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# Scanning Probe Microscopy (SPM)

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# Microscopic methods by resolution

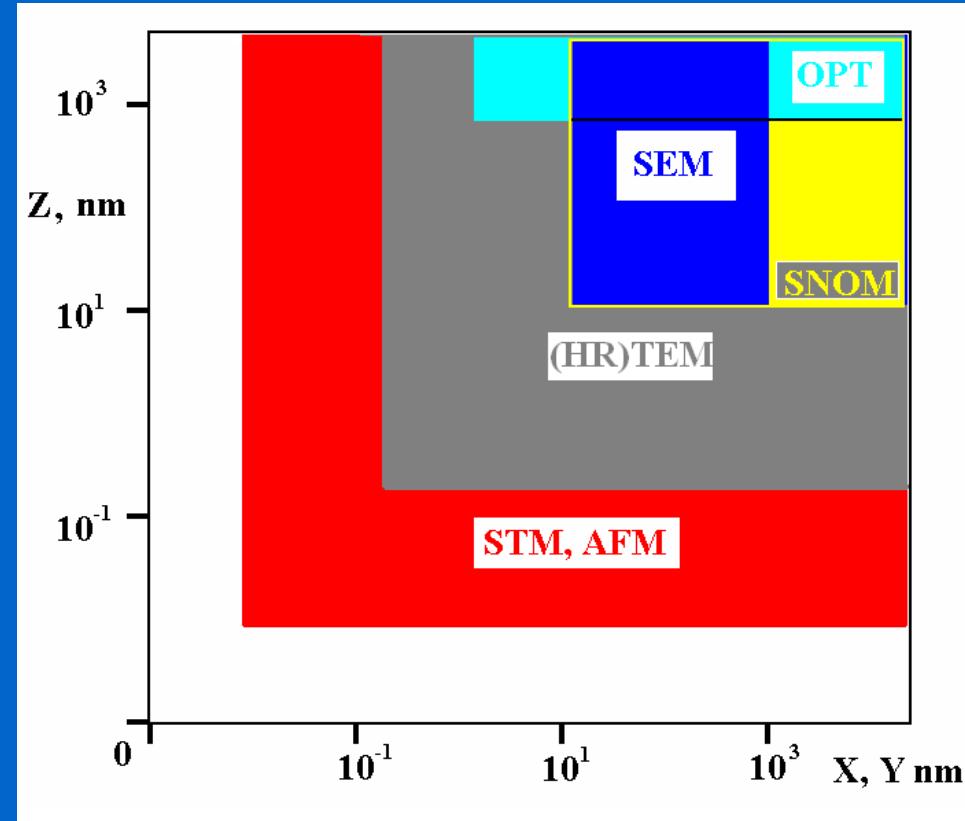
**OPT:** optical microscopy

**SNOM:** scanning near-field optical microscopy

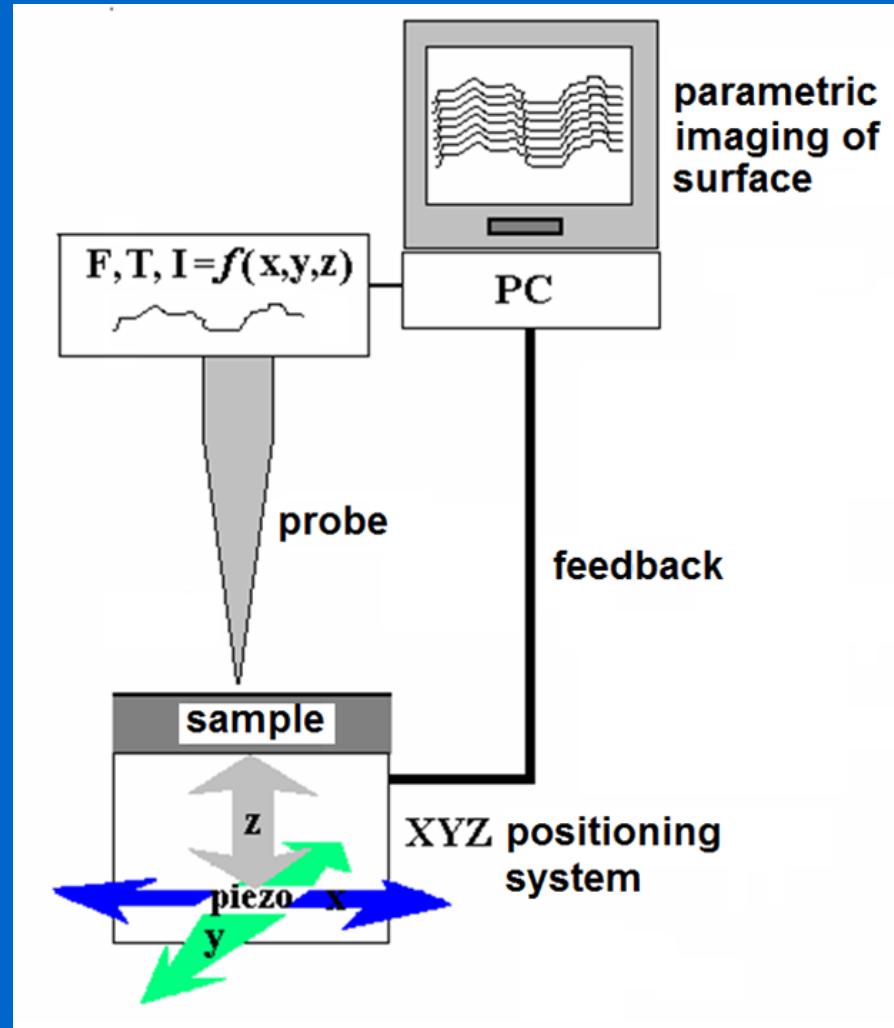
**SEM:** scanning electron microscopy

**HRTEM:** high resolution transmission el. microscopy

**STM,AFM:**  
tunneling microscopy,  
atomic force microscopy



# Scanning Probe Microscopy Arrangement



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## SPM methods by information acquired

### Charge Transfer

Electrons - **STM**

Ions - electrochemical microscopy **ECM**

### Force interaction - **AFM**

**Long range:** magnetic, coulombic

**Medium range:** van der Waals (dipole-dipole, dipole-non-polar., capillary forces: liquid-probe...)

**Short range:** bonding interaction (attractive)  
repulsive (deformation)

### Electromagnetic radiation

-**IR** - Thermal microscopy **ThM**

-**UV/Vis/IR** - optical microscopy/spectr. Near-field **SNOM**

-**Tip-enhanced** optical microscopy/spectr. **TERS/TEFS**

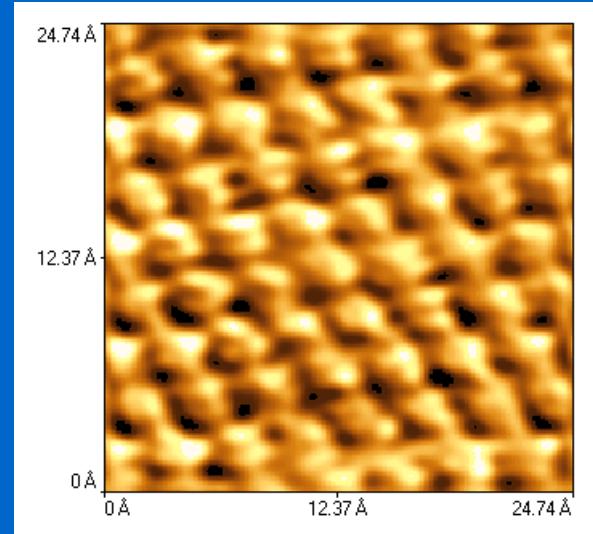
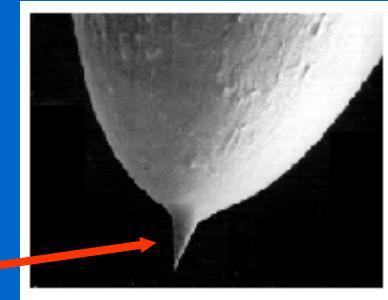
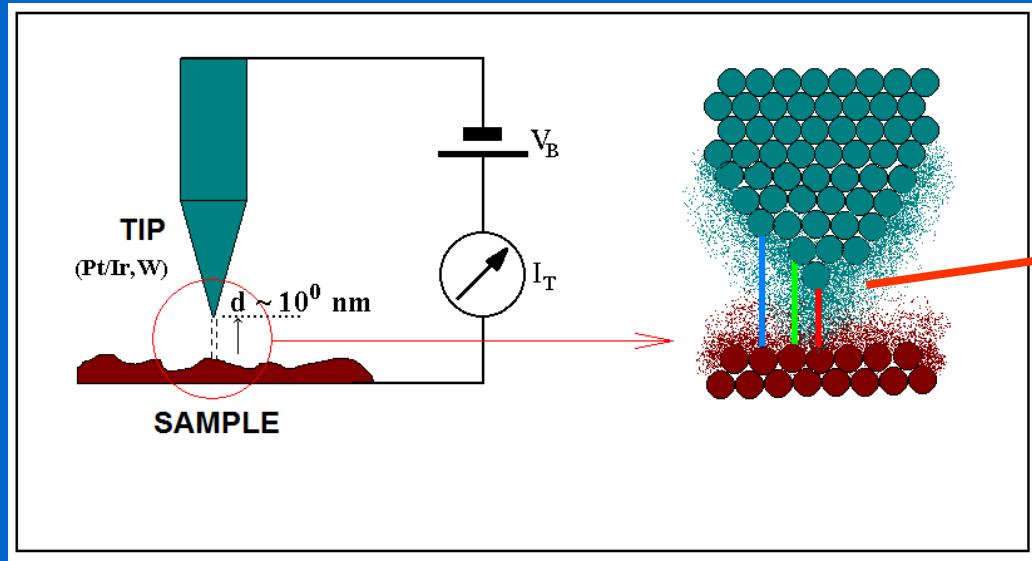
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# Scanning Tunneling Microscopy, Scanning Tunneling Spectroscopy

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# Tunneling microscopy

Binning, Rohrer, IBM, 1981, Nobel Prize 1986



## Approximation of tunneling current

$$I_T \sim V_B f_{mTS}(V_B) \exp [-2z\sqrt{(2m\Phi_{ST}/\hbar^2)}]$$

$\hbar = h/2\pi$ ,  $f_{mTS}(V_B)$ ...reduced Planck const.

dependence  $I_T = f(V_B)$  is given by e-structure of tip and sample

$z$ ...distance tip-sample ( $\sim 10^{-1}$  nm),  $V_B$  up to  $\pm 1-2$  V,  $I_T \sim$  nA - pA

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# Barrier/Distance Tunneling Spectroscopy

*(barrier properties of tunneling gap)*

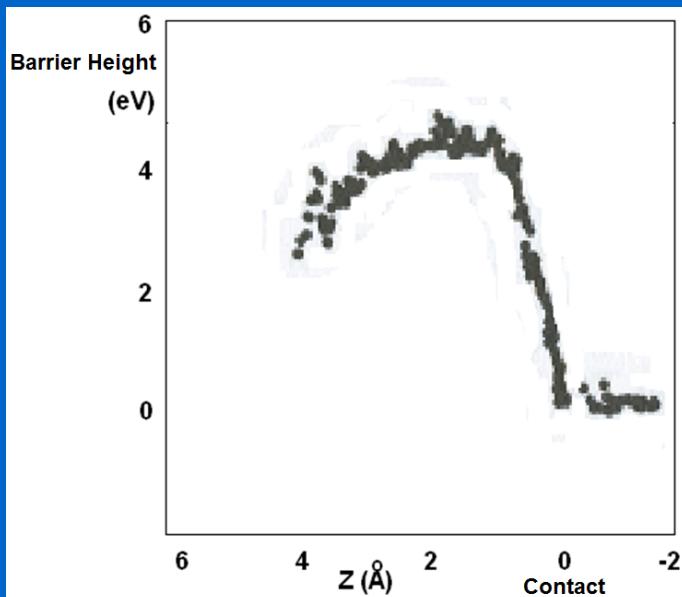
## Barrier (distance) spectroscopy

For low  $V_B$  is  $(dI_T/dZ)/I_T \sim (2\sqrt{2m_e})/\hbar \sqrt{(\Phi_S + \Phi_T)}$

where  $\Phi_S$ ,  $\Phi_T$  local work function,  $I_T$  tunneling current,  $Z$  tip-sample distance,  
 $m_e$  e-mass

Instrumental arrangement: modulation VVVVV Z-piezzo, acquired function  
 $dI_T/dZ \rightarrow \Phi_{\text{Sample,Tip}}$  Barrier height

Simplification:  $\Phi_T \approx \text{const.}$ , lateral variation in measured barrier height  $\sim$  local  $\Phi_S$



Si-surface, Tungsten-tip

D.A. Bonnel: Scanning Tunneling Microscopy and Spectroscopy  
VCH 1993

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# Tunneling voltage spectroscopy (*mapping e-density of states* )

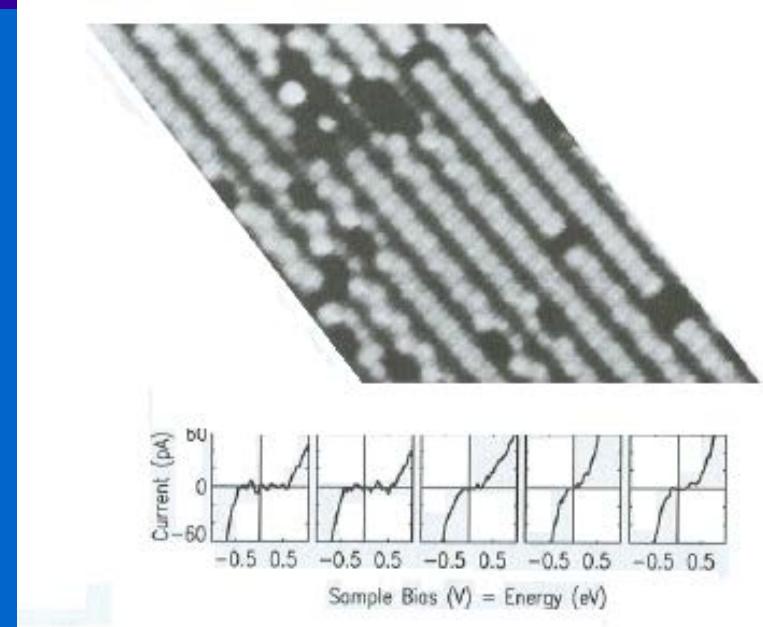
Voltage spectroscopy :

For  $V_B <$  work function of tip and sample  
(typically 10 mV),

$dI_T/dV_B \sim$  local surface density of states (real or from local band structure of sample)

Instrumental arrangement: Modulation VVVVV  
 $V_B$ , acquiring  $I_T$ - $V_B$  curve,  
usually as  $d(\log I_T)/d(\log V_B)$  vs  $V_B$

Information obtained: map of surface states  
(UHV) images states filling, ad-atoms and  
dangling bonds ...



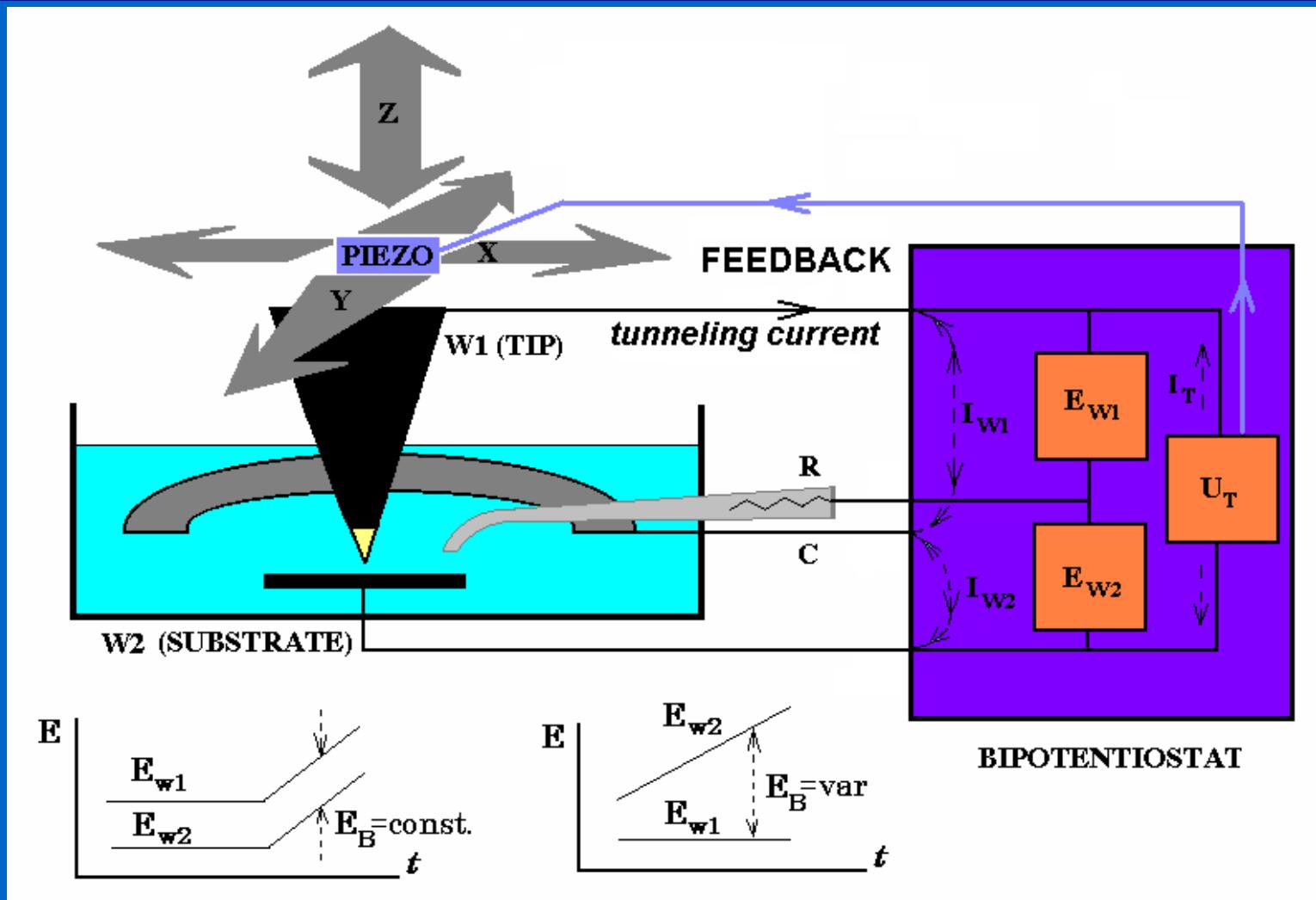
$I_T$ - $V_B$  curves on single-cryst. Si (UHV)  
(tip passage over defects)

[B. Persson, A. Baratoff, Phys.Rev.Lett. 59, 339]

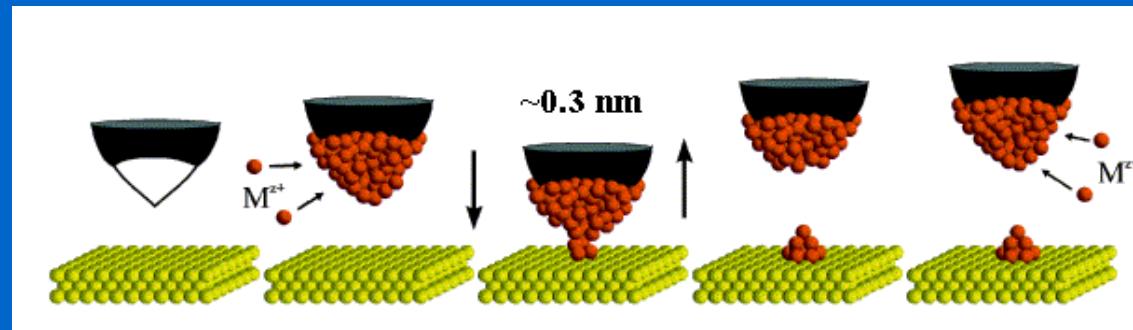
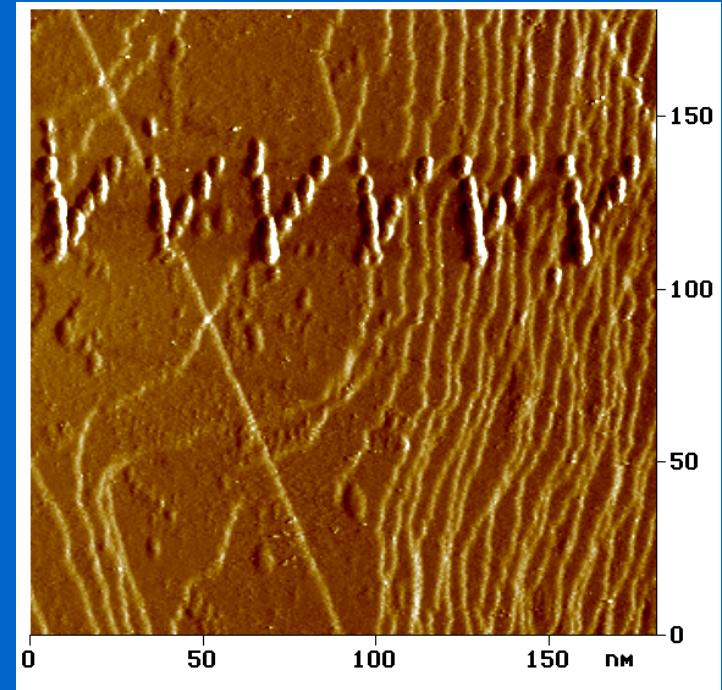
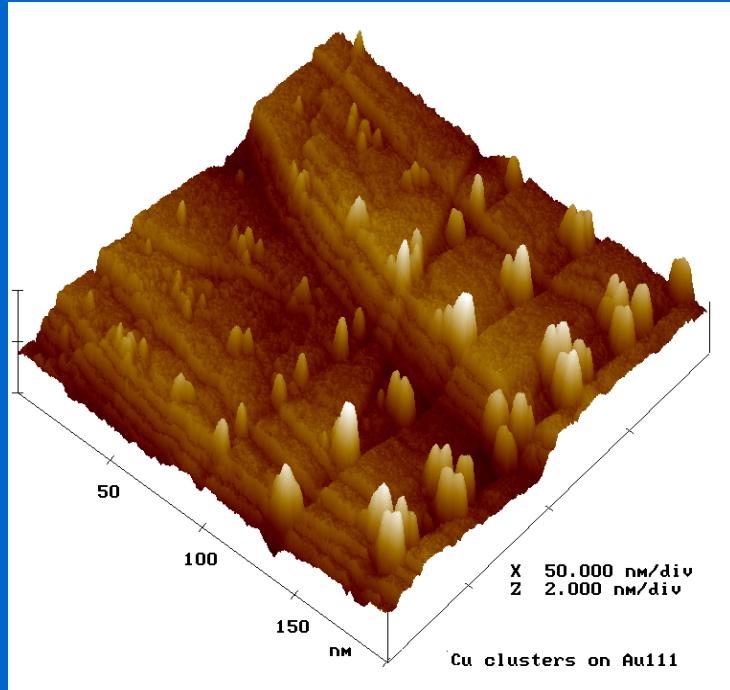
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# **Electrochemical Scanning Tunelling Microscopy EC STM**

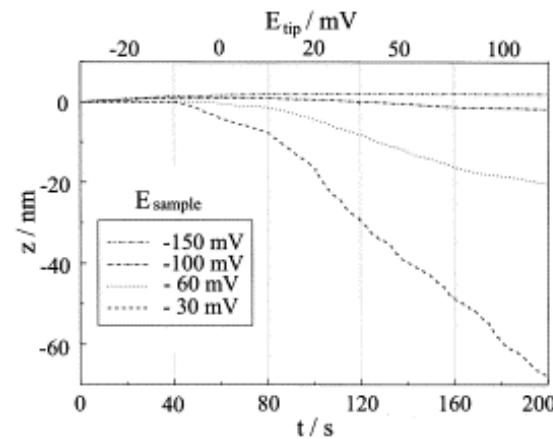
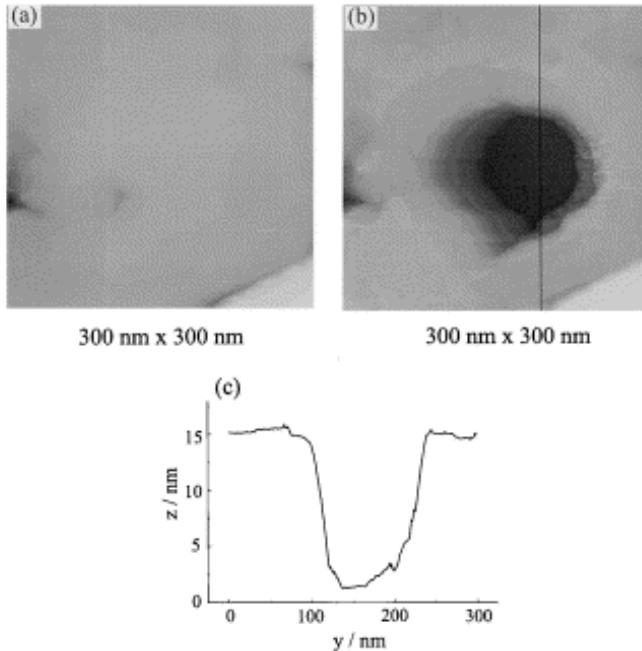
# EC STM – arrangement detection of tunneling currents in electrolyte



# „Nanoprint“: nanoparticle Ø~8 nm, height < 1 nm



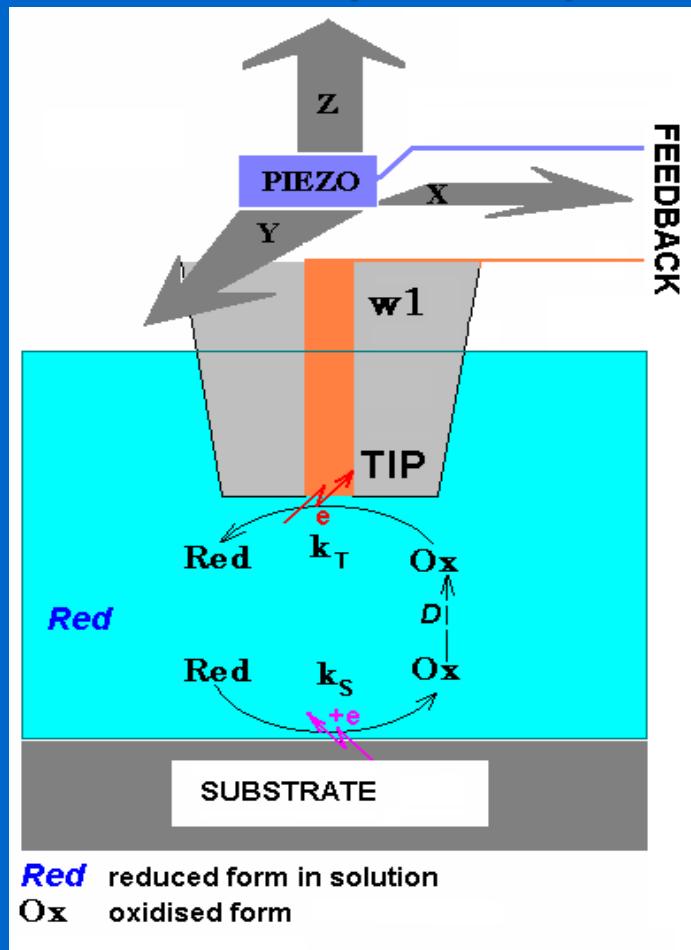
# Tip -induced dissolution



Z. X. Xie, D. M. Kolb: J.Electroanal.Chem. 481 (2000), 177.

# SECM – detection of Farad. current

Detection of substrate catalytic activity



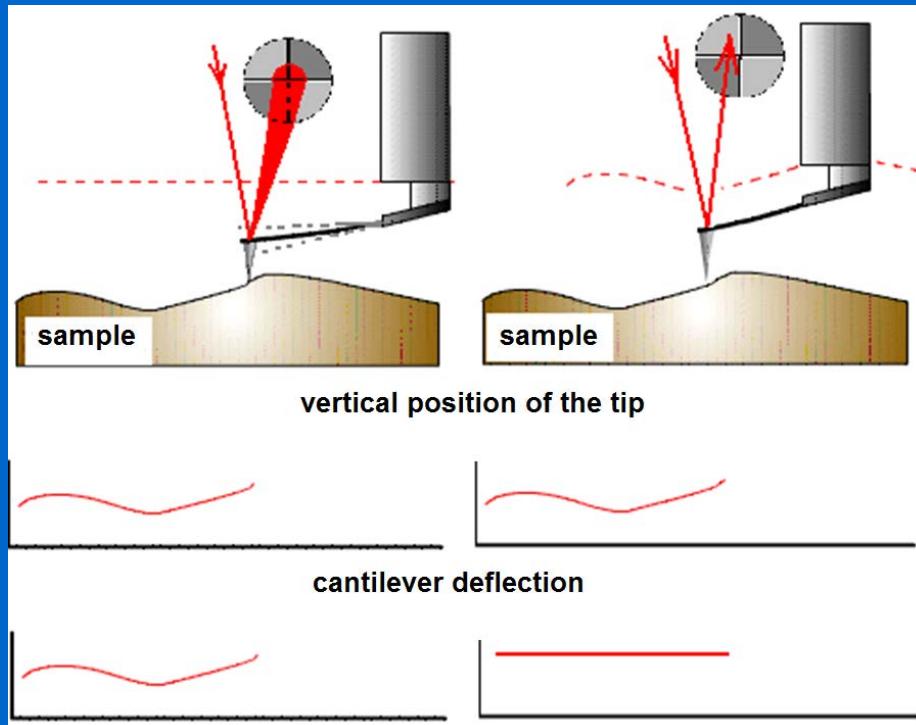
Substrate: generation  
Tip: detection



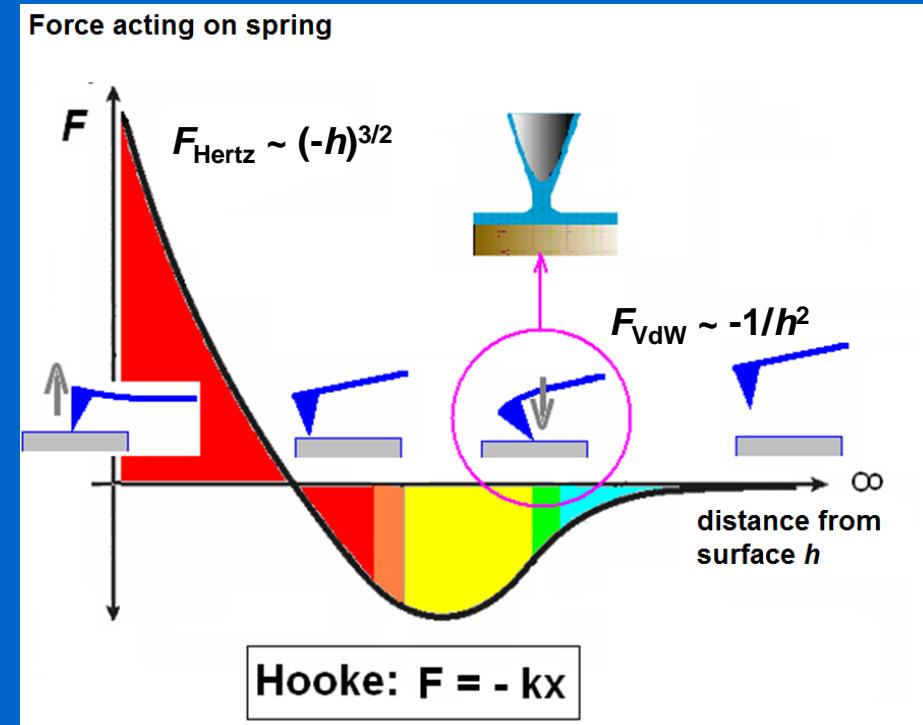
# **Atomic Force Microscopy**

## **AFM**

# AFM: Fundamentals/Force Curve

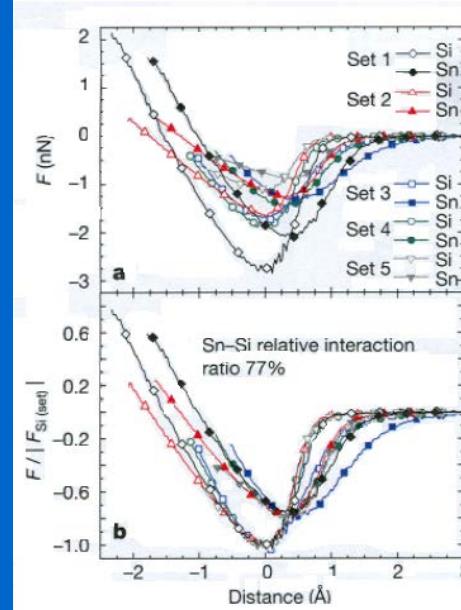
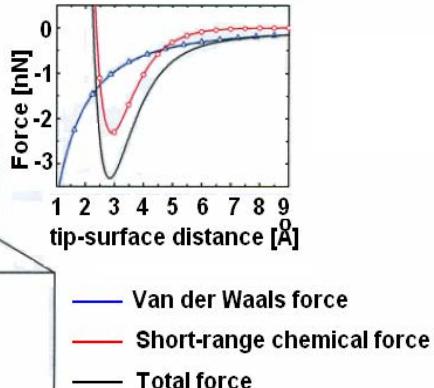
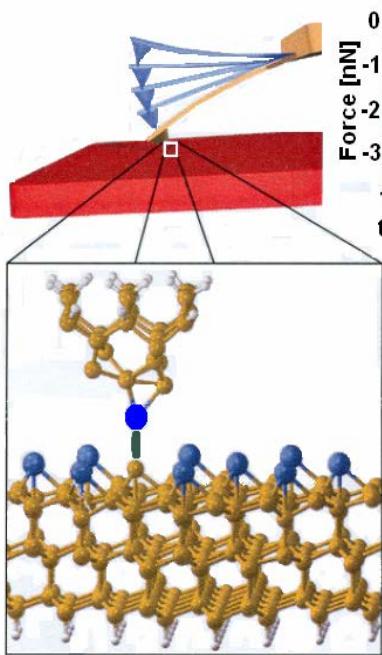


$k \dots$  spring const. 0,01-1 N/m  
(cantilever)



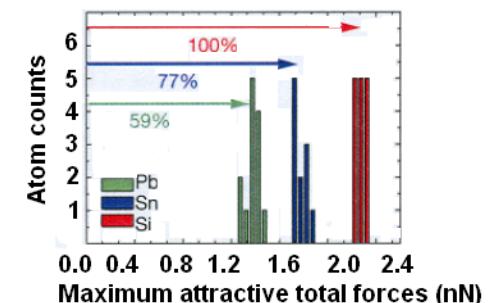
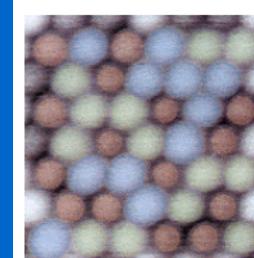
Modes:  
Contact semicontact noncontact

# AFM Semicontact mode: Chemical identification of atoms



Force curve  
before normalization

Curve normalized  
to maximum interaction  
of system substrate-tip

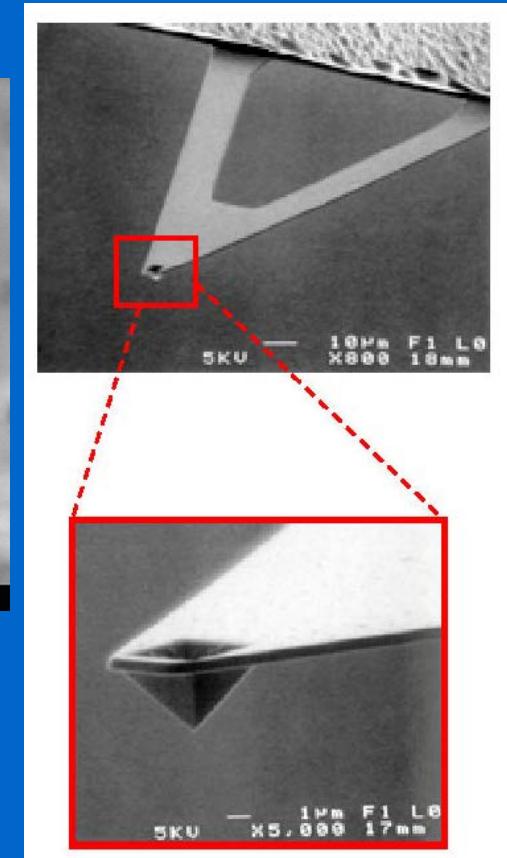
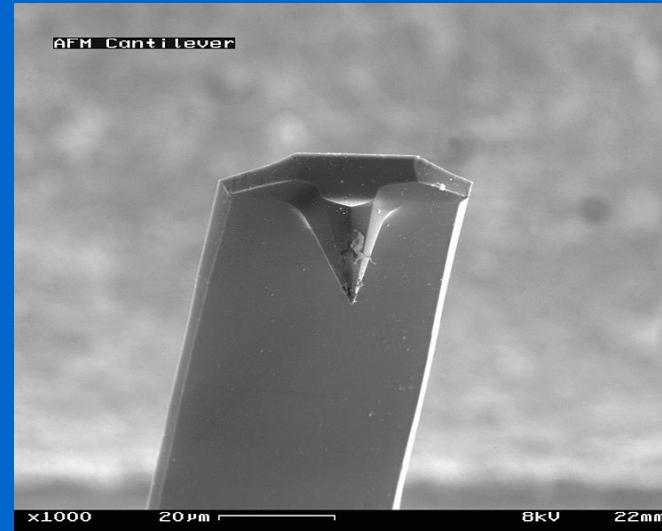
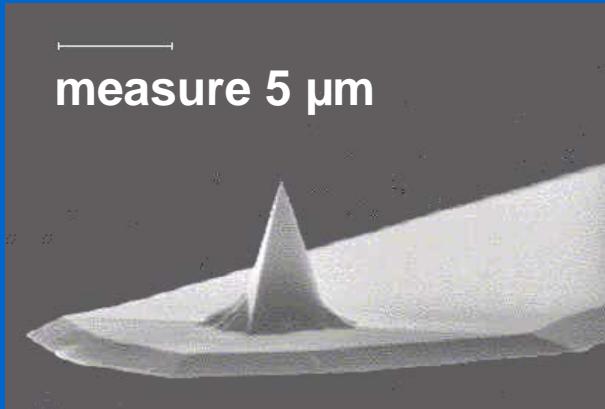


Dynamic Force Spectroscopy  
Short range forces – chemical interaction

Yoshiaki Sugimoto, Pablo Pou, Masayuki Abe, Pavel Jelinek, Rubén Pérez, Seizo Morita  
& Óscar Custance: Nature Letters Vol. 446 March 2007

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# AFM tip and microspring (*cantilever*)

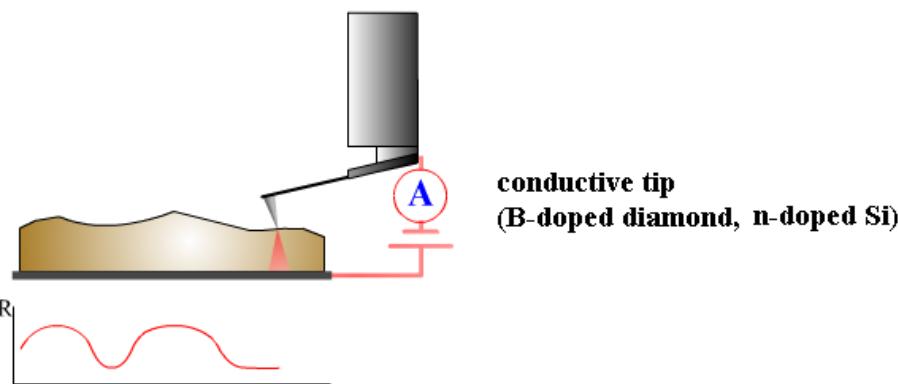


material of tip and cantilever: Si,  $\text{Si}_3\text{N}_4$

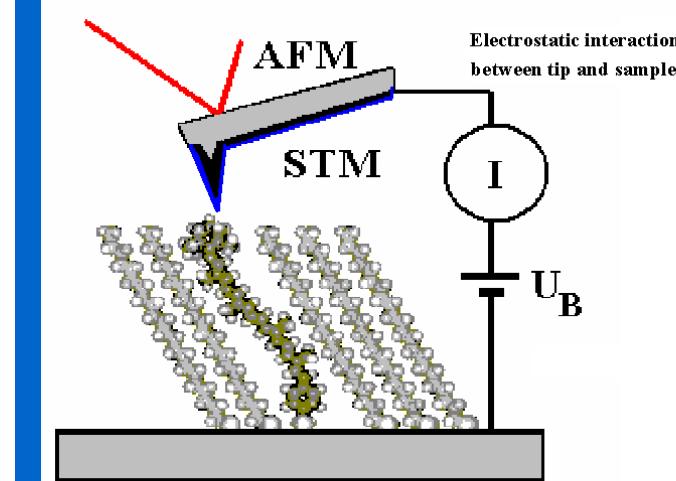
# Conductive AFM

Spreading Resistance imaging

Constant Force mode

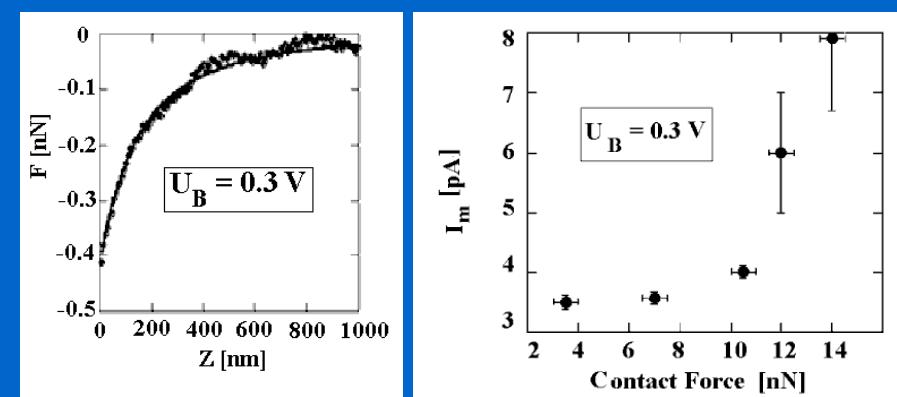
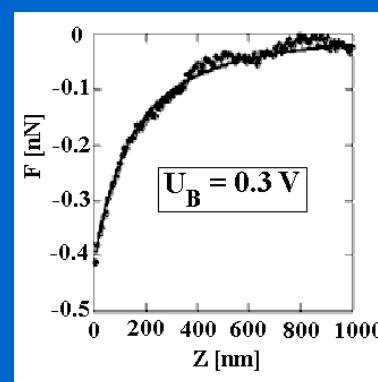


Copyright © NT-MDT, 2002



Carotenoid embedded in 1-docosanethiol attached to Au.  
Current measured between biased Pt-coated AFM cantilever  
and Au substrate.  
Maximum current ( $I_m$ ) vs. contact force.

[J. Phys. Chem. B 103 4006-4010 (1999)]



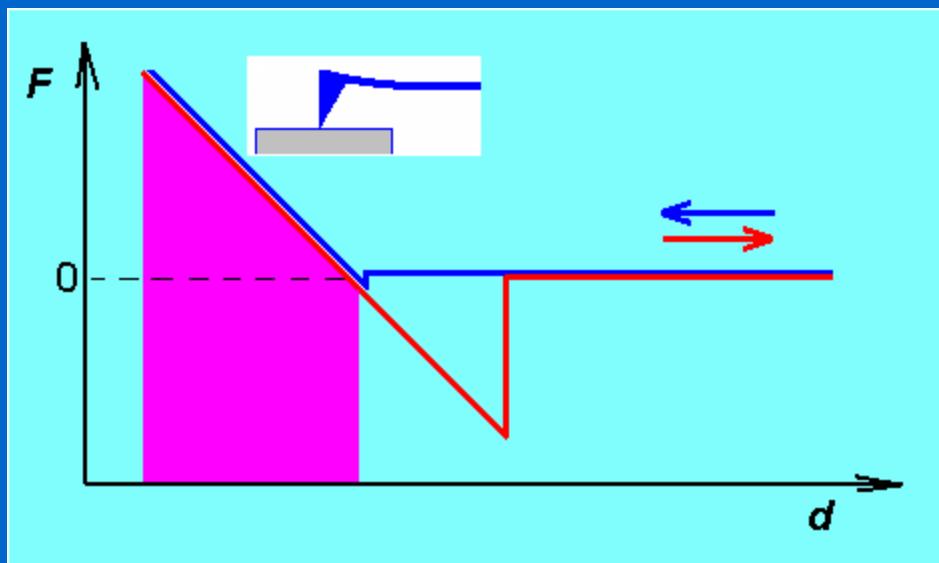
# AFM



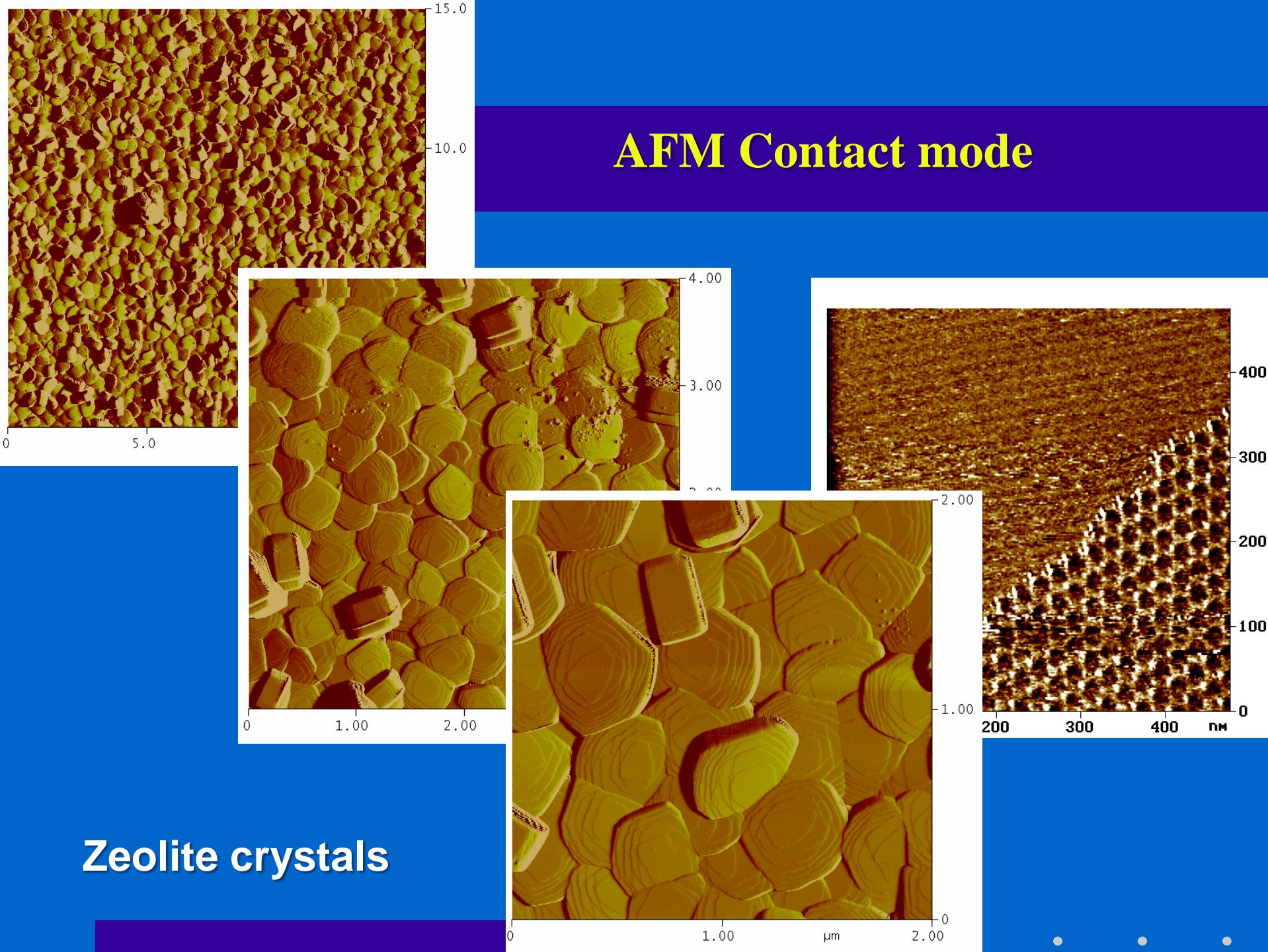
20 cm

Bruker

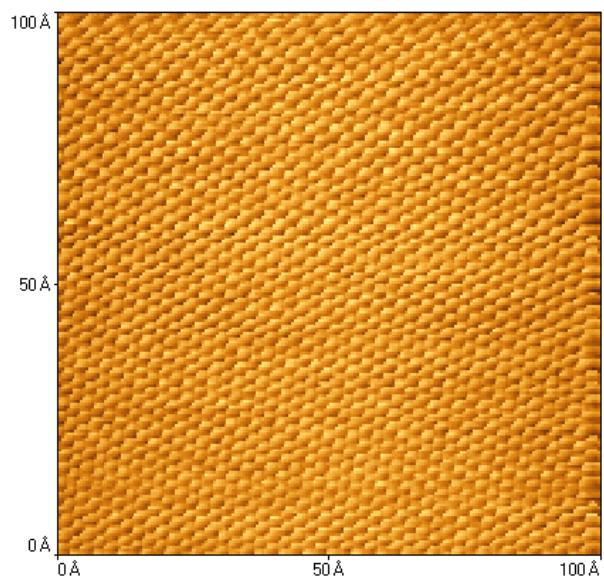
# AFM Repulsive forces: Contact mode



# AFM Contact mode



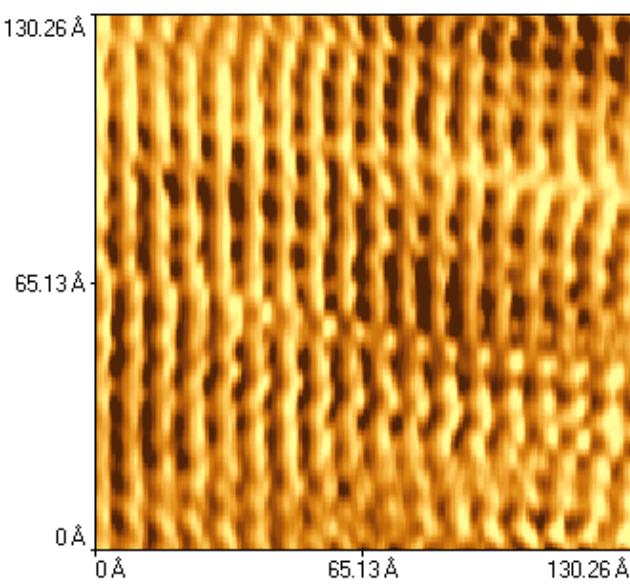
# AFM Contact mode



Graphite

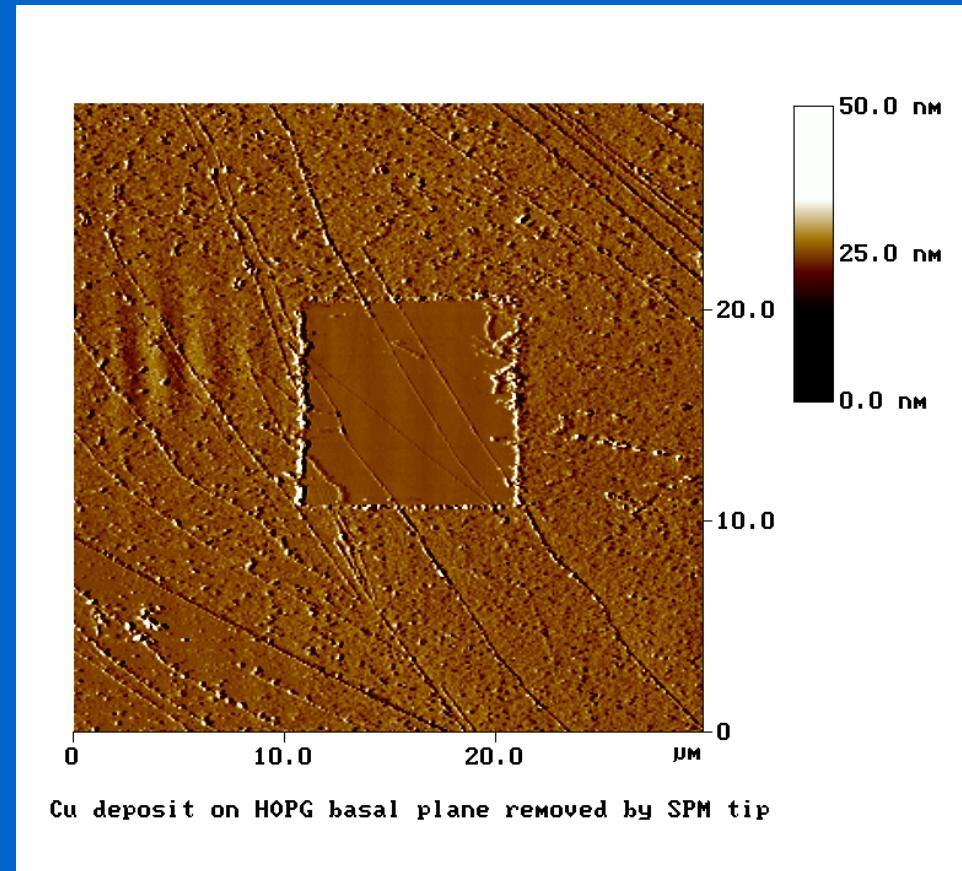
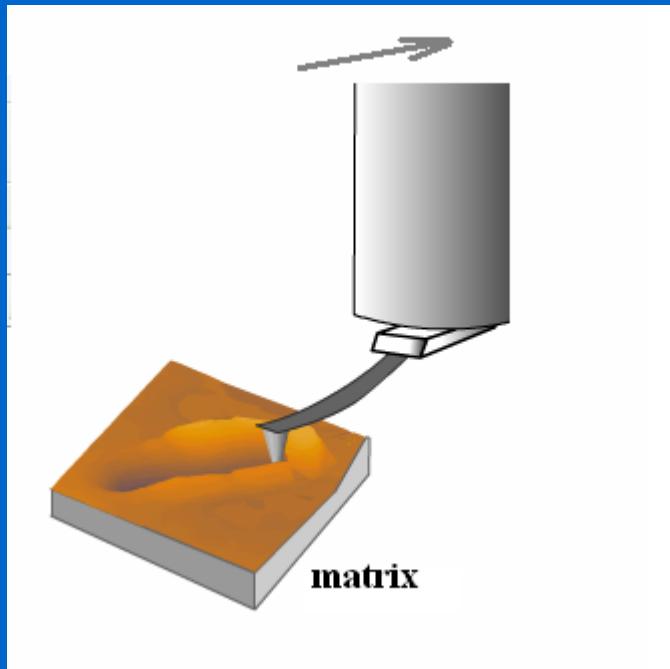


Muscovite  
(mica)



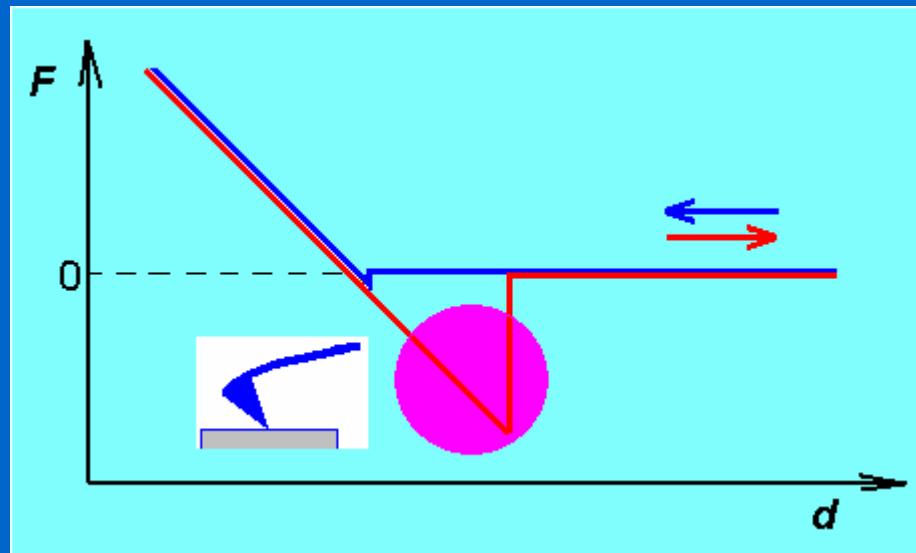
Oriented PTFE  
(Teflon) molecules

# Nanolithography

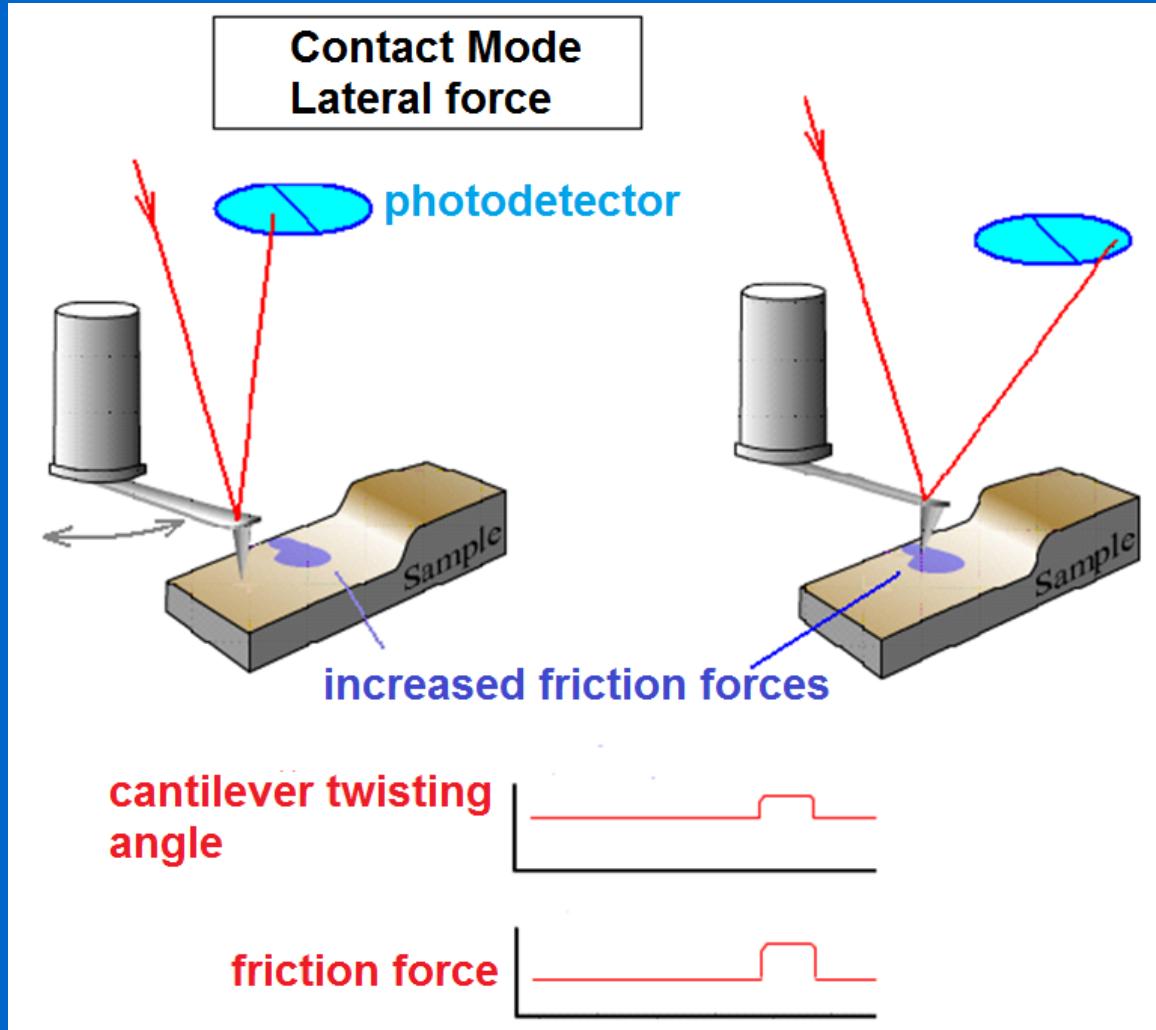


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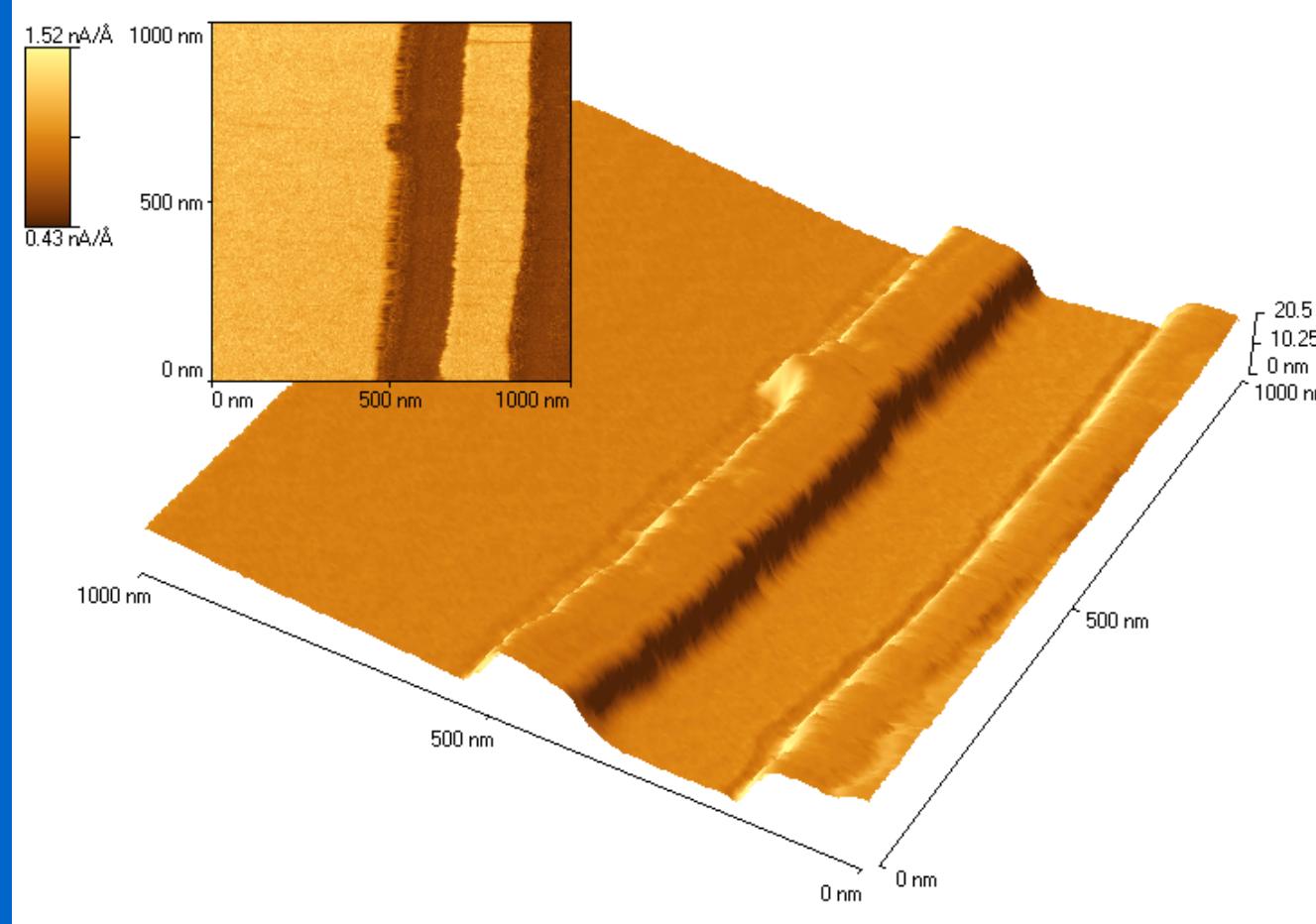
## AFM attractive forces (adhesion, bonding interaction)



# Microscopy of adhesive (lateral) forces (LFM)

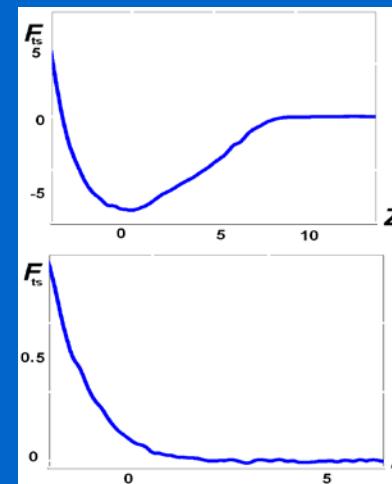
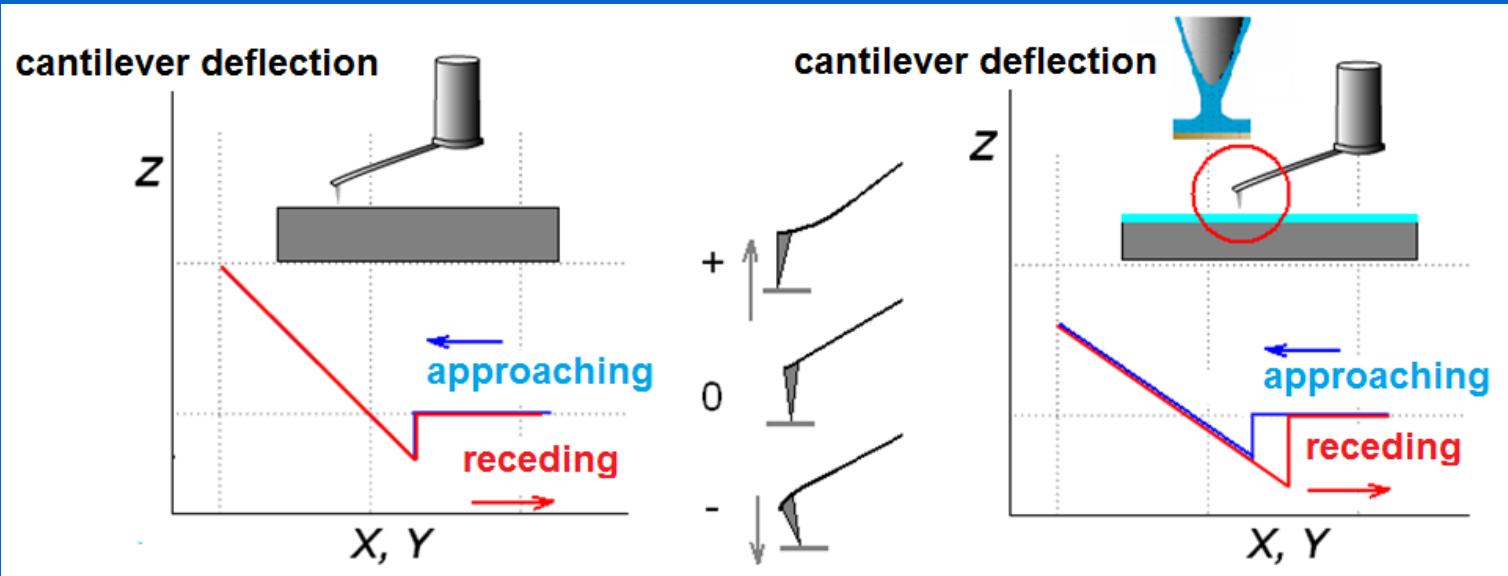


# Microscopy of lateral forces (LFM)



**Teflon on glass:**  
-AFM topography  
-mapping of friction  
forces (left insert)

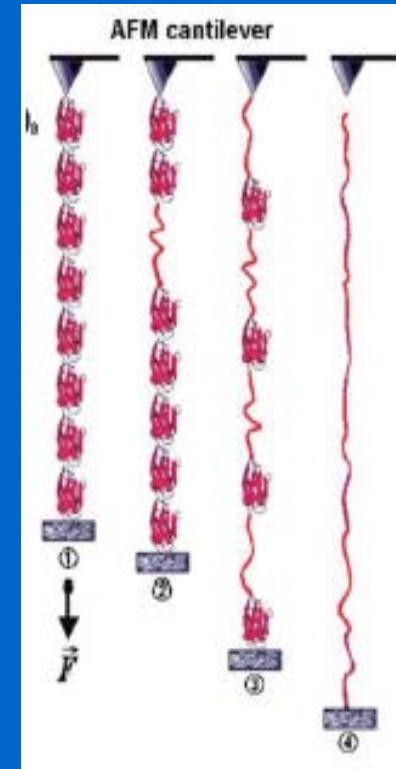
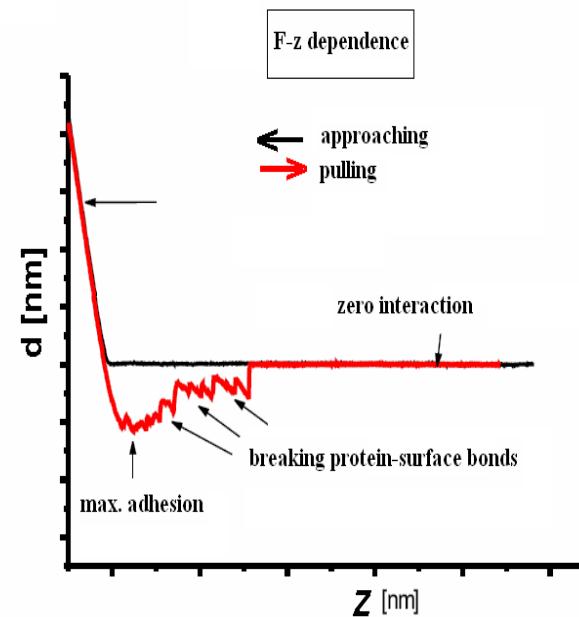
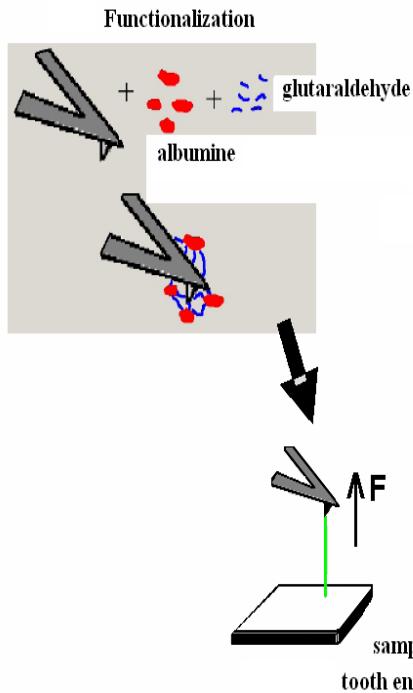
# AFM of adhesive (axial) forces



$F_{ts}(Z)$   
 $(\text{Si}/\text{SiO}_2)/\text{air}$

water

# Protein adsorption on tooth enamel



# Semicontact mode (tapping)

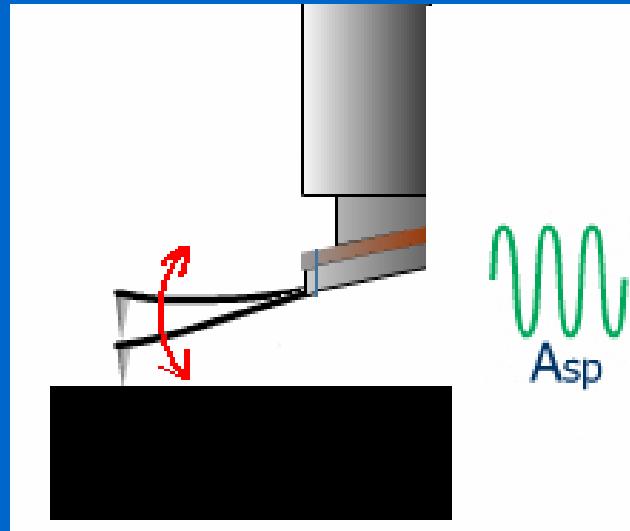
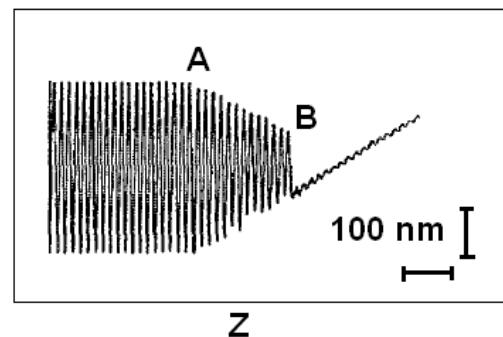
Acoustic /mgt. excitation

mechanical oscillator  
in resonance

input parameters:  
 $f_{rez}$ ,  $A_{sp}$  ( $\sim 20$  nm)

output parameters  
 $A$ ,  $\Delta f$ ,  $\Delta\theta$ ,  $d$  (deflection)

"V"cantilever ( $k = 0.58$  N/m)



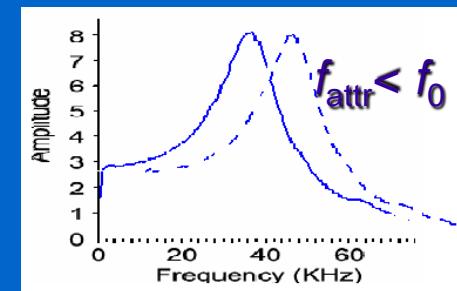
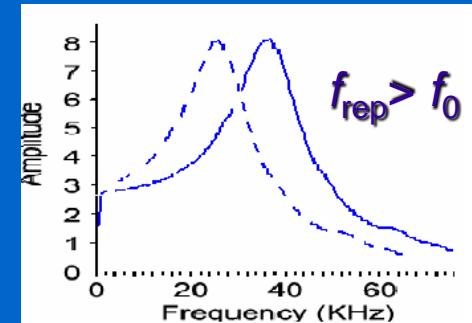
$$md^2z/dt^2 = -kz - (m\omega_0/Q)dz/dt + F_{ts} + F_d \cos \omega t \quad \text{piezo (drive)}$$

Hook

Dissipation E.

Tip-Surf. interaction

$$\omega_0 = \sqrt{klm}$$

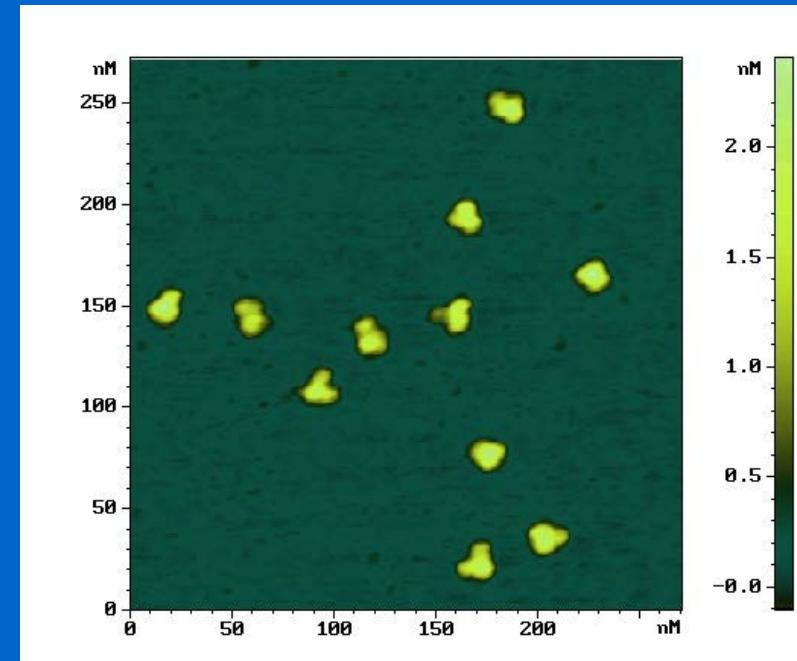
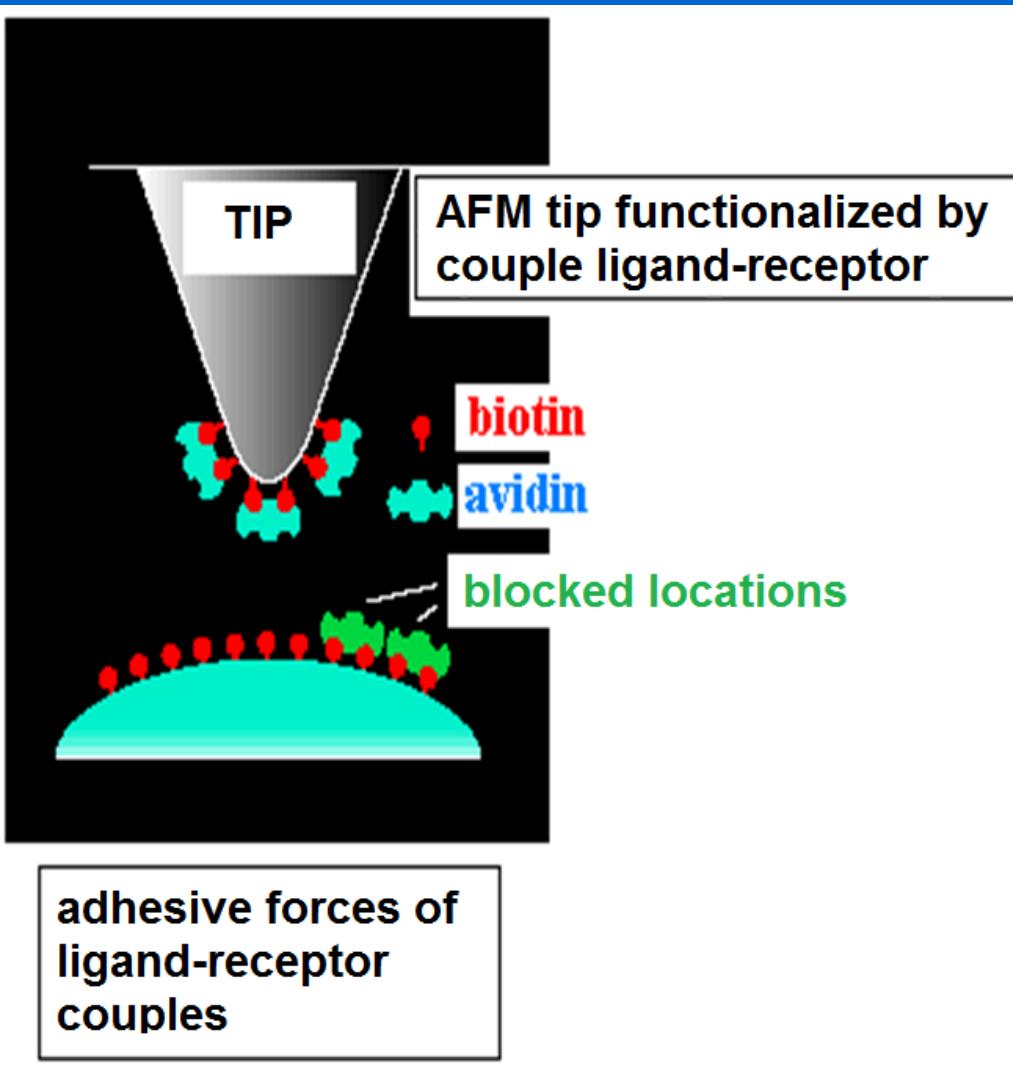


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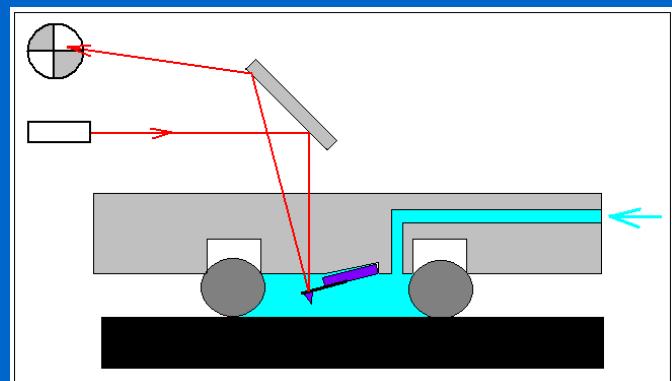
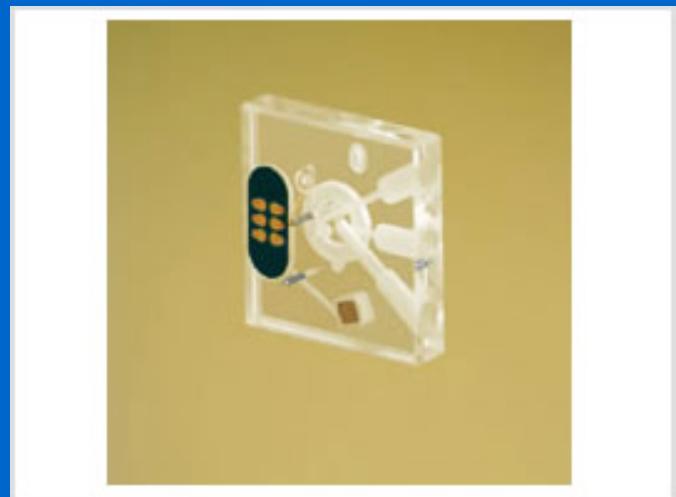
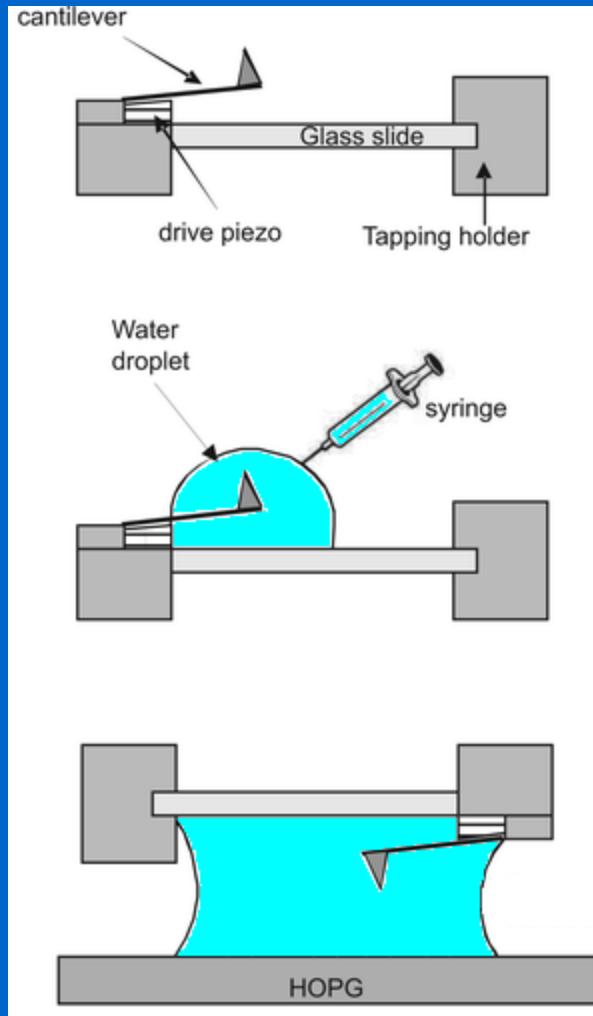
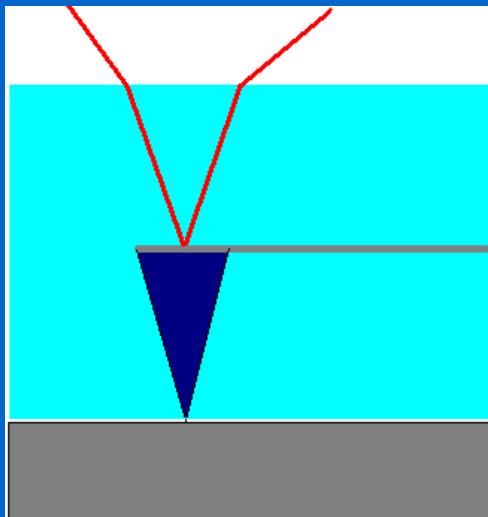
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## AFM with modified tip Semicontact mode (tapping): Bonding interactions

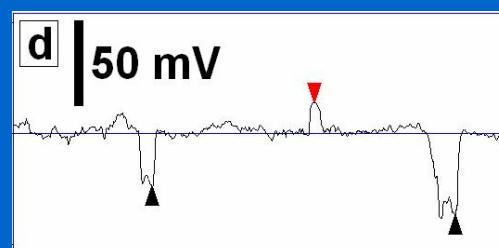
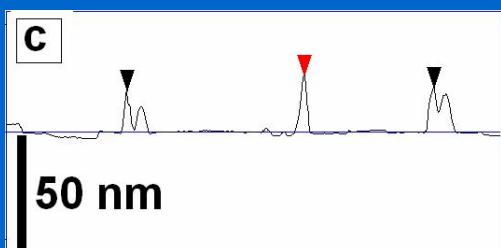
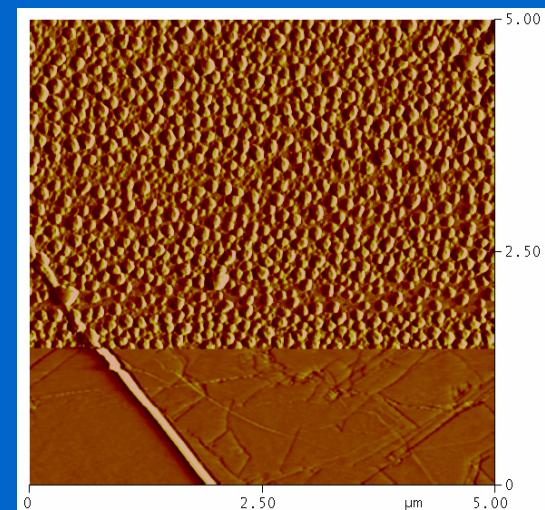
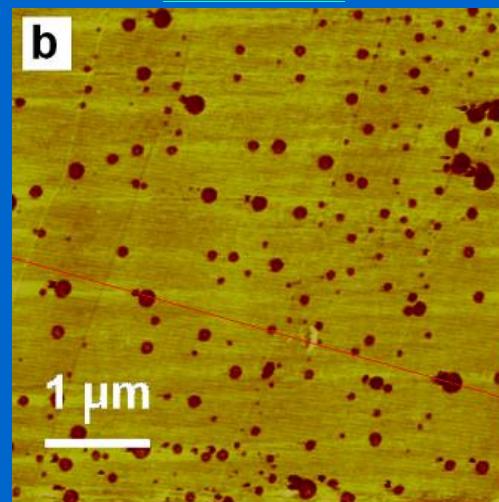
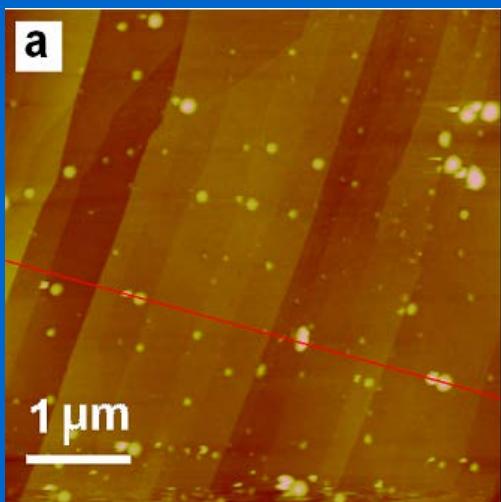
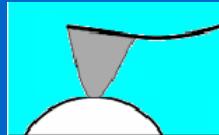


Monoclonal antigen 1RK2 to A-chain of ricine (tip-IgG1).  
Visible is Y-structure of antigen.  
AFM-semitcontact mode on air. [Veeco]

# AFM in liquids



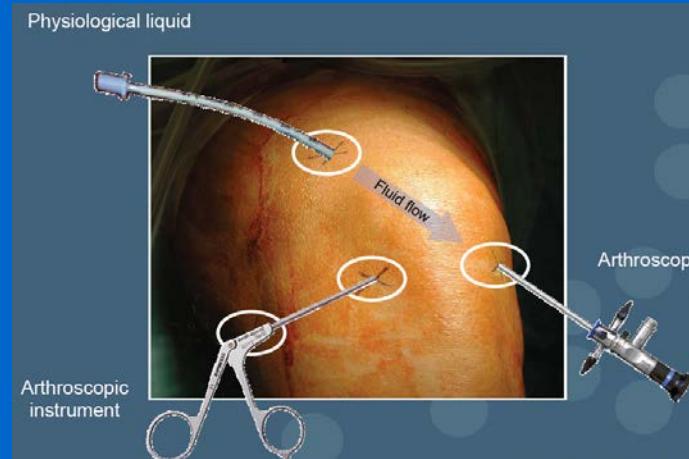
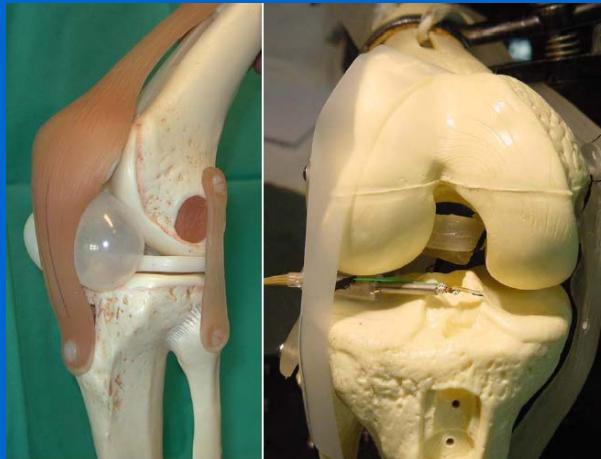
# Nanobubbles at the immersed interface (liquid/solid)



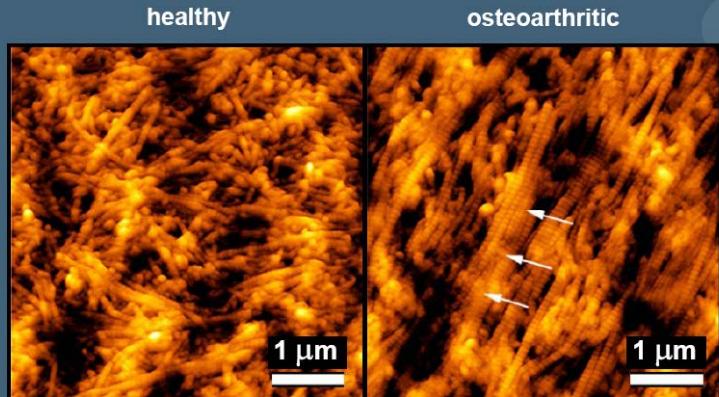
**Topography**

**Cantilever deflection**

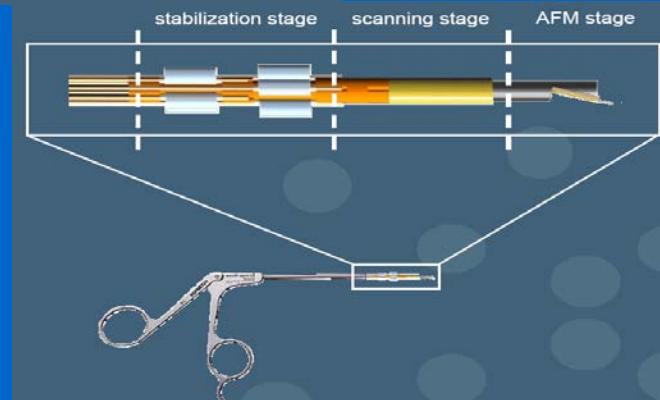
# AFM *in vivo*: Scanning Force Endoscope



## Diagnosing cartilage diseases at an early stage



M. Stoltz et al., Biophys. J. 2004; 86 3269-3283



Institute of Microtechnology  
University of Neuchâtel

R. Imer  
T. Akiyama  
N.F. de Rooij  
U. Staufer

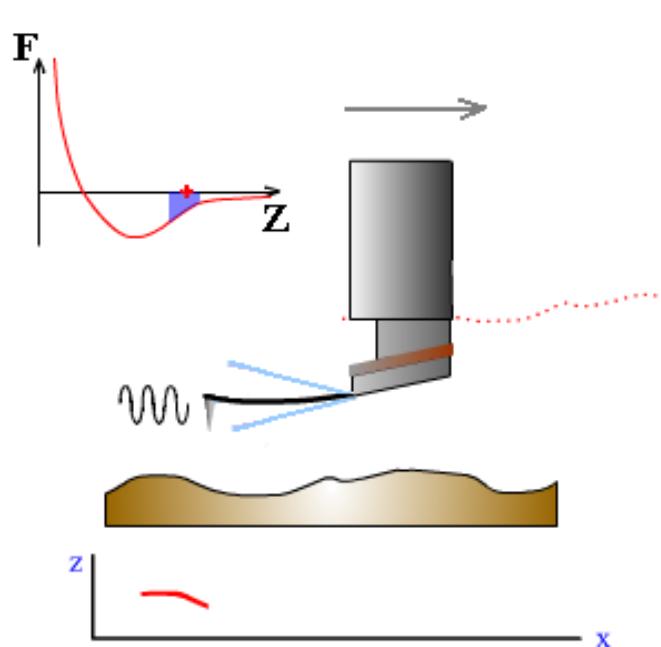
M.E. Müller Institute (MSB)  
University of Basel

M. Stolz  
U. Aebi



# **AFM: Noncontact Mode**

## AFM: Noncontact mode

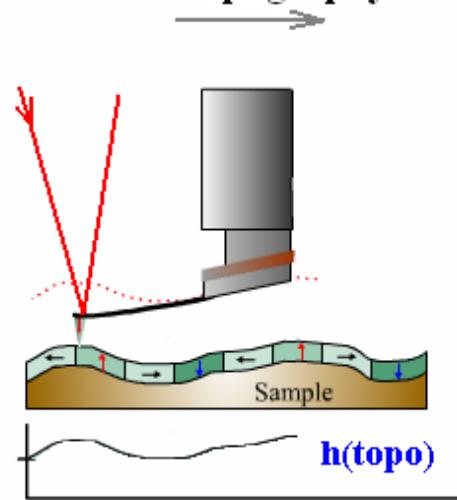


$$f_{\text{eff}} = f_0 (1 - F(z)/k_0)^{1/2}$$

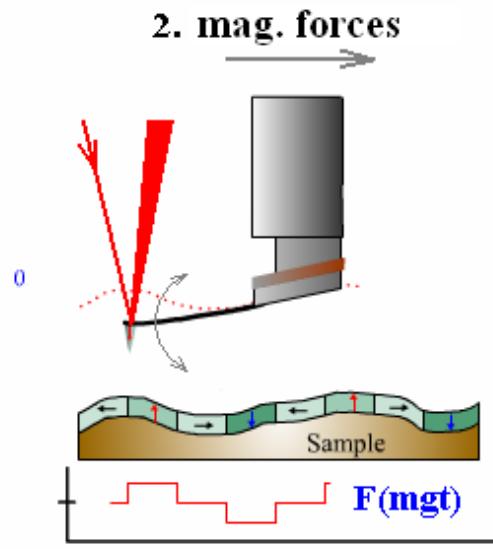
$\Delta f = f_0 - f_{\text{eff}}$      $F \dots \text{gradient}$

# Noncontact AFM: Magnetic Force Microscopy

1. topography



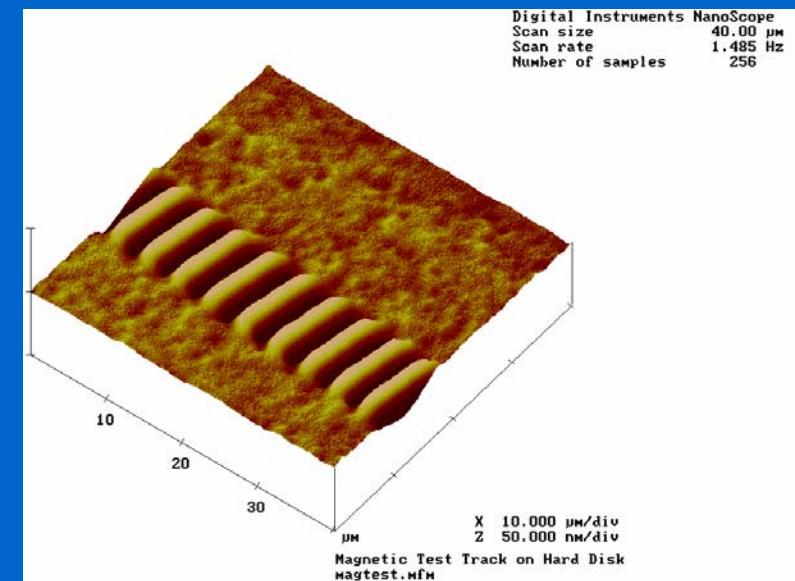
2. mag. forces



van der Waals. forces  
(semicontact)

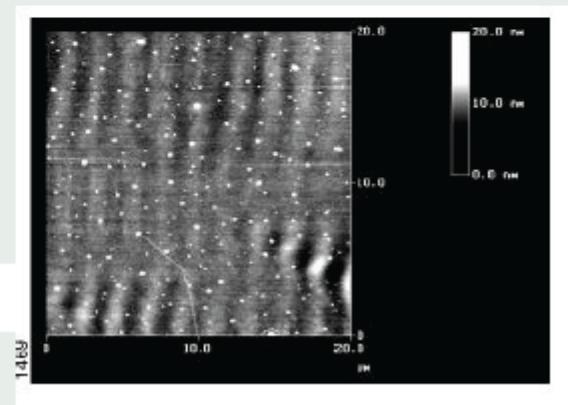
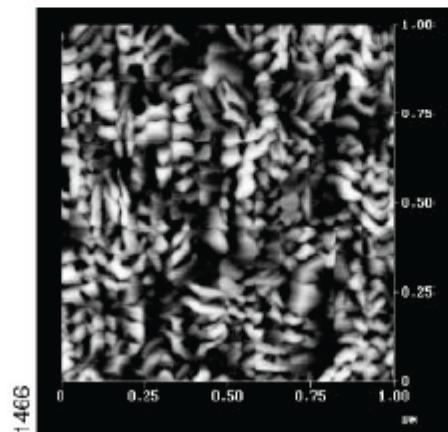
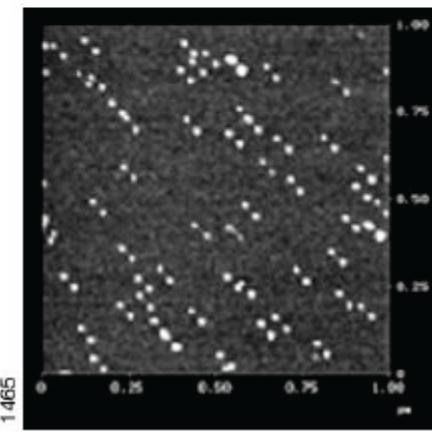
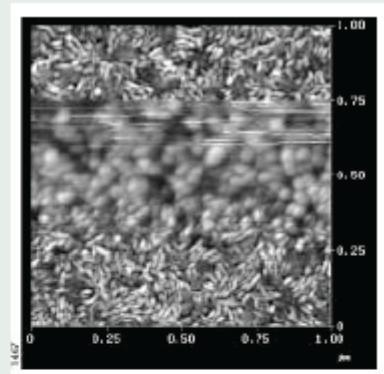
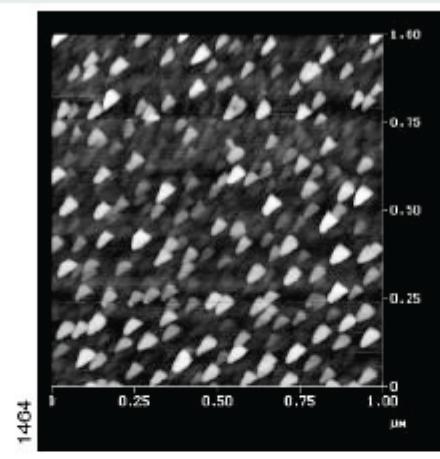
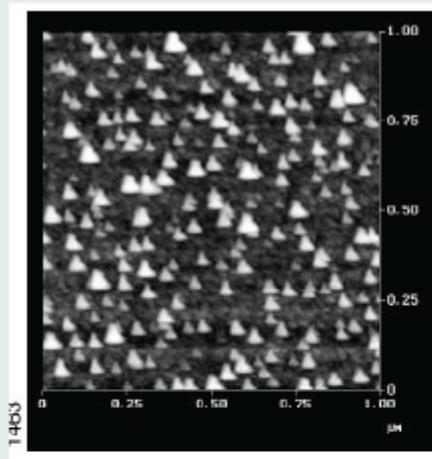
noncontact imaging

Digital Instruments NanoScope  
Scan size 40.00  $\mu\text{m}$   
Scan rate 1.485 Hz  
Number of samples 256



NT-MDT

# AFM: Artefacts



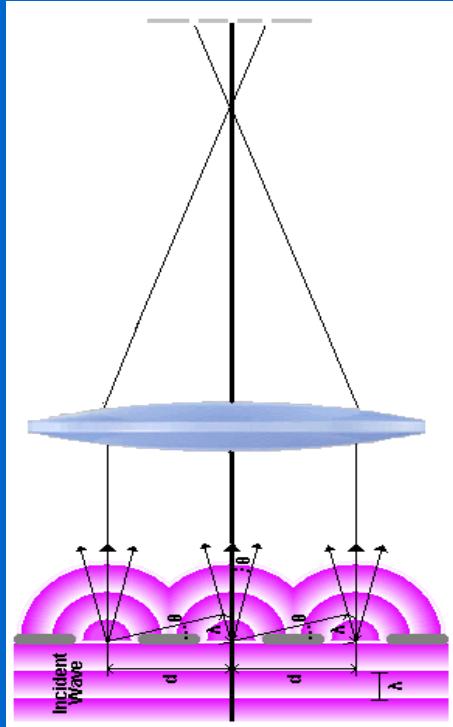
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# Scanning Near-field Optical Microscopy/Spectroscopy SNOM

# Far-Field Microscopy

# Near-Field Microscopy



Resolution  $\Rightarrow$

*Abbe, Rayleigh* criterion

Refraction index, incident angle,  
diffraction limit

$$d = \lambda / (\theta \sin \alpha) \approx \lambda / N_a$$

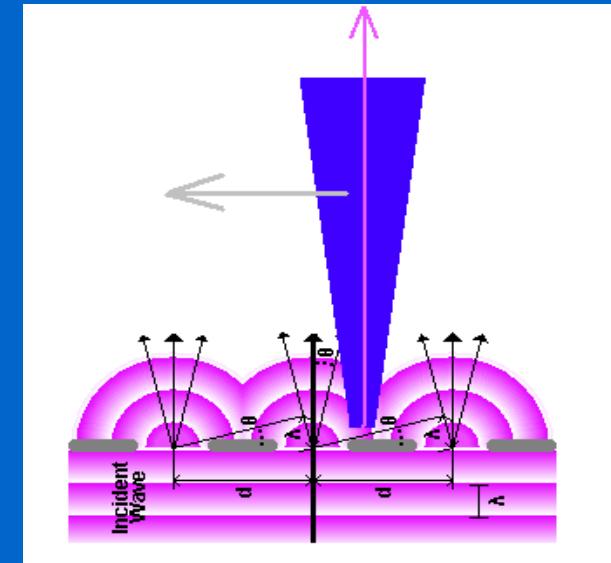
$\lambda$ ...wavelength

$\alpha$ ...incident angle

$N_a$ ...numeric aperture

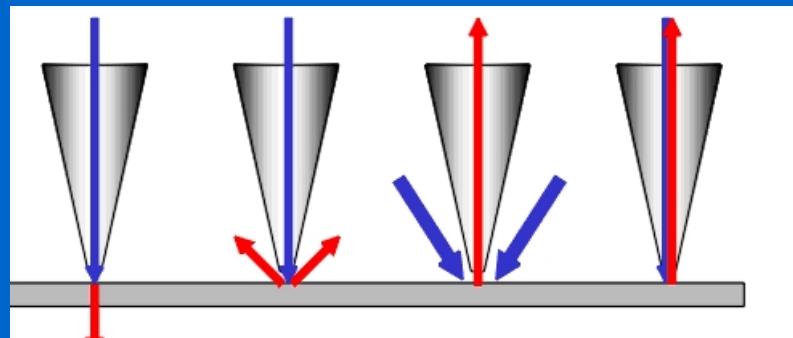
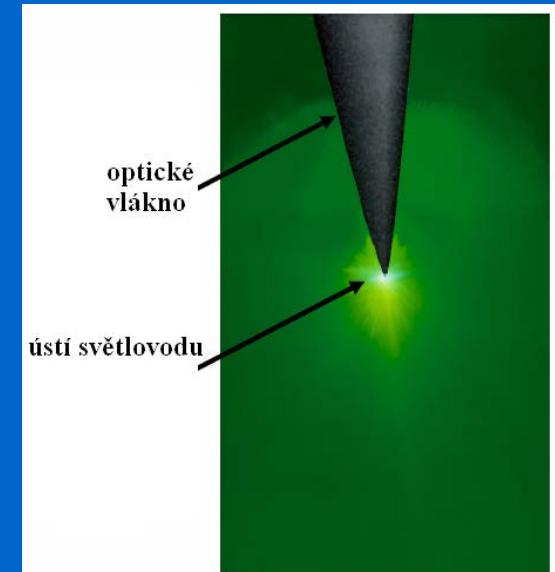
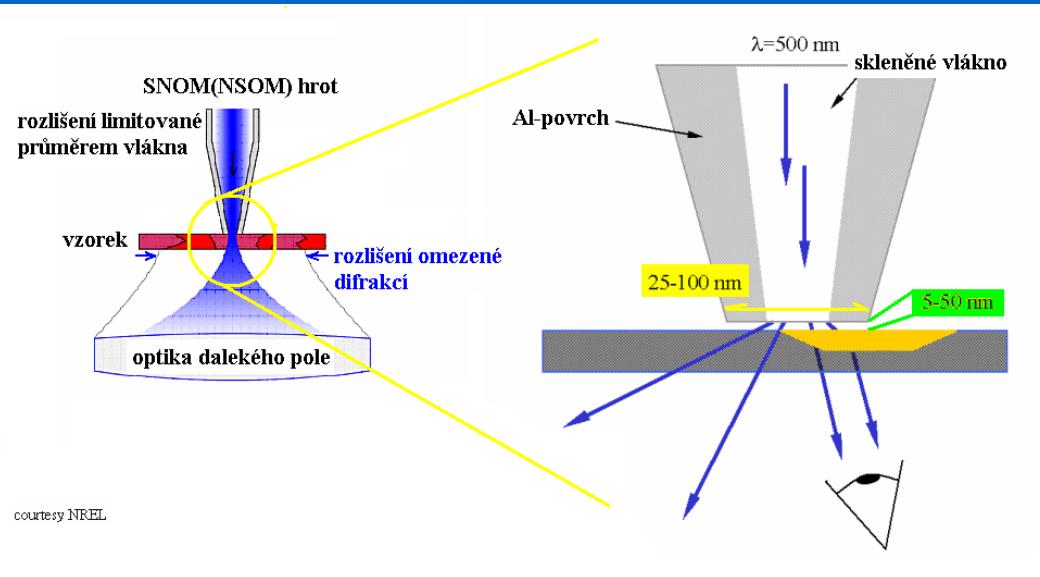
$\Theta$ ...refraction index

$d$ ...resolution

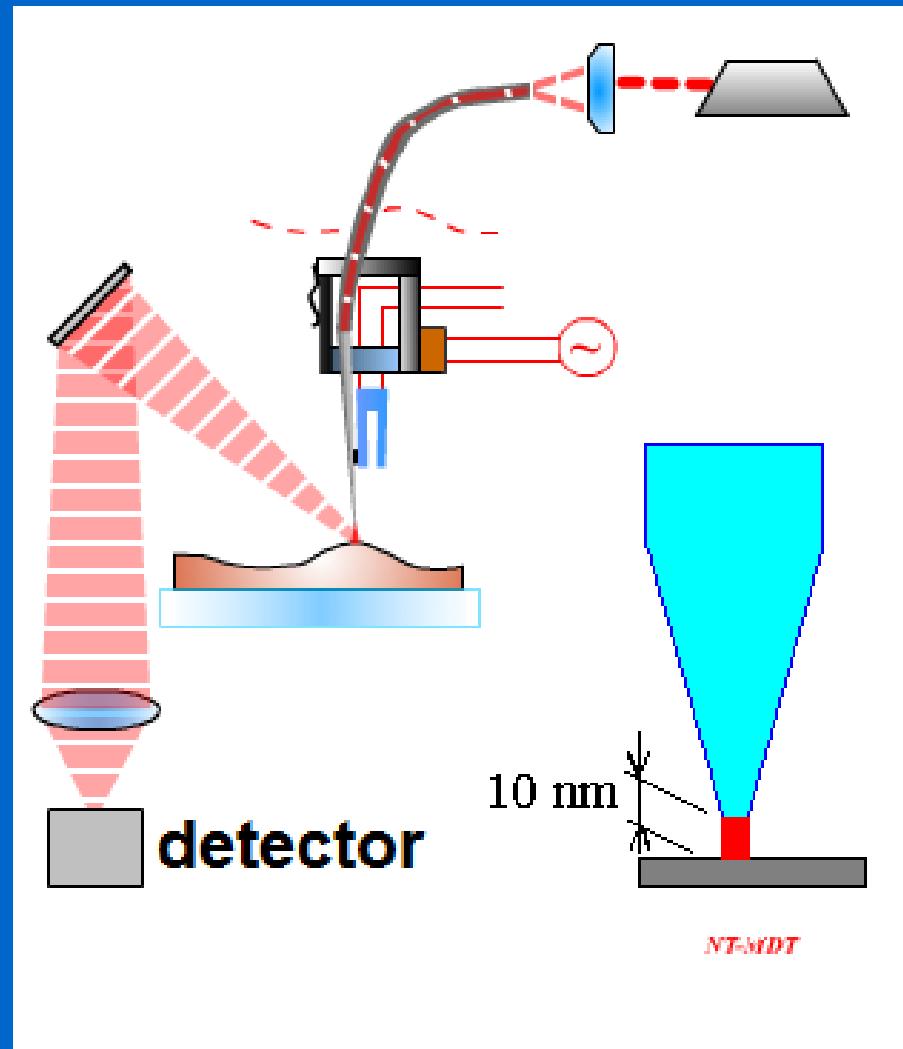


**Image reconstruction  
point by point  
from wavefront fragments**  
Resolution  $\Rightarrow$  Probe aperture &  
Distance from the sample

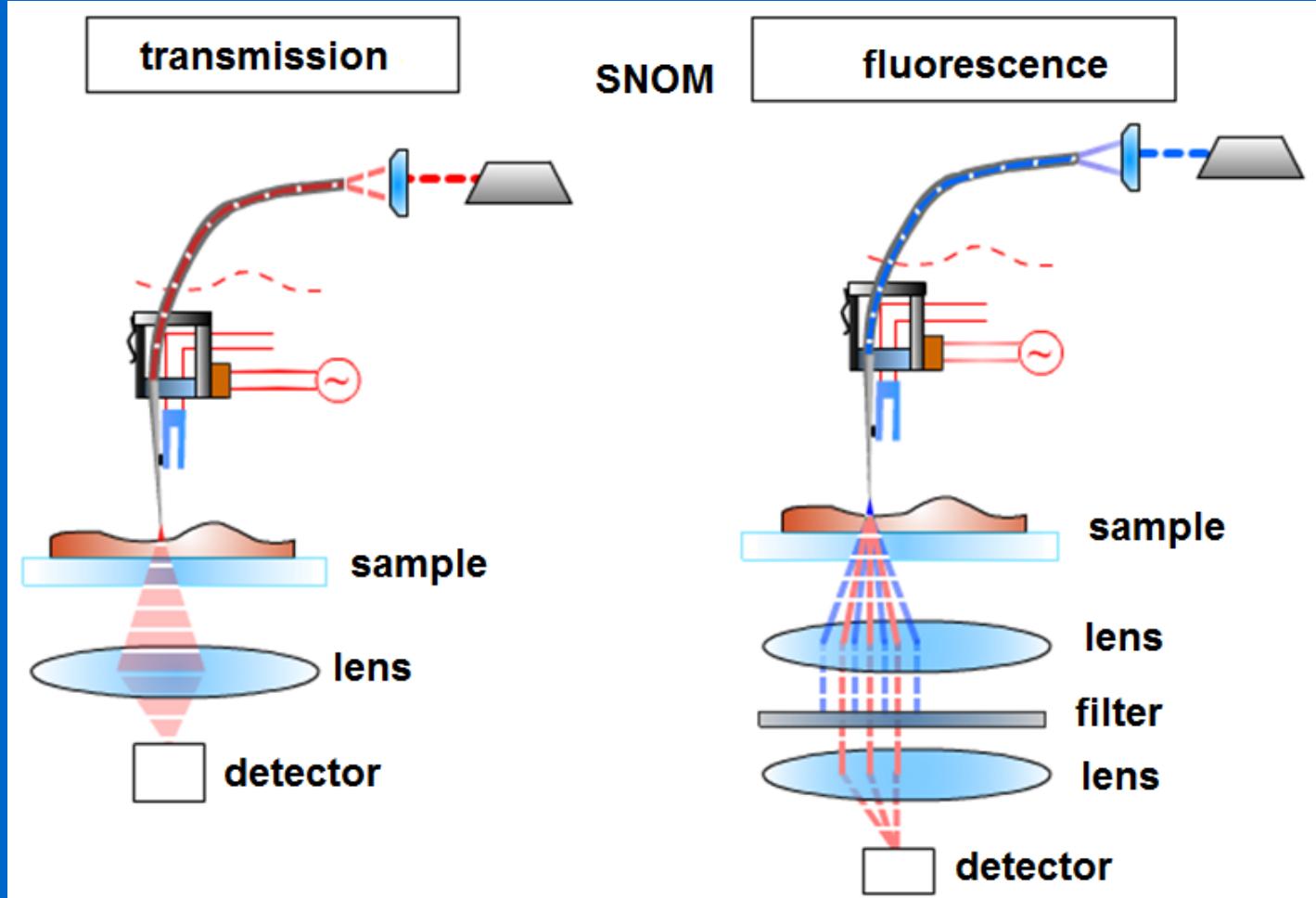
# Near-Field Optical Microscopy/Spectroscopy



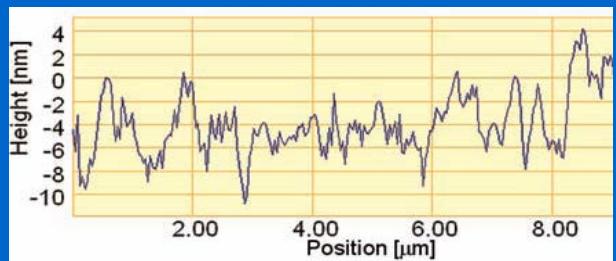
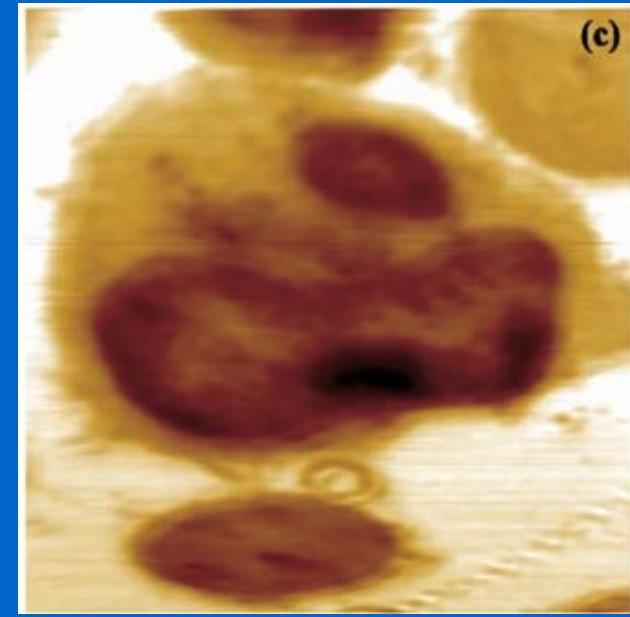
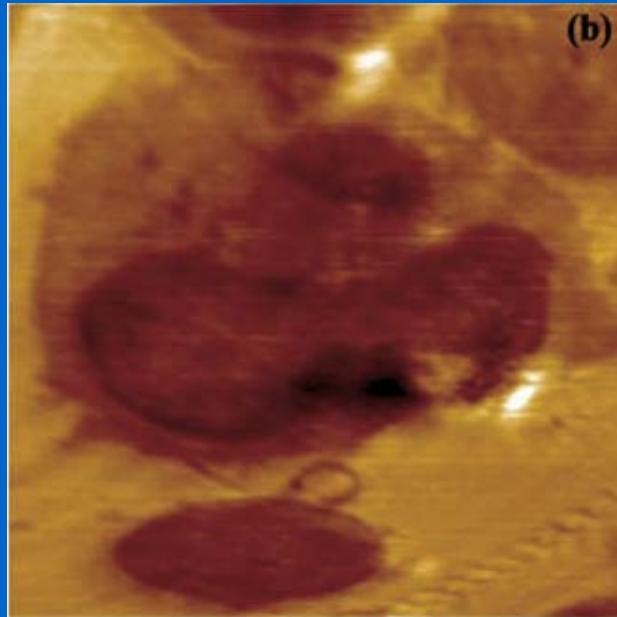
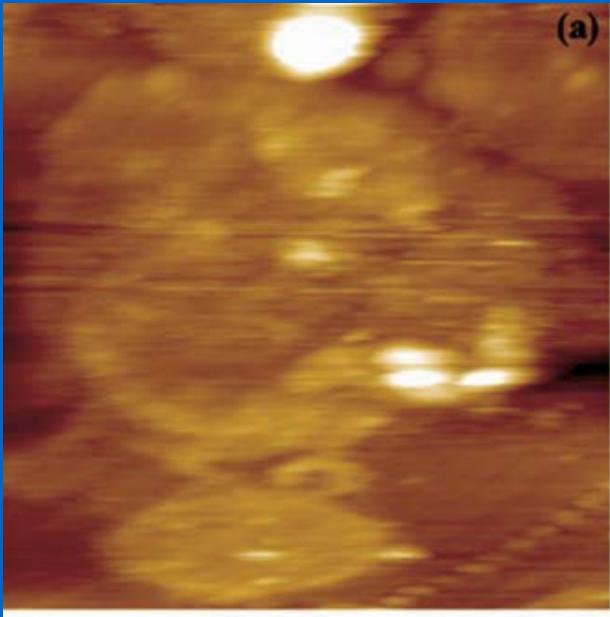
# Reflection SNOM



# Transmission Fluorescence SNOM



## Combined SPM Image: AFM - SNOM



AFM topography (a) and SNOM (b,c) images on ultrathin sections of apoptotic Jurkat cells embedded in araldite resin: SNOM reflection (b)  
SNOM transmission (c).  
Scan area  $25 \times 25 \mu\text{m}$ .

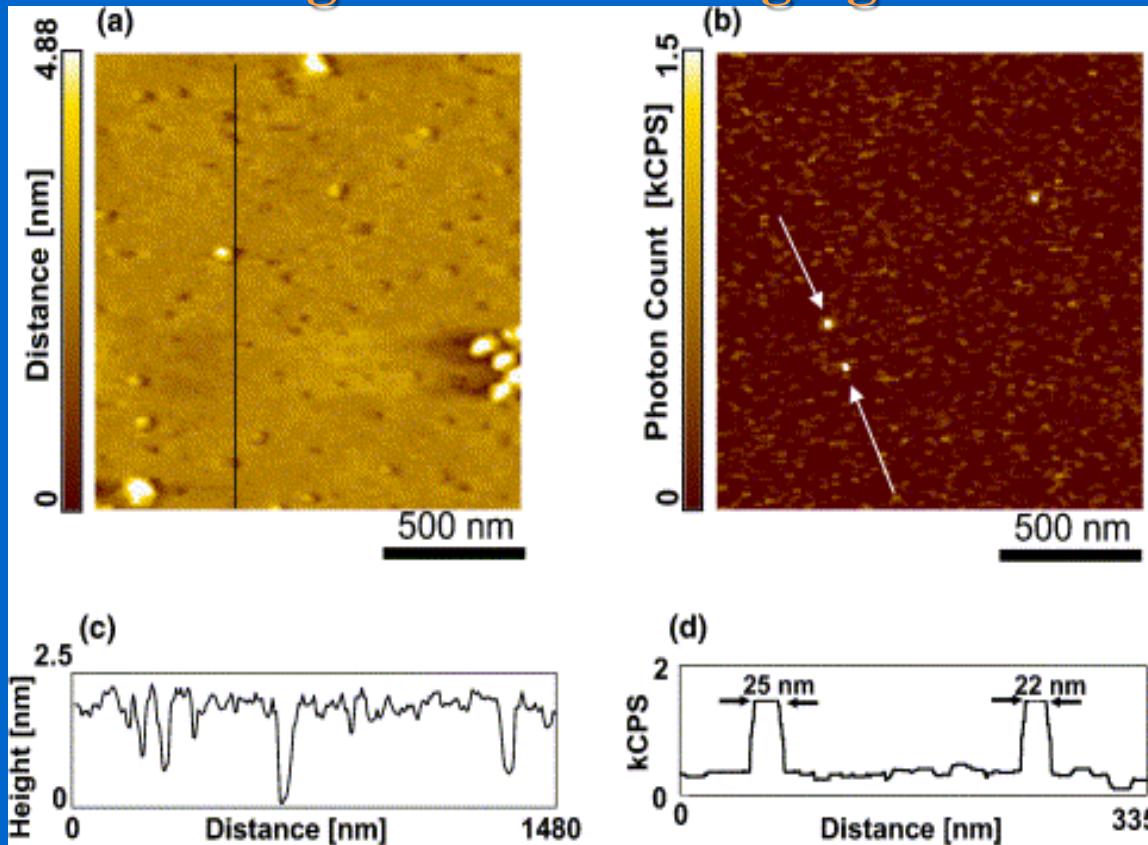
•  
•  
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# Fluorescence SNOM

## Single molecule imaging

AFM  
Topography

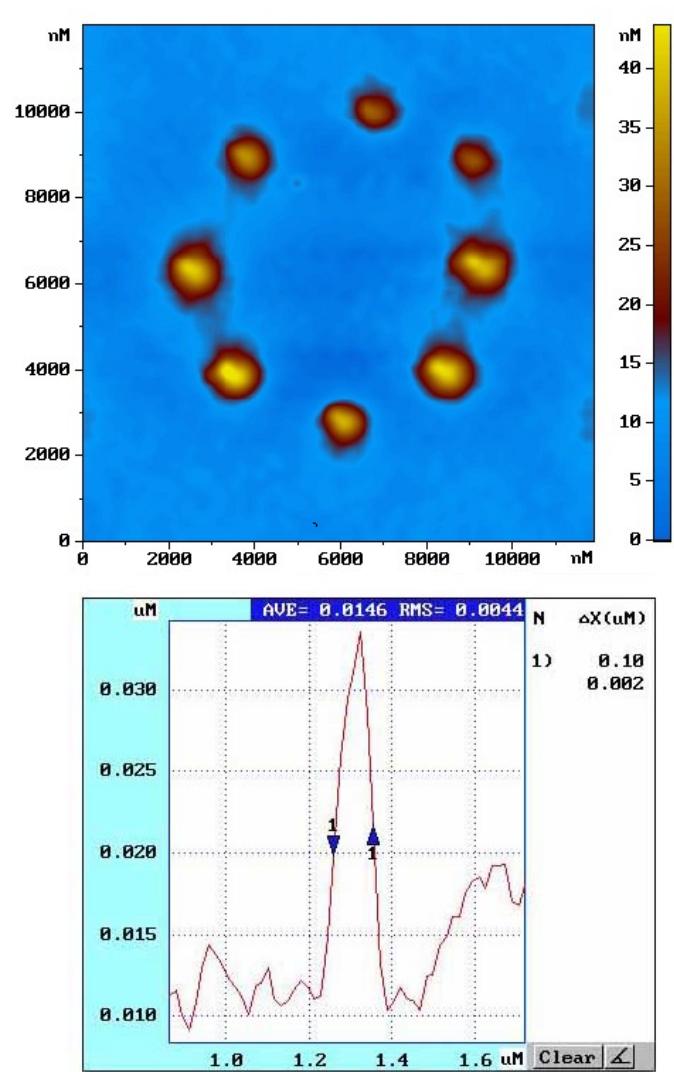
SNOM



Alexa 532 (Exmax 532 nm/Emmax 554 nm, Molecular Probe Inc) v PMMA

H. Muramatsu: *Surface Science*, Vol. 549, 273, 2004

# SNOM lithography



Zdroj: Veeco

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•  
•

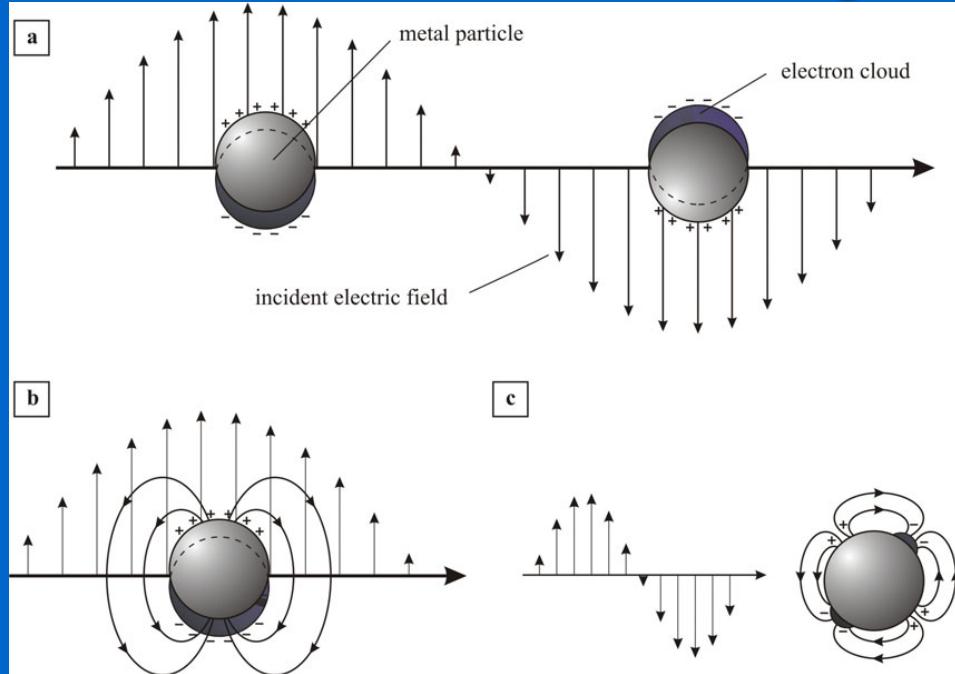
## Nanoparticle light amplifier

### Plasmon resonant amplification

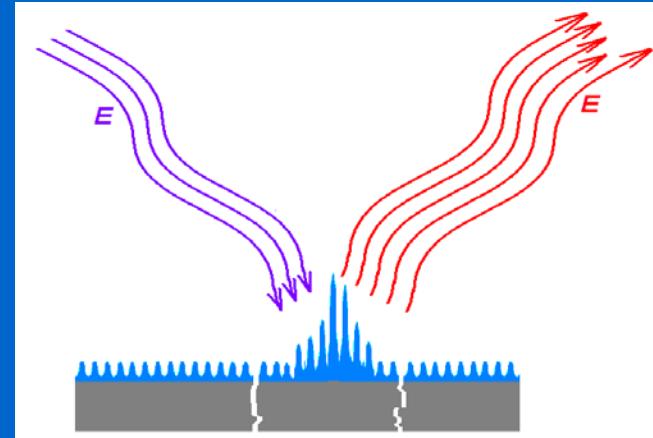
**Surface-Enhanced Raman Spectroscopy  
SERS**

**Tip Enhanced Raman Spectroscopy  
(Microscopy)  
TERS**

# Metal nanoparticle = Plasmon resonator light amplifier



**Nanoparticle plasmon:**  
Min. dimension: > 2 nm  
=> non-localised energetic levels  
(band/cloud)



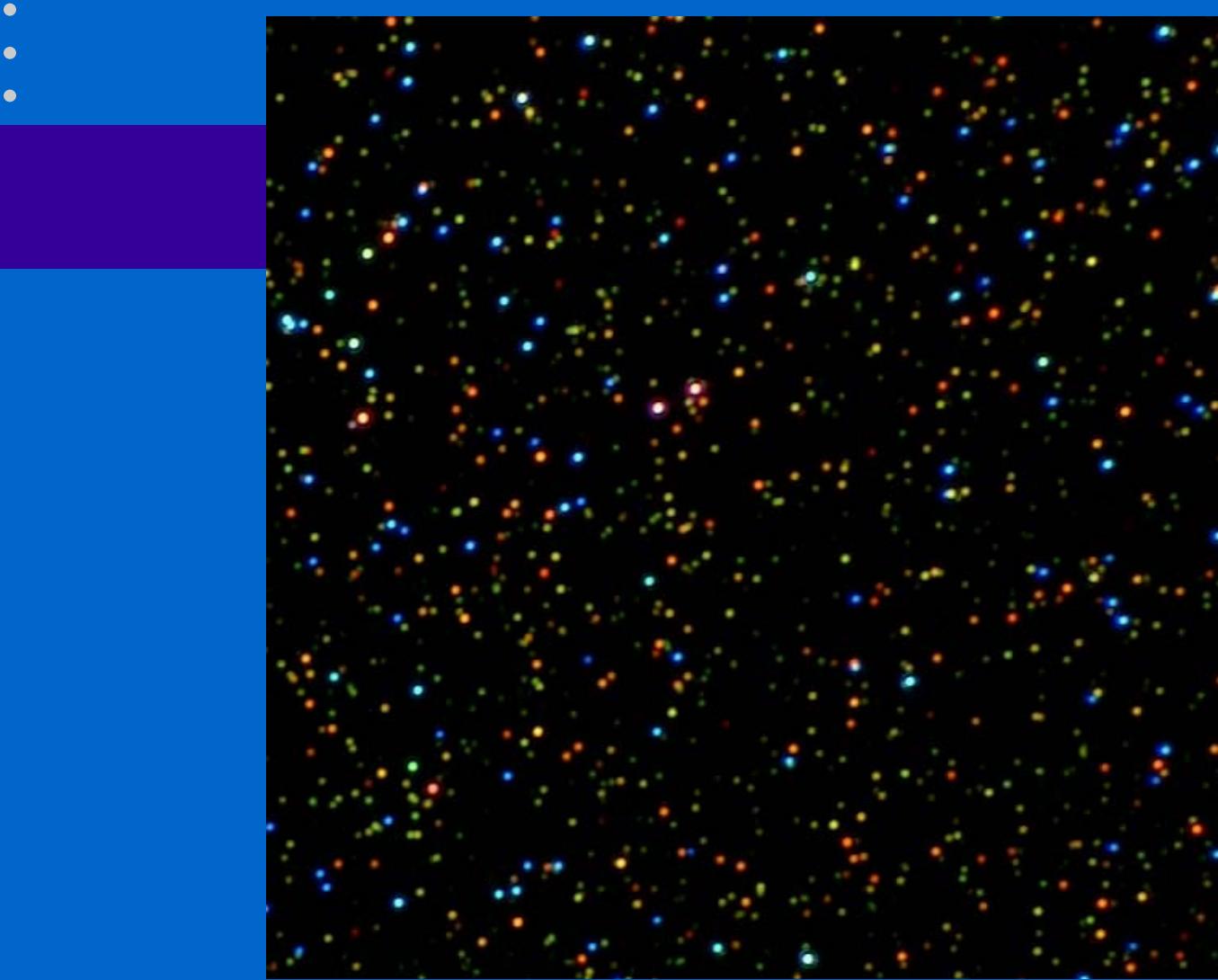
Interaction with light => excitation of e<sup>-</sup>cloud oscillation

Small particles: **dipole radiation** (a, b) => **emission**

Large particles: **quadru-/n-pole radiation** =>  
emission is suppressed (c)

$$\omega_p \sim \sqrt{(n e^2 / \epsilon_0 m^*)}$$

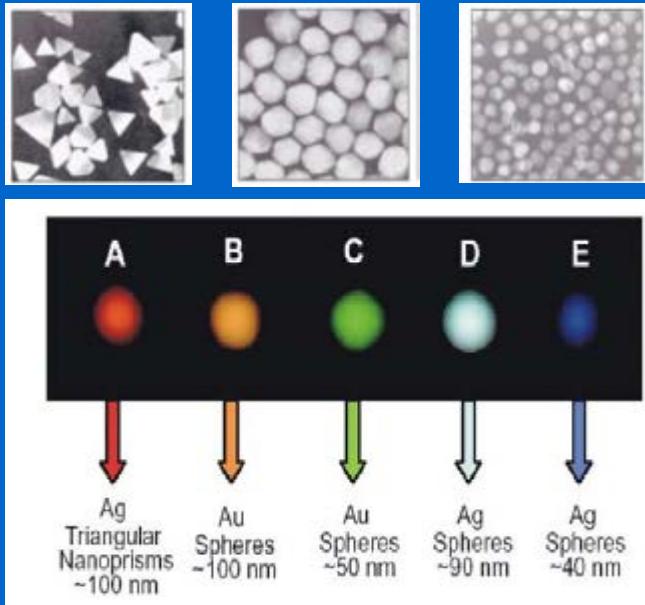
$\omega_p$  plasmon. freq.  
 $m^*$  eff.mass. of conduct.e  
 $\epsilon_0$  permitivity



Optical microscopic image (dark field) of light dispersed by nanoparticles:  
**Ag (nanospheres)**   **Au (nanospheres)**   **nanorods**



# Utilization of plasmon resonance



Ag, Au nanoparticles  
Stained Glass

*The Lycurgus Cup, Roman (4th century AD), British Museum ([www.thebritishmuseum.ac.uk](http://www.thebritishmuseum.ac.uk))  
R. Jin, Y. Cao, C. A. Mirkin, K. L. Kelly, G. C. Schatz and J. G. Zheng, Science 294, 1901 (2001).*

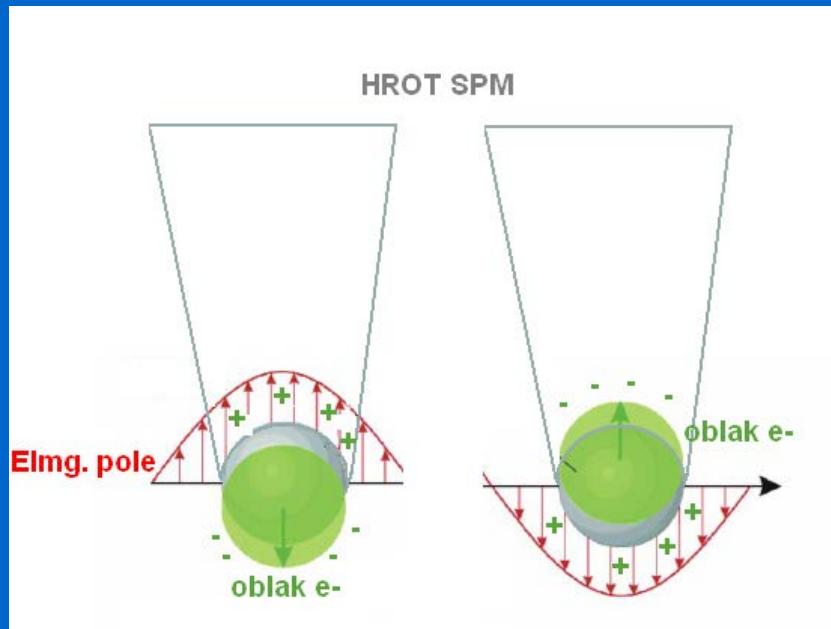


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## Utilization of plasmon resonance

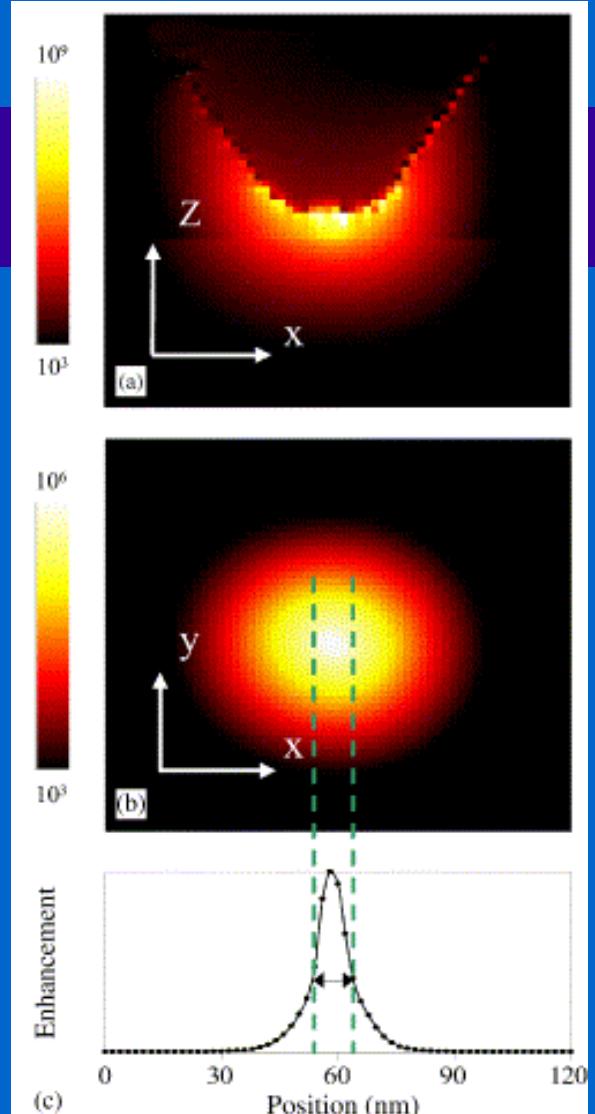
- Increasing sensitivity of spectroscopic techniques  
fluorescence, Raman spectroscopy ...  
(surface enhancement of Raman spectroscopy  $\sim 10^{14} - 10^{15}$  allows identification of single molecule)
- resonance shift due to adsorption on the interface
- measurement of adsorbed layer thickness, binding constants

# Tip-Enhanced Raman Spectroscopy



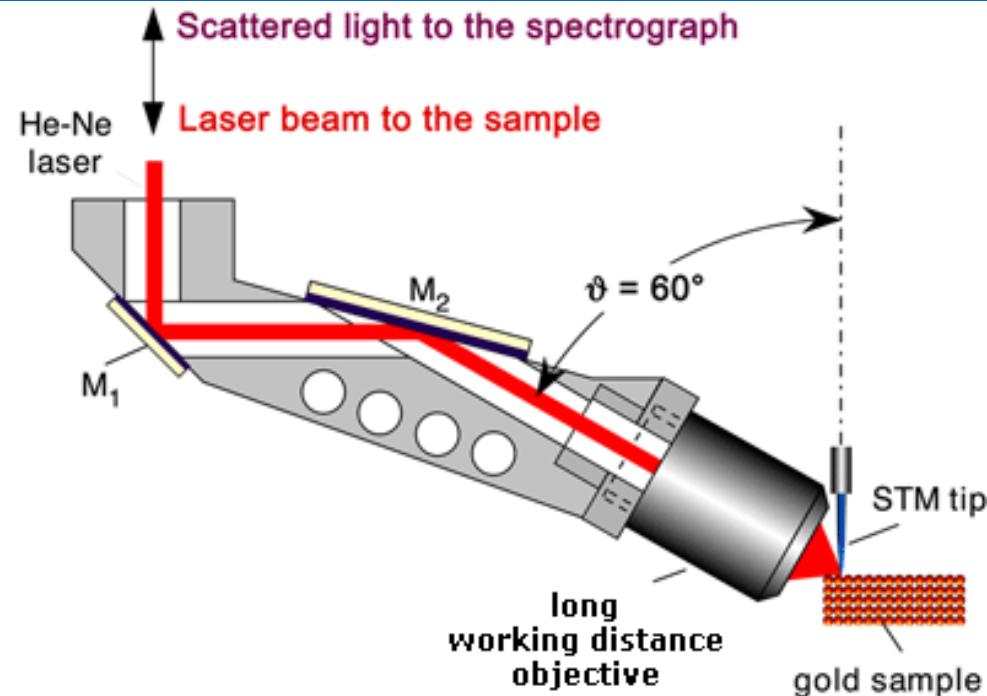
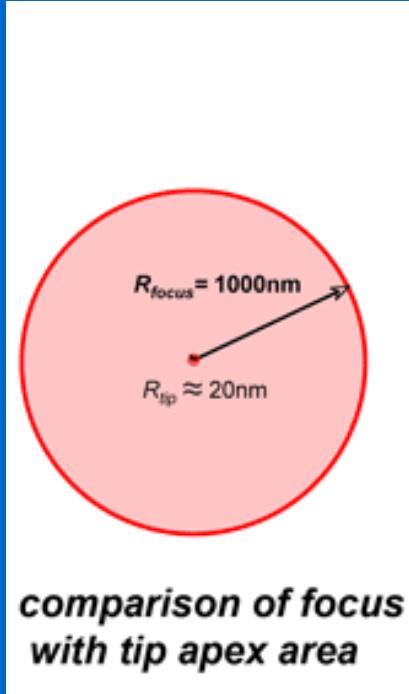
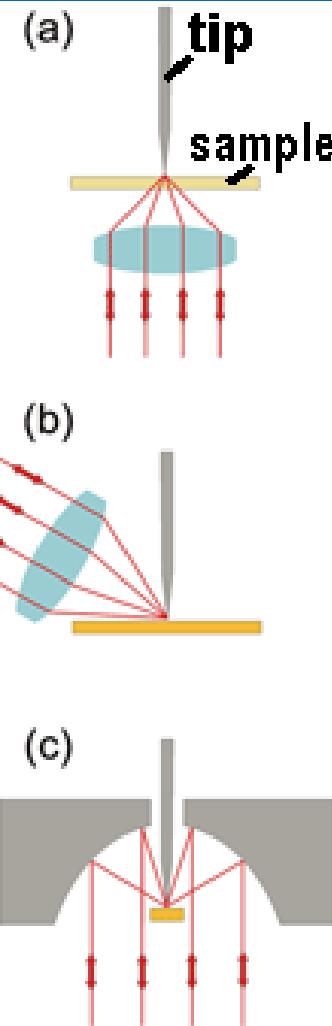
From nanoparticle plasmon resonance (SE) to Tip Enhancement (TE)

P. Hewageegana, M. I. Stockman: Plasmonics enhancing nanoantennas  
Infrared Physics & Technology 50 (2007) 177–181



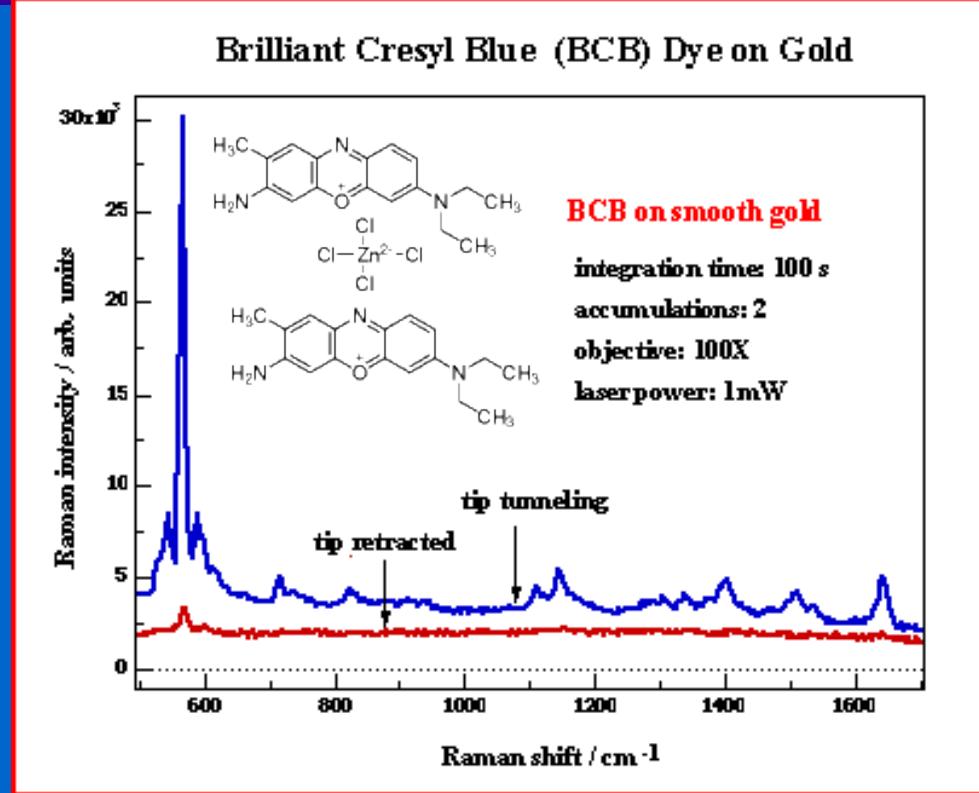
**TER(S) area ( $A = I_{RT}/I_{R0}$ )**  
 $\lambda = 541 \text{ nm}$ ,  $d_{T-S} = 4 \text{ nm}$

# TERS instrumentation



He-Ne laser (632.8 nm)  $\sim 0.3 \text{ mW}$  on sample

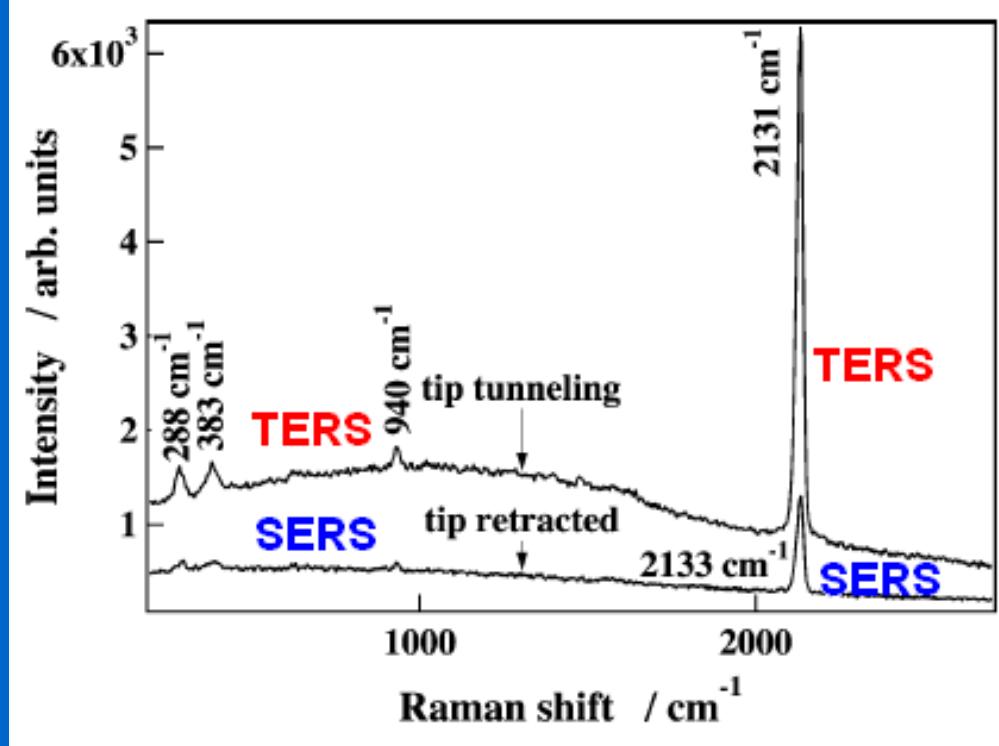
# TERS Examples



Monolayer of adsorbate  
on Au film, STM Ag-tip

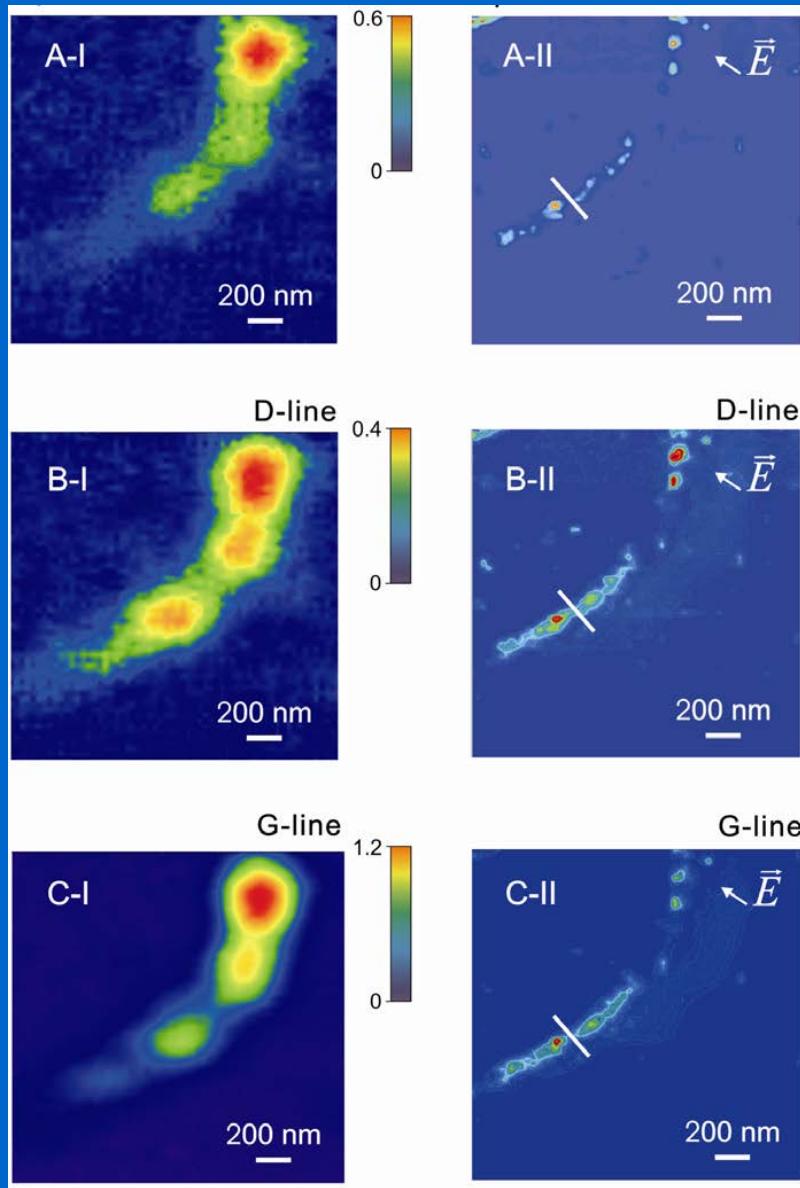
G. Picardi, K. Domke, D.Zhang, B. Ren, J. Steidtner  
B. Pettinger [Fritz-Haber-Institut der Max-Planck-Gesellschaft](#)

# Comparison SERS and TERS



SERS (rough Au surface) a  
TERS (same + Au-Tip)/ads. CN<sup>-</sup>  
Integration time 1sec, laser 5 mW

# TERS Imaging



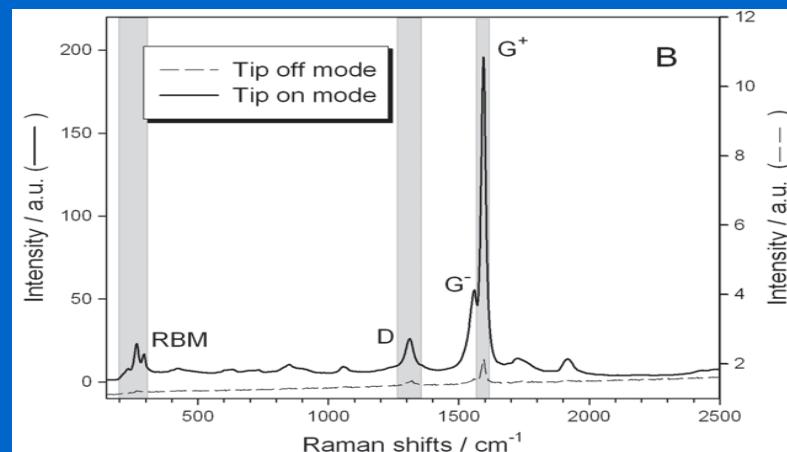
Imaging bundle SWCNT  
in vibrational modes

RBM ( $290\text{ cm}^{-1}$ )

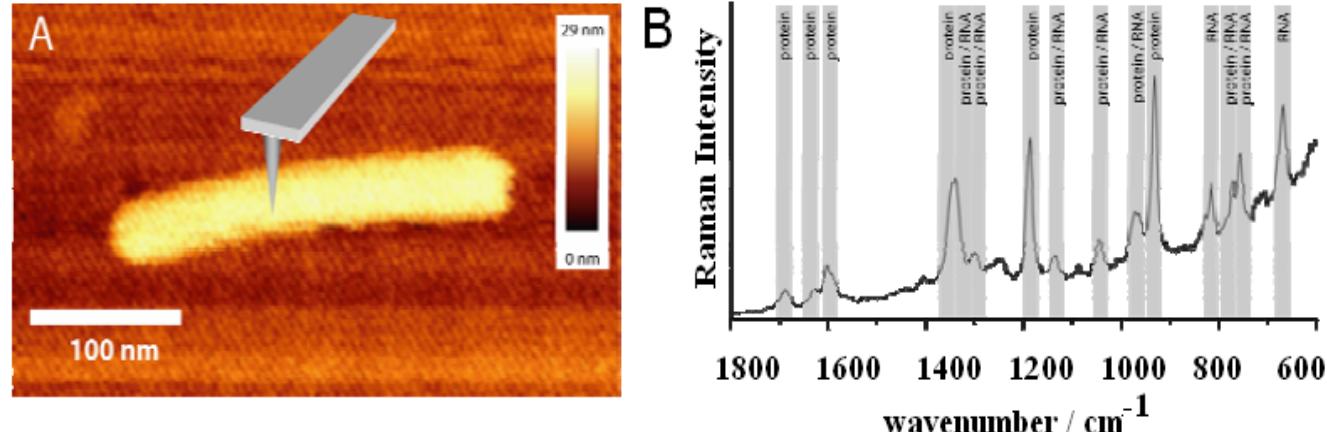
D („disorder“  $1300\text{ cm}^{-1}$ )

G+ tangential C-C stretching  
( $1594\text{ cm}^{-1}$ )

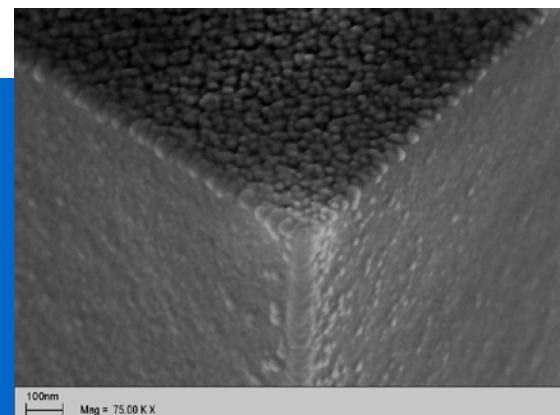
I... „tip off“ („far-field“ confocal)  
II... „tip on“ (TERS)



# AFM-TERS: Imaging + Analysis



TERS spectroscopic examination of a single tobacco mosaic virus. (A) Before each TERS measurement, an AFM scan with the silver coated AFM tip is performed in order to position the AFM tip directly on a virus. (B) The TERS spectroscopic fingerprint of a tobacco mosaic virus shows that all TERS bands can be assigned protein and RNA contributions.





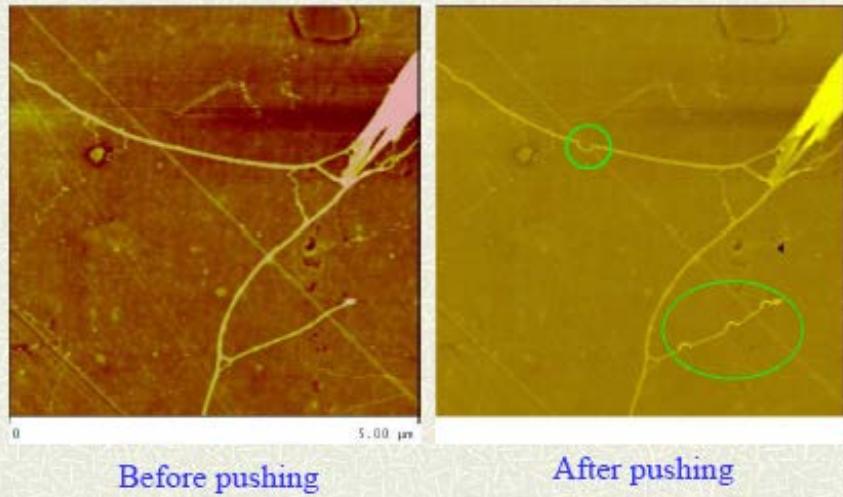
# SPM Nanomanipulation & Nanopatterning

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•

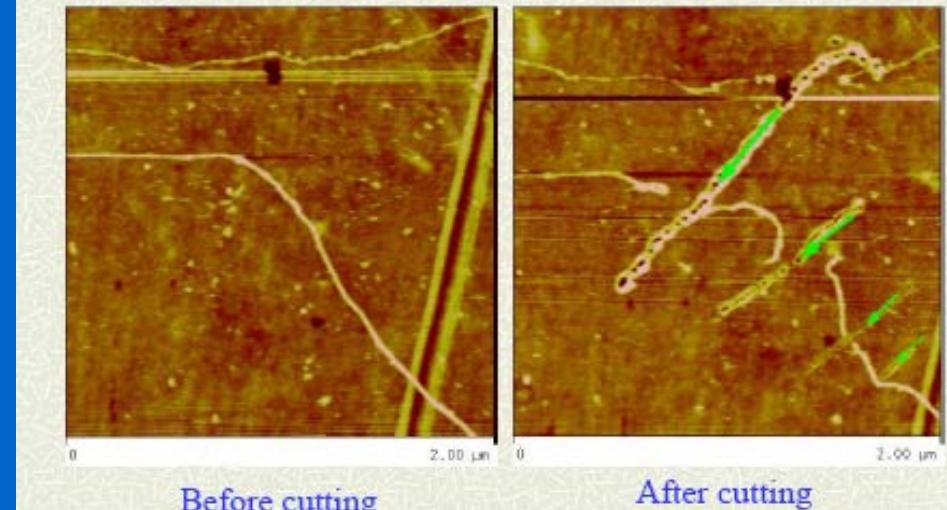
# Manipulation on Molecular Level

## Contact: Manipulation, Semicontact: Imaging

### DNA Manipulation: Pushing



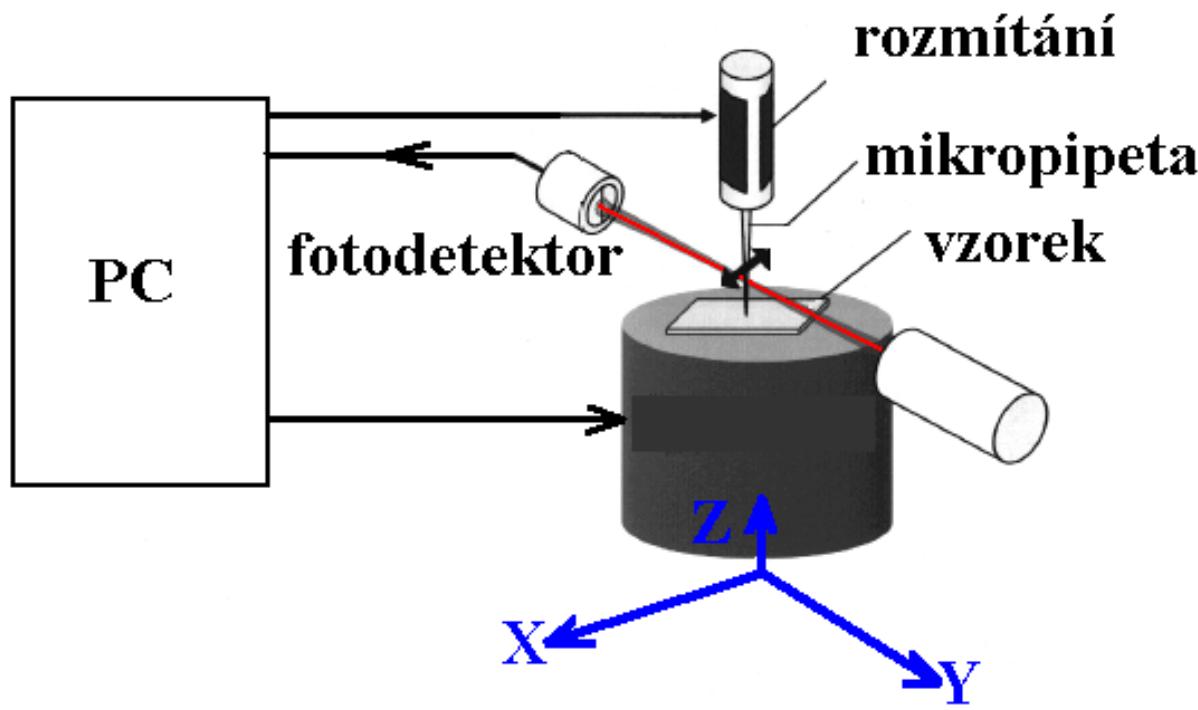
### DNA Manipulation: Cutting



Ning Xi

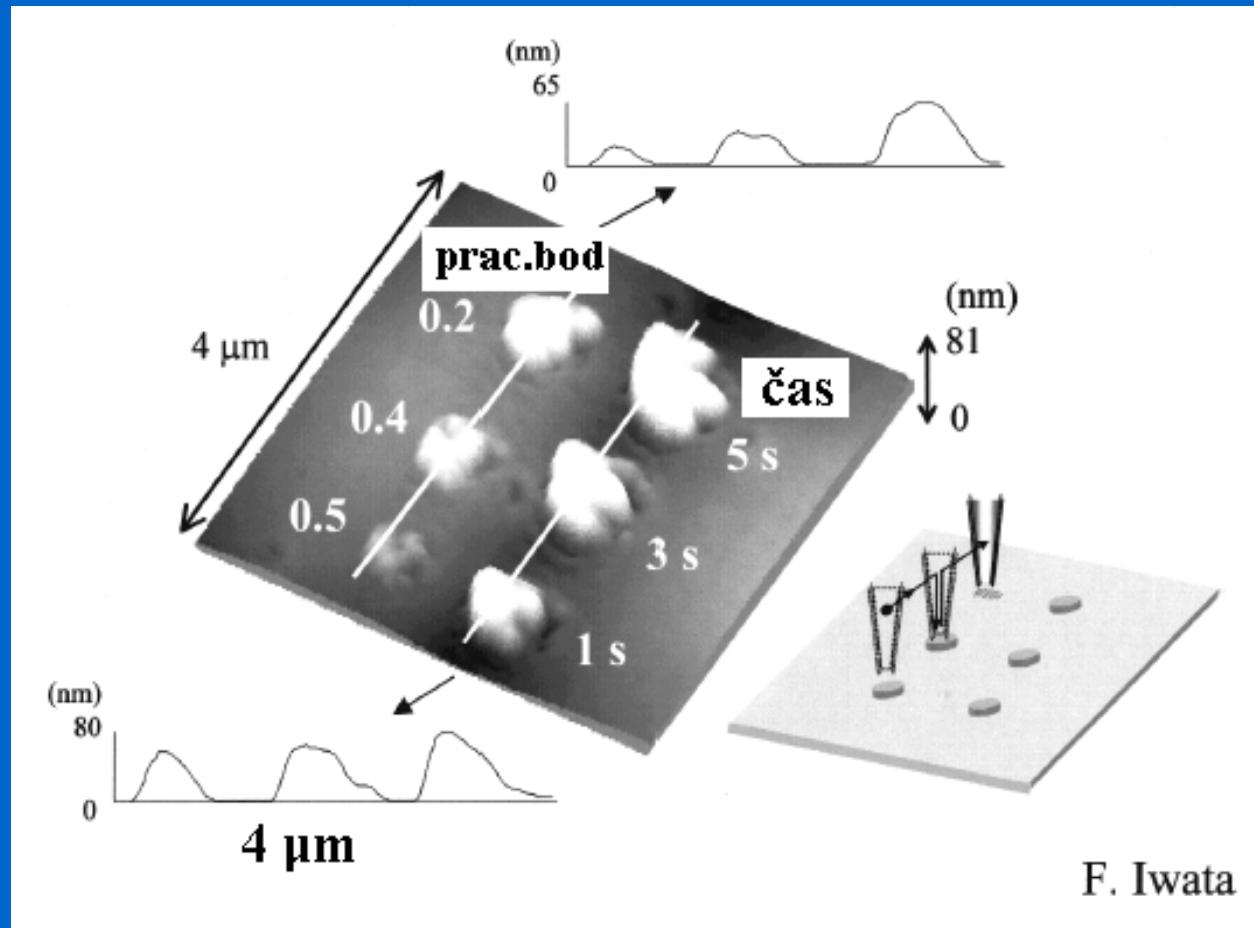
Department of Electrical and Computer Engineering  
Michigan State University

# Microscopy by Scanning Micropipette



F. Iwata

# Nanolithography: SPM with scanning $\mu$ -pipette



# Laboratory of Scanning Probe Microscopy

**AFM/STM Nanoscope IIIa Multimode**  
**In gasses and liquids**  
**Resolution ~ 0.1 nm**

**AFM/STM TopoMetrix TMX 2010**  
**AFM Dimension Icon**  
**In gasses and liquids**  
**Resolution~ 0.1 nm**



<http://www.jh-inst.cas.cz/>  
<http://www.jh-inst.cas.cz/~janda>  
[pavel.janda@jh-inst.cas.cz](mailto:pavel.janda@jh-inst.cas.cz)

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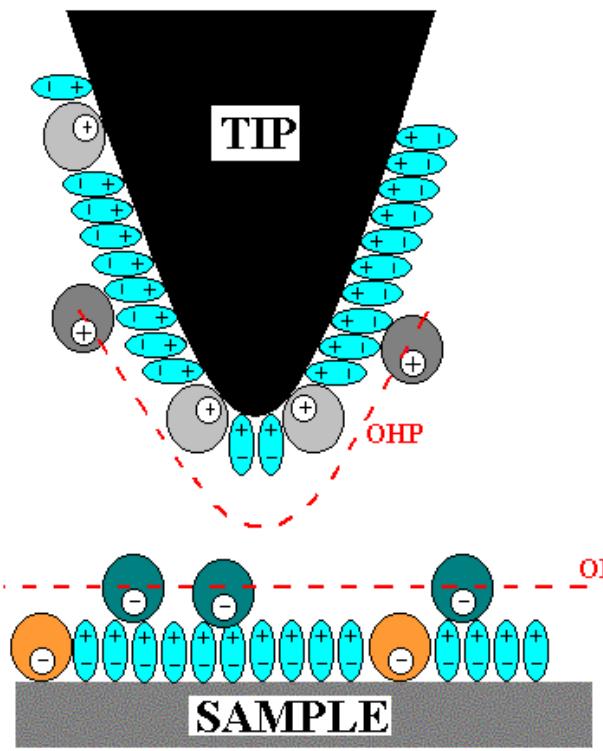


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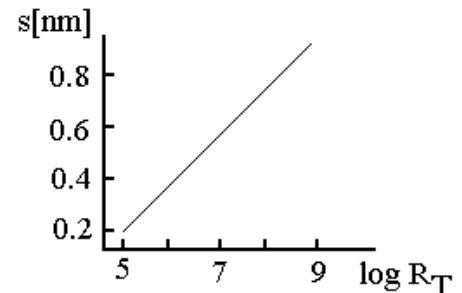
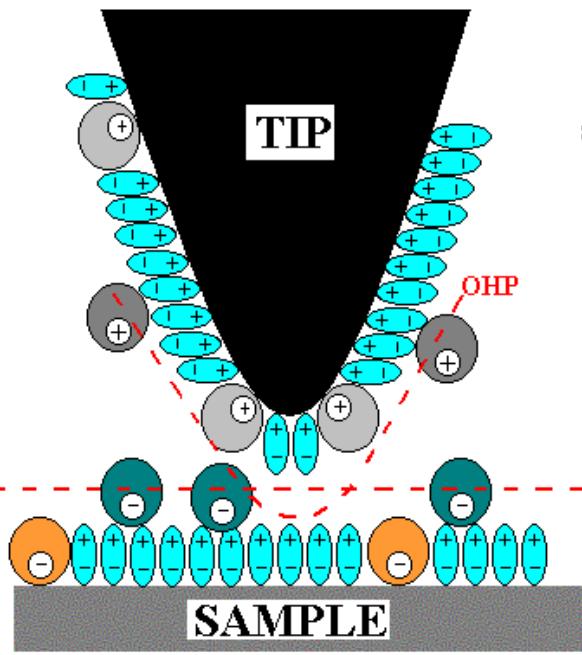
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DOUBLE LAYER REGION  
 $\phi \sim 0.1 \text{ eV}$ , nonexponential tunneling



- |                                 |  |
|---------------------------------|--|
| Halbritter<br>Toney<br>Kaukonen | resonant (intermediate) states ( $n$ ) oriented dipoles HOH-OH,<br>specif. adsorbed ions/molecules (shape resonances)<br>parallel along layer of oriented dipoles $d(\text{eff}) = d/(n+1) \Rightarrow \phi(\text{eff}) = \phi/(n+1)$<br>structural changes in layers of water molecules near the electrode<br>formation of clusters of water molecules in gap |
|---------------------------------|--|

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# Ramanova spektroskopie

*Elastický rozptyl* světla na molekulárních/atomárních strukturách:  $\lambda_{\text{rozptyl}} = \lambda_{\text{dopad}}$

*Neelastický rozptyl* (malá část  $\sim 1/10^6$ )  $\Rightarrow$  posun  $\lambda$ :  $\lambda_{\text{rozptyl}} \neq \lambda_{\text{dopad}}$

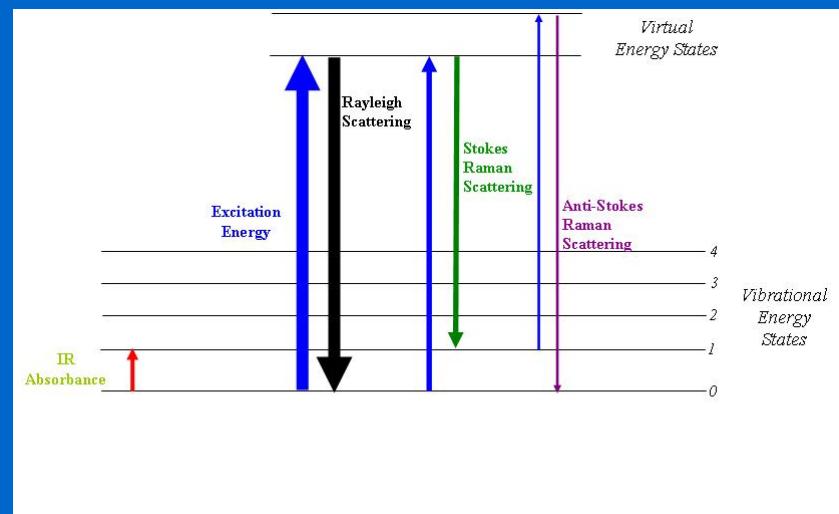
$\Rightarrow$  excituje **vibrační/rotační** a elektronické stavy

**Vibrační/rotační excitace** (posun  $\lambda$ ) & změna polarizovatelnosti (intenzita) (deformace e-oblaku vzhledem k vibračním koordinátám)  $\Rightarrow$  **Ramanův posun** molekula absorbuje energii – *Stokesův rozptyl* – „red shift“:  $\lambda_{\text{rozptyl}} > \lambda_{\text{dopad}}$  molekula (na vyšší energetické hladině) ztratí energii – *anti-Stokesův rozptyl* – „blue shift“:  $\lambda_{\text{rozptyl}} < \lambda_{\text{dopad}}$

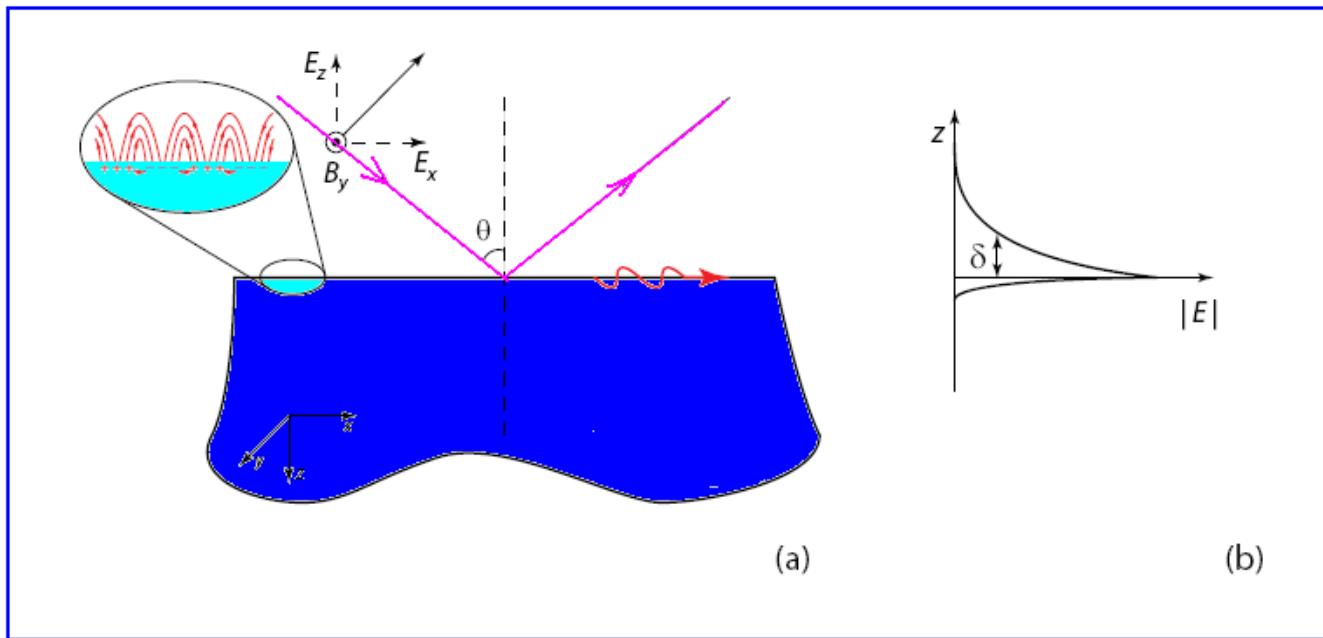
**Resonanční Raman:**

$$\lambda_{\text{dopad}} = \lambda_{\text{excit.e}}$$

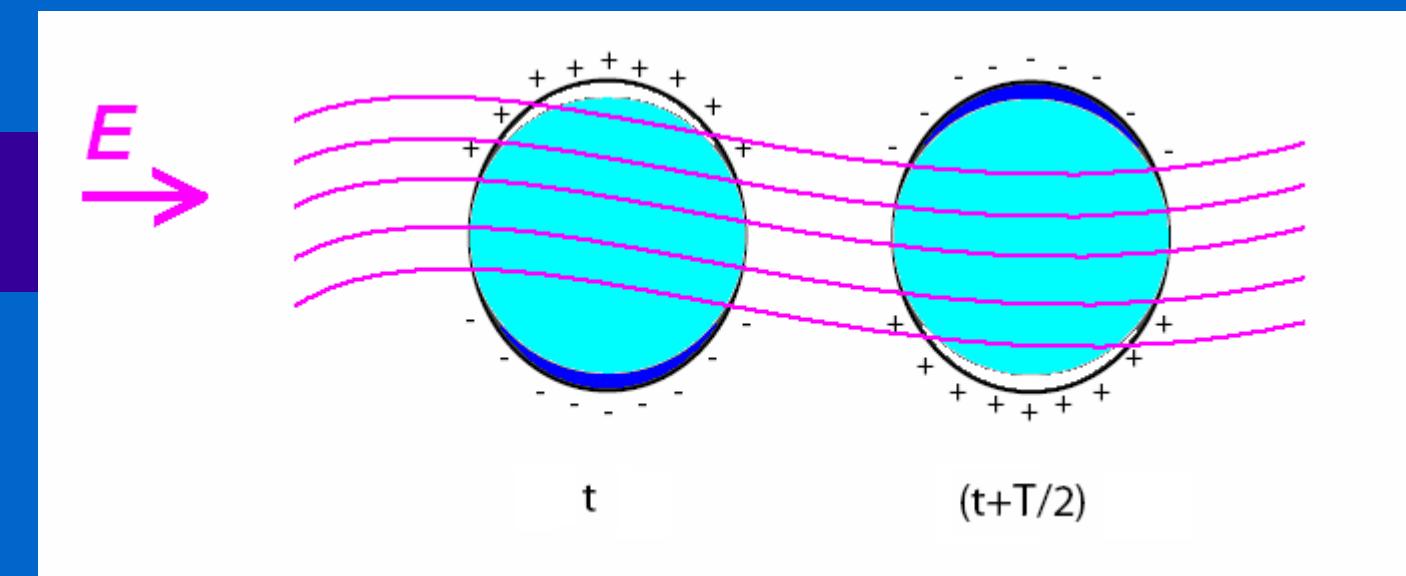
$\Rightarrow$  zesílení intenzity vibračního módu odpovídajícího excit. e-hladiny



the *p*-polarized electromagnetic field (i.e. field, which has its electric component parallel to the plane of incidence) propagating towards the boundary of two media at angle of incidence  $\theta$ .



(a) Excitation of a plasmon on the metal-dielectric interface with *p*-polarized light, propagating at angle of incidence  $\theta$  greater than the angle of total internal reflection. Inset illustrates the surface charges. (b) Plasmon-induced field intensity at the interface.



Dopadající světlo  $\lambda$  ( $h\nu$ ) excituje oscilace oblaku elektronů vodivostního pásu s následným zesílením elmg. pole na fázovém rozhraní (povrchu)

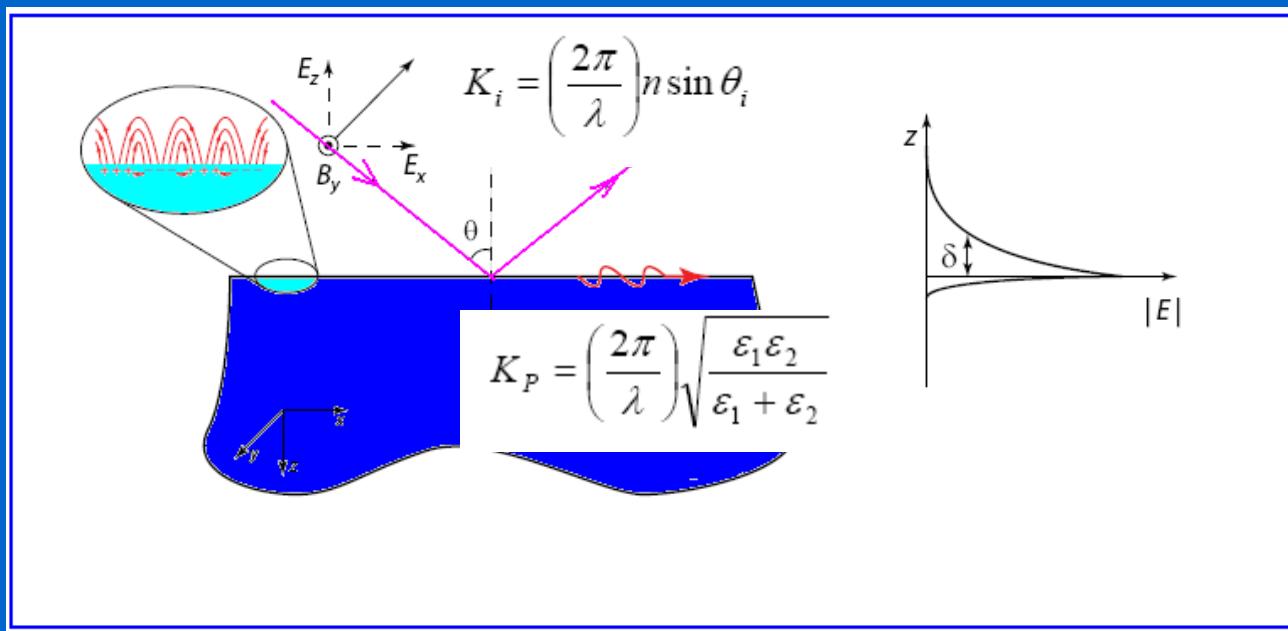
**v resonanci absorpce světla  $\lambda_{\text{SPEC}}$  vzroste o několik řádů**  
**= povrchová plasmonová rezonance**  
**Kovová nanostruktura funguje jako anténa.**

$\epsilon_0$  and  $\epsilon(\omega)$  are dielectric functions of the surrounding medium and nanoparticle respectively. It can be easily shown that the condition for the resonance is that  $\epsilon'(\omega) = -2\epsilon_0$ .

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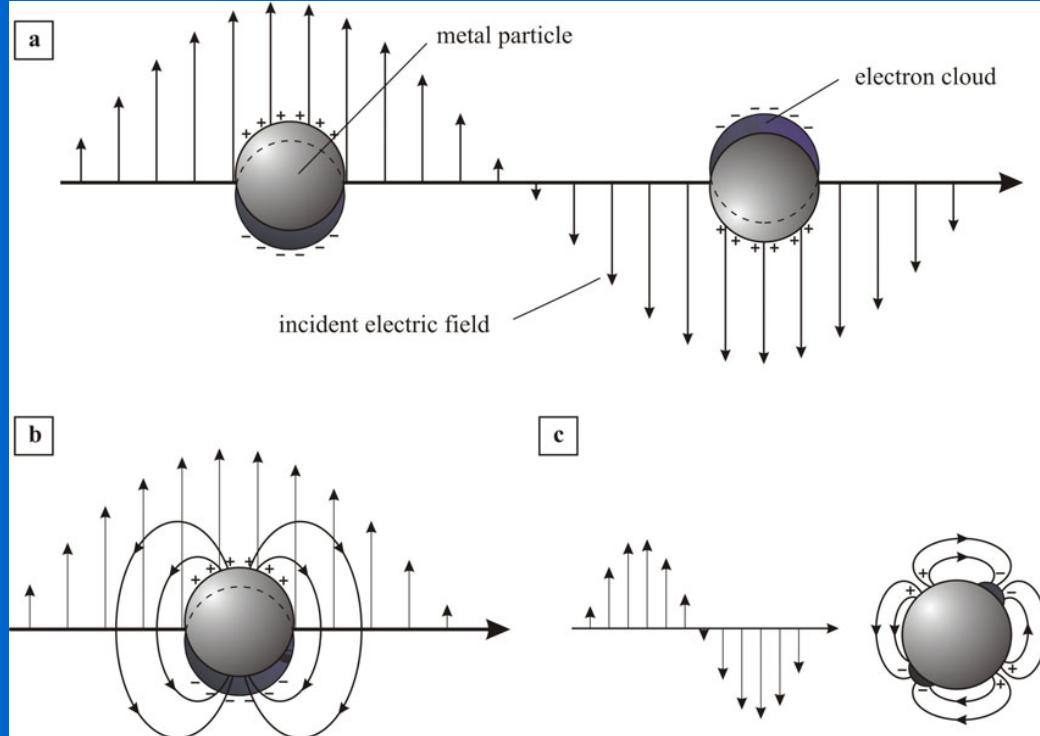
## Interakce s elmg. polem: Povrchový plasmon a plasmonová resonance

$E_p$  elmg. pole: el. složka polarizovaná paralelně s mezifázím,  
 $\theta_{\text{dopad}} > \theta_{\text{odraz}}$ .  $K_i, K_p$  vlnové vektory dopadajícího pole a plasmonu.



Resonanční podmínka:  $K_i = K_p$   
absorpční maximum  $E_p$  ( $\epsilon_{1,2}$ ..dielektr.permitivity kovu a prostředí )

# Interakce s elmg. polem: Nanočásticový plasmon a plasmonová resonance



**Nanočásticový plasmon:**  
Min. rozměr částic: > 2 nm  
 $\Rightarrow$  neexistují lokalizované energetické hladiny (pás/oblak)

$$\omega_P \sim \sqrt{n e^2 / \epsilon_0 m^*}$$

$\omega_P$  plasmonová frekvence  
 $m^*$  ef.hmota vodiv.e-  
 $\epsilon_0$  permitivita prostředí

Interakce se světlem  $\Rightarrow$  excitace oscilací e-oblaku  $\Rightarrow$  polariton (el.polarizace)  
 Interakce malé nanočástice se světlem  $\Rightarrow$  dipólová radiace (a, b) emise  $h\nu$   
 větší nanočástice  $\Rightarrow$  kvadrupólová radiace (c)

- 
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- 

# Povrchově zesílená Ramanova spektroskopie

## Surface Enhanced Raman Spectroscopy

**Max. zesílení - dopadající i rozptýlené světlo - (Raman)**  
jen pro frekvence s minimálním posunem  
(velmi posunuté nemohou být obě v rezonanci => menší zesílení)

kombinuje výhody

**fluorescence => vysoký světelný zisk**

+

**Ramanovy spektroskopie => strukturní informace**

-nanostruktury Au, Ag, Cu (NIR-Vis) -,,

-Hot-Spots“ (signál není reprezentativní vzhledem k povrchu)

- 
- 
- 

## význam TERS

- + **Plasmonová resonance lokalizovaná na povrchu kovového hrotu**  
(anténa, max.intenzita el.pole na hrotu) => hrot funguje jako téměř ideální bodový zdroj světla.
- + **Mobilní „hot spot“** – snímání reprezentativního signálu z celého povrchu vzorku
- + Proces může být laděn (z/do resonance) vkládáním napětí na hrot
- + umožňuje práci *in situ*
- + zesílení  $\sim 10^7$
- Vývojové stadium, neúplně definované podmínky:  
vliv tvaru hrotu, složení hrotu, elektrolytu...

*Surface-enhanced and STM-tip-enhanced Raman Spectroscopy at Metal Surfaces*  
Bruno Pettinger, Gennaro Picardi, Rolf Schuster, Gerhard Ertl  
Fritz-Haber-Institut der Max-Planck-Gesellschaft, Faradayweg 4-6,  
14195 Berlin, Germany  
[Single Molecules](#), [Volume 3, Issue 5-6](#), Pages 285 - 294

S. Kuwata: *Near Field Optics and Surface Plasmon Polariton*  
Springer Verlag, 2001