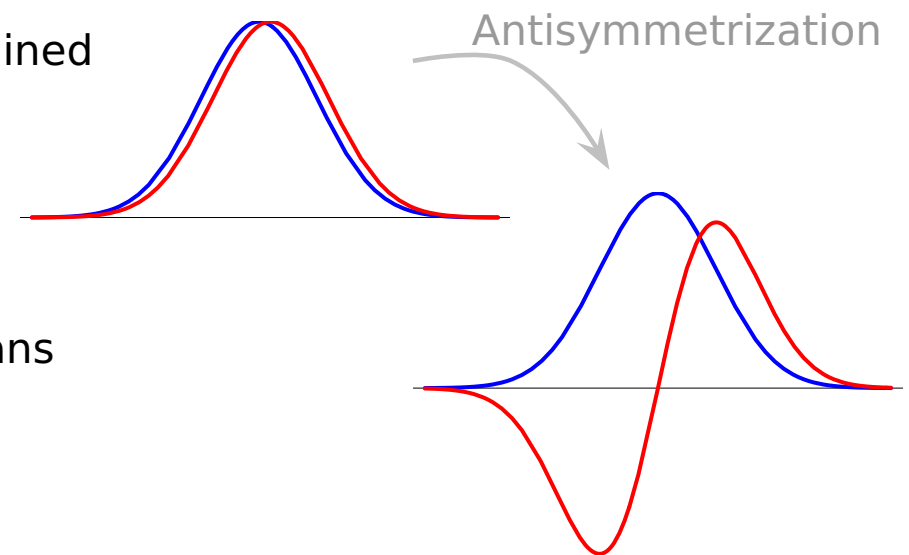
$$\langle \mathbf{x} | \tilde{R}(\Omega) | a, \mathbf{b} \rangle = \exp \left\{ -\frac{(\mathbf{x} - R_3(\Omega) \cdot \mathbf{b})^2}{2a} \right\} = | a, R_3(\Omega) \cdot \mathbf{b} \rangle$$

Gaussian Wave Packets and Harmonic Oscillator

- Slater determinant invariant under linear transformation of single-particle states
- Harmonic Oscillator wave functions can be obtained by linear combinations of Gaussians
- Create *s*- and *p*-wave Harmonic Oscillator wave functions with two slightly shifted Gaussians

$$\lim_{\Delta \rightarrow 0} \frac{1}{2} (\langle x | a, +\Delta \rangle + \langle x | a, -\Delta \rangle) = \langle x | a, 0 \rangle$$

$$\lim_{\Delta \rightarrow 0} \frac{1}{2\Delta} (\langle x | a, +\Delta \rangle - \langle x | a, -\Delta \rangle) = x \langle x | a, 0 \rangle$$



Time-dependent

Time-dependent variational principle

$$\delta \int dt \frac{\langle Q | i \frac{d}{dt} - \hat{H} | Q \rangle}{\langle Q | Q \rangle} = 0$$

➡ describe heavy-ion reactions, thermodynamics with ergodic ensembles

Time-independent

Ritz variational principle

$$\delta \frac{\langle Q | \hat{H} - \hat{T}_{\text{cm}} | Q \rangle}{\langle Q | Q \rangle} = 0$$

➡ **minimize** expectation value with respect to all the parameters $q_k = \{c_k, a_k, b_k, \chi_k\}$, $k = 1 \dots A$

➡ need analytical gradients

$$\frac{\partial}{\partial q_i^*} \frac{\langle Q | \hat{H} - \hat{T}_{\text{cm}} | Q \rangle}{\langle Q | Q \rangle}$$

Non-Orthogonal Basis

- Slater determinant is the antisymmetrized product state

$$\begin{aligned} |Q\rangle &= \mathcal{A} \left(|q_1\rangle \otimes \cdots \otimes |q_A\rangle \right) \\ &= \frac{1}{A!} \sum_{\mathcal{P}} (-1)^{\mathcal{P}} (|q_{\mathcal{P}(1)}\rangle \otimes \cdots \otimes |q_{\mathcal{P}(A)}\rangle) \end{aligned}$$

- Antisymmetrization operator is a projection operator

$$\mathcal{A}\mathcal{A} = \mathcal{A}$$

- Many-Body Overlap

$$\begin{aligned} \langle Q | Q \rangle &= \left(\langle q_1 | \otimes \cdots \otimes \langle q_A | \right) \mathcal{A}^\dagger \mathcal{A} \left(|q_1\rangle \otimes \cdots \otimes |q_A\rangle \right) \\ &= \left(\langle q_1 | \otimes \cdots \otimes \langle q_A | \right) \mathcal{A} \left(|q_1\rangle \otimes \cdots \otimes |q_A\rangle \right) \\ &= \left(\langle q_1 | \otimes \cdots \otimes \langle q_A | \right) \frac{1}{A!} \sum_{\mathcal{P}} (-1)^{\mathcal{P}} (|q_{\mathcal{P}(1)}\rangle \otimes \cdots \otimes |q_{\mathcal{P}(A)}\rangle) \\ &= \frac{1}{A!} \det (\langle q_k | q_l \rangle) \end{aligned}$$

Non-Orthogonal Basis

- Non-orthogonal basis states $|q_k\rangle$

$$\langle q_k | q_l \rangle = n_{kl} \neq \delta_{kl}$$

- Transform into orthogonal basis

$$|\phi_\alpha\rangle = \sum_{m=1}^A |q_m\rangle (n^{-1/2})_{m\alpha}$$

- Check

$$\begin{aligned} \langle \phi_\alpha | \phi_\beta \rangle &= \sum_{m,n} (n^{-1/2})_{\alpha m} \langle q_m | q_n \rangle (n^{-1/2})_{n\beta} \\ &= \sum_{m,n} (n^{-1/2})_{\alpha m} n_{mn} (n^{-1/2})_{n\beta} \\ &= \delta_{\alpha\beta} \end{aligned}$$

- ➡ Calculate matrix elements of one- and two-body operators

Evaluation of Matrix Elements

➔ non-orthogonal basis, use inverse overlap matrix

One-Body Matrix Elements

$$\frac{\langle Q | \tilde{Q}^{[1]} | Q \rangle}{\langle Q | Q \rangle} = \sum_{\alpha=1}^A \langle \phi_{\alpha} | \tilde{Q}^{[1]} | \phi_{\alpha} \rangle = \sum_{k,l}^A \langle q_k | \tilde{Q}^{[1]} | q_l \rangle o_{lk}$$

Two-body operators

$$\begin{aligned} \frac{\langle Q | \tilde{Q}^{[2]} | Q \rangle}{\langle Q | Q \rangle} &= \sum_{\alpha < \beta}^A \left(\langle \phi_{\alpha}, \phi_{\beta} | \tilde{Q}^{[2]} | \phi_{\alpha}, \phi_{\beta} \rangle - \langle \phi_{\alpha}, \phi_{\beta} | \tilde{Q}^{[2]} | \phi_{\beta}, \phi_{\alpha} \rangle \right) \\ &= \frac{1}{2} \sum_{k,l,m,n}^A \langle q_k, q_l | \tilde{Q}^{[2]} | q_m, q_n \rangle (o_{mk} o_{nl} - o_{ml} o_{nk}) \end{aligned}$$

$$o = n^{-1} = \left(\langle q_i | q_j \rangle \right)^{-1}$$

Evaluate Gaussian Integrals

One-dimensional

$$\int_{-\infty}^{\infty} dx \exp\left\{-\frac{x^2}{\alpha}\right\} = \sqrt{\pi\alpha}$$

Three-dimensional

$$\int d^3r \exp\left\{-\frac{\mathbf{r}^2}{\alpha}\right\} = \int dx \int dy \int dz \exp\left\{-\frac{x^2 + y^2 + z^2}{\alpha}\right\} = (\pi\alpha)^{3/2}$$

FMD single-particle overlap

$$\begin{aligned} \langle a_k, \mathbf{b}_k | a_l, \mathbf{b}_l \rangle &= \int d^3x \exp\left\{-\frac{(\mathbf{x} - \mathbf{b}_k^*)^2}{2a_k^*}\right\} \exp\left\{-\frac{(\mathbf{x} - \mathbf{b}_l)^2}{2a_l}\right\} \\ &= \left(2\pi \frac{a_k^* a_l}{a_k^* + a_l}\right)^{3/2} \exp\left\{-\frac{(\mathbf{b}_k^* - \mathbf{b}_l)^2}{2(a_k^* + a_l)}\right\} \end{aligned}$$

Interaction Matrix Elements

(One-body) Kinetic Energy

$$\langle q_k | \tilde{T} | q_l \rangle = \langle a_k \mathbf{b}_k | \tilde{T} | a_l \mathbf{b}_l \rangle \langle \chi_k | \chi_l \rangle \langle \xi_k | \xi_l \rangle$$

$$\langle a_k \mathbf{b}_k | \tilde{T} | a_l \mathbf{b}_l \rangle = \frac{1}{2m} \left(\frac{3}{a_k^* + a_l} - \frac{(\mathbf{b}_k^* - \mathbf{b}_l)^2}{(a_k^* + a_l)^2} \right) R_{kl}$$

(Two-body) Potential

➡ fit radial dependencies by (a sum of) Gaussians

$$G(\mathbf{x}_1 - \mathbf{x}_2) = \exp \left\{ -\frac{(\mathbf{x}_1 - \mathbf{x}_2)^2}{2K} \right\}$$

➡ perform Gaussian integrals

$$\langle a_k \mathbf{b}_k, a_l \mathbf{b}_l | \tilde{G} | a_m \mathbf{b}_m, a_n \mathbf{b}_n \rangle = R_{km} R_{ln} \left(\frac{K}{\alpha_{klmn} + K} \right)^{3/2} \exp \left\{ -\frac{\rho_{klmn}^2}{2(\alpha_{klmn} + K)} \right\}$$

➡ analytical formulas for matrix elements

$$\alpha_{klmn} = \frac{a_k^* a_m}{a_k^* + a_m} + \frac{a_l^* a_n}{a_l^* + a_n}$$

$$\rho_{klmn} = \frac{a_m \mathbf{b}_k^* + a_k^* \mathbf{b}_m}{a_k^* + a_m} - \frac{a_n \mathbf{b}_l^* + a_l^* \mathbf{b}_n}{a_l^* + a_n}$$

$$R_{km} = \langle a_k \mathbf{b}_k | a_m \mathbf{b}_m \rangle$$

Operator Representation of V_{UCOM}

$$\tilde{\zeta}^\dagger (\tilde{T} + \tilde{V}) \tilde{\zeta} = \tilde{T}$$

one-body kinetic energy

$$+ \sum_{ST} \hat{V}_c^{ST}(r) + \frac{1}{2} (p_r^2 \hat{V}_{p^2}^{ST}(r) + \hat{V}_{p^2}^{ST}(r) p_r^2) + \hat{V}_{l^2}^{ST}(r) \mathbf{l}^2$$

central potentials

$$+ \sum_T \hat{V}_{ls}^T(r) \mathbf{l} \cdot \mathbf{s} + \hat{V}_{l^2 ls}^T(r) \mathbf{l}^2 \mathbf{l} \cdot \mathbf{s}$$

spin-orbit potentials

$$+ \sum_T \hat{V}_t^T(r) \mathcal{S}_{12}(\mathbf{r}, \mathbf{r}) + \hat{V}_{trp_\Omega}^T(r) p_r \mathcal{S}_{12}(\mathbf{r}, \mathbf{p}_\Omega) + \hat{V}_{tll}^T(r) \mathcal{S}_{12}(\mathbf{l}, \mathbf{l}) +$$

$$\hat{V}_{tp_\Omega p_\Omega}^T(r) \mathcal{S}_{12}(\mathbf{p}_\Omega, \mathbf{p}_\Omega) + \hat{V}_{l^2 tp_\Omega p_\Omega}^T(r) \mathbf{l}^2 \mathcal{S}_{12}(\mathbf{p}_\Omega, \mathbf{p}_\Omega)$$

tensor potentials

bulk of tensor force mapped onto central part
of correlated interaction

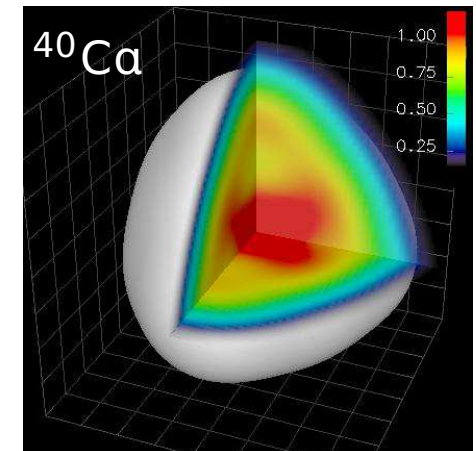
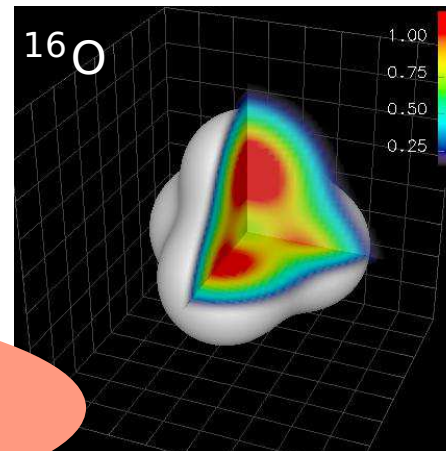
tensor correlations also change the spin-orbit
part of the interaction

FMD

UCOM(var) + phenomenological correction

Effective two-body interaction

- FMD model space can't describe correlations induced by residual medium-long ranged tensor forces
 - use **long ranged tensor correlator** to partly account for that
 - add phenomenological two-body correction term with a **momentum-dependend** central and (isospin-dependend) **spin-orbit** part
 - fit correction term to binding energies and radii of “closed-shell” nuclei (^4He , ^{16}O , ^{40}Ca), (^{24}O , ^{34}Si , ^{48}Ca)
- ➔ in newer calculations we use UCOM(SRG) (with modifications of spin-orbit force)



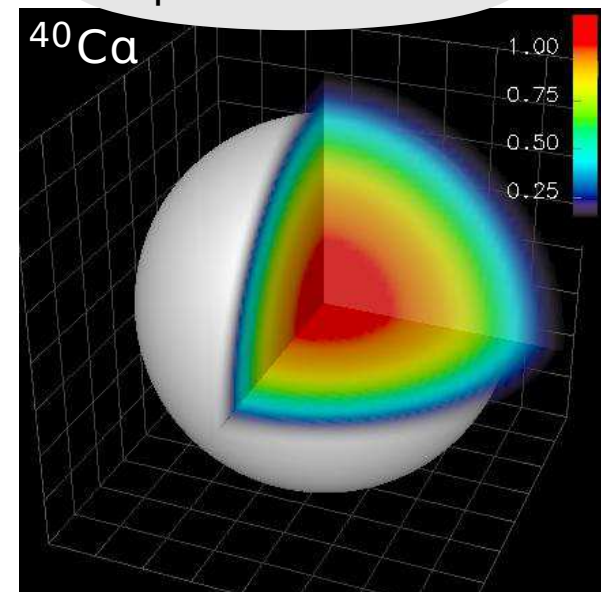
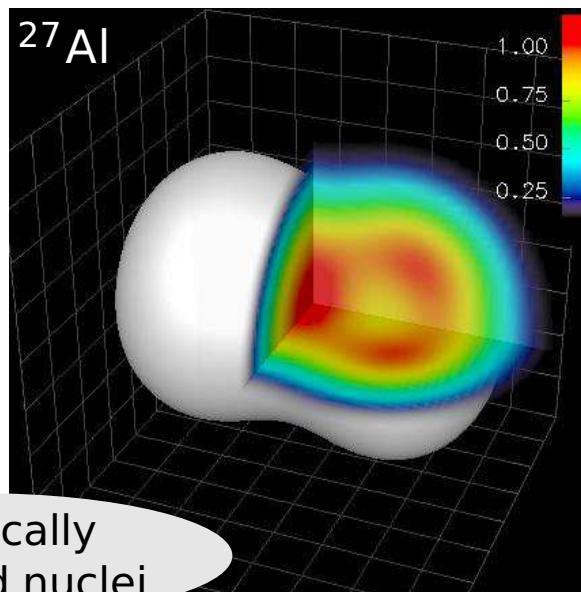
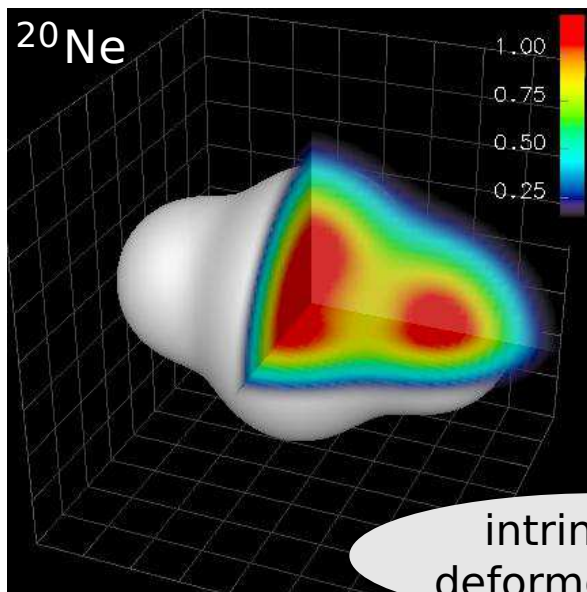
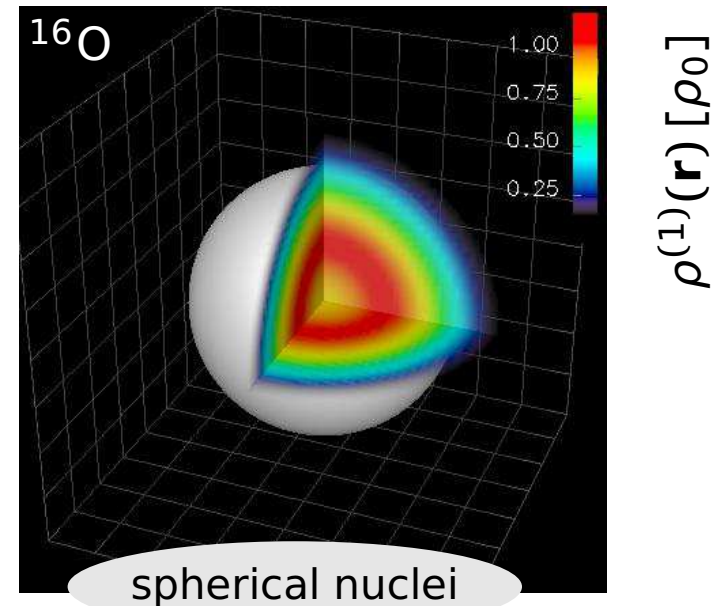
projected tetrahedral configurations
are about 6 MeV lower in energy
than “closed-shell” configurations

Minimization

- ➔ minimize Hamiltonian with respect to all single-particle parameters q_k

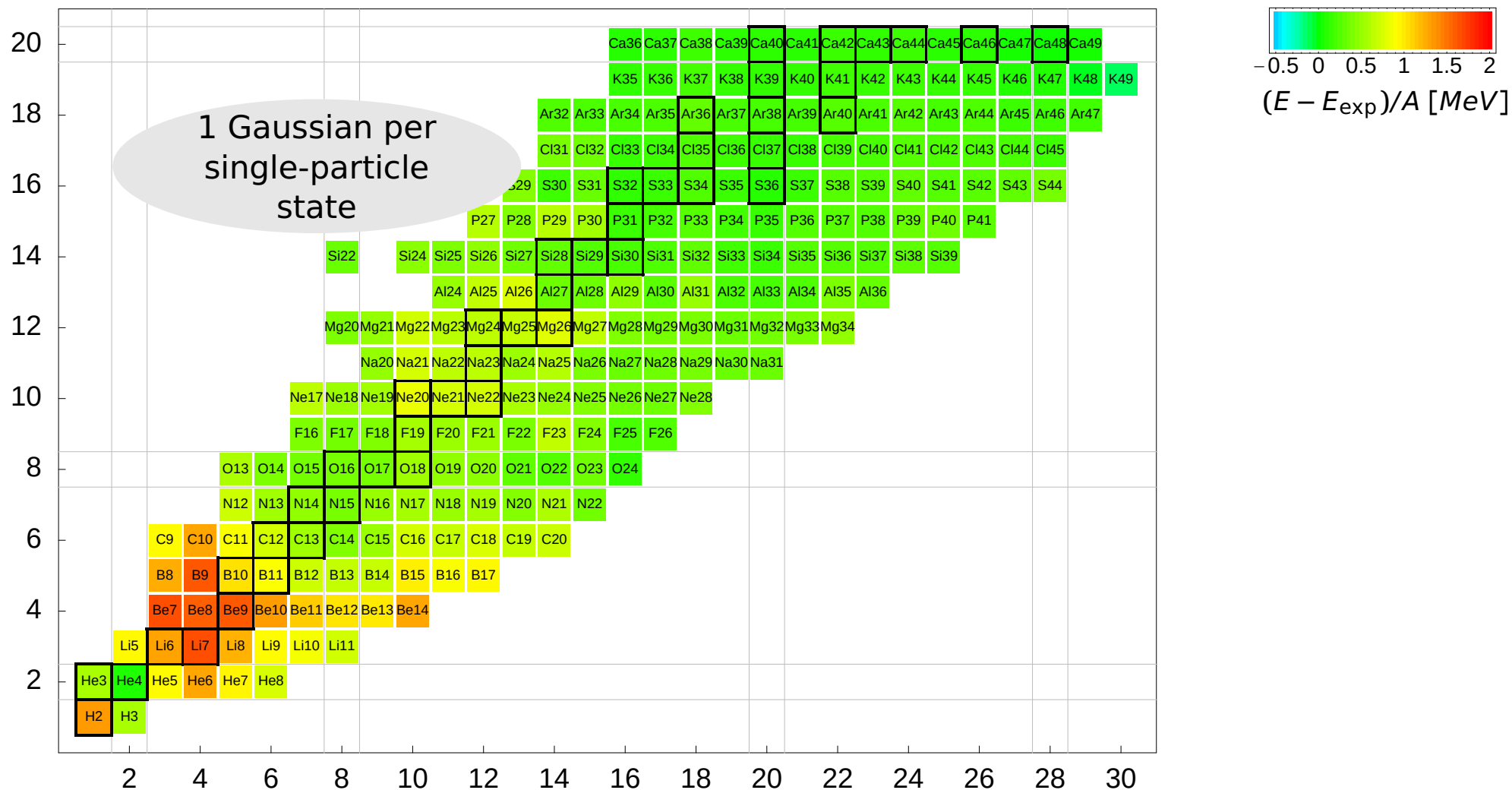
$$\min_{\{q_k\}} \frac{\langle Q | \hat{H} - \tilde{T}_{cm} | Q \rangle}{\langle Q | Q \rangle}$$

- ➔ this is a Hartree-Fock calculation in our particular single-particle basis
- ➔ mean-field may break the symmetries of the Hamiltonian



- FMD
- Mean field

Variation

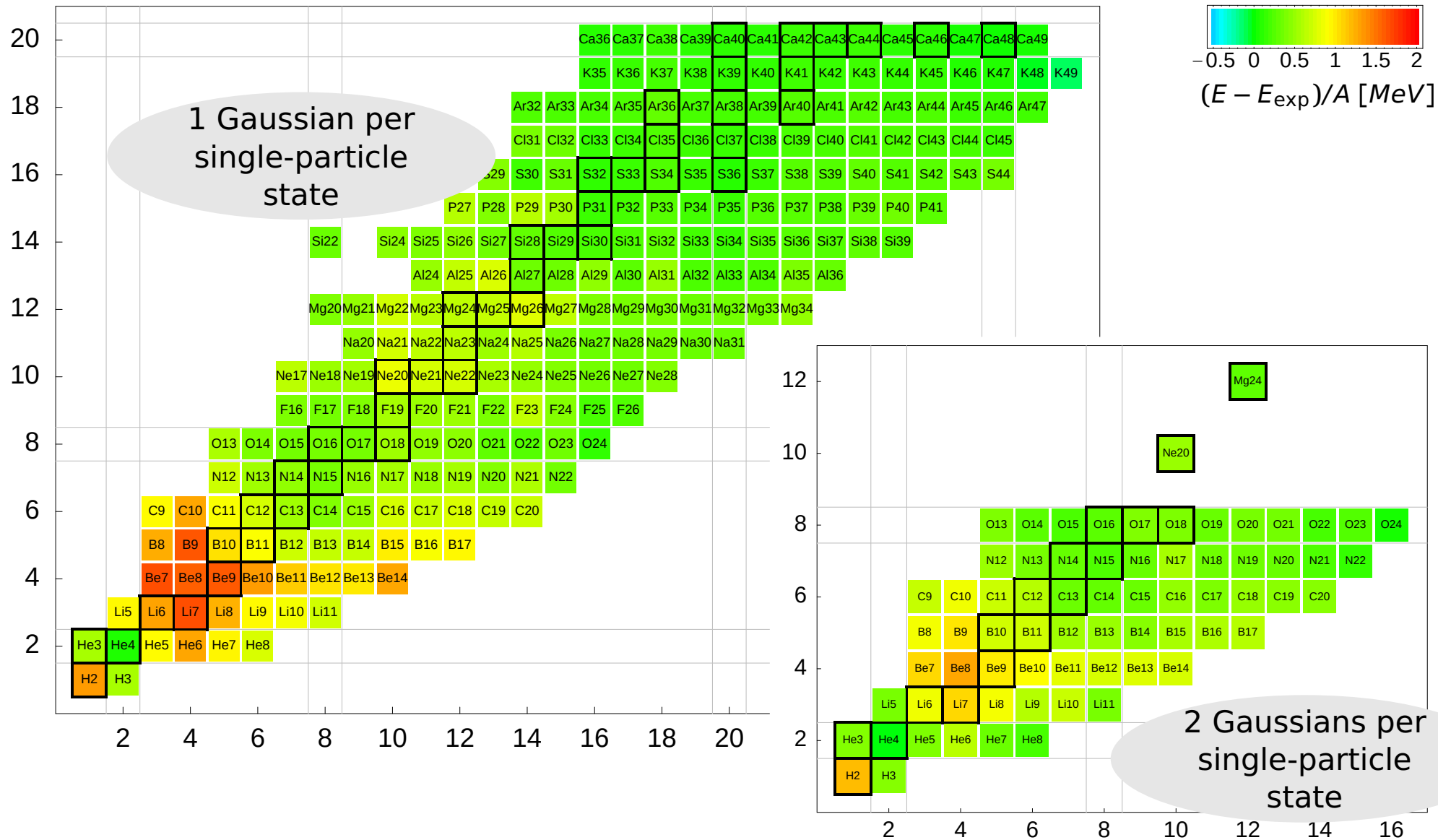




FMD

Mean field

Variation



UCOM(var) + phenomenological correction

Projection After Variation (PAV)

- mean-field may break symmetries of Hamiltonian
- restore inversion, translational and rotational symmetry by projection on parity, linear and angular momentum

$$\tilde{P}^{\mathbf{P}} = \frac{1}{(2\pi)^3} \int d^3X \exp\{-i(\tilde{\mathbf{P}} - \mathbf{P}) \cdot \mathbf{X}\}$$

$$\tilde{P}_{MK}^J = \frac{2J+1}{8\pi^2} \int d^3\Omega D_{MK}^{J*}(\Omega) \tilde{R}(\Omega)$$

Variation After Projection (VAP)

- effect of projection can be large
- perform Variation after Parity Projection VAP $^{\pi}$
- perform VAP in GCM sense by applying **constraints** on **radius**, **dipole** moment, **quadrupole** moment or **octupole** moment and minimize the energy in the projected energy surface

➡ “real” VAP is possible for light nuclei

Multiconfiguration Mixing

- diagonalize** Hamiltonian in a set of projected intrinsic states

$$\left\{ |Q^{(a)}\rangle, \quad a = 1, \dots, N \right\}$$

$$\sum_{K'b} \langle Q^{(a)} | H \tilde{P}_{KK'}^{J\pi} \tilde{P}^{\mathbf{P}=0} | Q^{(b)} \rangle \cdot c_{K'b}^{(i)} = E^{J\pi(i)} \sum_{K'b} \langle Q^{(a)} | \tilde{P}_{KK'}^{J\pi} \tilde{P}^{\mathbf{P}=0} | Q^{(b)} \rangle \cdot c_{K'b}^{(i)}$$

Angular Momentum Projection

Intrinsic State

- the intrinsic state is in general not an angular momentum eigenstate
- it is a superposition of angular momentum eigenstates

$$|Q\rangle = \sum_{JM\alpha} |Q; JM\alpha\rangle c_{JM\alpha}, \quad \mathbf{J}^2 |Q; JM\alpha\rangle = J(J+1) |Q; JM\alpha\rangle, \quad J_z |Q; JM\alpha\rangle = M |Q; JM\alpha\rangle$$

Angular Momentum Projection Operator

$$P_{MK}^J = \frac{2J+1}{8\pi^2} \int d^3\Omega D_{MK}^{J*}(\Omega) \tilde{R}(\Omega)$$

- Rotation Operator $\tilde{R}(\Omega)$ rotates the wave function with the Euler angles $\Omega = (\alpha, \beta, \gamma)$
- Wigner D -matrix

$$D_{MK}^J(\Omega) = \langle JM | \tilde{R}(\Omega) | JK \rangle = \langle JM | e^{iJ_z\alpha} e^{iJ_y\beta} e^{iJ_z\gamma} | JK \rangle = \exp\{-iM\alpha\} d_{MK}^J(\beta) \exp\{iM\gamma\}$$

- not a true projection operator

$$(P_{MK}^J)^\dagger P_{M'K'}^{J'} = \delta_{J,J'} \delta_{M,M'} P_{KK'}^J$$

Angular Momentum Projection

K-mixing

- angular momentum eigenstates are linear combinations of projected states with different K

$$|Q; JM\alpha\rangle = \sum_K \tilde{P}_{MK}^J |Q\rangle c_K^{J\alpha}$$

- solve the generalized eigenvalue problem to get the eigenstates

$$\sum_{K'} \langle Q | (\tilde{P}_{MK}^J)^\dagger H \tilde{P}_{MK'}^J | Q \rangle c_{K'}^{J\alpha} = E^{J\alpha} \sum_{K'} \langle Q | (\tilde{P}_{MK}^J)^\dagger \tilde{P}_{MK'}^J | Q \rangle c_{K'}^{J\alpha}$$

- as the Hamiltonian commutes with rotations this simplifies to

$$\sum_{K'} \langle Q | H \tilde{P}_{KK'}^J | Q \rangle c_{K'}^{J\alpha} = E^{J\alpha} \sum_{K'} \langle Q | \tilde{P}_{KK'}^J | Q \rangle c_{K'}^{J\alpha}$$

Axial Symmetry

- if $|Q\rangle$ is an eigenstate of J_z the integrations over α and γ become trivial and only the β integration remains

Matrix Elements of Tensor Operators

- Tensor operator of rank 0

$$\begin{aligned} \langle P_{\tilde{M}K}^J Q^{(a)} | T^{(0)} | P_{\tilde{M}K'}^J Q^{(b)} \rangle &= \langle Q^{(a)} | T^{(0)} P_{\tilde{K}K'}^J | Q^{(b)} \rangle \\ &= \frac{2J+1}{8\pi^2} \int d\Omega D_{KK'}^{J*}(\Omega) \langle Q^{(a)} | T^{(0)} R(\Omega) | Q^{(b)} \rangle \end{aligned}$$

- Tensor operator of rank k

$$\langle P_{\tilde{M}_f K_f}^{J_f} Q^{(a)} | T_q^{(k)} | P_{\tilde{M}_i K_i}^{J_i} Q^{(b)} \rangle = \frac{(-1)^{2k}}{\sqrt{2J_f+1}} C \left(\begin{matrix} J_i & k & J_f \\ M_i & q & M_f \end{matrix} \right) \langle P_{K_f}^{J_f} Q^{(a)} || T^{(k)} || P_{K_i}^{J_i} Q^{(b)} \rangle$$

with reduced matrix element

$$\begin{aligned} \langle P_{\tilde{K}_f}^{J_f} Q^{(a)} || T^{(k)} || P_{\tilde{K}_i}^{J_i} Q^{(b)} \rangle &= \\ \sqrt{2J_f+1} \sum_{K\nu} C \left(\begin{matrix} J_i & k & J_f \\ K & \nu & K_f \end{matrix} \right) \frac{2J_i+1}{8\pi^2} \int d\Omega D_{KK_i}^{J_i*}(\Omega) \langle Q^{(a)} | T_{\nu}^{(k)} R(\Omega) | Q^{(b)} \rangle \end{aligned}$$

Center-Of-Mass Problem

- Hamiltonian does not couple internal and center-of-mass motion

$$\tilde{H} = \tilde{H}_{\text{internal}} + \tilde{T}_{\text{cm}}$$

- in product states (Slater determinants) the internal motion is entangled with the center-of-mass motion

➔ zero-th order correction: always use internal operators $\tilde{H}_{\text{internal}} = \tilde{H} - \tilde{T}_{\text{cm}}, \dots$

➔ in the special case where all widths a are equal the wave function factorizes in the internal wave function and the center-of-mass wave function

$$\langle \mathbf{x}_1, \dots, \mathbf{x}_A | Q \rangle = \Phi_{\text{internal}}(\boldsymbol{\xi}_1, \dots, \boldsymbol{\xi}_A) \Phi_{\text{cm}}^a(\mathbf{X})$$

with coordinates $\boldsymbol{\xi}_i = \mathbf{x}_i - \mathbf{X}$ and $\mathbf{X} = \frac{1}{A} \sum_i \mathbf{x}_i$

➔ in the general case we project the wave function on total momentum $\mathbf{P} = 0$ with the projection operator

$$\tilde{P}^{\mathbf{P}} = \frac{1}{(2\pi)^3} \int d^3X \exp\{-i(\tilde{\mathbf{P}} - \mathbf{P}) \cdot \mathbf{X}\}$$

The projected wave function is then given as

$$\langle \mathbf{x}_1, \dots, \mathbf{x}_A | \tilde{P}^{\mathbf{P}} | Q \rangle = \frac{1}{(2\pi)^3} \int d^3X e^{i\mathbf{P} \cdot \mathbf{X}} \langle \mathbf{x}_1 - \mathbf{X}, \dots, \mathbf{x}_A - \mathbf{X} | Q \rangle$$

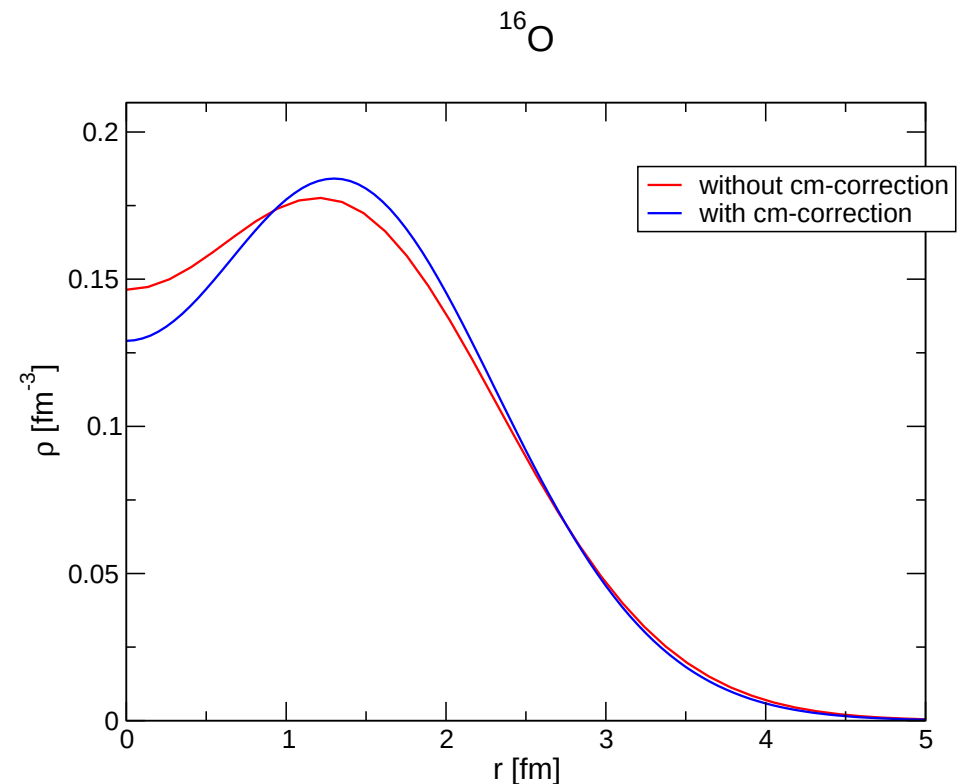
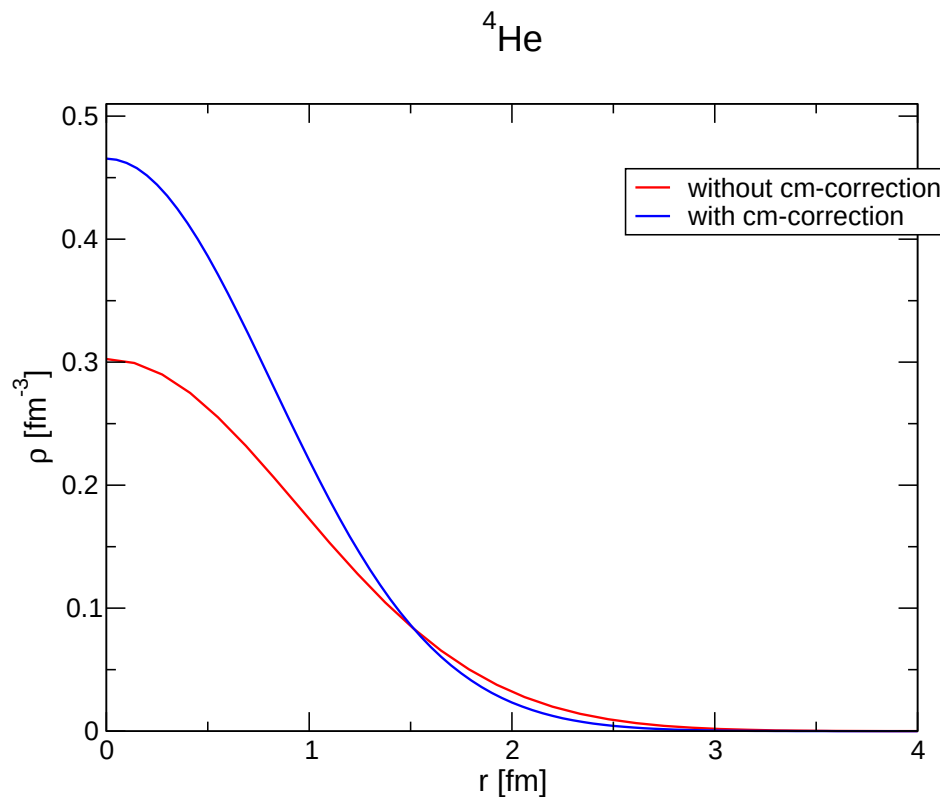
Center-Of-Mass Problem

- one-body density calculated with Slater determinant

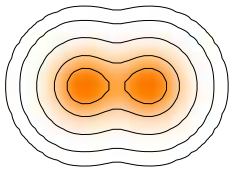
$$\rho^{(1)}(\mathbf{r}) = \langle \Psi | \sum_i \delta(\mathbf{r}_i - \mathbf{r}) | \Psi \rangle$$

- density of internal wave function

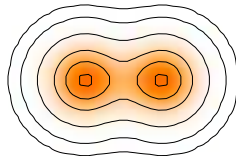
$$\rho_{\text{internal}}^{(1)}(\mathbf{r}) = \langle \Psi | \sum_i \delta(\mathbf{r}_i - \mathbf{R} - \mathbf{r}) | \Psi \rangle$$



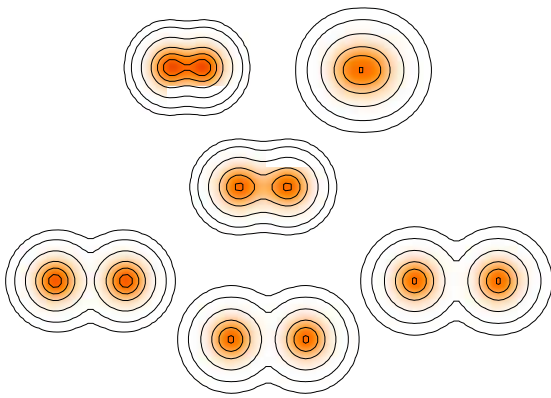
V/PAV



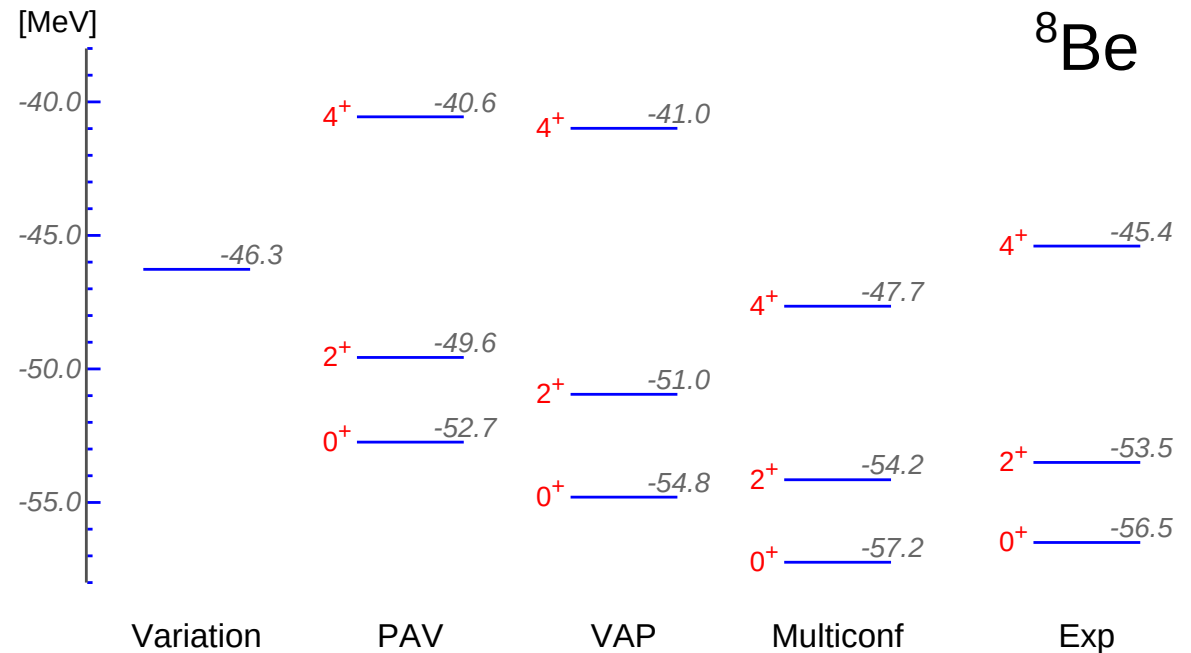
VAP



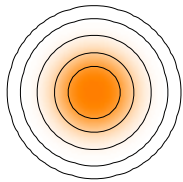
Multiconfig



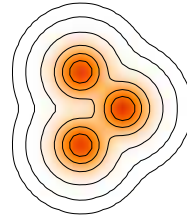
	E_b [MeV]	r_{charge} [fm]	$B(E2)$ [$e^2\text{fm}^4$]
PAV	52.7	2.39	9.31
VAP	54.8	2.49	15.36
Multiconfig	57.2	2.74	30.39
Exp	56.5		



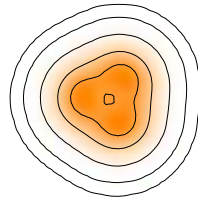
V/PAV



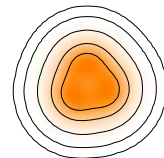
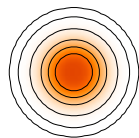
VAP α



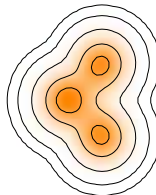
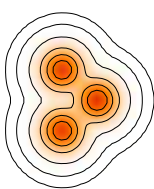
V^π/PAV^π



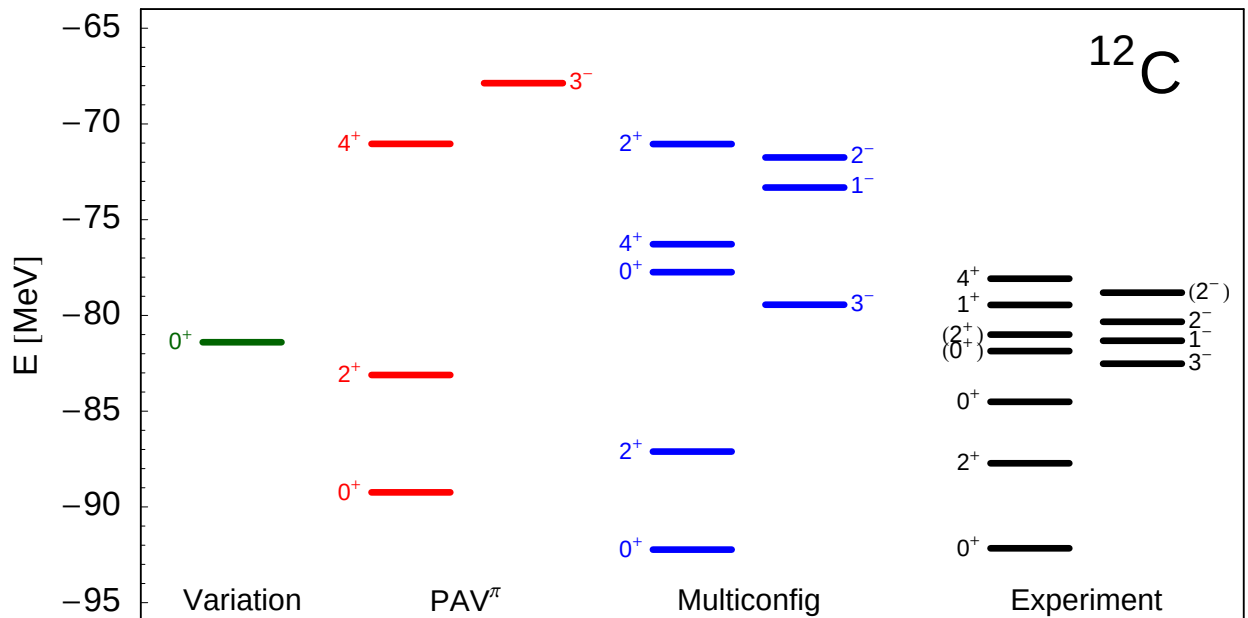
Multiconfig



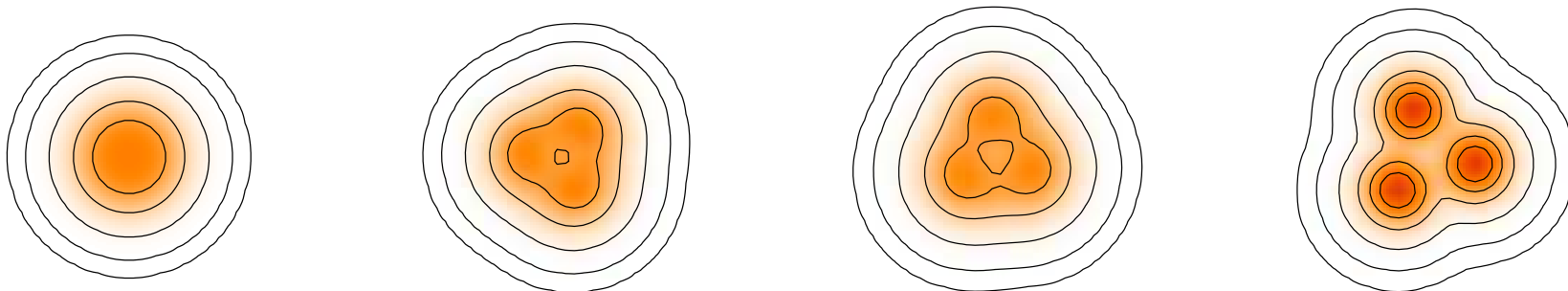
VAP



	E_b [MeV]	r_{charge} [fm]	$B(E2)$ [$e^2\text{fm}^4$]
V/PAV	81.4	2.36	-
VAP α -cluster	79.1	2.70	76.9
PAV $^\pi$	88.5	2.51	36.3
VAP	89.2	2.42	26.8
Multiconfig	92.2	2.52	42.8
Experiment	92.2	2.47	39.7 ± 3.3



Shell-structure versus Cluster states in ^{12}C



	intrinsic	projected	intrinsic	projected	intrinsic	projected	intrinsic	projected
$\langle \tilde{H} \rangle$	-81.4	-81.5	-77.0	-88.5	-74.1	-85.5	-57.0	-75.9
$\langle \tilde{T} \rangle$	212.1	212.1	189.2	186.1	182.8	179.0	213.9	201.4
$\langle \tilde{V}_{ls} \rangle$	-39.8	-40.2	-12.0	-17.1	-5.8	-8.0	-	-
$\sqrt{\langle \tilde{r}^2 \rangle}$	2.22	2.22	2.40	2.37	2.45	2.42	2.44	2.42

spin-orbit force
“breaks” clusters

cluster states strongly
“feel” projection

Clustering and Localization/Delocalization



Localization and Kinetic Energy

- Center-of-mass wave function
 - Localization in the relative wave function
 - Localization means **large kinetic energy**
 - Delocalization by **angular momentum projection** and **configuration mixing** lowers kinetic energy
- ➔ **Illustrate for ${}^8\text{Be} - {}^4\text{He}-{}^4\text{He}$ example**
Volkov interaction – same width parameter a for all Gaussians

Localization

Center-of-Mass

- Slater determinants have a localized center-of-mass
- Center-of-mass wave function (in case of equal width parameters a)

$$\psi_{\text{cm}}(\mathbf{X}) = \frac{1}{(\pi a_{\text{cm}})^{3/4}} \exp\left\{-\frac{\mathbf{X}^2}{2a_{\text{cm}}}\right\}$$

with $a_{\text{cm}} = a/A$

- Kinetic energy of center-of-mass motion

$$\langle \tilde{T}_{\text{cm}} \rangle = \frac{1}{2Am} \frac{3}{2a_{\text{cm}}} = \frac{1}{2m} \frac{3}{2a}$$

$$|\Psi\rangle = |\Psi_{\text{intr}}\rangle \otimes |\Psi_{\text{cm}}\rangle$$

${}^4\text{He}$

	$\min \langle \tilde{H} \rangle$	$\min \langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$
$\langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$	-25.42	-27.41
$\langle \tilde{T} - \tilde{T}_{\text{cm}} \rangle$	36.76	49.14
$\langle \tilde{V} \rangle$	-62.18	-76.54
$\langle \tilde{T}_{\text{cm}} \rangle$	12.26	16.38

${}^8\text{Be}$

	$\min \langle \tilde{H} \rangle$	$\min \langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$
$\langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$	-44.05	-45.10
$\langle \tilde{T} - \tilde{T}_{\text{cm}} \rangle$	102.62	117.62
$\langle \tilde{V} \rangle$	-146.68	-162.72
$\langle \tilde{T}_{\text{cm}} \rangle$	13.03	15.18

- ➡ Minimizing $\langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$ corresponds to (approximate) variation after linear momentum projection
- ➡ Large effect on the wave function (at least for light nuclei)

Localization

Relative Motion of Clusters

- GCM type Slater determinant – localized relative motion
- Relative wave function (in case of equal width parameters a) of two clusters at distance $\mathbf{R}$

$$\psi_{\text{rel}}(\mathbf{r}) = \frac{1}{(\pi a_{\text{rel}})^{3/4}} \exp \left\{ -\frac{(\mathbf{r} - \mathbf{R})^2}{2a_{\text{rel}}} \right\}$$

with $a_{\text{rel}} = a/\mu_A$

- Kinetic energy of relative motion (at large distances)

$$\langle \tilde{T}_{\text{rel}} \rangle = \frac{1}{2\mu_A m} \frac{3}{2a_{\text{rel}}} = \frac{1}{2m} \frac{3}{2a}$$

$$|\Psi\rangle = \mathcal{A} \left\{ \left| \psi_{\alpha}(-\tfrac{1}{2}\mathbf{R}) \right\rangle \otimes \left| \psi_{\alpha}(\tfrac{1}{2}\mathbf{R}) \right\rangle \right\}$$

$$|\Psi_{\text{intr}}\rangle = \mathcal{A} \left\{ \left| \psi_{\text{rel}} \right\rangle \otimes \left| \Phi_{\alpha} \right\rangle \otimes \left| \Phi_{\alpha} \right\rangle \right\}$$

$${}^8\text{Be} - \min \langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$$

	intrinsic	projected
$\langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$	-44.32	-51.01
$\langle \tilde{T} - \tilde{T}_{\text{cm}} \rangle$	131.05	126.48
$\langle \tilde{V} \rangle$	-175.37	-177.49
$\langle \tilde{T}_{\text{cm}} \rangle$	17.11	17.11

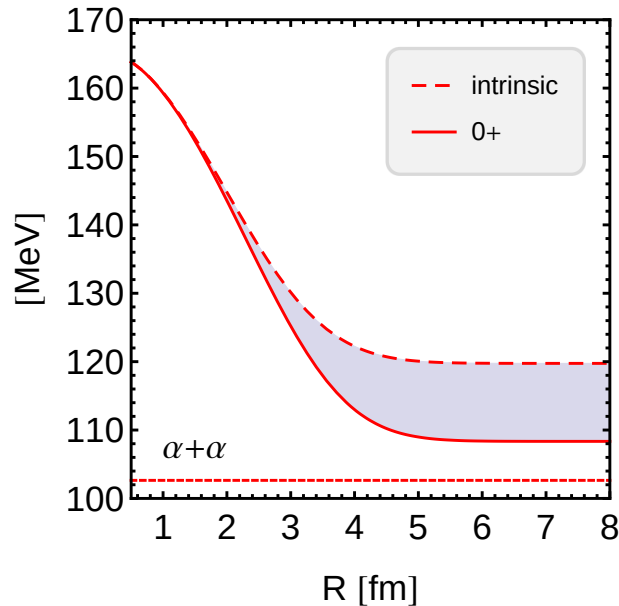
$${}^8\text{Be} - \min \langle 0^+ | \tilde{H} - \tilde{T}_{\text{cm}} | 0^+ \rangle$$

	intrinsic	projected
$\langle \tilde{H} - \tilde{T}_{\text{cm}} \rangle$	-31.77	-53.05
$\langle \tilde{T} - \tilde{T}_{\text{cm}} \rangle$	125.53	113.82
$\langle \tilde{V} \rangle$	-157.53	-166.87
$\langle \tilde{T}_{\text{cm}} \rangle$	17.11	17.11

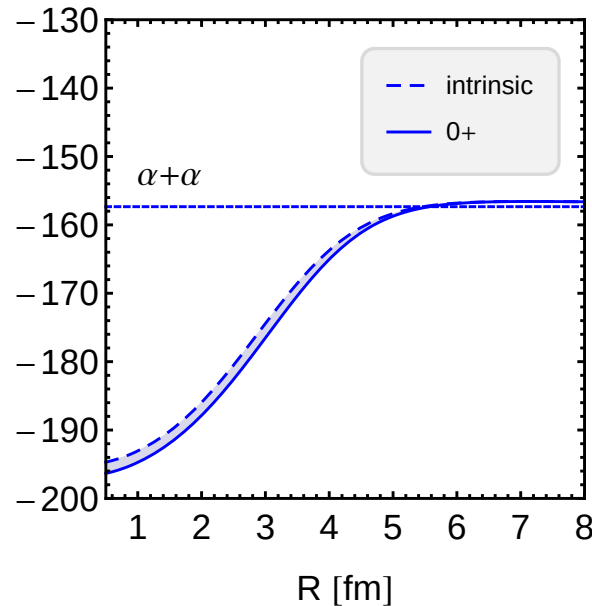
- ➡ angular momentum projection delocalizes relative motion in two directions
- ➡ delocalization in radial direction by configuration mixing

Localization α - α Energy Surface

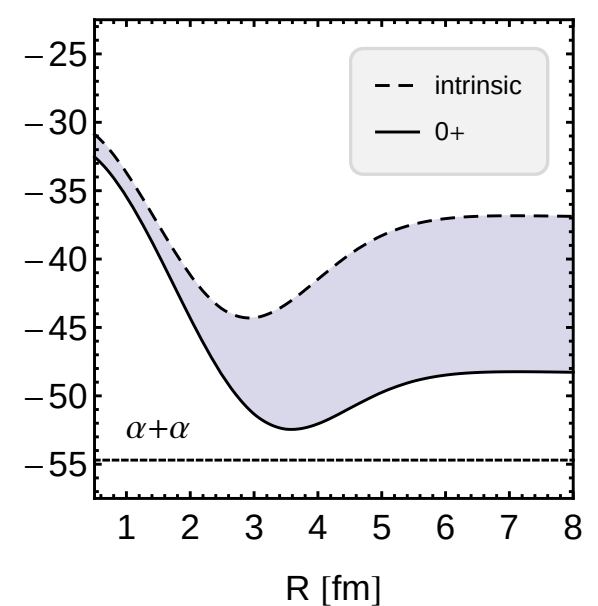
Kinetic Energy



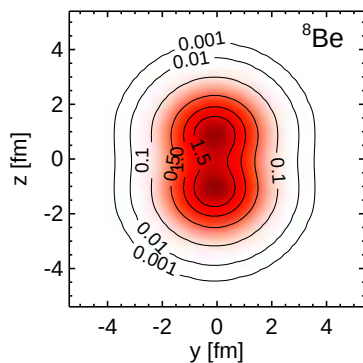
Potential Energy



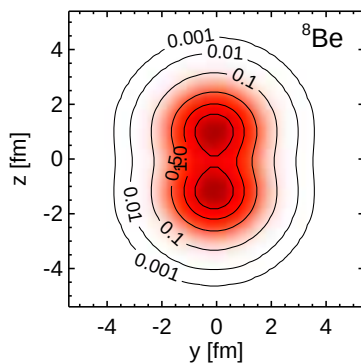
Total Energy



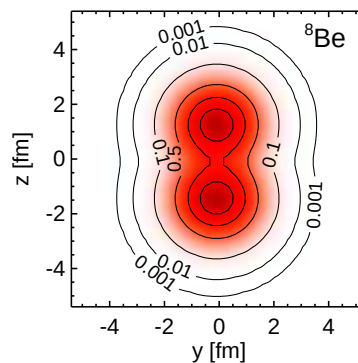
$R = 0.5$ fm



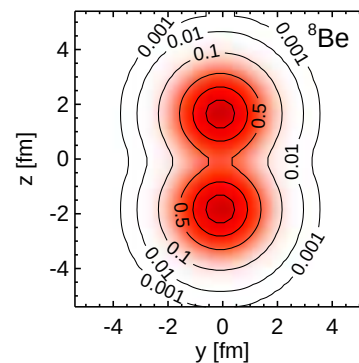
$R = 1.5$ fm



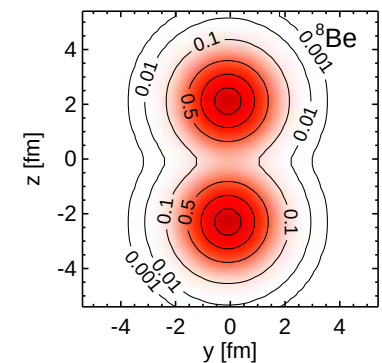
$R = 2.5$ fm



$R = 3.5$ fm



$R = 4.5$ fm



Overview: FMD applications



Neon Isotopes $^{17-22}\text{Ne}$

- Halo-candidate ^{17}Ne

Beryllium Isotopes

- $N = 8$ shell closure in ^{12}Be ?

$^3\text{He}(\alpha, \gamma)^7\text{Be}$ Radiative Capture Reaction

- bound and scattering states

Cluster States in ^{12}C

- Microscopic cluster model and FMD
- $^8\text{Be} + ^4\text{He}$ continuum

Neon Isotopes ^{17}Ne - ^{22}Ne



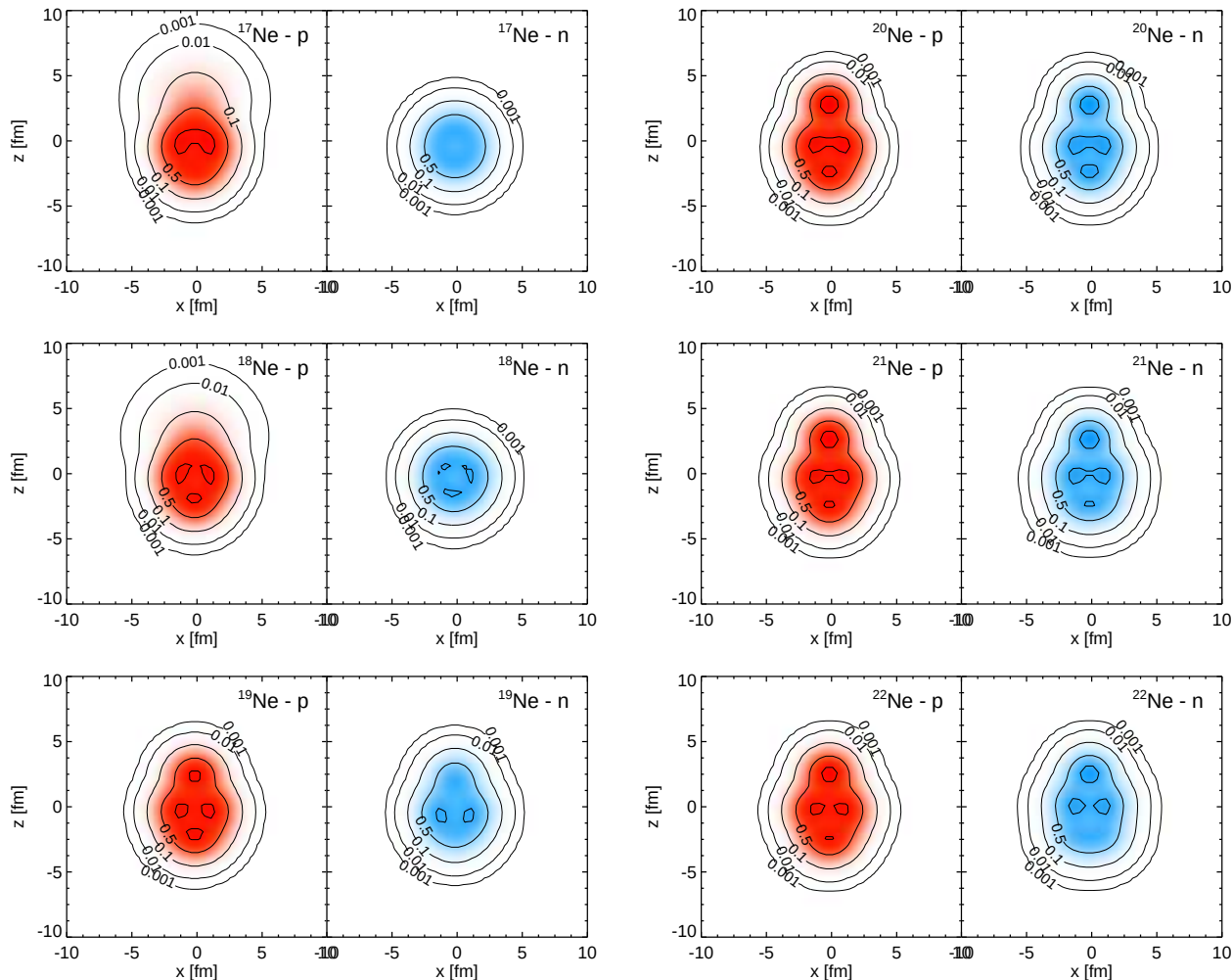
Structure ?

- s^2/d^2 competition in ^{17}Ne and ^{18}Ne
- ^3He and ^4He cluster admixtures

Observables

- Charge Radii
- Matter Radii
- Electromagnetic transitions
- Rotational bands

Neon Isotopes Calculation

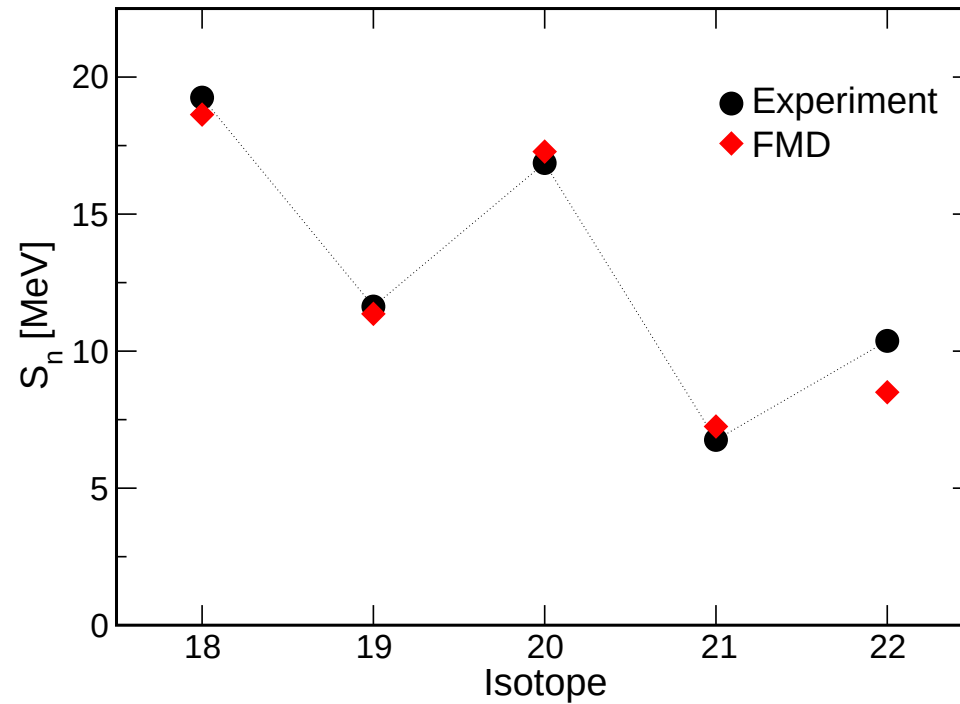


- UCOM(var) + phenomenological correction for saturation and spin-orbit
- Variation after parity projection on positive and negative parity
- Create basis states by cranking strength of spin-orbit force
- $^{15,16}\text{O} - s^2$ and $^{15,16}\text{O} - d^2$ minima in $^{17,18}\text{Ne}$
- add explicit cluster configurations:
 - ^{17}Ne : $^{14}\text{O} - ^3\text{He}$
 - ^{18}Ne : $^{14}\text{O} - ^4\text{He}$
 - ^{19}Ne : $^{16}\text{O} - ^3\text{He}$ and $^{15}\text{O} - ^4\text{He}$
 - ^{20}Ne : $^{16}\text{O} - ^4\text{He}$
 - ^{21}Ne : $^{17}\text{O} - ^4\text{He}$
 - ^{22}Ne : $^{18}\text{O} - ^4\text{He}$

proton/neutron densities of dominant intrinsic FMD configuration

- Neon Isotopes
- Separation energies

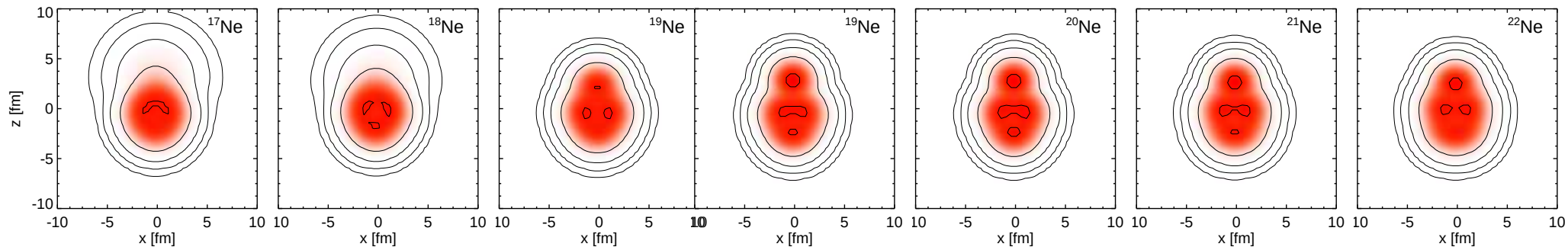
Separation Energies



$^{17,18}\text{Ne}$: s^2/d^2 admixture

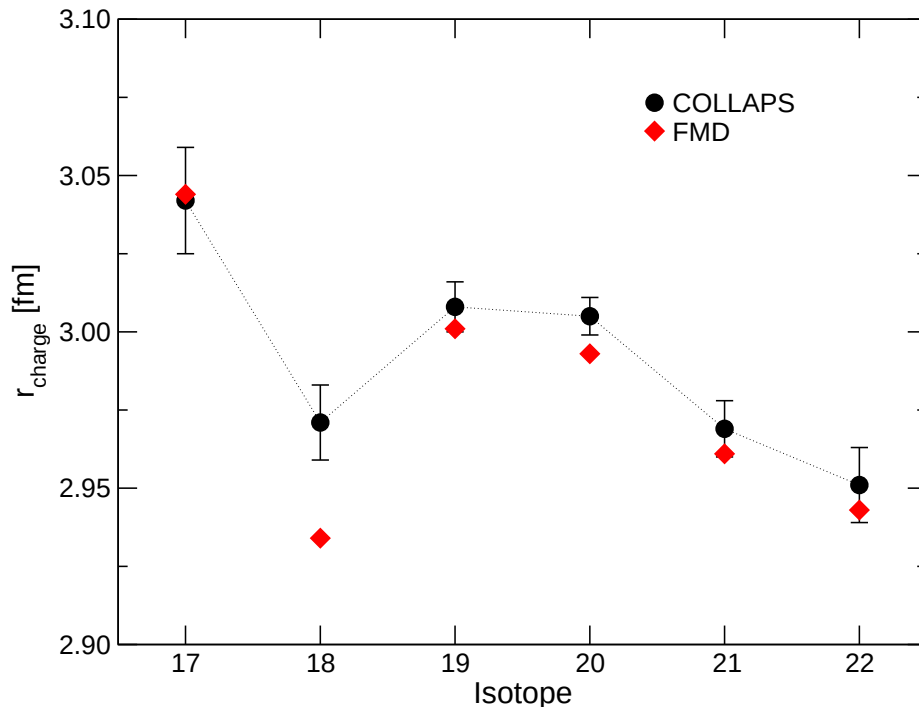
^{19}Ne : ^3He , α clustering

$^{20-22}\text{Ne}$: α clustering



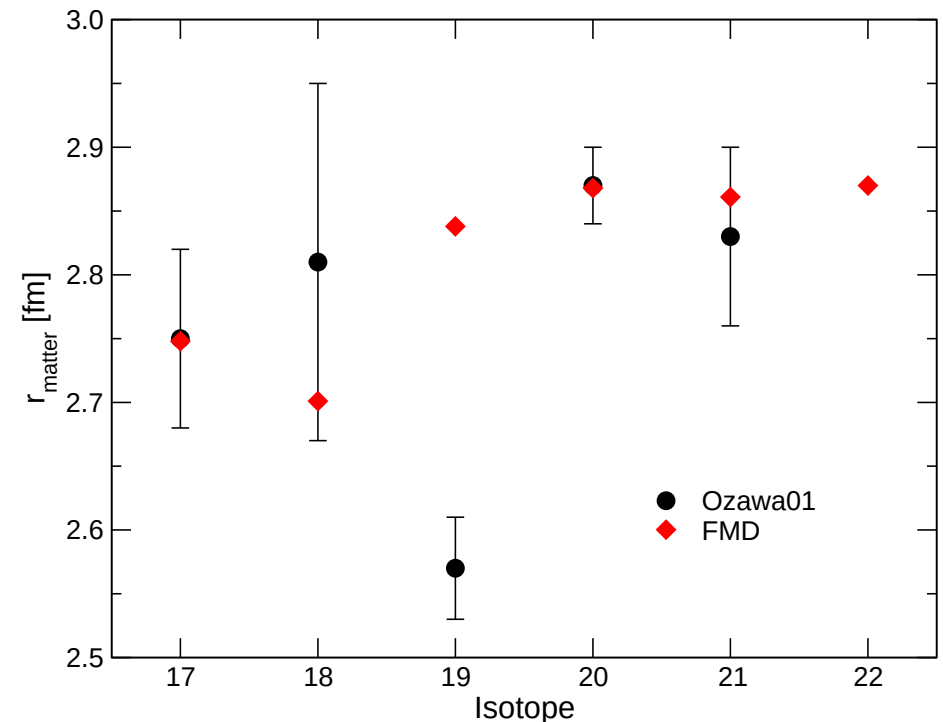
Neon Isotopes

Charge and Matter Radii



- charge radii of $^{17,18}\text{Ne}$ depend strongly on s^2/d^2 occupations
- cluster admixtures responsible for large charge radii in $^{19-22}\text{Ne}$
- measurements of charge radii by COLLAPS@ISOLDE, masses from ISOLTRAP@ISOLDE

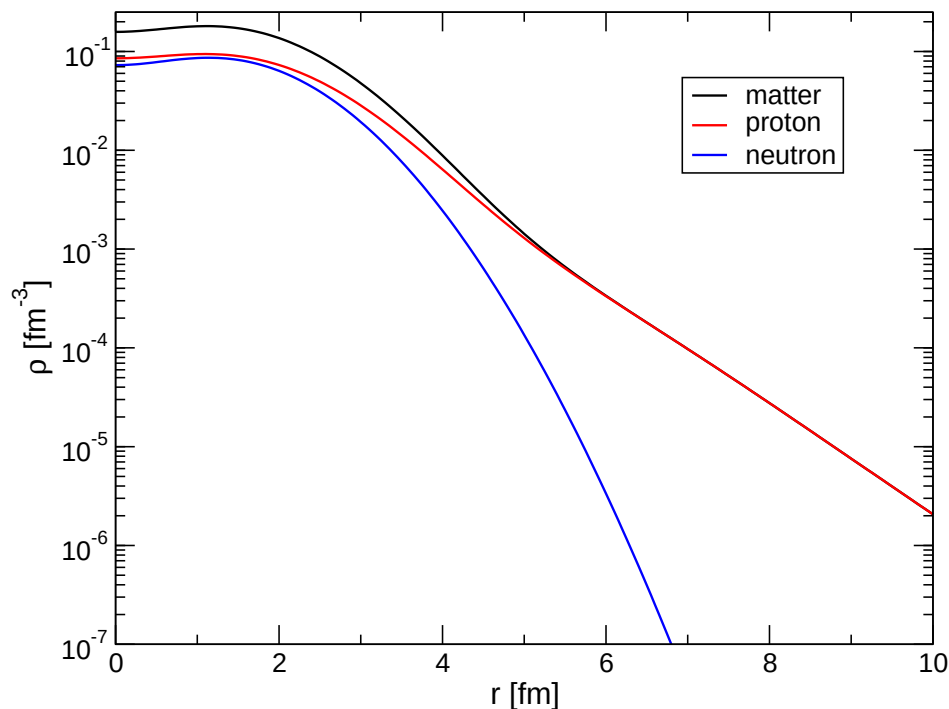
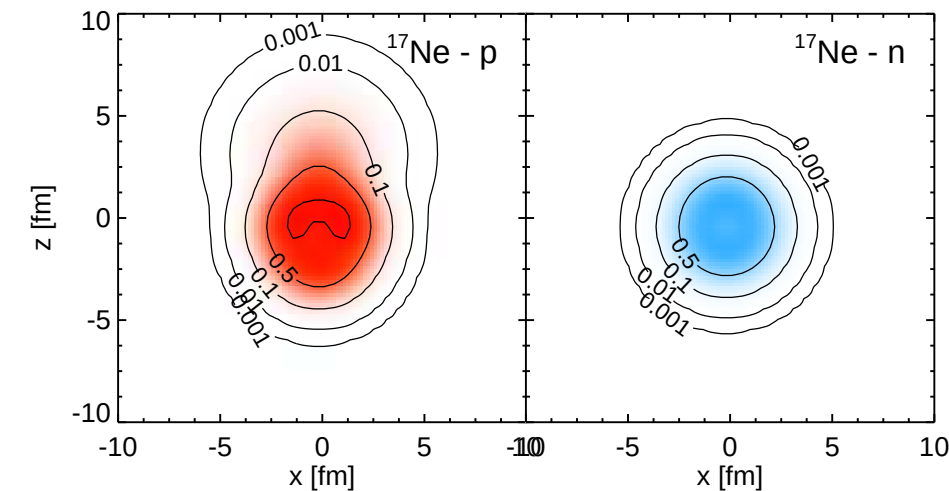
W. Geithner, T. Neff *et al.*, Phys. Rev. Lett. **101**, 252502 (2008)



- matter radii from interaction cross sections
A. Ozawa *et al.*, Nuc. Phys. **A693** (2001) 32
- good agreement with expectation of ^{19}Ne

Neon Isotopes

^{17}Ne Halo ?



	FMD	Experiment
$r_{\text{ch}}[\text{fm}]$	3.04	3.042(21)
$r_{\text{mat}}[\text{fm}]$	2.75	2.75(7) ¹
$B(E2; \frac{1}{2}^- \rightarrow \frac{3}{2}^-)[e^2\text{fm}^4]$	76.7	66^{+18}_{-25} ²
$B(E2; \frac{1}{2}^- \rightarrow \frac{5}{2}^-)[e^2\text{fm}^4]$	119.8	124(18) ²
occupancy s^2	42%	
occupancy d^2	55%	

- proton skin $r_p - r_n = 0.45$ fm
- 40% probability to find a proton at $r > 5$ fm
- similar results are obtained in a three-body model

L. Grigorenko *et al.*, Phys. Rev. C **71**, 051604 (2005)

¹ A. Ozawa *et al.*, Nuc. Phys. **A693**, 32 (2001)

² M. J. Chromik *et al.*, Phys. Rev. C **66**, 024313 (2002)

Beryllium Isotopes



Questions

- α -clustering, halos in ^{11}Be and ^{14}Be , $N = 8$ shell closure ?

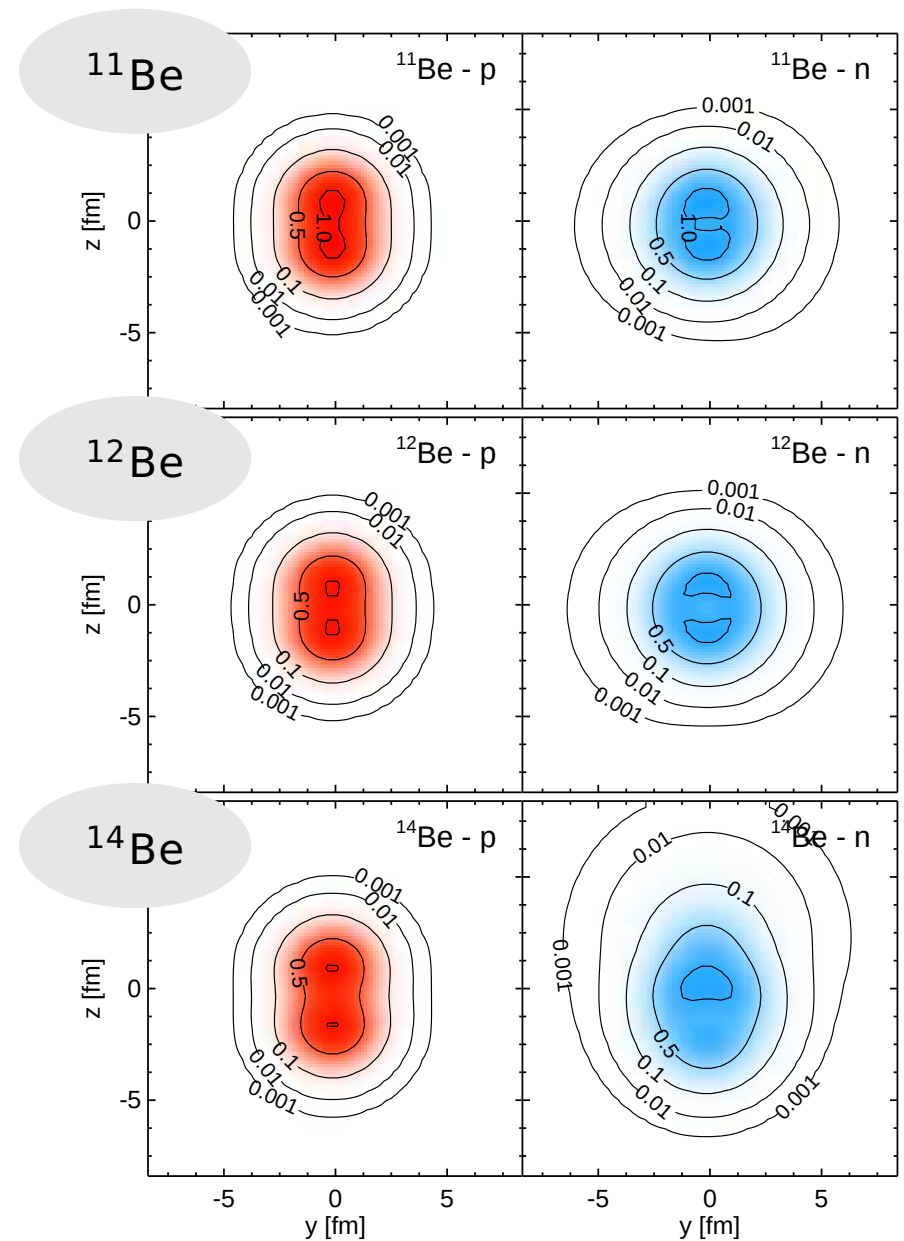
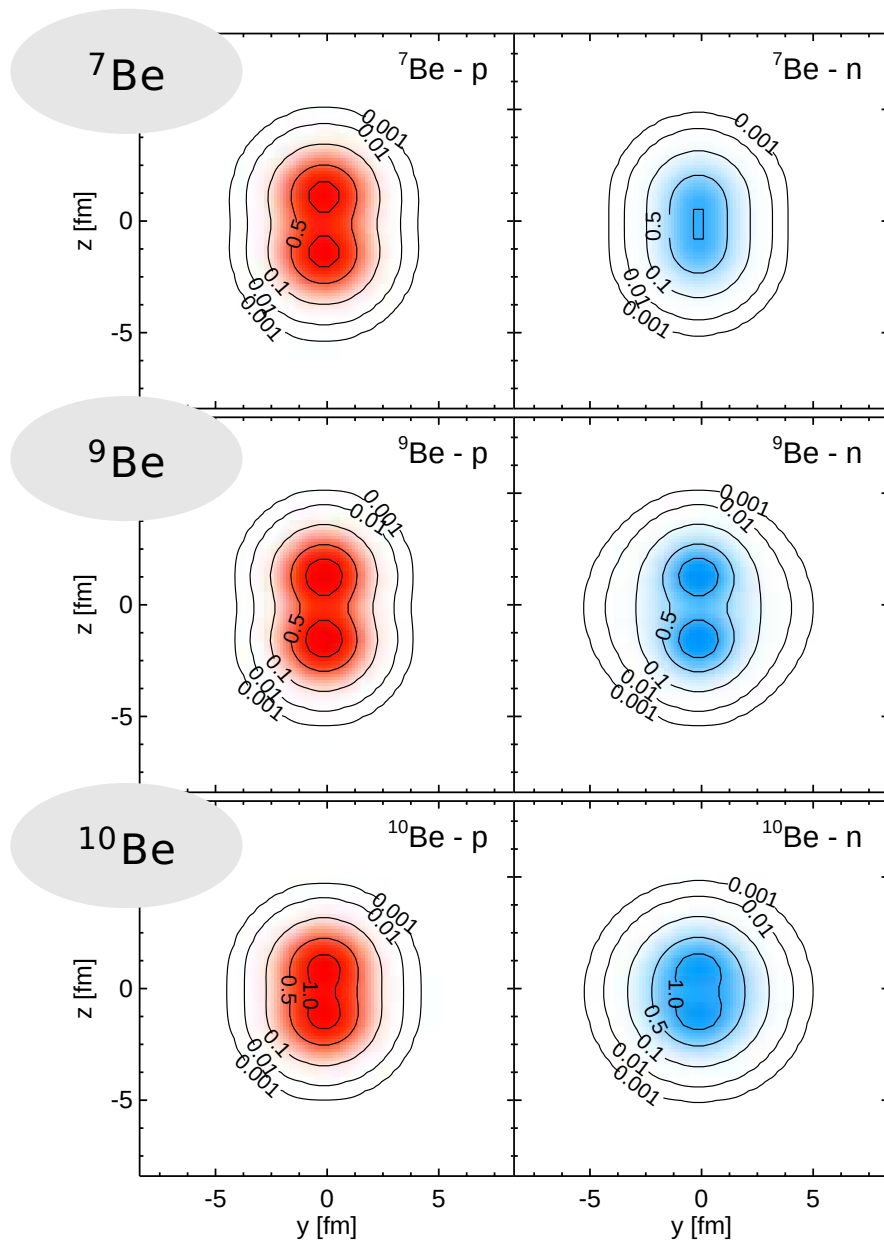
Calculation

- VAP and multiconfiguration-VAP calculations with mean proton distance as generator coordinate
- UCOM(SRG) effective spin-orbit strength is too small – modify interaction by multiplying spin-orbit interaction in $S = 1$, $T = 1$ channel with factor $\eta \approx 2$

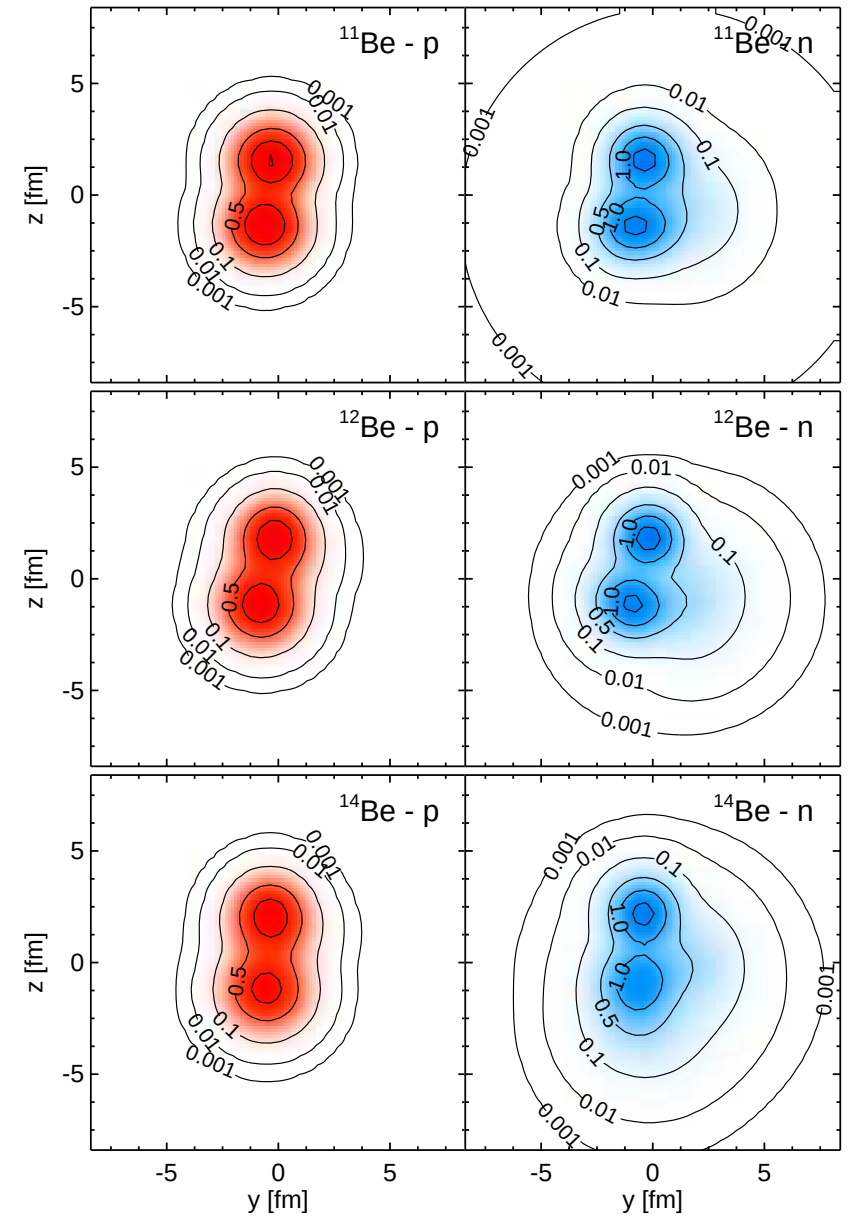
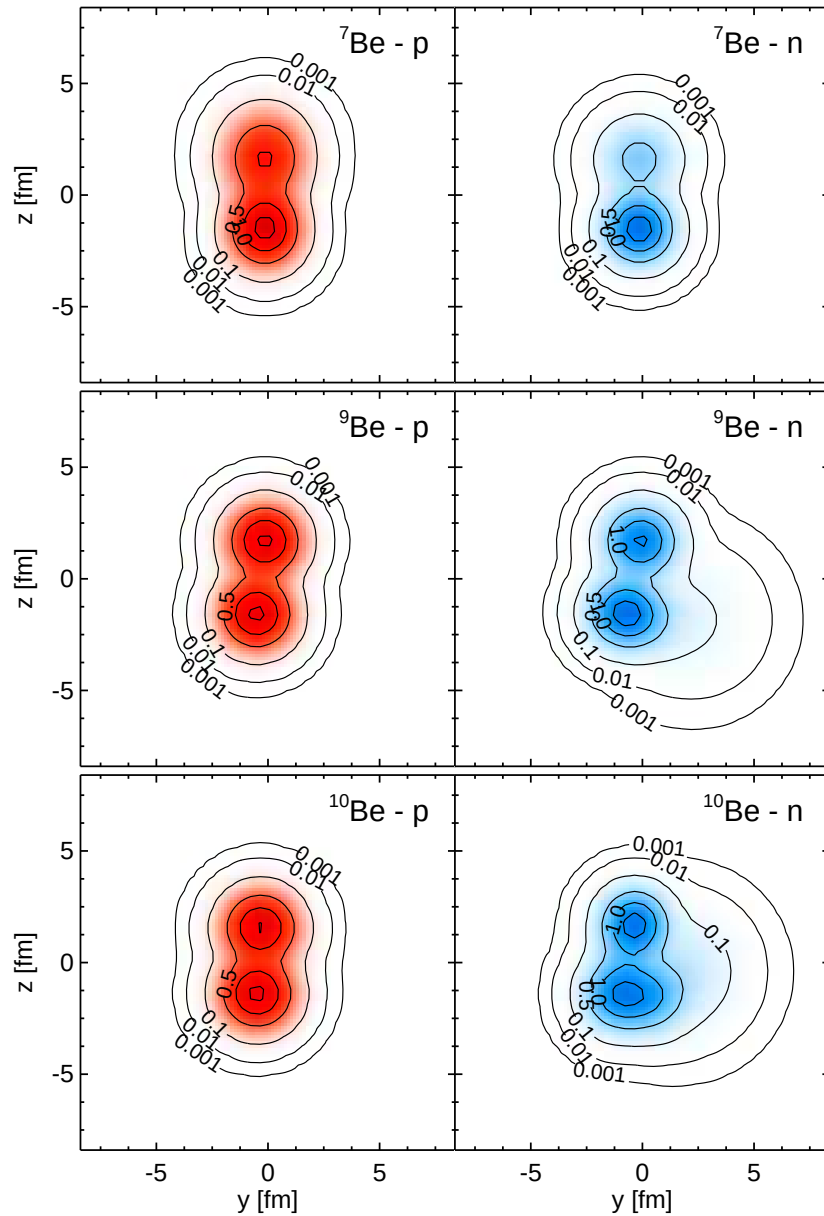
Observables

- energies
- charge and matter radii, electromagnetic transitions

- Beryllium Isotopes
- Variation (Mean-Field)

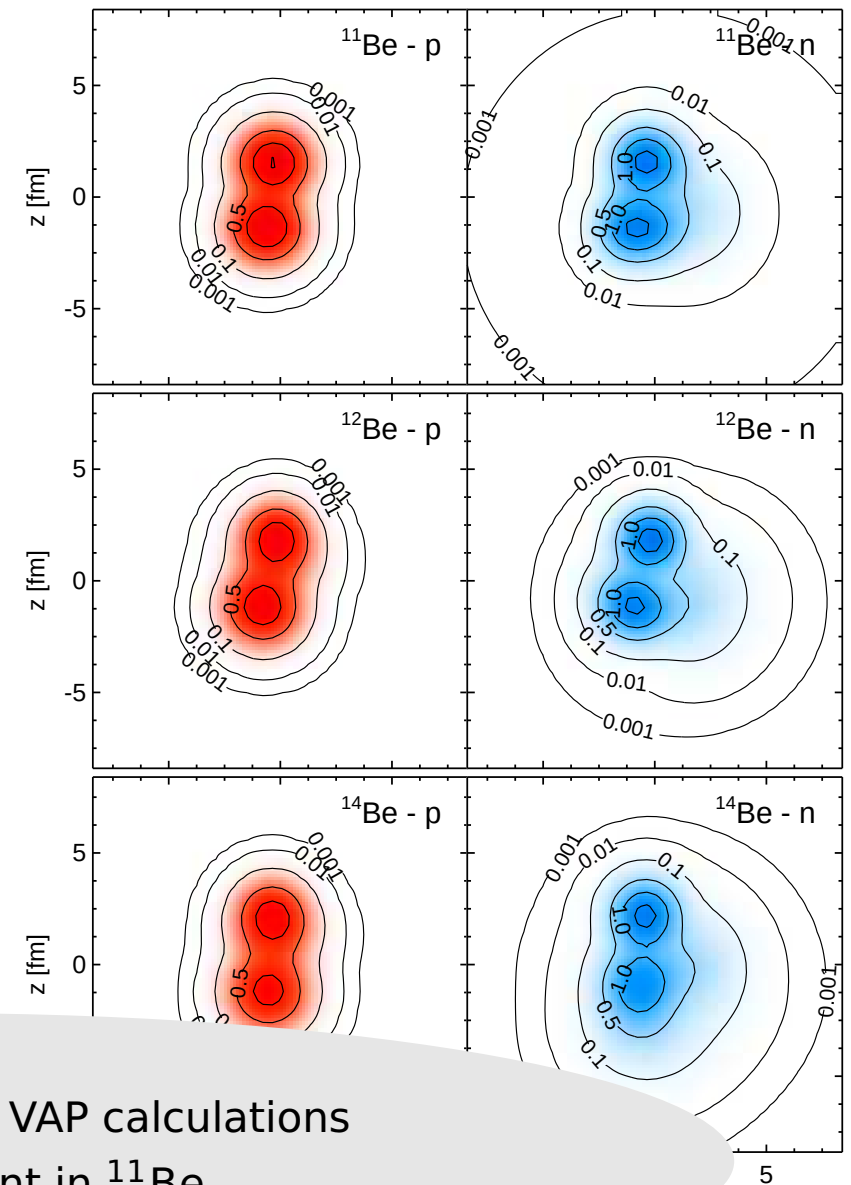
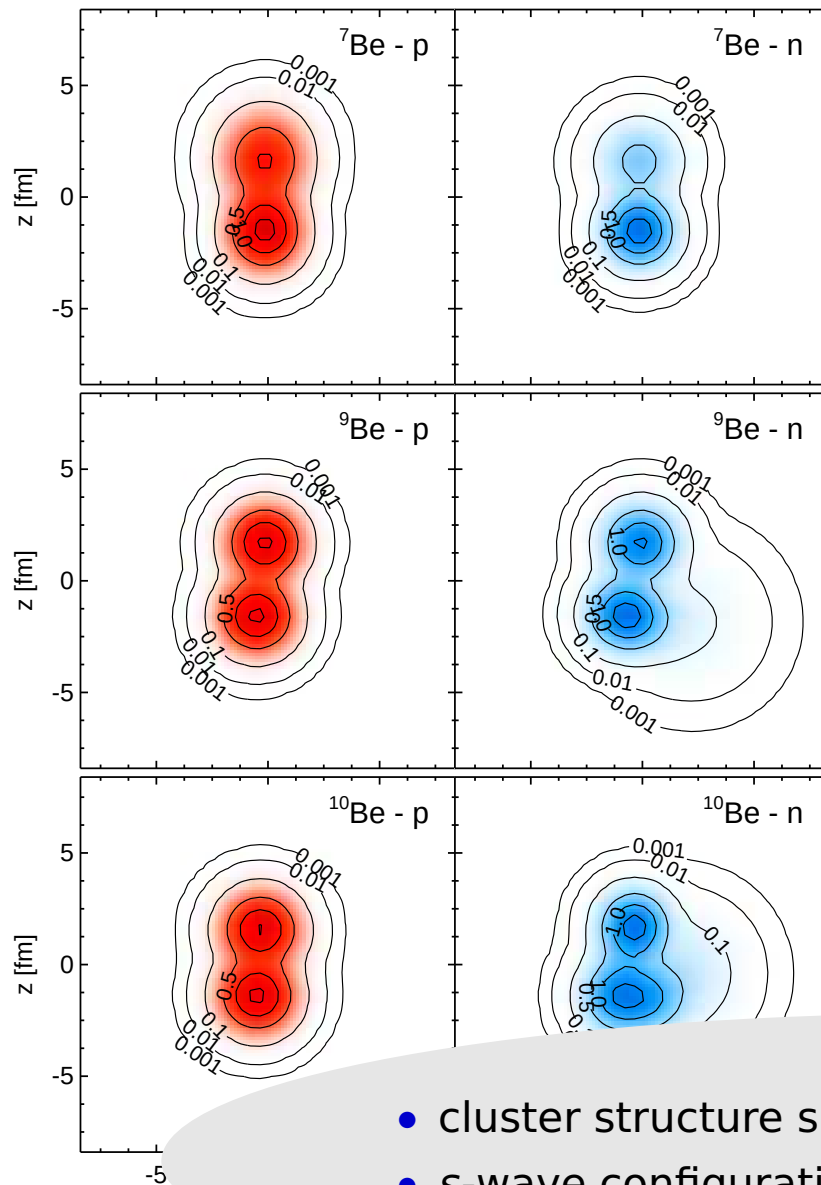


- Beryllium Isotopes
- Variation after Projection



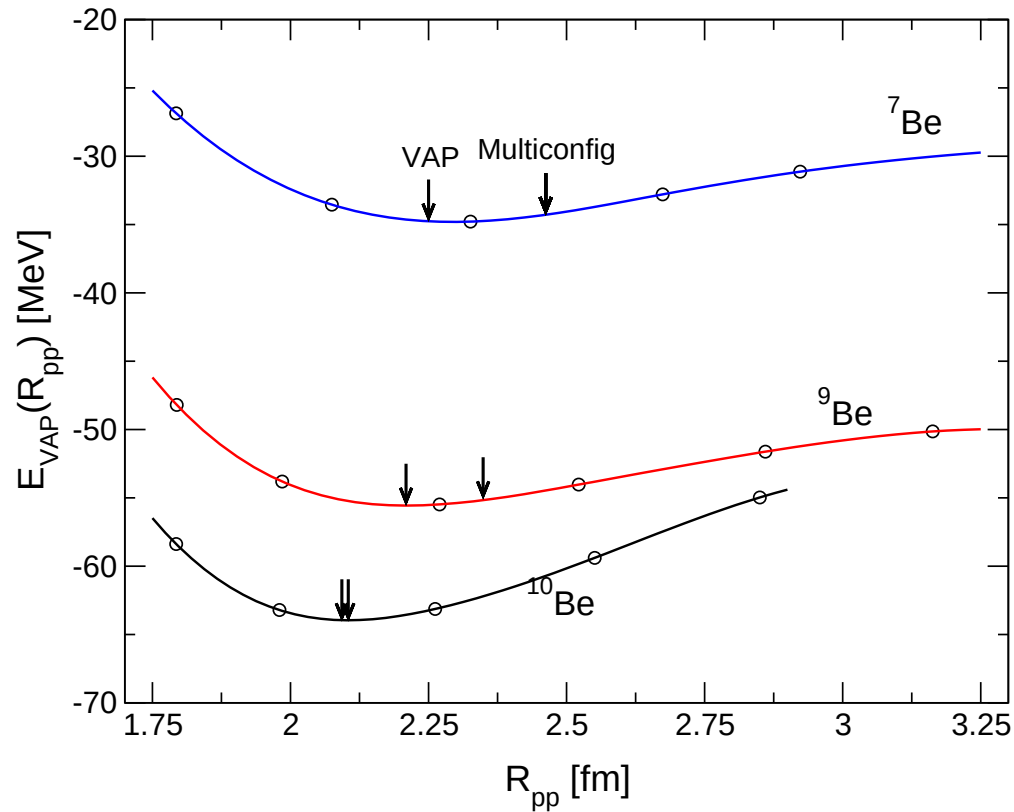
- Beryllium Isotopes
- Variation after Projection

Variation after Projection



- cluster structure shows up in VAP calculations
- s-wave configuration dominant in ${}^{11}\text{Be}$

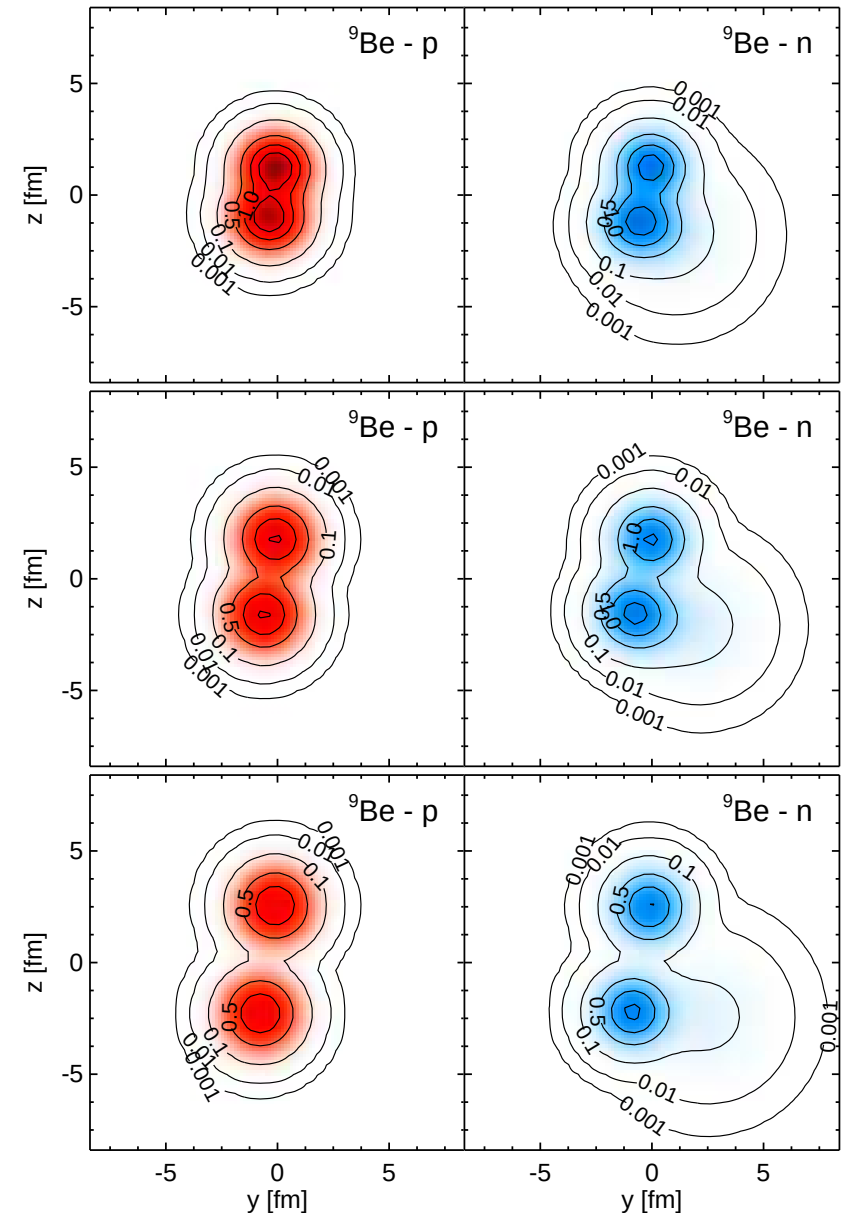
- Beryllium Isotopes
- Mean proton distance as generator coordinate



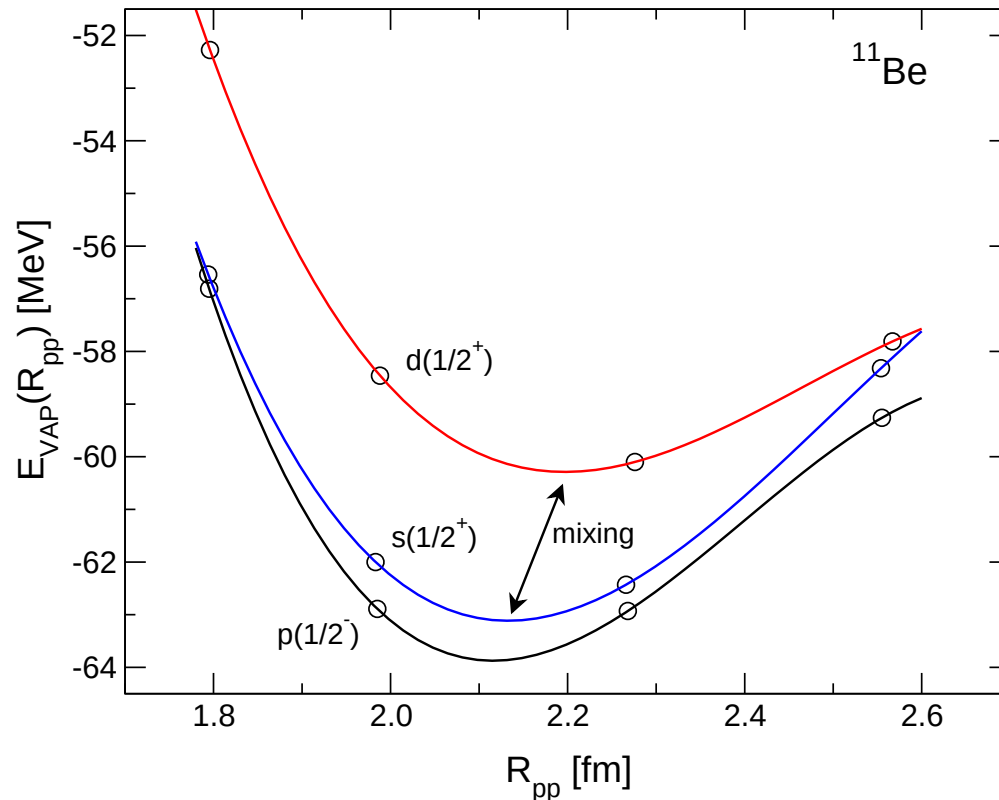
Mean proton distance

$$R_{pp}^2 = \frac{1}{Z^2} \left\langle \sum_{i < j}^{\text{protons}} (\mathbf{r}_i - \mathbf{r}_j)^2 \right\rangle$$

R_{pp} as a measure of α -cluster distance

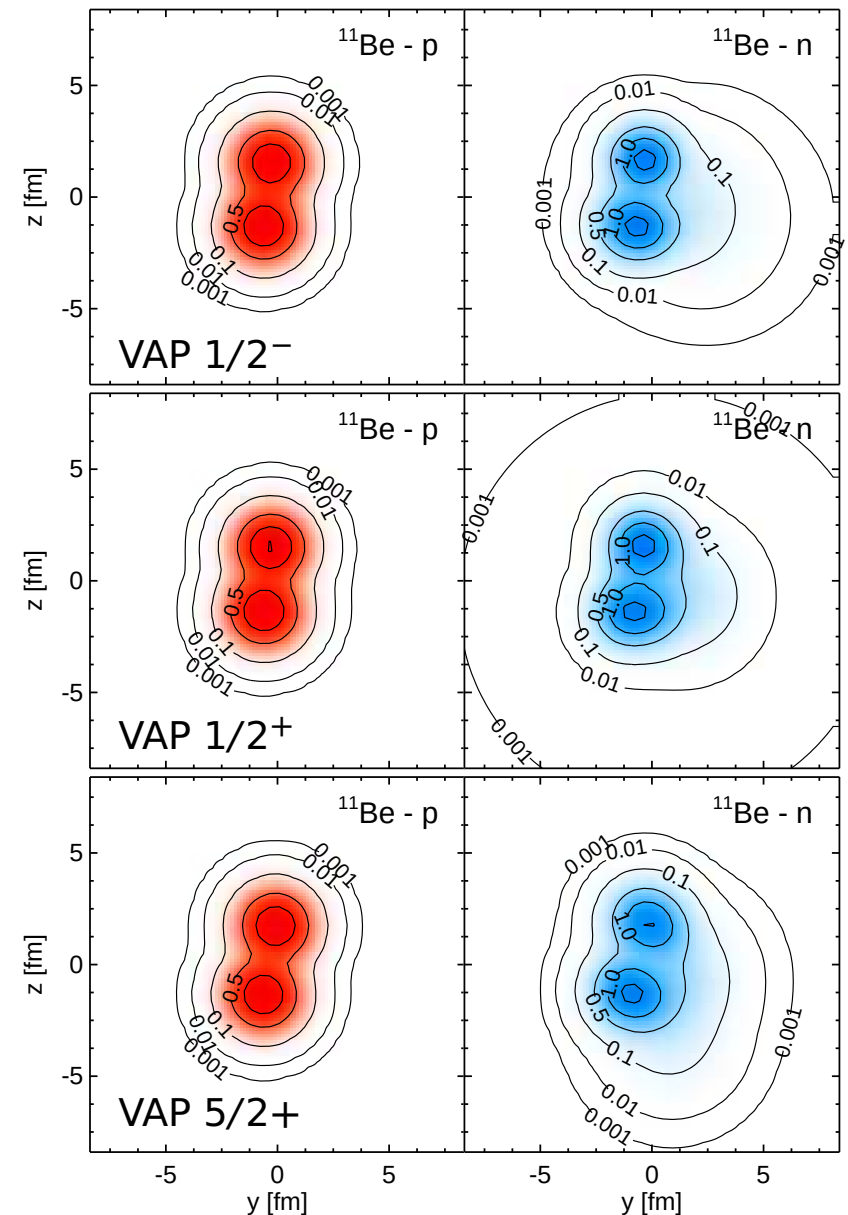


Beryllium Isotopes Mean proton distance as generator coordinate



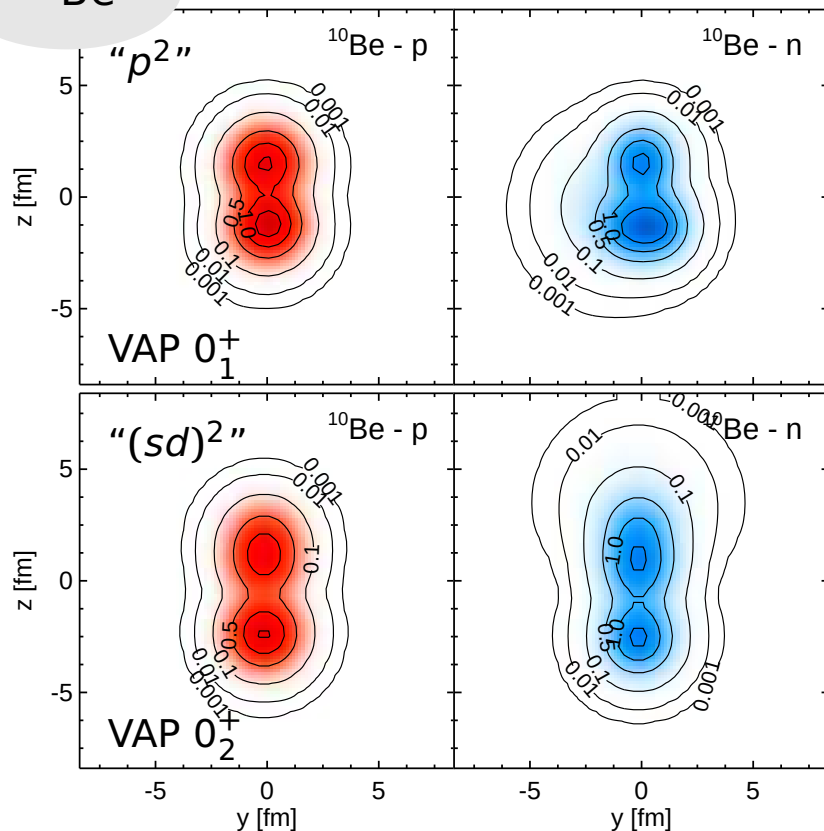
^{11}Be – "p", "s" and "d"-configurations

- "s"- and "d"-configurations will mix in $1/2^+$ state
- energy surfaces for "p" and "s" similar to those in ^{10}Be
- "d" surface has minimum at larger cluster distance $\rightarrow$ d -configuration has a polarized ^{10}Be core

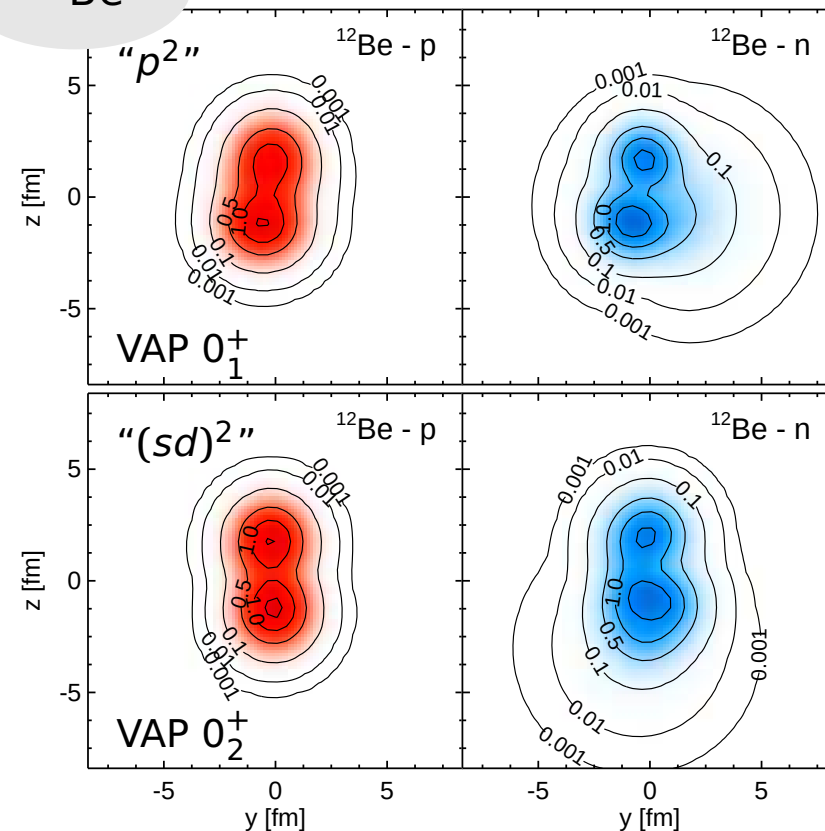


- Beryllium Isotopes
- $N = 8$ shell closure ?

^{10}Be

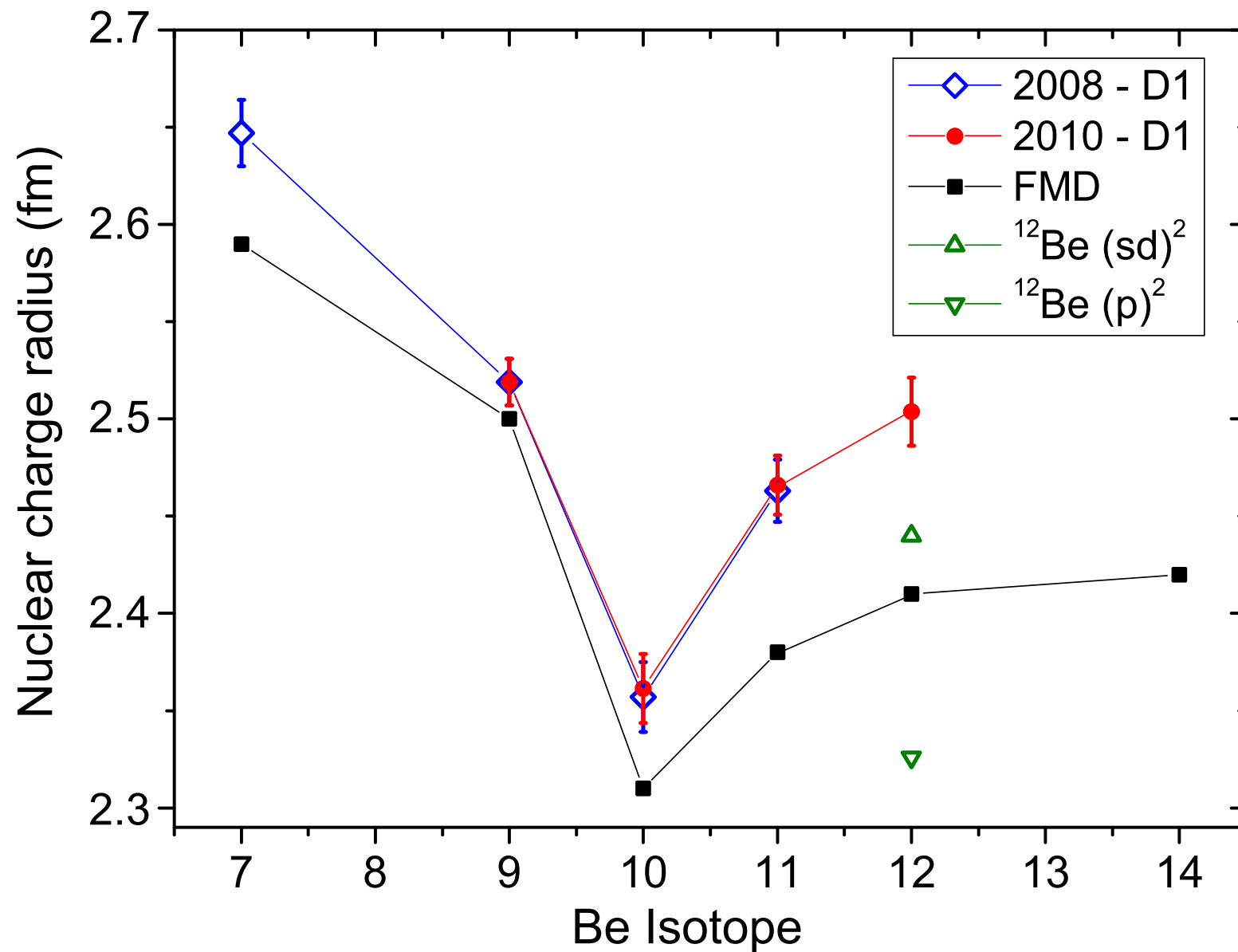


^{12}Be



- in ^{12}Be normal and intruder configurations almost degenerate in energy
- contribution of spin-orbit force larger in intruder configuration
- eigenstate composition can be tuned from dominant p^2 to dominant $(sd)^2$ by changing spin-orbit factor from 2.0 to 2.2

- Beryllium Isotopes
- Charge Radii



Beryllium Isotopes Electromagnetic transitions

^{10}Be

	FMD(Multiconfig)	Experiment
$B(E2; 2_1^+ \rightarrow 0_1^+)$	$8.07 \text{ e}^2\text{fm}^4$	$9.2 \pm 0.3 \text{ e}^2\text{fm}^4$
$B(E2; 2_2^+ \rightarrow 0_1^+)$	$0.08 \text{ e}^2\text{fm}^4$	$0.11 \pm 0.02 \text{ e}^2\text{fm}^4$
$B(E2; 0_2^+ \rightarrow 2_1^+)$	$0.17 \text{ e}^2\text{fm}^4$	$3.2 \pm 1.9 \text{ e}^2\text{fm}^4$

^{12}Be

	FMD(Multiconfig)	Experiment
$B(E2; 2_1^+ \rightarrow 0_1^+)$	$8.75 \text{ e}^2\text{fm}^4$	$8.0 \pm 3.0 \text{ e}^2\text{fm}^4$
$B(E2; 0_2^+ \rightarrow 2_1^+)$	$7.45 \text{ e}^2\text{fm}^4$	$7.0 \pm 0.6 \text{ e}^2\text{fm}^4$
$M(E0; 0_1^+ \rightarrow 0_2^+)$	0.90 efm^2	$0.87 \pm 0.03 \text{ efm}^2$

- Monopole and Quadrupole transitions directly connected to mixing between normal and intruder configurations
- 2_1^+ state has dominant intruder contribution

McCutchan *et al.*, Phys. Rev. Lett. **103**, 192501 (2009).

Nakamura *et al.*, Phys. Lett. **B394**, 11 (1997).

Shimoura *et al.*, Phys. Lett. **B654**, 87 (2007).

Iwasaki *et al.*, Phys. Lett. **B491**, 8 (2000).

$^3\text{He}(\alpha, \gamma)^7\text{Be}$ radiative capture



Effective Nucleon-Nucleon interaction:

UCOM(SRG) $\alpha = 0.20 \text{ fm}^4 - \lambda \approx 1.5 \text{ fm}^{-1}$

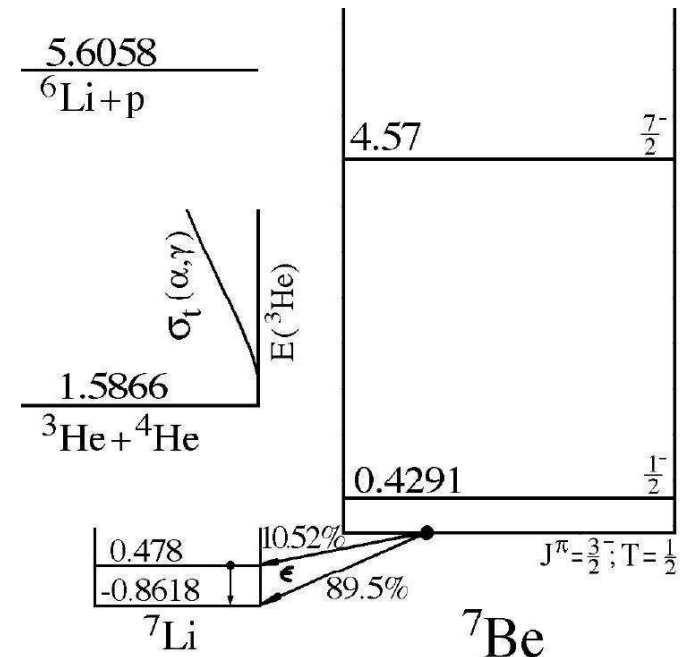
Many-Body Approach:

Fermionic Molecular Dynamics

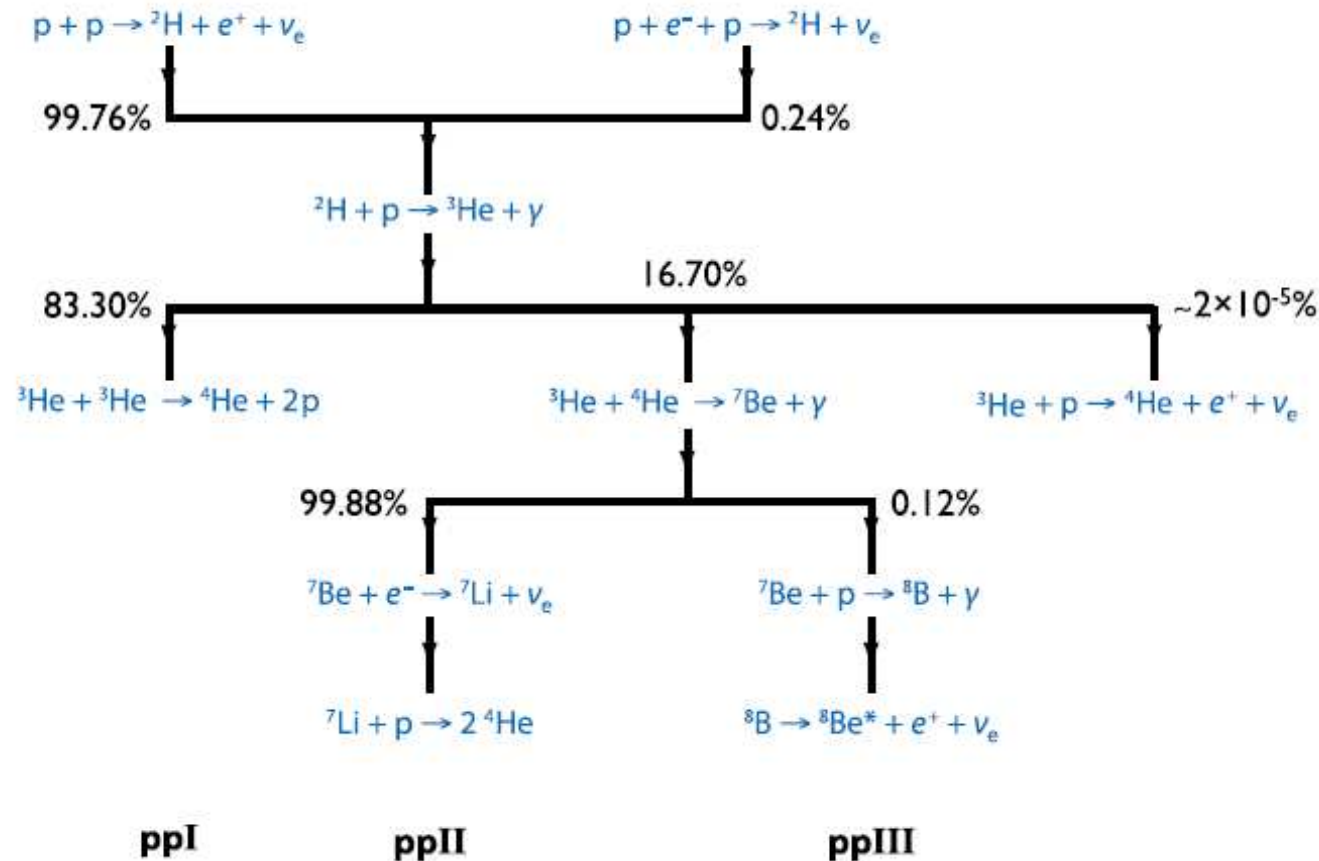
- Internal region: VAP configurations with radius constraint
- External region: Brink-type cluster configurations
- Matching to Coulomb solutions: Microscopic *R*-matrix method

Results:

- ^7Be bound and scattering states
- Astrophysical *S*-factor



${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ Astrophysical Motivation



- ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ is one of the key reactions in the solar pp-chains
- in competition with the ${}^3\text{He}({}^3\text{He}, 2p){}^4\text{He}$ reaction it determines production of ${}^7\text{Be}$ and ${}^8\text{B}$ neutrinos

Theoretical Approaches

Potential models (Kim *et al.* 1982, Mohr 2009, ...)

- ${}^4\text{He}$ and ${}^3\text{He}$ are considered as point-like particles
- interacting via an effective nucleus-nucleus potential fitted to bound state properties and phase shifts
- ANCs calculated from *ab initio* wave functions (Nollett 2001, Navratil *et al.* 2007)

Microscopic Cluster Model (Tang *et al.* 1981, Langanke 1986, Kajino 1986 ...)

- antisymmetrized wave function built with ${}^4\text{He}$ and ${}^3\text{He}$ clusters
- some attempts to include polarization effects by adding other channels like ${}^6\text{Li}$ plus proton
- interacting via an effective nucleon-nucleon potential, adjusted to describe bound state properties and phase shifts

Our Aim

- fully microscopic wave functions with cluster configurations at large distances and additional polarized A -body configurations in the interaction region
- using a realistic effective interaction

${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$

FMD model space

Frozen configurations

- 15 antisymmetrized wave function built with ${}^4\text{He}$ and ${}^3\text{He}$ FMD clusters up to channel radius $a=12$ fm

Polarized configurations

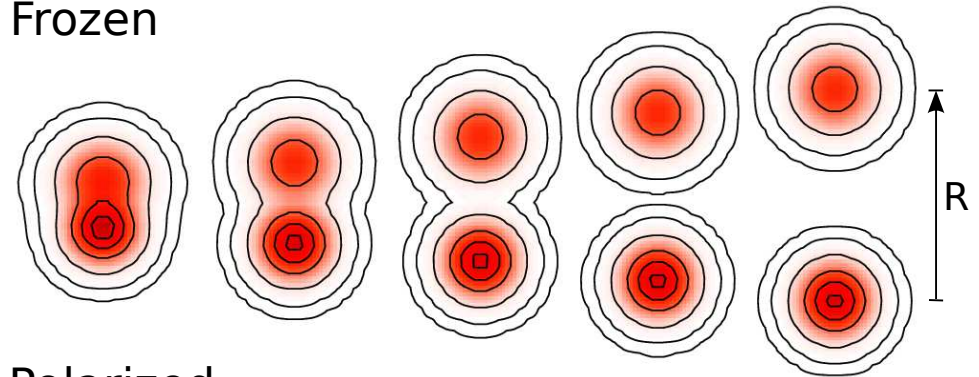
- 30 FMD wave functions obtained by VAP on $1/2^-$, $3/2^-$, $5/2^-$, $7/2^-$ and $1/2^+$, $3/2^+$ and $5/2^+$ combined with radius constraint in the interaction region

Boundary conditions

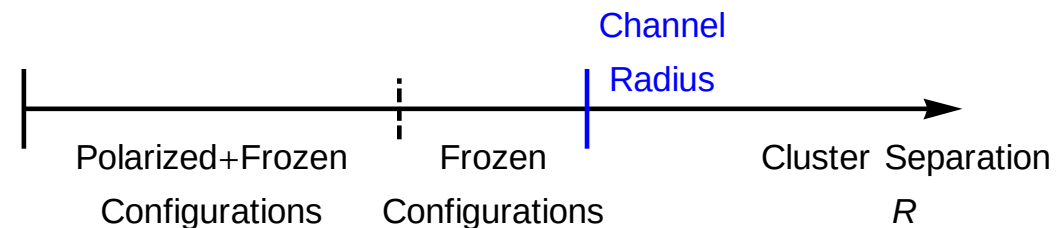
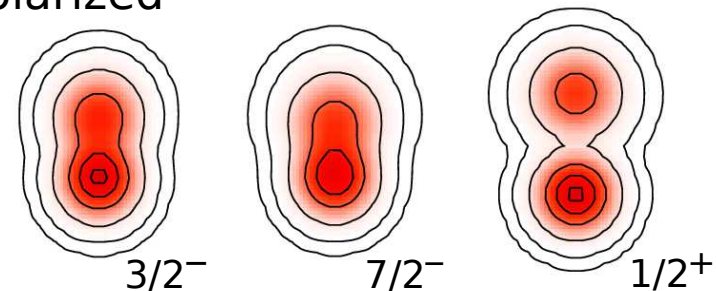
- Match relative motion of clusters at channel radius to Whittaker/Coulomb functions with the **microscopic R-matrix** method of the Brussels group

D. Baye, P.-H. Heenen, P. Descouvemont

Frozen



Polarized



Slater determinants and RGM wave functions

- In internal region wave function is described microscopically with FMD Slater determinants
- In external region wave function is considered as a system of two point-like clusters
- (Microscopic) cluster wave function – Slater determinant

$$|Q^{ab}(\mathbf{R})\rangle = \frac{1}{\sqrt{c_{ab}}} \mathcal{A} \left\{ |Q^a(-\frac{m_b}{m_a+m_b}\mathbf{R})\rangle \otimes |Q^b(\frac{m_a}{m_a+m_b}\mathbf{R})\rangle \right\}$$

- Projection on total linear momentum decouples intrinsic motion, relative motion of clusters and total center-of-mass

$$|Q^{ab}(\mathbf{R}); \mathbf{P} = 0\rangle = \int d^3r \tilde{r}(\mathbf{r} - \mathbf{R}) |\Phi^{ab}(\mathbf{r})\rangle \otimes |\mathbf{P}_{cm} = 0\rangle$$

using RGM basis states

$$\langle \boldsymbol{\rho}, \xi_a, \xi_b | \Phi^{ab}(\mathbf{r}) \rangle = \frac{1}{\sqrt{c_{ab}}} \mathcal{A} \left\{ \delta(\boldsymbol{\rho} - \mathbf{r}) \Phi^a(\xi_a) \Phi^b(\xi_b) \right\}$$

RGM norm kernel

$$n^{ab}(\mathbf{r}, \mathbf{r}') = \langle \Phi^{ab}(\mathbf{r}) | \Phi^{ab}(\mathbf{r}') \rangle$$

Slater determinants and RGM wave functions

- Relative motion in Slater determinant described by Gaussian

$$\tilde{f}(\mathbf{r} - \mathbf{R}) = \left(\frac{\beta_{\text{rel}}}{\pi^2 a_{\text{rel}}} \right)^{3/4} \exp \left(-\frac{(\mathbf{r} - \mathbf{R})^2}{2a_{\text{rel}}} \right)$$

with

$$a_{\text{rel}} = \frac{a_a A_b + a_b A_a}{A_a A_b}, \quad \beta_{\text{rel}} = \frac{a_a a_b}{a_a A_b + a_b A_a}$$

- Overlap of full wave function with RGM cluster basis

$$\psi(\mathbf{r}) = \int d^3 r' n^{-1/2}(\mathbf{r}, \mathbf{r}') \langle \Phi(\mathbf{r}') | \Psi \rangle$$

- Now Angular Momentum and Parity Projected ...

$$| Q_{K_a, K_b}^{ab}(\mathbf{R} \mathbf{e}_z); J^\pi M K; \mathbf{P} = 0 \rangle = \sum_{IL} \int dr r^2 \tilde{g}_{K_a K_b; (LI) J^\pi}^{ab}(R; r) | \Phi^{ab}(r); (LI) J^\pi M \rangle \otimes | \mathbf{P}_{cm} = 0 \rangle$$

with the reduced width amplitude

$$\tilde{g}_{K_a K_b; (LI) J^\pi}^{ab}(R; r) = C \left(\begin{matrix} I_a & I_b & I \\ K_a & K_b & K \end{matrix} \right) C \left(\begin{matrix} L & I & J \\ 0 & K & K \end{matrix} \right) \sqrt{\frac{2L+1}{4\pi}} 4\pi \left(\frac{\beta_{\text{rel}}}{\pi^2 a_{\text{rel}}} \right)^{3/4} \exp \left(-\frac{R^2 + r^2}{2a_{\text{rel}}} \right) i_L \left(\frac{Rr}{a_{\text{rel}}} \right)$$

R-Matrix Method

- Expand wave function in internal region using (polarized) FMD and two-cluster basis states

$$|\Psi_{\text{int}}; J^\pi M\rangle_P = \sum_{i\kappa_i} |Q^{(i)}; J^\pi M_{\kappa_i}; \mathbf{P} = 0\rangle C_{i,\kappa_i}^{J^\pi} + \sum_j |Q_{K_a K_b}^{ab}(R_j \mathbf{e}_z); J^\pi M; \mathbf{P} = 0\rangle_P C_j^{J^\pi}$$

- Solutions for two-body Hamiltonian in outside region known

$$\tilde{H}(r) = \tilde{T}_r + \frac{L(L+1)}{2\mu r^2} + \frac{e^2 Z_a Z_b}{r} + E_a + E_b$$

- Solve the Schrödinger Equation with boundary conditions at $r = a$

$$(\tilde{H} + \tilde{\mathcal{L}}(B) - E)|\Psi_{\text{int}}\rangle_P = \tilde{\mathcal{L}}(B)|\Psi_{\text{ext}}\rangle$$

with the Bloch operator

$$\tilde{\mathcal{L}}(B) = \frac{1}{2\mu a} \delta(r - a) \left(\frac{d}{dr} - \frac{B}{r} \right),$$

- Evaluating the matrix elements in the internal region

$$\langle Q^{ab}(R_i) | \tilde{H} | Q^{ab}(R_j) \rangle_P = \langle Q^{ab}(R_i) | \tilde{H} | Q^{ab}(R_j) \rangle - \int_a^\infty dr r^2 \tilde{g}(R_i; r)^* \tilde{H}(r) \tilde{g}(R_j; r).$$

R-Matrix Method

- Bound states: Match asymptotics to Whittaker function

$$\psi_b(r) = A \frac{1}{r} W_{-\eta, L+1/2}(2\kappa r)$$

with

$$\kappa = \sqrt{-2\mu E_b}, \quad \eta = \mu \frac{Z_a Z_b e^2}{\kappa}$$

➡ This is a self-consistency problem

- Scattering states: Match asymptotics to Coulomb functions with phase shift

$$\psi_{\text{scatt}}(r) = \frac{1}{r} \{I_L(\eta, kr) - e^{2i\delta} O_L(\eta, kr)\}$$

with

$$k = \sqrt{2\mu E}, \quad \eta = \mu \frac{Z_a Z_b e^2}{k}$$

➡ Determine R-matrix by inversion

$^3\text{He}(\alpha, \gamma)^7\text{Be}$ **p-wave Bound and Scattering States**

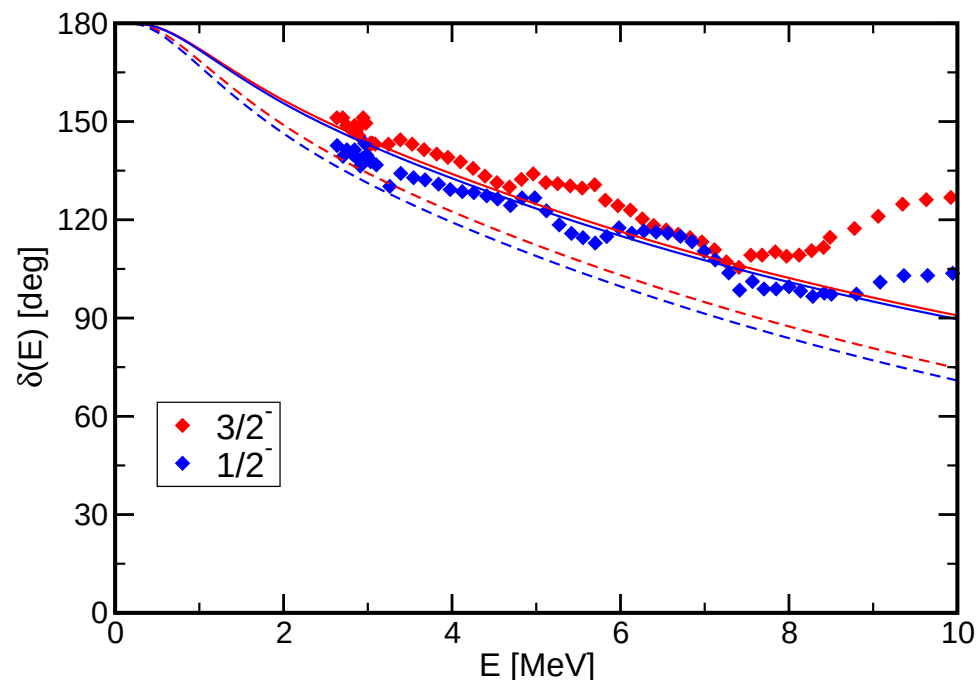
Bound states

		Experiment	FMD
^7Be	$E_{3/2-}$	-1.59 MeV	-1.49 MeV
	$E_{1/2-}$	-1.15 MeV	-1.31 MeV
	r_{ch}	2.647(17) fm	2.67 fm
	Q	–	-6.83 e fm ²
^7Li	$E_{3/2-}$	-2.467 MeV	-2.39 MeV
	$E_{1/2-}$	-1.989 MeV	-2.17 MeV
	r_{ch}	2.444(43) fm	2.46 fm
	Q	-4.00(3) e fm ²	-3.91 e fm ²

- centroid of bound state energies well described if polarized configurations included
- tail of wave functions tested by charge radii and quadrupole moments

Phase shift analysis:

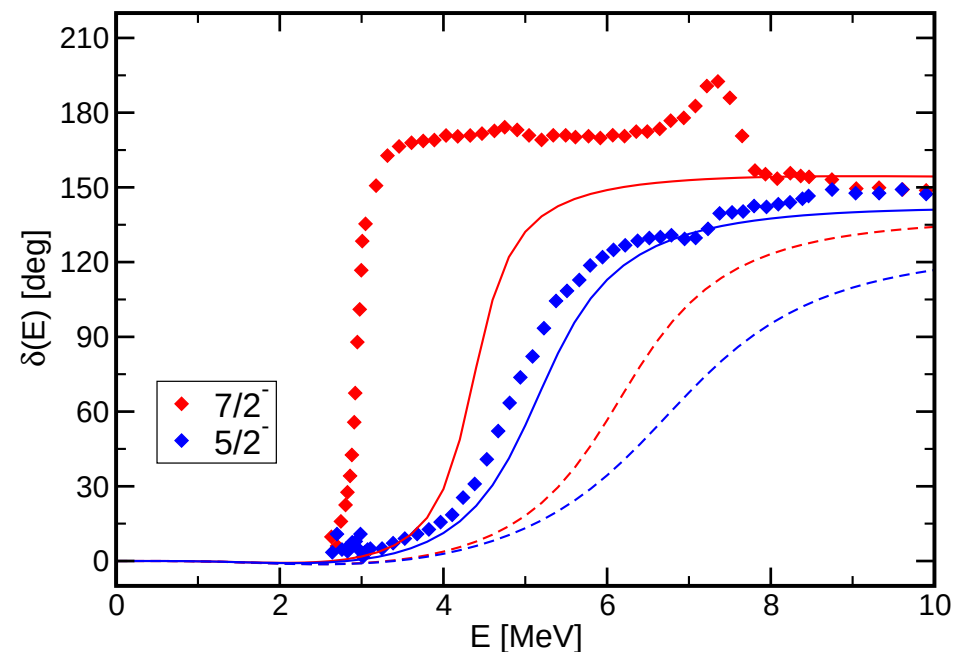
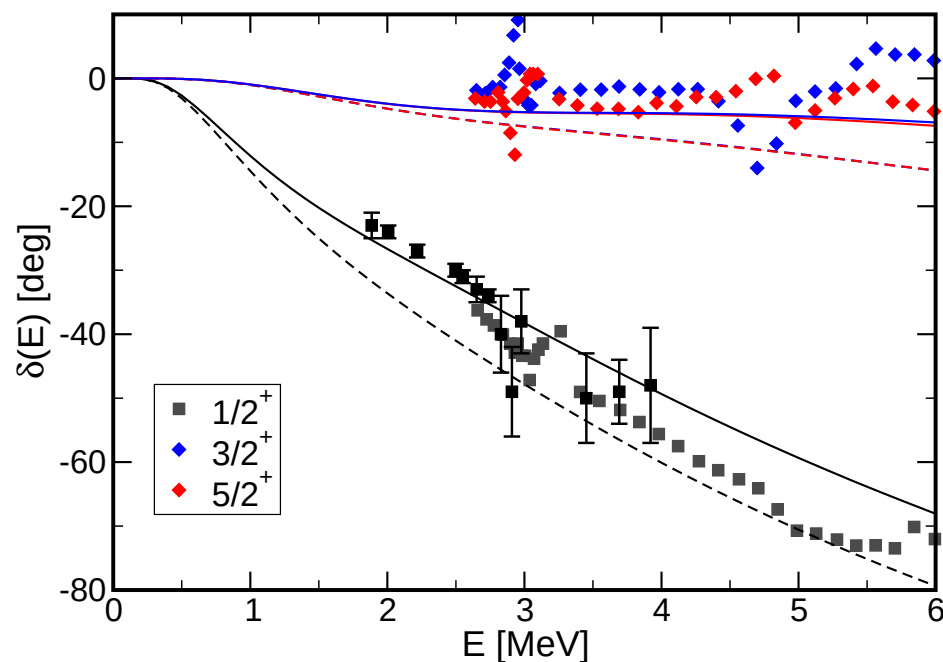
Spiger and Tombrello, PR **163**, 964 (1967)



dashed lines – frozen configurations only
solid lines – polarized configurations in interaction region included

- Scattering phase shifts well described, polarization effects important

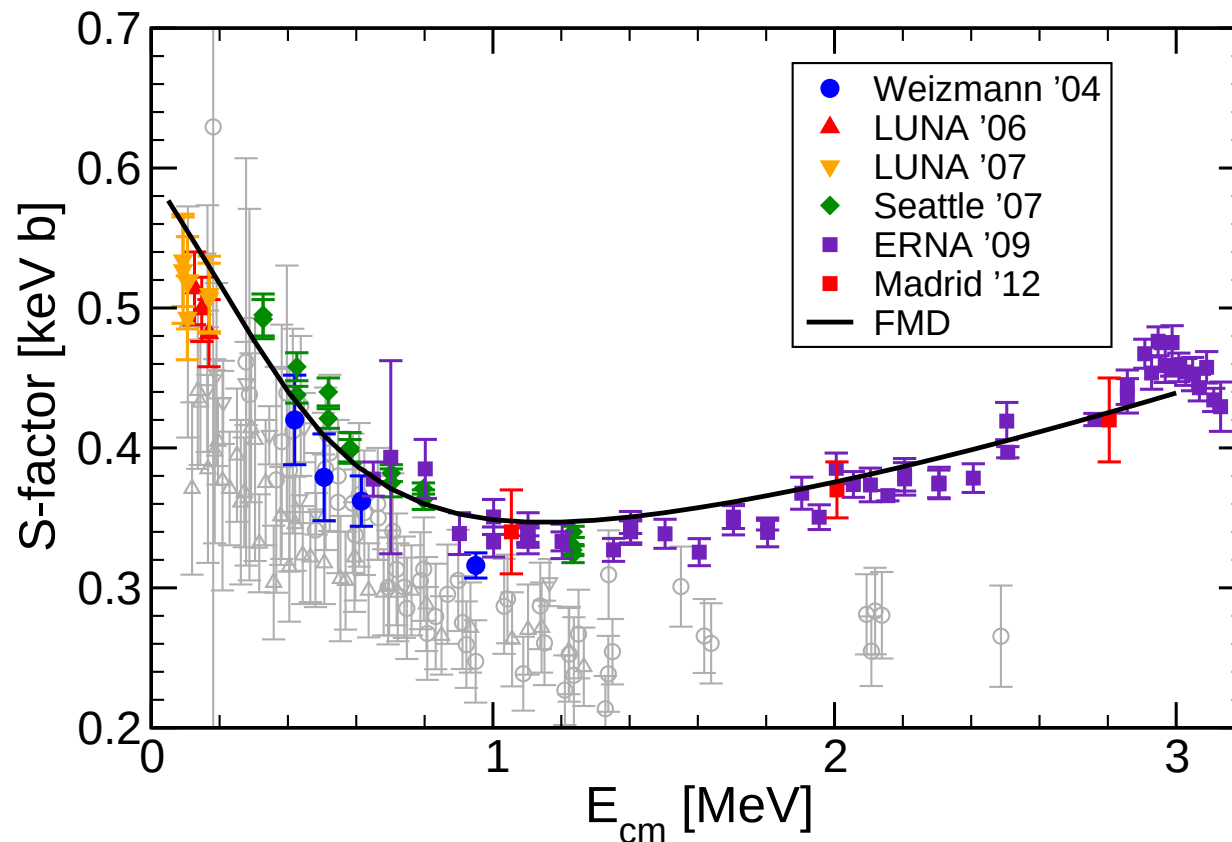
$^3\text{He}(\alpha, \gamma)^7\text{Be}$ s -, d - and f -wave Scattering States



dashed lines – frozen configurations only – solid lines – FMD configurations in interaction region included

- polarization effects important
- s - and d -wave scattering phase shifts well described
- $7/2^-$ resonance too high, $5/2^-$ resonance roughly right, consistent with no-core shell model calculations

$^3\text{He}(\alpha, \gamma)^7\text{Be}$ S-Factor



S-factor:

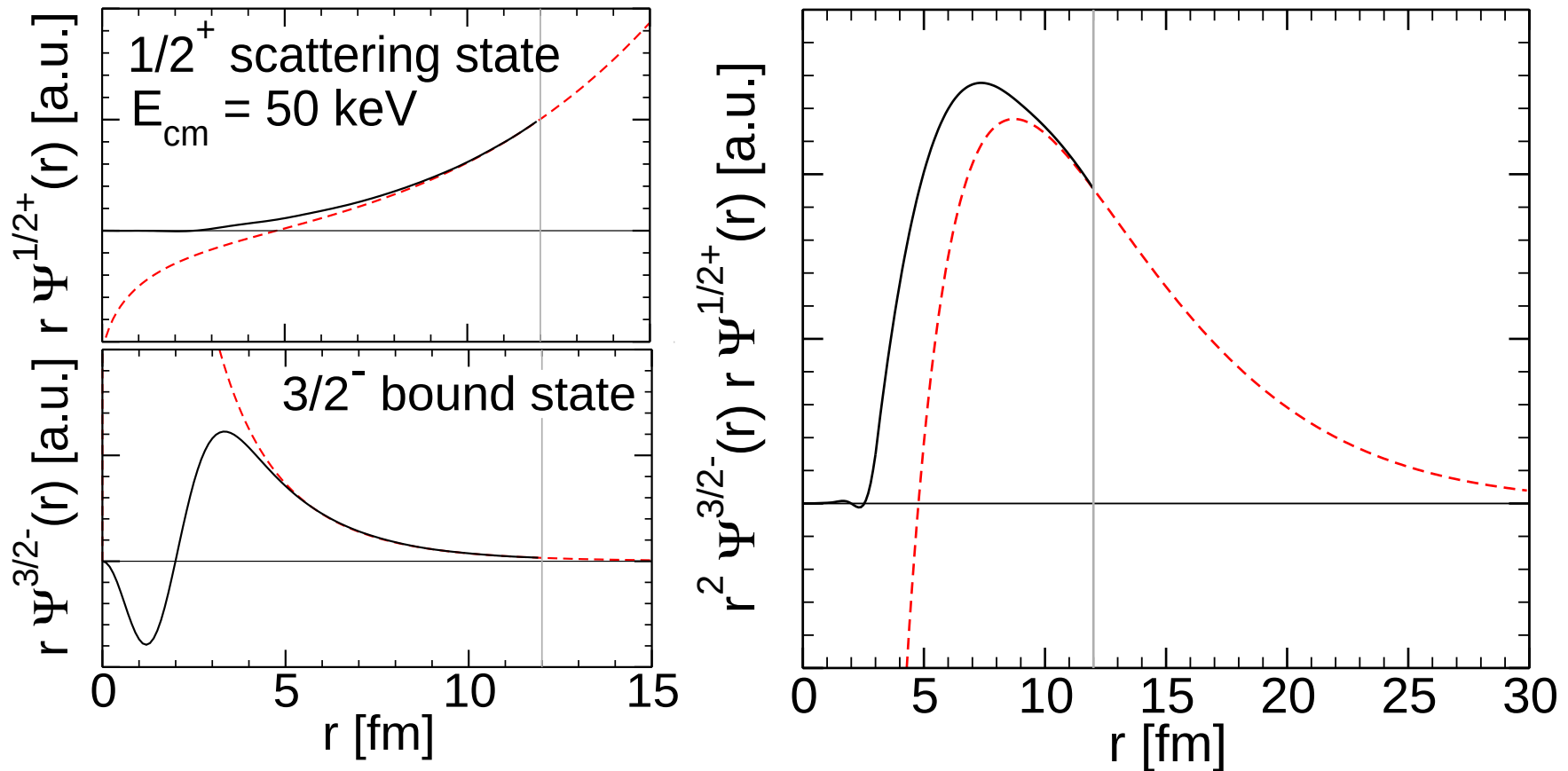
$$S(E) = \sigma(E)E \exp\{2\pi\eta\}$$

$$\eta = \frac{\mu Z_1 Z_2 e^2}{k}$$

Nara Singh *et al.*, PRL **93**, 262503 (2004)
 Bemmerer *et al.*, PRL **97**, 122502 (2006)
 Confortola *et al.*, PRC **75**, 065803 (2007)
 Brown *et al.*, PRC **76**, 055801 (2007)
 Di Leva *et al.*, PRL **102**, 232502 (2009)
 Carmona-Gallardo *et al.*,
 PRC **86**, 032801(R) (2012)

- dipole transitions from $1/2^+$, $3/2^+$, $5/2^+$ scattering states into $3/2^-$, $1/2^-$ bound states
- ➡ FMD is the only model that describes well the energy dependence and normalization of new high quality data
- ➡ fully microscopic calculation, bound and scattering states are described consistently

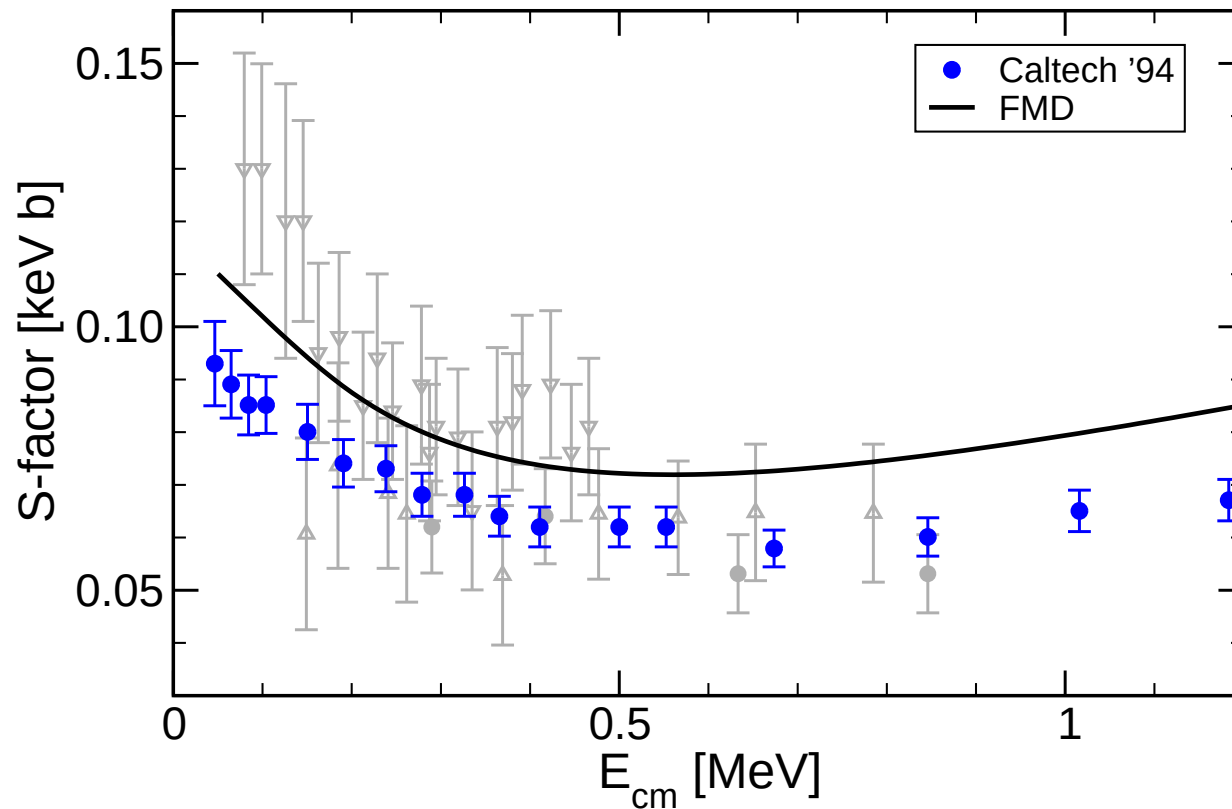
• Overlap Functions and Dipole Matrixelements



- Overlap functions from projection on RGM-cluster states
- Coulomb and Whittaker functions matched at channel radius $a=12$ fm
- Dipole matrix elements calculated from overlap functions reproduce full calculation within 2%
- cross section depends significantly on internal part of wave function, description as an “external” capture is too simplified

${}^3\text{H}(\alpha, \gamma){}^7\text{Li}$

S-Factor



S-factor:

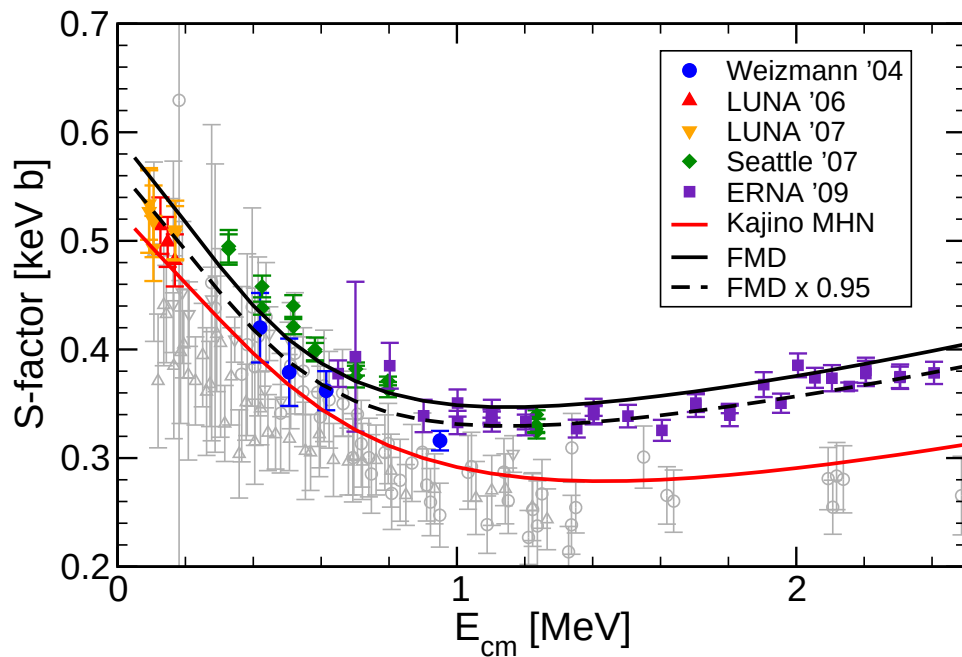
$$S(E) = \sigma(E)E \exp\{2\pi\eta\}$$
$$\eta = \frac{\mu Z_1 Z_2 e^2}{k}$$

Brune *et al.*, PRC **50**, 2205 (1994)

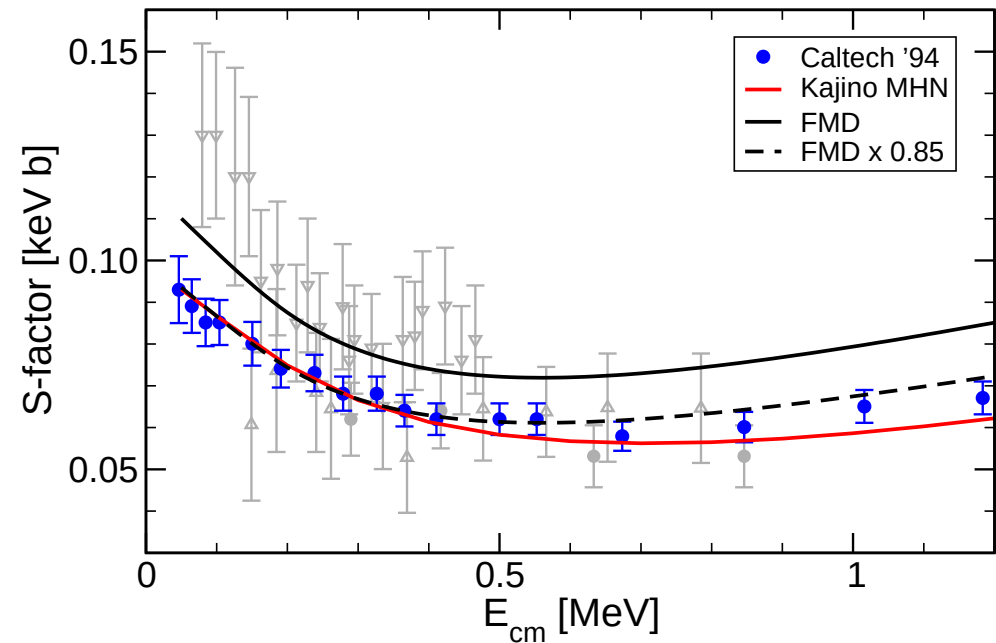
- isospin mirror reaction of ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$
- ${}^7\text{Li}$ bound state properties and phase shifts well described
- ➡ FMD calculation describes energy dependence of Brune *et al.* data but cross section is larger by about 15%

$^3\text{He}(\alpha, \gamma)^7\text{Be}$ and $^3\text{H}(\alpha, \gamma)^7\text{Li}$ **S-Factors consistent ?**

$^3\text{He}(\alpha, \gamma)^7\text{Be}$

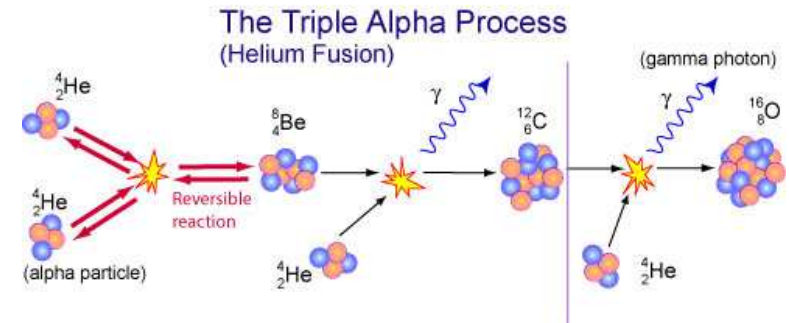


$^3\text{H}(\alpha, \gamma)^7\text{Li}$



- FMD calculation agrees with normalization and energy dependence of $^3\text{He}(\alpha, \gamma)^7\text{Be}$ data
- FMD calculation agrees with energy dependence but not normalization of $^3\text{H}(\alpha, \gamma)^7\text{Li}$ data
- similar inconsistency observed in other models

Cluster States in ^{12}C



Structure

- Is the Hoyle state a pure α -cluster state ?

- Second 2^+ state

Zimmermann *et al.*, Phys. Rev. Lett. 110, 152502 (2013)

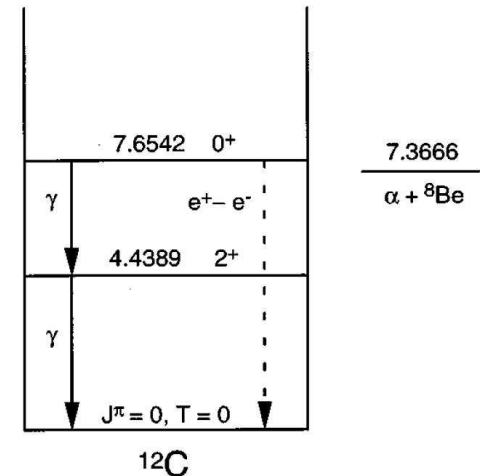
- Second 4^+ state

Freer *et al.*, Phys. Rev. C 83, 034314 (2011)

- Other states in the continuum

Fynbo *et al.*, ...

$$\frac{7.2747}{3\alpha}$$



➔ Include continuum in the calculation!

➔ Compare microscopic α -cluster model and FMD

Chernykh, Feldmeier, Neff, von Neumann-Cosel, Richter,

Phys. Rev. Lett. (2007) 032501 (2007); Phys. Rev. Lett 105, 022501 (2010)

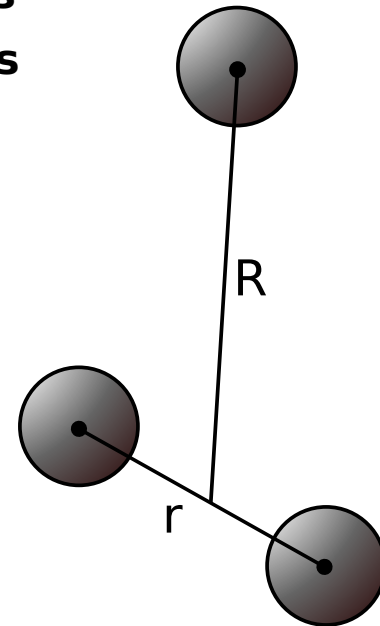
Neff, Feldmeier, arXiv:1409.3726

Microscopic α -cluster model



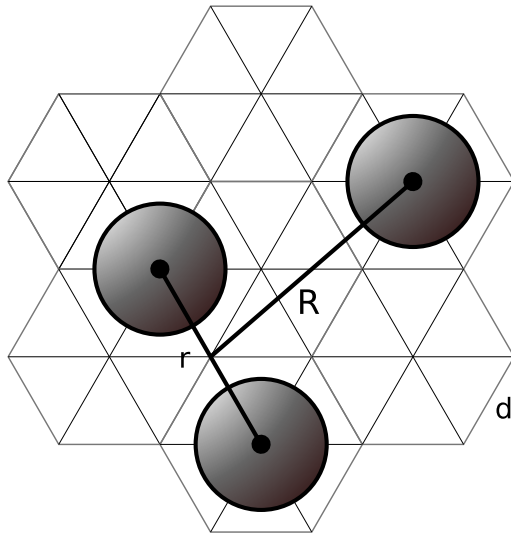
What are the degrees of freedom ?

- ^{12}C is described as a system of three α -particles
 - α -particles are given by HO $(0s)^4$ wave functions
 - wave function is fully antisymmetrized
 - effective nucleon-nucleon interaction adjusted to reproduce α - α and ^{12}C ground state properties
- ➔ include ^8Be - α channels for continuum



Microscopic α -Cluster Model

Model space in internal region



$$\rho^2 = \frac{1}{2}\mathbf{r}^2 + \frac{2}{3}\mathbf{R}^2$$

Hyperradius

Model Space

- include all possible configurations on triangular grid ($d = 1.4$ fm) up to a certain hyperradius ρ
- no restriction on relative angular momenta

Basis States

- Intrinsic states are projected on parity and angular momentum

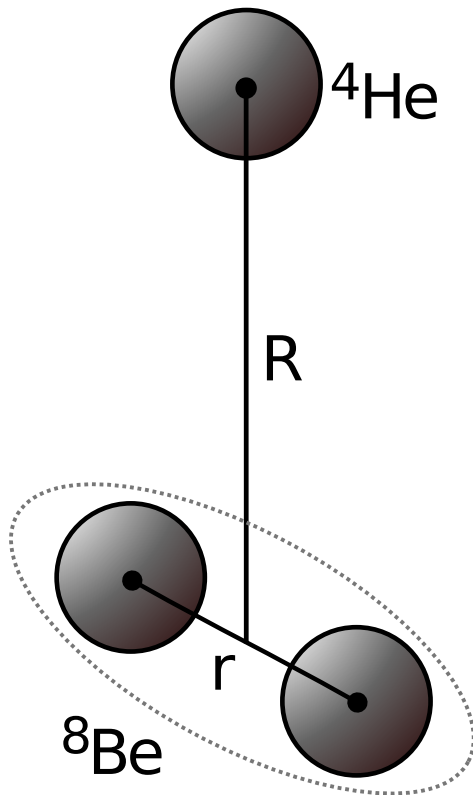
$$|\psi_{JMK\pi}^{3\alpha}(\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3)\rangle = \tilde{P}^{\pi} \tilde{P}_{MK}^J \tilde{A} \left\{ |\psi^{4\text{He}}(\mathbf{R}_1)\rangle \otimes |\psi^{4\text{He}}(\mathbf{R}_2)\rangle \otimes |\psi^{4\text{He}}(\mathbf{R}_3)\rangle \right\}$$

Volkov Interaction

- simple central interaction
- parameters adjusted to give reasonable α binding energy and radius, $\alpha - \alpha$ scattering data, adjusted to reproduce ^{12}C ground state energy

✗ only reasonable for ^4He , ^8Be and ^{12}C nuclei

- Microscopic α -Cluster Model
- Model space in external region



Model Space

- ^8Be - ^4He cluster configurations with generator coordinate R
- ^8Be ground state (0_1^+) and pseudo states (2_1^+ , 0_2^+ , 2_2^+ , 4_1^+) obtained by diagonalizing α - α configurations up to $r = 10$ fm

Basis States

- ^{12}C basis states are obtained by **double projection**:
Project first ^8Be

$$|\psi_{IK}^{8\text{Be}}\rangle = \sum_i P_{\sim K0}^I \mathcal{A} \left\{ |\psi^{4\text{He}}(-\frac{r_i}{2}\mathbf{e}_z)\rangle \otimes |\psi^{4\text{He}}(+\frac{r_i}{2}\mathbf{e}_z)\rangle \right\} c_i^I$$

then the combined wave function

$$|\psi_{IK;JM\pi}^{8\text{Be},4\text{He}}(R_j)\rangle = P_{\sim}^{\pi} P_{\sim MK}^J \mathcal{A} \left\{ |\psi_{IK}^{8\text{Be}}(-\frac{R_j}{3}\mathbf{e}_z)\rangle \otimes |\psi^{4\text{He}}(+\frac{2R_j}{3}\mathbf{e}_z)\rangle \right\}$$

- will allow to match to Coulomb asymptotics

Include ^8Be - α continuum



How to treat the ^{12}C continuum above the $3\text{-}\alpha$ threshold ?

- In principle it should be described as a three-body continuum
- However $^8\text{Be} + \alpha$ configurations are lower in energy than $3\text{-}\alpha$ configurations up to pretty large hyperradii
- Approximation: consider $^8\text{Be}(0^+)$ and $^8\text{Be}(2^+)$ (and additional ^8Be pseudo states) as bound states
- Could be considered as a microscopic CDCC approach

Cluster Model: ^8Be - α Continuum

^8Be - α wave functions

alpha-cluster model calculations with continuum:

Descouvemont, Baye, Phys. Rev. **C36**, 54 (1987)

Arai, Phys. Rev. **C74**, 064311 (2006)

Vasilevsky *et al.*, Phys. Rev. **C85**, 034318 (2012)

^8Be wave functions

- α - α configurations up to 9 fm distance, project on 0^+ and 2^+ , $M = 0, 1, 2$

$$|^8\text{Be}_{I,K}\rangle = P_{K0}^I \sum_i \{ |^4\text{He}(-R_i/2\mathbf{e}_z)\rangle \otimes |^4\text{He}(R_i/2\mathbf{e}_z)\rangle \} c_i^I$$

- reproduces ground state energy within 50 keV compared to full calculation

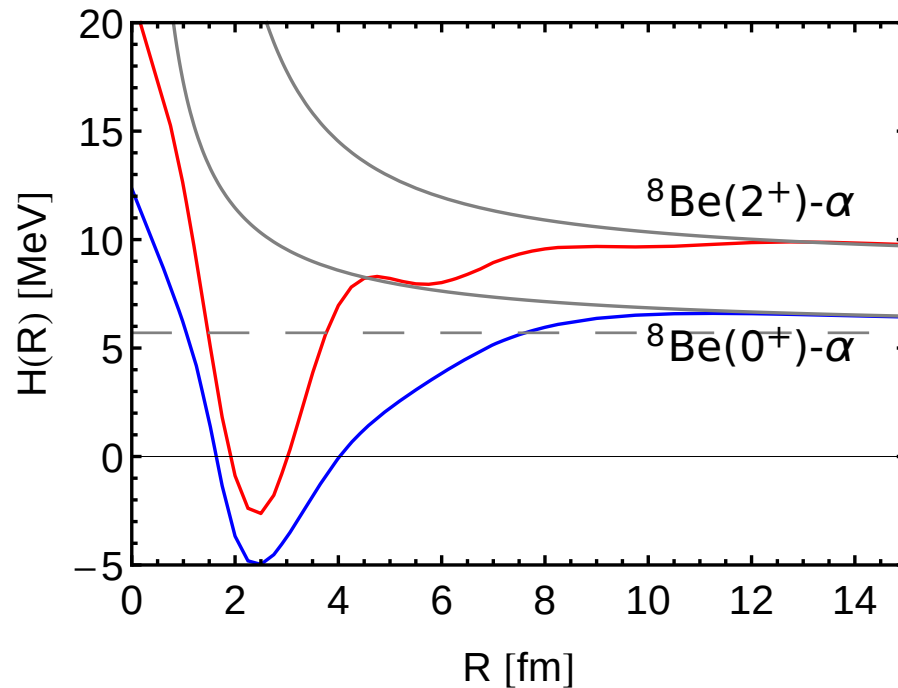
^{12}C configurations

- $^8\text{Be}(0^+, 2^+)$ and α at distance R
- $^8\text{Be}(2^+)$ can have different orientations with respect to distance vector
- $^8\text{Be}(0^+, 2^+) + \alpha$ configurations have to be projected on total angular momentum

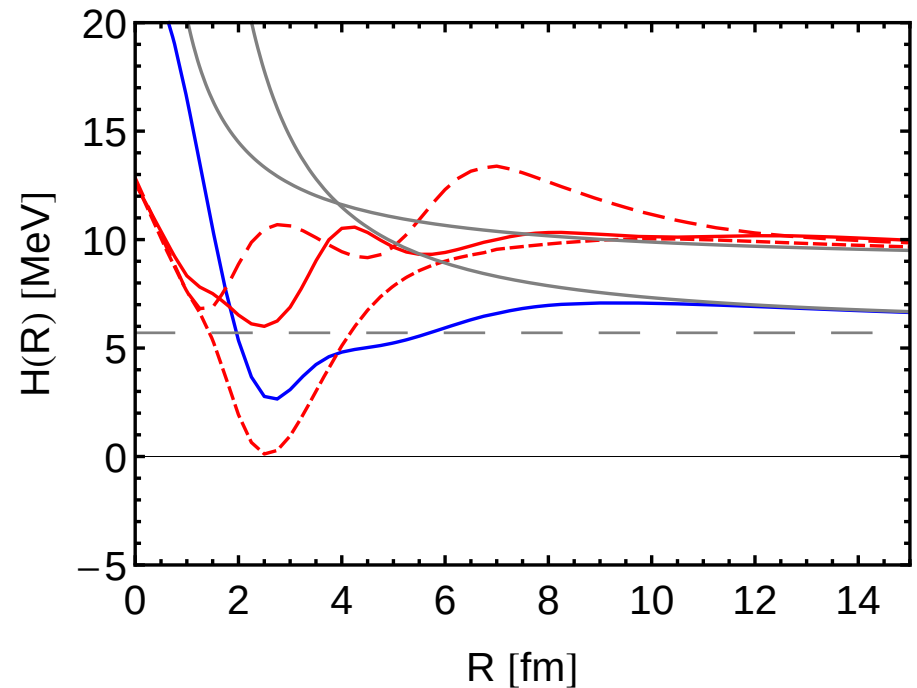
$$|^8\text{Be}_{I,K}, ^4\text{He}; R; JM\rangle = P_{MK}^J \{ |^8\text{Be}_{I,K}(-1/3R\mathbf{e}_z)\rangle \otimes |^4\text{He}(2/3R\mathbf{e}_z)\rangle \}$$

- Microscopic α -Cluster Model
- ^8Be - α Energy Surfaces

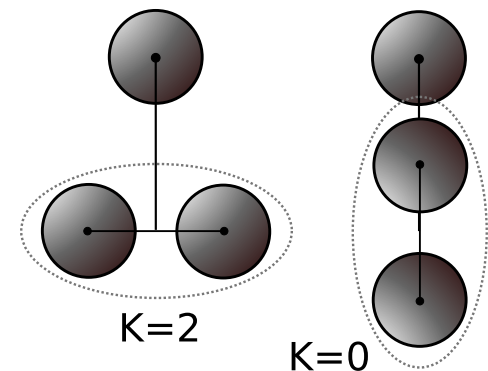
$J^\pi = 0^+$



$J^\pi = 2^+$



- energy surfaces contain localization energy for relative motion of ^8Be and α
- 2^+ energy surface depends strongly on orientation of ^8Be 2^+ state: $K = 2$ most attractive



- **Microscopic α -Cluster Model**
- **Bound state approximation – Convergence ?**

	$\rho < 6 \text{ fm}$	$\rho < 6 \text{ fm},$ $R < 9 \text{ fm}$	$\rho < 6 \text{ fm},$ $R < 12 \text{ fm}$	$\rho < 6 \text{ fm},$ $R < 15 \text{ fm}$	Experiment	
$E(0_1^+)$	-89.63	-89.64	-89.64	-89.64	-92.16	
$E^*(2_1^+)$	2.53	2.54	2.54	2.54	4.44	
$E^*(0_2^+), \Gamma_\alpha(0_2^+)$	8.53	7.82	7.78	7.76	$7.65, (8.5 \pm 1.0)10^{-6}$	
$E^*(2_2^+), \Gamma_\alpha(2_2^+)$	10.11	9.18	9.08	8.93	10.03(11), 0.80(13)	[3]
$r_{\text{charge}}(0_1^+)$	2.53	2.53	2.53	2.53	2.47(2)	
$r(0_1^+)$	2.39	2.39	2.39	2.39	–	
$r(0_2^+)$	3.21	3.68	3.78	3.89	–	
$B(E2, 2_1^+ \rightarrow 0_1^+)$	9.03	9.12	9.08	9.08	7.6(4)	
$M(E0, 0_1^+ \rightarrow 0_2^+)$	7.20	6.55	6.40	6.27	5.47(9)	[2]
$B(E2, 2_2^+ \rightarrow 0_1^+)$	3.65	2.48	2.09	1.33	0.73(13)	[3]

- properties of resonances (Hoyle state and second 2^+ state) can not be determined in bound state approximation in an unambiguous way

[1] Ajzenberg-Selove, Nuc. Phys. **A506**, 1 (1990)

[2] Chernykh et al., Phys. Rev. Lett. **105**, 022501 (2010)

[3] Zimmermann et al., Phys. Rev. Lett. **110**, 152502 (2013); these numbers are under discussion

- **Microscopic α -cluster model**
- **Matching to Coulomb asymptotics**

Model Space

- Internal region: 3- α configurations on a grid
- External region: ${}^8\text{Be}(0^+, 2^+, 4^+)$ - α configurations
- Asymptotically: only Coulomb interaction between ${}^8\text{Be}$ and ${}^4\text{He}$ clusters

GCM basis state expressed in RGM basis

- Microscopic GCM wave functions are functions of single-particle coordinates: internal wave functions of cluster, the relative motion of the clusters and the total center-of-mass motion are entangled
- Write GCM basis state in external region with RGM basis states

$$|\psi_{IK;JM\pi}^{8\text{Be},4\text{He}}(R_j)\rangle = \sum_L C\left(\begin{matrix} I & L \\ K & 0 \end{matrix} \middle| \begin{matrix} J \\ K \end{matrix}\right) \int dr r^2 \Gamma_L(R_j; r) |\Phi_{(IL)JM\pi}^{8\text{Be},4\text{He}}(r)\rangle \otimes |\Phi^{\text{cm}}\rangle$$

with $(\pi = (-1)^L)$

$$\langle \boldsymbol{\rho}, \xi_a, \xi_b | \Phi_{(IL)JM\pi}^{8\text{Be},4\text{He}}(r) \rangle = \sum_{M_I, M_L} C\left(\begin{matrix} I & L \\ M_I & M_L \end{matrix} \middle| \begin{matrix} J \\ M \end{matrix}\right) \mathcal{A} \left\{ \frac{\delta(\rho - r)}{r^2} \Phi_{IM_I}^{8\text{Be}}(\xi_a) \Phi^{4\text{He}}(\xi_b) Y_{LM_L}(\hat{\rho}) \right\}$$

- asymptotically RGM states have good channel spin I and orbital angular momentum L

- Microscopic α -cluster model
- Matching to Coulomb asymptotics

RGM norm kernel and Overlap functions

- RGM norm kernel reflects effects of antisymmetrization, channel $c = (IL)J$

$$N_{c,c'}(r, r') = \langle \Phi_c(r) | \Phi_{c'}(r') \rangle \xrightarrow{r, r' \rightarrow \infty} \delta_{cc'} \frac{\delta(r - r')}{rr'}$$

- Overlap functions can be interpreted as wave functions for point-like clusters

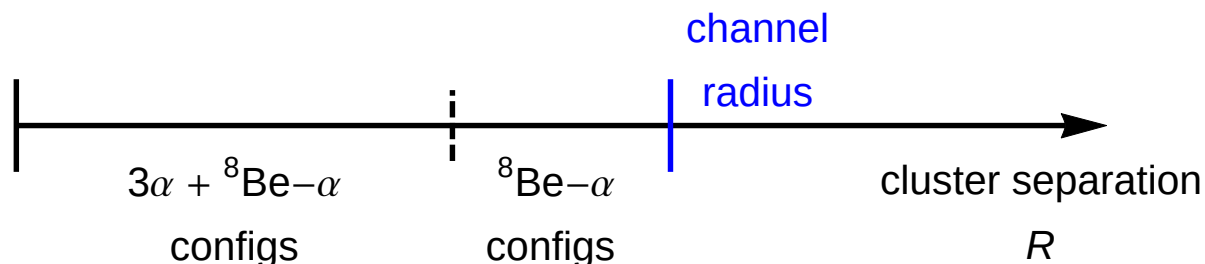
$$\psi_c(r) = \int dr' r'^2 N_{c,c'}^{-1/2}(r, r') \langle \Phi_{c'}(r') | \Psi \rangle$$

Matching to the asymptotic solution

- Use multichannel microscopic R -matrix approach

Descouvemont, Baye, Phys. Rept. 73, 036301 (2010)

- Check that results are independent from channel radius: used $a = 16.5$ fm here



- Microscopic α -cluster model
- Matching to Coulomb asymptotics

Bound states

- Whittaker functions

$$\psi_c(r) = A_c \frac{1}{r} W_{-\eta_c, L_c+1/2}(2\kappa_c r), \quad \kappa_c = \sqrt{-2\mu(E - E_c)}$$

Resonances

- purely outgoing Coulomb, k complex

$$\psi_c(r) = A_c \frac{1}{r} O_{L_c}(\eta_c, k_c r), \quad k_c = \sqrt{2\mu(E - E_c)}$$

Scattering states

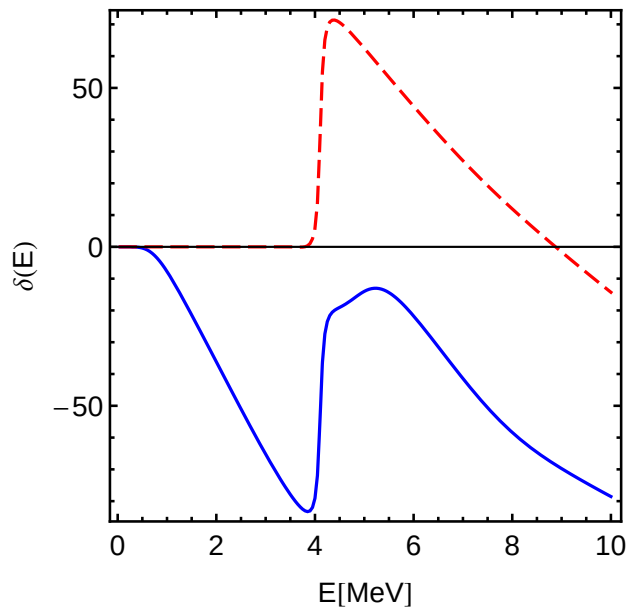
- in- and outgoing Coulomb (incoming channel c_0)

$$\psi_c(r) = \frac{1}{r} \{ \delta_{L_c, L_0} I_{L_c}(\eta_c, k_c r) - S_{c, c_0} O_{L_c}(\eta_c, k_c r) \}, \quad k_c = \sqrt{2\mu(E - E_c)}$$

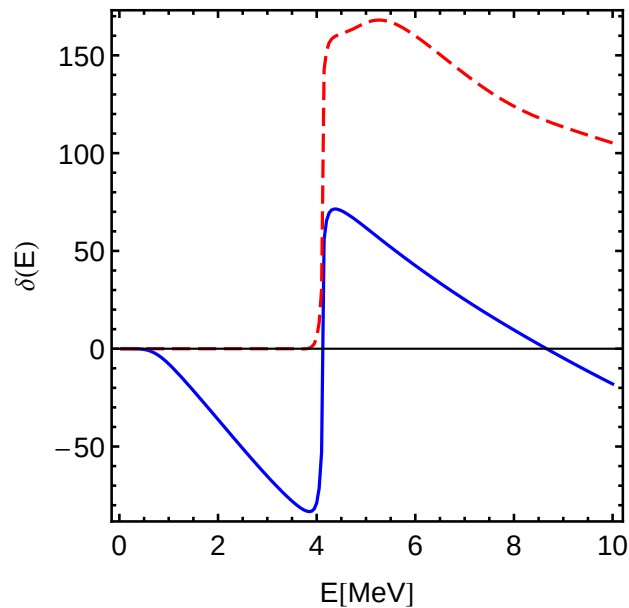
- Diagonal phase shifts and inelasticity parameters: $S_{cc} = \eta_c \exp\{2i\delta_c\}$
- Eigenphases: $S = U^{-1} D U, D_{\alpha\alpha} = \exp\{2i\delta_\alpha\}$

Cluster Model: ${}^8\text{Be}(0_1^+, 2_1^+)$ - α Continuum 0^+ Phase shifts

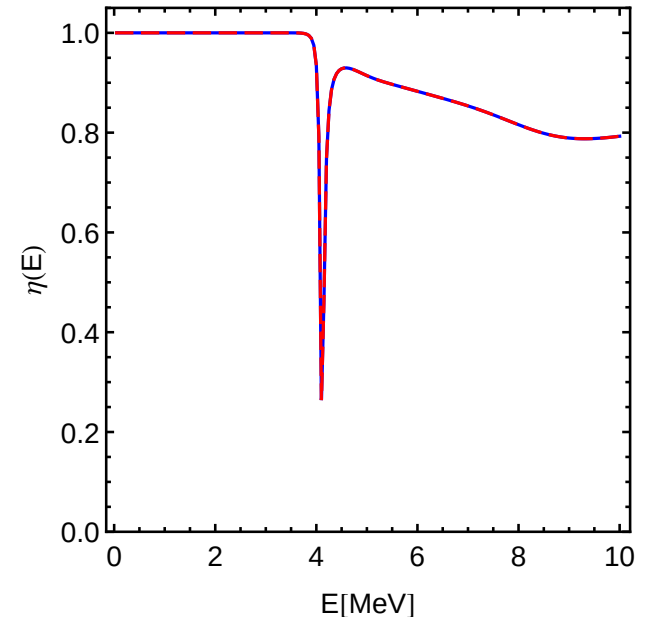
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

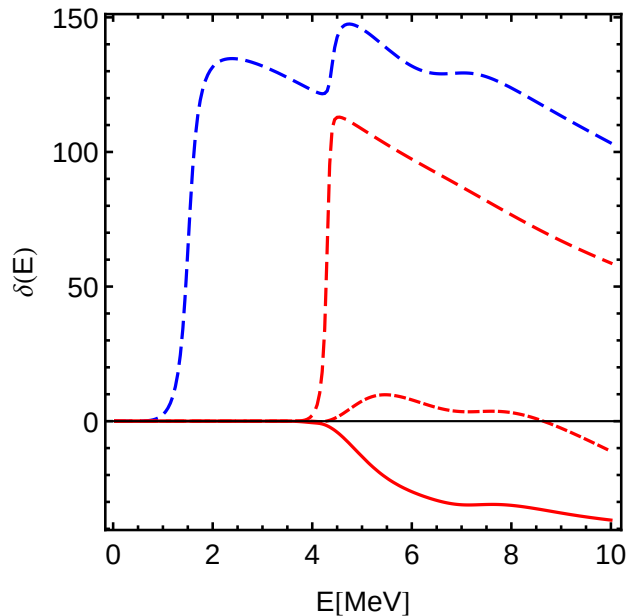
	E [MeV]	Γ_α [MeV]
0_2^+	0.29	$1.78 \cdot 10^{-5}$
0_3^+	4.11	0.12
0_4^+	4.76	1.57

- Hoyle state missed when scanning the phase shifts
- non-resonant background
- strong coupling between ${}^8\text{Be}(0^+)$ and ${}^8\text{Be}(2^+)$ channel at 4.1 MeV

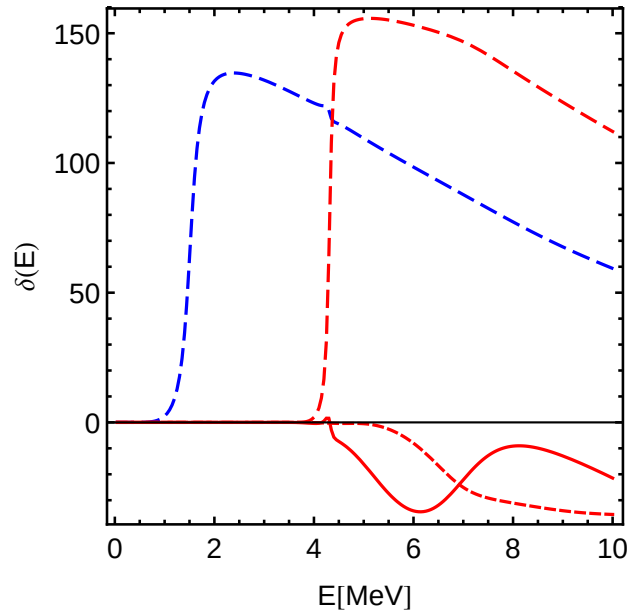
Cluster Model: ${}^8\text{Be}(0_1^+, 2_1^+)$ - α Continuum

2^+ Phase shifts

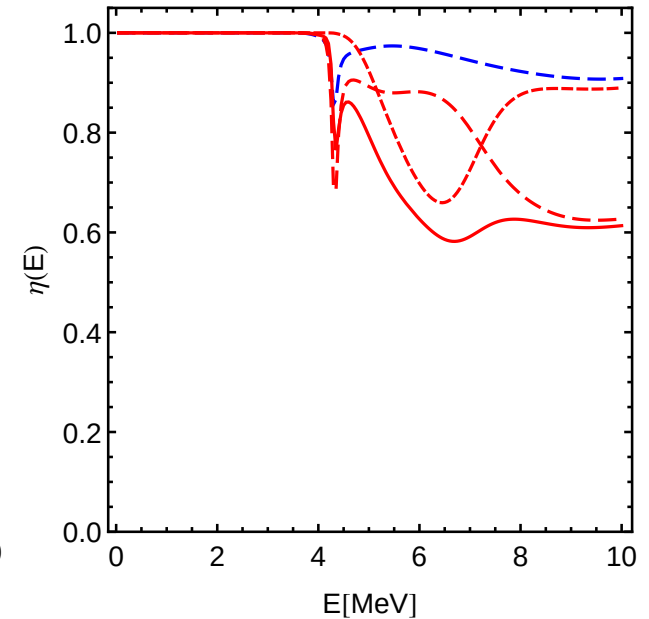
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

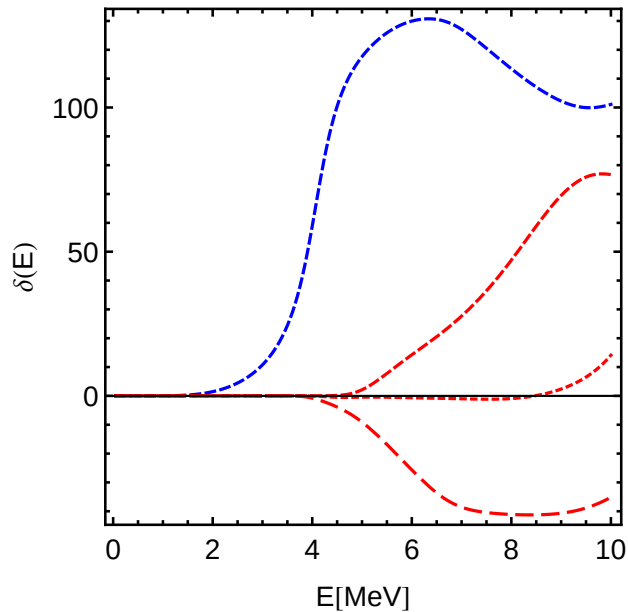
	E [MeV]	Γ_α [MeV]
2_2^+	1.51	0.32
2_3^+	4.31	0.14
...		

- non-resonant background
- $L = 2$ ${}^8\text{Be}(0^+)$ and ${}^8\text{Be}(2^+)$ resonances

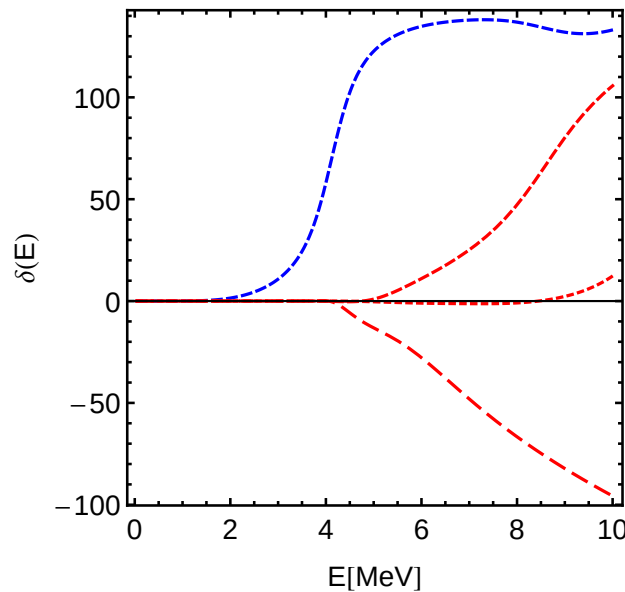
Cluster Model: ${}^8\text{Be}(0_1^+, 2_1^+)$ - α Continuum

4^+ Phase shifts

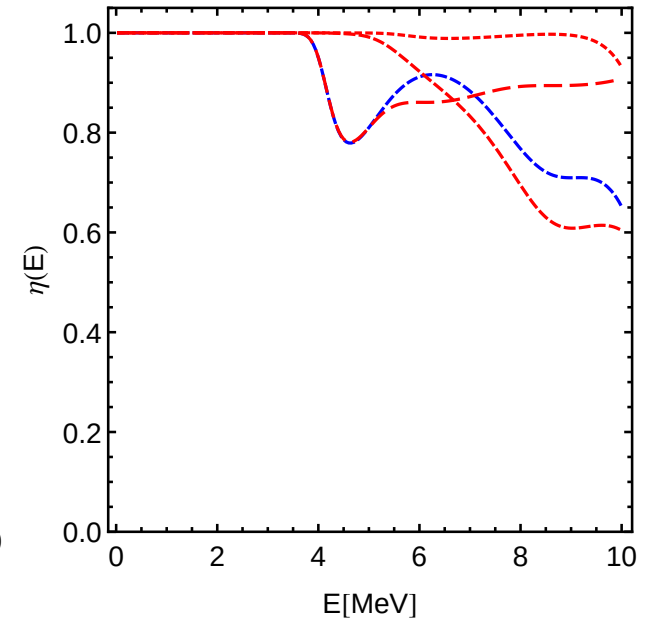
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

	E [MeV]	Γ_α [MeV]
4_1^+	1.17	$8.07 \cdot 10^{-6}$
4_2^+	4.06	0.98
...		

- 4_1 state (ground state band) very narrow, missed when scanning phase shifts
- 4_2^+ state mostly ${}^8\text{Be}(0^+)$ but some mixing

Microscopic α -Cluster Model

Observables with proper treatment of Continuum

	$\rho < 6 \text{ fm}$ $R < 9 \text{ fm}$	$\rho < 6 \text{ fm}$ $R < 12 \text{ fm}$	$\rho < 6 \text{ fm}$ $R < 15 \text{ fm}$	$\rho < 6 \text{ fm}$ Continuum	Experiment
$E(0_1^+)$	-89.64	-89.64	-89.64	-89.64	-92.16
$E^*(2_1^+)$	2.54	2.54	2.54	2.54	4.44
$E^*(0_2^+), \Gamma_\alpha(0_2^+)$	7.82	7.78	7.76	$7.76, 3.04 \cdot 10^{-3}$	$7.65, (8.5 \pm 1.0) \cdot 10^{-6}$
$E^*(2_2^+), \Gamma_\alpha(2_2^+)$	9.18	9.08	8.93	8.98, 0.46	10.03(11), 0.80(13)
$r_{\text{charge}}(0_1^+)$	2.53	2.53	2.53	2.53	2.47(2)
$r(0_1^+)$	2.39	2.39	2.39	2.39	–
$r(0_2^+)$	3.68	3.78	3.89	$4.08 + 0.07i$	–
$B(E2, 2_1^+ \rightarrow 0_1^+)$	9.12	9.08	9.08	9.08	7.6(4)
$M(E0, 0_1^+ \rightarrow 0_2^+)$	6.55	6.40	6.27	$6.15 + 0.01i$	5.47(9)
$B(E2, 2_2^+ \rightarrow 0_1^+)$	2.48	2.09	1.33	$2.14 + 1.45i$	0.73(13)

- Resonances are calculated as Gamow states
- Matrix elements including resonances are regulated according to Berggren and Gyarmati
- Imaginary part provides information about uncertainty of matrix elements

Berggren, Nucl. Phys. **A109**, 265 (1968)

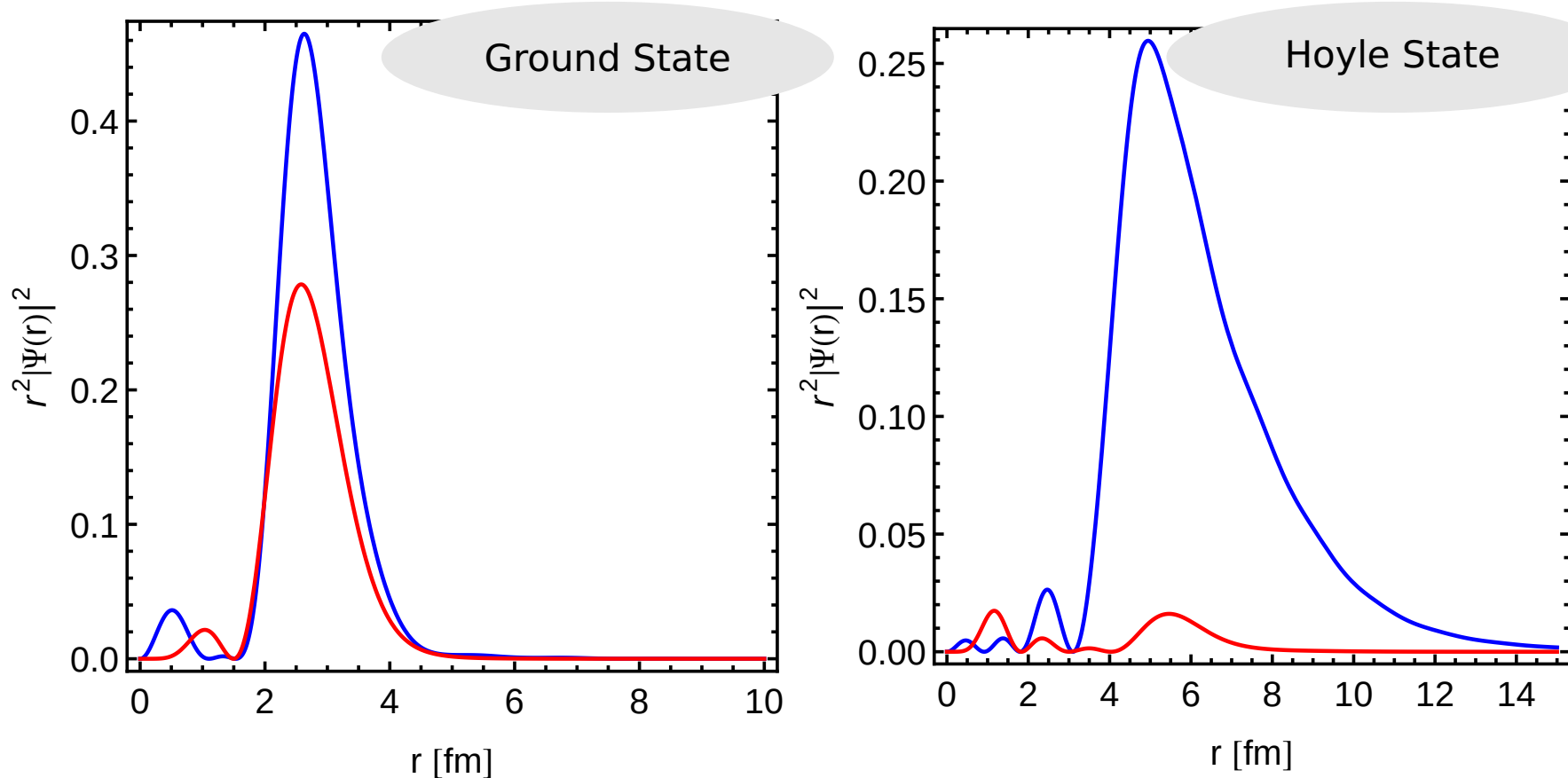
Gyarmati, Krisztinkovics, Vertse, Phys. Lett. **B41**, 475 (1972)

Berggren, Phys. Lett. **B373**, 1 (1996)

Microscopic α -Cluster Model

Overlap functions

$$\psi_{(IL)J}(r) = \int dr' r'^2 N_{(IL)J, (I'L')J}^{-1/2}(r, r') \langle \Phi_{(I'L')J}(r') | \Psi \rangle$$



- Ground state overlap with ${}^8\text{Be}(0^+) + \alpha$ and ${}^8\text{Be}(2^+) + \alpha$ configurations of similar magnitude
- Hoyle state overlap dominated by ${}^8\text{Be}(0^+) + \alpha$ configurations, large spatial extension

FMD calculations with ^8Be - α continuum



UCOM interaction

- AV18 UCOM(SRG) ($\alpha=0.20 \text{ fm}^4$, $\lambda=1.5 \text{ fm}^{-1}$)
- Increase strength of spin-orbit force by a factor of two to partially account for omitted three-body forces

^8Be - α Continuum

- To get a good description of ^8Be it is essential to include polarized configurations
- ➔ Calculate strength distributions
- ➔ Investigate non-cluster states: non-natural parity states, $T = 1$ states, M1 transitions, ^{12}B and ^{12}N β -decay into ^{12}C , ...

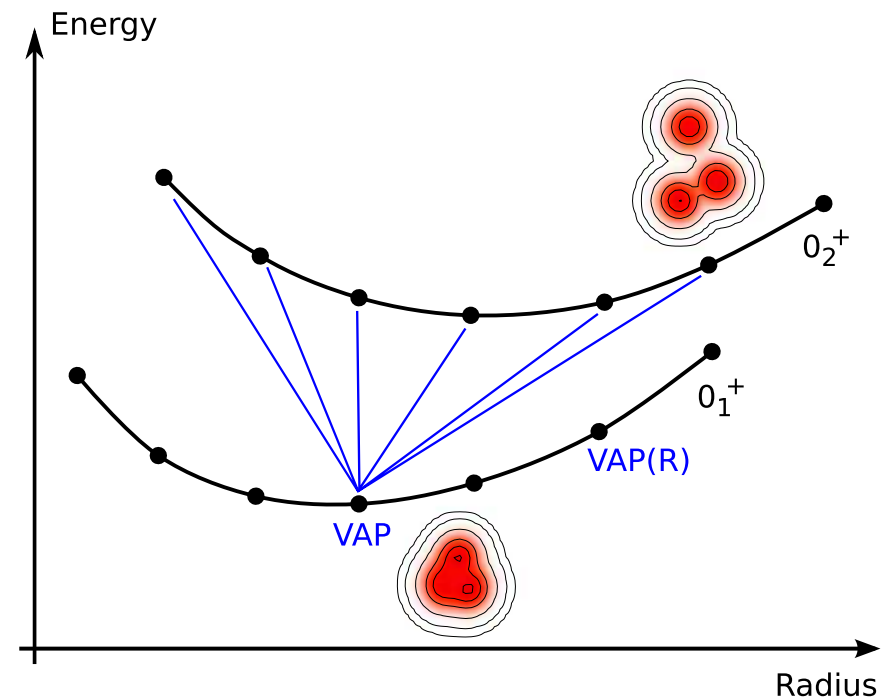
Model Space

- no assumption of α -clustering
- complete basis not feasible, find the “most important” basis states
- determine wave packet parameters by variation

VAP, VAP with constraints, Multiconfiguration-VAP

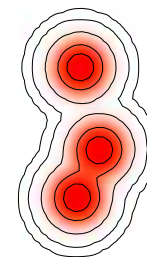
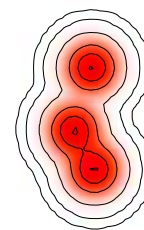
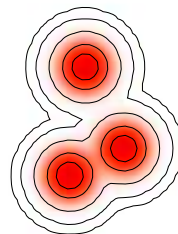
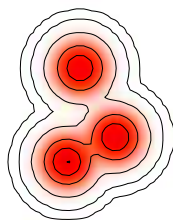
For each angular momentum (0^+ , 1^+ , 2^+ , ...)

- **VAP**: vary energy of projected Slater determinant $\tilde{P}^\pi \tilde{P}_{MK}^J |Q(q_i)\rangle$ with respect to all parameters q_i
- **VAP(R)**: create additional basis states by variation with a constraint on the radius of the intrinsic state
- **MC-VAP**: keep VAP state fix and vary the parameters of a second Slater determinant to minimize the energy of the second eigenstate in a multiconfiguration mixing calculation
- **MC-VAP(R)**: create additional basis states by adding a constraint on the radius of the second intrinsic state



Important Configurations

- Calculate the overlap with FMD basis states to find the most important contributions to the eigenstates (in bound state approximation)



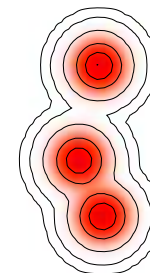
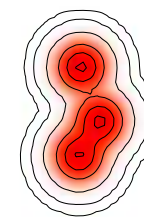
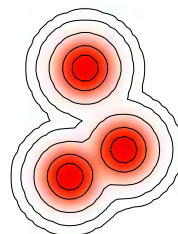
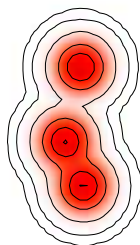
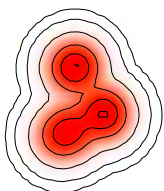
$$\begin{aligned} |\langle \cdot | 0_1^+ \rangle| &= 0.94 \\ |\langle \cdot | 2_1^+ \rangle| &= 0.93 \end{aligned}$$

$$|\langle \cdot | 0_2^+ \rangle| = 0.64$$

$$|\langle \cdot | 0_2^+ \rangle| = 0.58$$

$$|\langle \cdot | 0_2^+ \rangle| = 0.57$$

$$|\langle \cdot | 0_2^+ \rangle| = 0.45$$



$$|\langle \cdot | 3_1^- \rangle| = 0.91$$

$$|\langle \cdot | 2_2^+ \rangle| = 0.50$$

$$|\langle \cdot | 2_2^+ \rangle| = 0.49$$

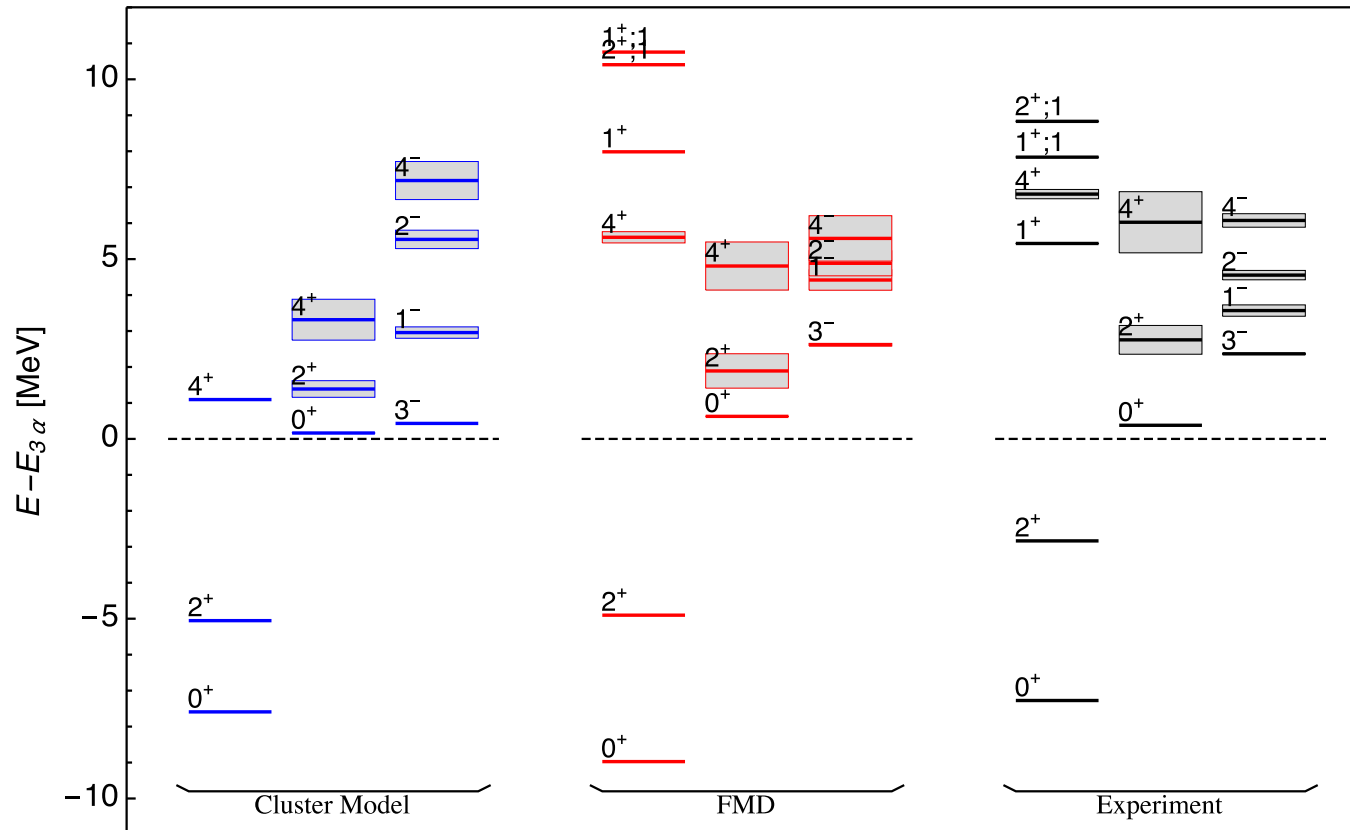
$$|\langle \cdot | 2_2^+ \rangle| = 0.44$$

$$|\langle \cdot | 2_2^+ \rangle| = 0.41$$

FMD basis states are
not orthogonal!

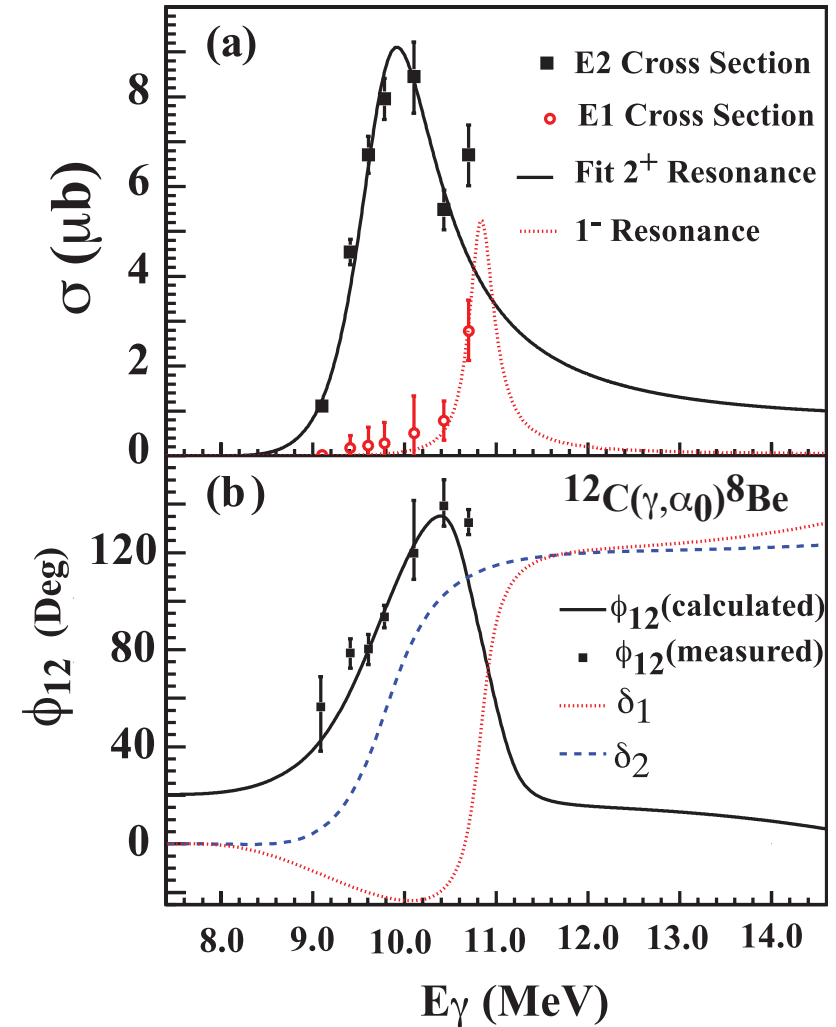
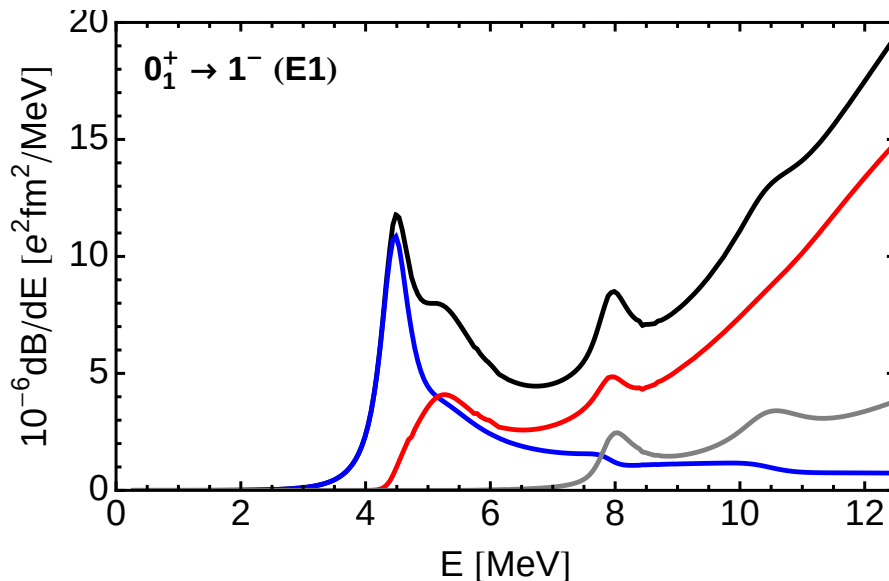
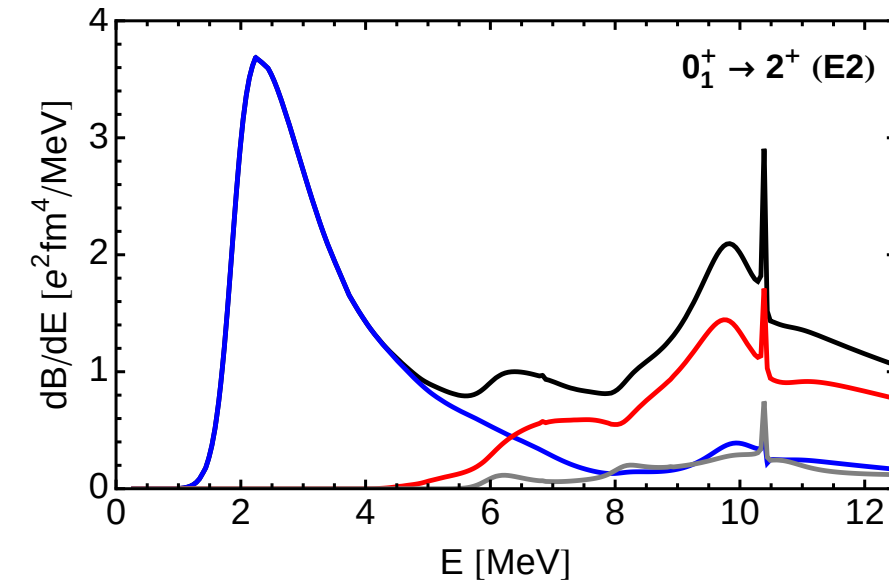
0_2^+ and 2_2^+ states have no rigid
intrinsic structure

FMD/Cluster Model: ^8Be - α Continuum Spectrum



- FMD describes the ground state band, the cluster states related to the Hoyle state and the negative parity states reasonably well
- Spin-flip states (1^+ $T = 0, 1$ and 2^+ $T = 1$) are somewhat too high in energy

FMD: ^8Be - α Continuum Strength distributions



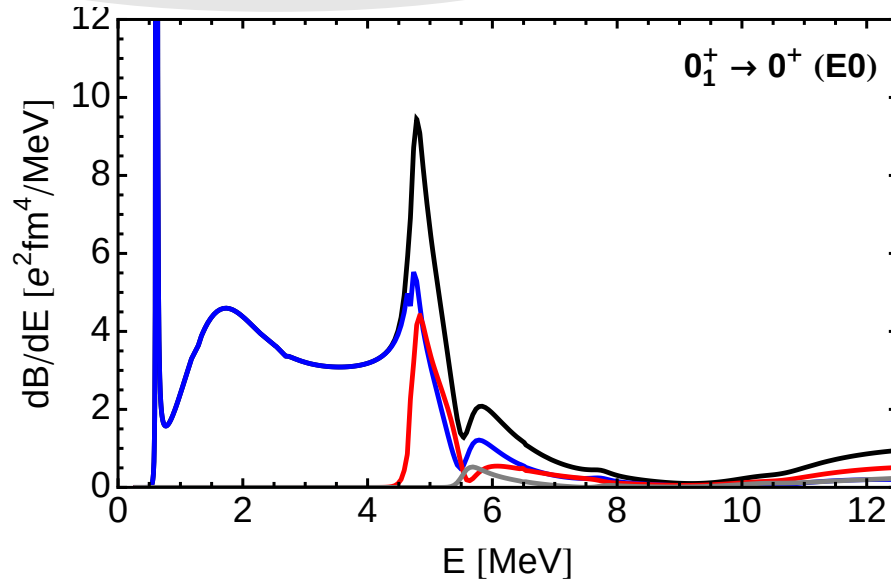
Zimmermann et al.,
Phys. Rev. Lett. **110**, 152502 (2013)

✗ E1 transition isospin-forbidden in cluster model !

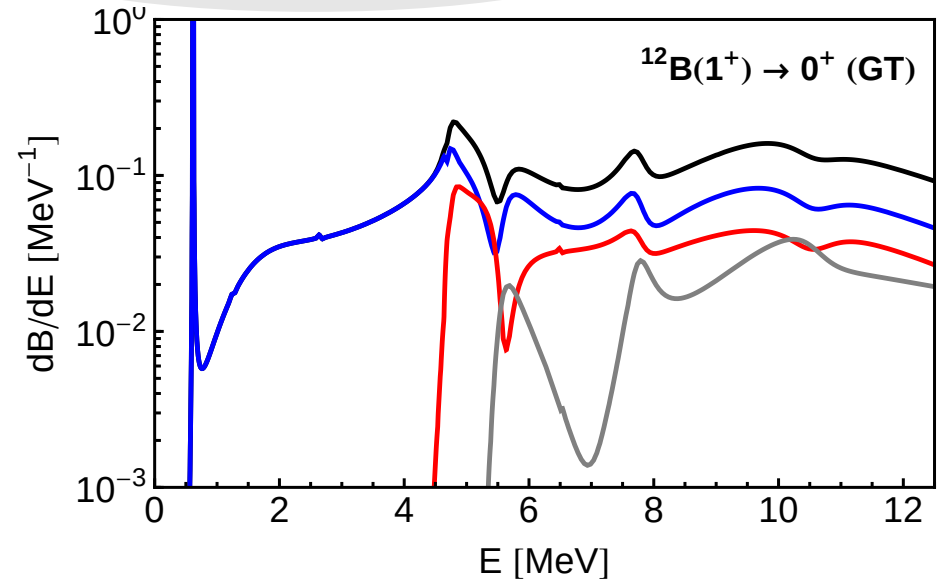
FMD: ^8Be - α Continuum

Population of 0^+ continuum with different reactions

Monopole response

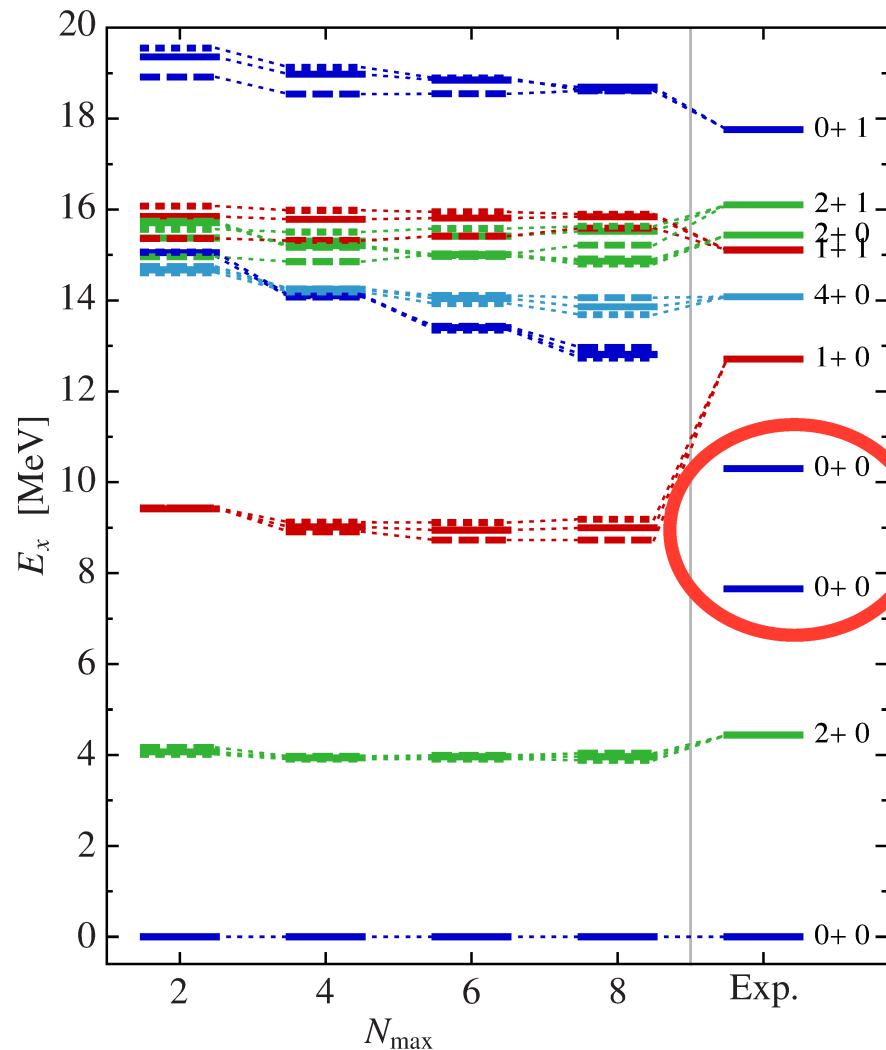


β -decay



- GT transitions probe admixtures of “shell model” components
- Hoyle state populated in β -decay
- third 0^+ state decays through both $^8\text{Be}(0^+)$ - α and $^8\text{Be}(2^+)$ - α channels
– does this reflect a three-body resonance ?

^{12}C Cluster States in *ab initio* approaches ?



State of the art NCSM
calculation with chiral
NN+NNN forces

Hoyle state and other
cluster states missing !

Lattice EFT

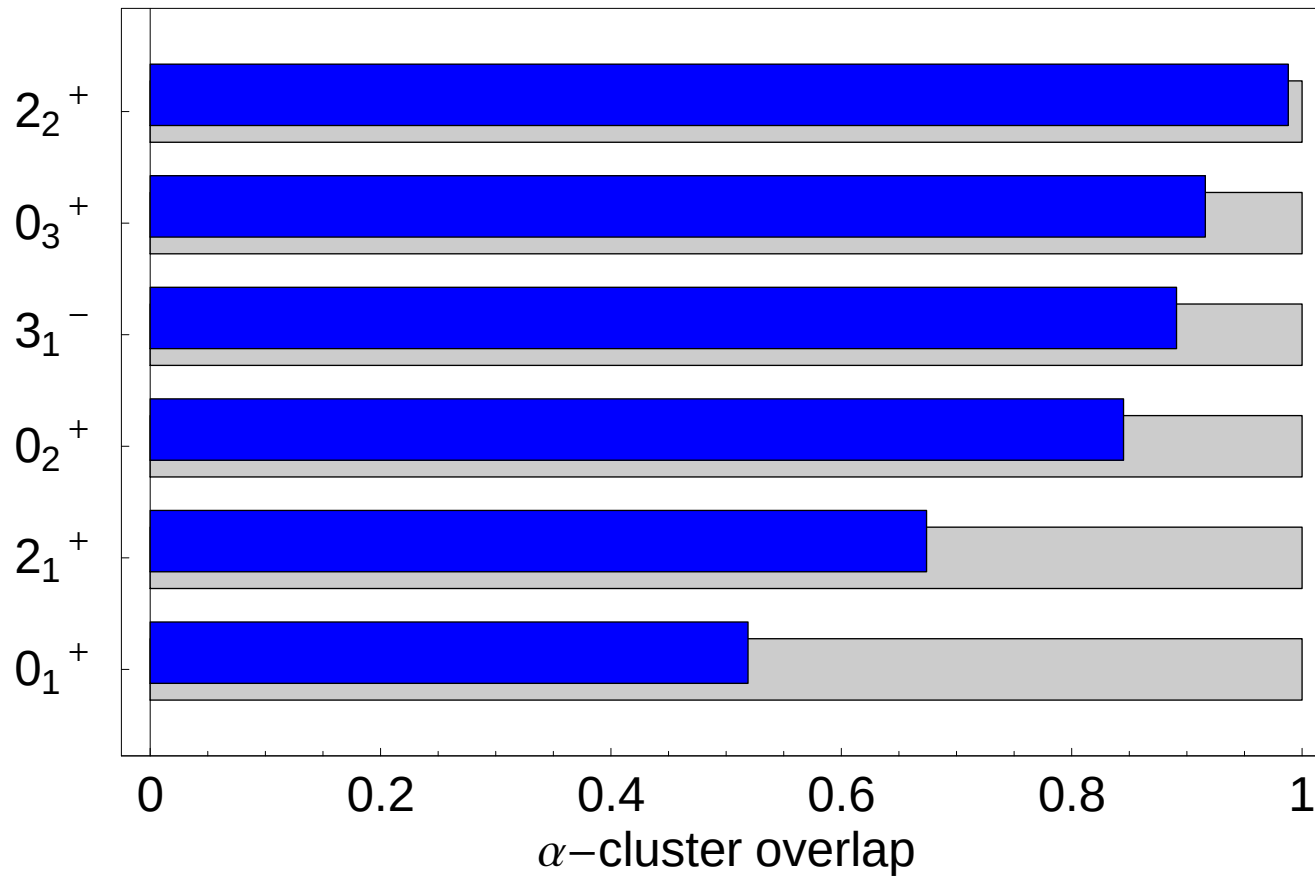
**Green's Function
Monte Carlo**

Maris, Vary, Calci, Langhammer, Binder, Roth, Phys. Rev. C **90**, 014314 (2014)

- Cluster States in ^{12}C
- Overlap with Cluster Model Space

Calculate the overlap of FMD wave functions with pure α -cluster model space

$$N_\alpha = \langle \Psi | \hat{P}_{3\alpha} | \Psi \rangle$$



Hoyle state has 15%
non-alpha
admixture

Y. Suzuki *et al*, Phys. Rev. C **54**, 2073 (1996).

$$\text{Occ}(N) = \langle \Psi | \delta \left(\sum_i (H_i^{HO}/\hbar\Omega - 3/2) - N \right) | \Psi \rangle$$

Cluster Model

