

THE EFFECT OF INDUCTION THERAPY ON ESTABLISHED CMV SPECIFIC T-CELL IMMUNITY IN LIVING DONOR KIDNEY TRANSPLANTATION

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Short title: EFFECT OF INDUCTION THERAPY ON CMV SPECIFIC T-CELL IMMUNITY

Abbreviations:

Living donor (LD)

Cytomegalovirus (CMV)

ELISPOT (Enzyme-Linked ImmunoSpot)

PBMCs (peripheral blood mononuclear cells)

Immediate-early (IE-1)

Phosphoprotein 65 (pp65)

Interferon gamma (IFN- γ)

rATG (Rabbit antithymocyte globulin)

Immunoglobulin G (IgG)

Interleukin-2 (IL-2)

Panel reactive antibody (PRA)

Glomerular filtration rate (eGFR)

Chronic kidney disease epidemiology collaboration (CKD-EPI)

Polymerase chain reaction (PCR)

Fetal bovine serum (FBS)

Analysis of variance (ANOVA)

Summary

Cytomegalovirus (CMV) infection influences both short and long term outcomes in immunosuppressed organ transplant recipients. The aim of this study was to evaluate the effect of different induction immunosuppression regimens on CMV specific T cell response in patients with already established CMV immunity.

In 24 seropositive living donor kidney recipients, the frequency of CMV specific T cells was determined by ELISPOT (Enzyme-Linked ImmunoSpot) assay prior and 6 months after transplantation. Recipients' peripheral blood mononuclear cells were stimulated with immediate-early (IE1) and phosphoprotein 65 (pp65) CMV-derived peptide pools and the number of cells producing interferon gamma (IFN- γ) was assessed. Patients received quadruple immunosuppression based either on depletive rabbit antithymocyte globulin (rATG) or non-depletive basiliximab induction and tacrolimus/ mycophenolate mofetil /steroids. Patients with rATG induction received valgancyclovir prophylaxis.

No effects of different induction agents on CMV specific T cell immunity were found at sixth month after kidney transplantation. There were no associations among dialysis vintage, pretransplant CMV specific T cell immunity, and later CMV DNAemia. Similarly, no effect of CMV prophylaxis on CMV specific T cell immunity was revealed.

This study shows no effect of posttransplant immunosuppression on CMV specific T cell immunity in living donor kidney transplant recipients with CMV immunity already established, regardless of lymphocyte depletion and CMV prophylaxis.

Key words: kidney transplantation, rATG, Thymoglobuline, basiliximab, cytomegalovirus,
ELISPOT

1. Introduction

Cytomegalovirus (CMV) infection is the most frequent opportunistic infection occurring after organ transplantation. After CMV primo-infection, a robust adaptive immune response persists lifelong and CMV-specific CD4+, CD8+ T cells, and specific immunoglobulin G (IgG), have been implicated to inhibit virus reactivation (Crough *et al.* 2009). In organ transplantation, however, this protection may fail as a consequence of immunosuppressive therapy. In CMV seropositive graft recipients, the loss of CMV immune control after transplantation may be associated with direct effects (CMV infection and tissue invasive disease) and, more frequently, indirect effects which usually occur later on and include the opportunistic infections, acute or chronic rejection, cardiovascular diseases and diabetes (Couzi *et al.* 2015).

The effects of induction and maintenance immunosuppression on CMV primary infection and disease in CMV-seronegative graft recipients are well known. Lymphocyte-depleting induction agents as rATG may be associated with higher risk of CMV infection compared to non-depletive interleukin-2 (IL-2) receptor antagonists (Abou-Ayache *et al.* 2008, Huurman *et al.* 2006).

The impact of initial immunosuppression with or without antiviral prophylaxis on CMV specific immune surveillance in seropositive graft recipients remains less understood, with certain contradictory data published. In a recent study, the frequencies of pre-transplant CMV IE-1 specific T cells independently predicted the risk of post-transplant CMV infection regardless of CMV serostatus and immunosuppression (Bestard *et al.* 2013). Moreover, the negative CMV pp65-specific ELISPOT prior transplantation was associated with subsequent development of CMV infections after transplantation in CMV-seropositive kidney transplant recipients who did not receive CMV prophylaxis or preemptive therapy (Kim *et al.* 2015).

Similarly, we observed the predictive value of a missing IE-1 T-cell response for the development of CMV disease both in heart and lungs (Bunde et al. 2015) and in kidneys of renal transplant patients (Bunde *et al.* 2005, Nickel *et al.* 2009).

The superior outcome of kidney transplantation is usually reached in LD (living donor) recipients, mainly due to shorter dialysis time and younger recipient age. Recently, T cell repertoire has also been described to be age-dependent in patients under immunosuppressive therapy (Welzl *et al.* 2014). Similarly, the long term dialysis is associated with the premature aging of immune system (Betjes 2013). We hypothesized that in LD kidney transplant recipients the effect of depletive and non-depletive immunosuppressive agents on CMV-specific T cell immunity before and after transplantation may differ compared to previous reports on different populations.

2. Methods

2.1 Patient's characteristics

A total of 24 CMV seropositive patients who received kidney transplantation from LD between January 2014 and March 2015 were included in this single-center prospective study and were followed for 12 months. The study protocol was approved by the local ethics committee No. G14-08-38. The demographic data of patients are summarized in Table 1. Twelve patients with panel reactive antibody (PRA) < 20% received induction with basiliximab (Simulect®, Novartis, Switzerland) and 12 patients with PRA > 20% received induction therapy based on rATG (Thymoglobuline®, Genzyme Corporation, Cambridge) with a mean cumulative dose 5 mg/kg within the first week after transplantation. All CMV seropositive patients treated with rATG received valgancyclovir prophylaxis (Valcyte®, Roche, Czech Republic) for 100 days.

2.2 Laboratory variables

To determine the allograft function, the estimated glomerular filtration rate (eGFR) using the chronic kidney disease epidemiology collaboration (CKD-EPI) equation was used (Ognibene *et al.* 2016). To evaluate CMV DNAemia, DNA was isolated from 200 µl plasma by NucleoSpin® Virus Kit (Macherey-nagel, Germany). CMV polymerase chain reaction (PCR) was quantified by Artus CMV RGQ MDx Kit (Qiagen, Germany). Analytical sensitivity kit Artus, using the analyzer Rotor Gene 6000, was defined by the manufacturer as 69 CMV DNA copies/ml ($p = 0.05$), therefore DNAemia was defined as >100 copies/ml.

2.3 PBMCs isolation and cryopreservation

Recipients' PBMCs were isolated prior transplantation and 6 months after transplantation from the peripheral blood using standard Ficoll-Paque gradient centrifugation and then cryopreserved in liquid nitrogen for further processing as described previously (Gebauer *et al.* 2002).

2.4 ELISPOT assay

CMV specific T cells were evaluated by IFN- γ ELISPOT assay (Lucia *et al.* 2014, Nickel, Bold, Presber, Biti, Babel, Kreutzer, Pratschke, Schonemann, Kern, Volk and Reinke 2009). Recipients' PBMCs were rested after thawing for 24 hours in 5% CO₂ atmosphere at 37°C. After resting, the recipients' cells were washed and then seeded into the 96-well IFN- γ ELISPOT (AID, Germany) plate at 300,000 cells/100 µl per well. The cells were stimulated with whole protein-spanning overlapping CMV peptide pools (Miltenyi Biotec, Slovakia) (1µg/ml of pp65 and IE-1) for 24 hours in 5% CO₂ atmosphere at 37°C. As a positive control, cells were stimulated with 100 µl of pokeweed mitogen (AID, Germany) and as a negative control cells were incubated in medium (RPMI-1640 with glutamate, 10% fetal bovine serum (FBS), 1% Peniciline/Streptomycine) alone. After the incubation the numbers of spots (cells

producing IFN- γ after stimulation) were measured and counted semi-automatically with ELISPOT reader (AID, Germany).

2.5 Statistical analysis

Data were analyzed by using Graphpad InStat 3 software (GraphPad Software, California). Data normality was verified using the Kolmogorov–Smirnov test. Non-parametric data were analyzed using the Mann–Whitney U test. For data with normal distribution one-way analysis of variance (ANOVA) test was performed. The results were considered statistically significant when $p < 0.05$.

3. Results

3.1 Pre- and post-transplant CMV specific cellular immunity

There was no clear association between the frequency of CMV specific T cells and dialysis vintage (**Fig.1**). Eleven patients had undergone preemptive transplantation and thus they had no history of dialysis treatment.

There were no significant changes in CMV specific T-cell immunity 6 months after kidney transplantation either when compared to pre-transplant values. Numbers of IFN- γ producing cells stimulated with both pp65 and IE-1 antigens remained similar at both time points (**Fig. 2**). There were increases of CMV specific T cells after stimulation with pp65 peptide pool in 4 out of 24 patients only while in 3 out of 24 patients the frequency of those cells decreased (**Fig. 2a**). Similarly, after stimulation with IE-1 peptide pool, the IFN- γ producing cells increased in 4 out of 24 patients while decreasing in 5 out of 24 patients (**Fig 2b**).

3.2 CMV specific cellular immunity and induction immunosuppression

There were no differences in CMV specific cellular response at transplantation when considering induction regimen (**Fig. 3a, b**). The low-risk kidney transplant recipients with lower PRA initially treated with basiliximab, the anti CD25 monoclonal antibody, had similar level of CMV specific reactivity before transplantation as the patients with higher level of PRA, who received rATG, depleting T cells in the peripheral blood. Interestingly, initial T lymphocyte depletion caused by rATG had no effect of CMV specific cellular response at 6 months as the frequency of IFN- γ positive spots was similar.

3.3 CMV specific cellular immunity and CMV DNAemia

The effect of CMV prophylaxis on CMV specific immunity was evaluated separately in patients with CMV-DNAemia (6 patients) and without post-transplant CMV-DNAemia (18 patients). Nine patients without DNAemia had received CMV prophylaxis with valgancyclovir and nine patients received no CMV prophylaxis. At 6 months, there were no statistically significant differences in IFN- γ producing cells stimulated either with pp65 or IE-1 antigens between CMV DNAemia negative patients with and without CMV prophylaxis. There was also no significant decrease of IFN- γ producing cells at 6 months compared to pre-transplant values in patients with CMV prophylaxis (data not shown). Borderline DNAemia (PCR>100 copies/ml) was observed in 5 out of 24 patients and high DNAemia (PCR>2000 copies/ml) in a single patient (**Tab.2**).

There were no differences in CMV specific T cell immunity at 6 months in patients with borderline DNAemia with and without CMV prophylaxis. In a single patient with high DNAemia who received CMV prophylaxis the number of IFN- γ producing cells was similar

at both time points. Demographic characteristics of patients with DNAemia are shown in **Table 3**.

3.4 CMV specific cellular immunity and donor CMV serostatus

Among 24 CMV seropositive kidney transplant recipients, four patients received a kidney graft from CMV seronegative living donor (**Tab. 4**). In twenty recipients with seropositive donor (D+/R+) no significant changes were observed at 6 months in IFN- γ producing cells either after IE-1 or pp65 stimulation as compared to pre-transplant values. Four of these patients experienced positive DNAemia within first 100 days and in a single patient a DNA emia was observed at a later date. Interestingly, the increase in spots number after stimulation with both antigens pp65 and IE-1 in this patient was observed at 6 months. One patient with CMV seronegative living donor experienced borderline DNAemia (PCR>100 copies/ml) and in this patient the decrease in IFN- γ producing cells was observed. No other differences were observed in CMV specific immunity in recipients of CMV seronegative living donors.

4. Discussion

In this study, the changes in CMV specific cellular immunity in LD kidney transplant recipients with established humoral immunity were studied. The main observation of this study is the lack of impact of induction immunosuppression on CMV-specific T-cell response, in both T cell depletive (rATG) and non-depletive (basiliximab) therapy in CMV-seropositive LD kidney transplant recipients. Moreover, there was no effect of short dialysis vintage in LD kidney transplant recipients on CMV specific T cell response.

Induction and maintenance immunosuppression therapy has a well known effect on CMV primary infection and disease (Cavdar *et al.* 2008, Kim *et al.* 2012, Taherimahmoudi *et al.* 2009) when CMV seronegative recipient receives an organ from seropositive donor. In such a scenario all recipients have been receiving CMV prophylaxis with antiviral agents. Similarly, it is proposed that the seropositive recipients who received depletive induction regimen with rATG are at high risk for the CMV disease development and therefore they should receive prophylaxis as well. Interestingly, a general recommendation for CMV prophylaxis in CMV seropositive patients without depletive induction is yet to be clearly established. Some centers have been using a preemptive approach, rather than a CMV prophylaxis in those patients. Therefore, in our study we have evaluated the development of CMV cellular immunity in CMV seropositive recipients receiving depletive as well as non-depletive induction immunosuppression. While patients with T cell depletive immunosuppression received CMV antiviral prophylaxis, patients with T cell non-depletive immunosuppression did not. Interestingly, there were absolutely no differences between these two cohorts at 6 months after kidney transplantation regarding the CMV specific T cell immunity as measured by ELISPOT assay.

Does our observation mean that CMV prophylaxis with antiviral drugs is not necessary in CMV seropositive recipients regardless of the induction regimen? Probably not; all patients who had received rATG were treated with antiviral prophylaxis with valgancyclovir. However, we did not use such prophylaxis in patients receiving basiliximab. There were three cases of low and clinically not significant CMV viral replication after transplantation in that cohort despite well-established humoral immunity before and specific T cell immunity after transplantation. On contrary, the single case of significant post-transplant CMV replication and disease had received prophylaxis and had well established CMV humoral and cellular immunity despite T cell depletive regimen. Therefore, it is obvious that the presence of CMV

specific effector memory T cells in the periphery is not sufficient to prevent CMV antigenemia (i.e CMV reactivation). In combination with either preemptive or prophylactic antiviral therapy all patients were protected to CMV disease development.

CMV specific T cells play a crucial role in the control of viral replication (Egli *et al.* 2008, Mattes *et al.* 2008) and the effector memory T cells are to be recognized using the conventional ELISPOT assay as they produce IFN- γ after antigen stimulation (Calarota *et al.* 2013, Godard *et al.* 2004). Beside T cells, NK cells may produce IFN- γ after stimulation as well (Barabas *et al.* 2017, Han *et al.* 2016, Karlsson *et al.* 2003, Tischer *et al.* 2014). Our observation about no effect of post-transplant immunosuppression on the presence of effector memory T cells is in line with observation of others (Ayasoufi *et al.* 2013), (Pearl *et al.* 2005). CMV specific effector memory T cells develop after primary infection and persist lifelong. Pearl *et al.* revealed that residual T cells after depletion therapy share a single phenotype corresponding with effector memory T cells (CD3+CD4+CD45RA-CD62L-CCR7-), the study suggested that effector memory T cells are selectively resistant to the therapeutic depletion therapy (Pearl, Parris, Hale, Hoffmann, Bernstein, McCoy, Swanson, Mannon, Roederer and Kirk 2005). In contrast to this, other parts of adaptive immune system (CD4+, CD8+, IgG) are thoroughly influenced by post-transplant immunosuppression (Carter *et al.* 2006, Gurkan *et al.* 2010, Zand *et al.* 2005) and therefore it is possible that homeostatic proliferation of T cells were not detected in our 2 time-points study.

In our study the CMV humoral immunity was already established prior to transplantation in all patients. Interestingly, a negative pre-transplant ELISPOT in both tested antigens was found in two patients. Abate *et al.* found the CMV specific memory effector T cells to be absent in 12% of CMV seropositive adults when analyzed using ELISPOT and Quantiferon tests (Abate *et al.* 2013). Moreover, Sylwester *et al.* found some healthy individuals not to be able to correctly recognize pp65 (Sylwester *et al.* 2005). Clearly,

additional CMV antigens exist which were not used to stimulate recipient cells in our study (Elkington *et al.* 2003, Manley *et al.* 2004).

Another aim of our study was to examine CMV specific immunity in regards to CMV DNAemia. In our study there were no associations between pre-transplant CMV specific T cell immunity and later CMV DNAemia evaluated at 3 months or according to clinical situation. Contrary to our results, Bestard *et al.* showed the association between low frequencies of pre-transplant IE-1 specific T cells and the occurrence of CMV infection after transplantation (Bestard, Lucia, Crespo, Van Liempt, Palacio, Melilli, Torras, Llaudo, Cerezo, Taco, Gil-Vernet, Grinyo and Cruzado 2013). Moreover, a significant increase of IE-1 and pp65 specific T cells in patients who experienced CMV infection after transplantation was noticed (Tischer, Dieks, Sukdolak, Bunse, Figueiredo, Immenschuh, Borchers, Stripecke, Maecker-Kolhoff, Blasczyk and Eiz-Vesper 2014). However, our study was focused on CMV seropositive LD kidney recipients only while abovementioned study also evaluated deceased donor kidney transplant recipients who were either seropositive or seronegative prior to transplantation. In living donor kidney transplant recipients, since both donor and recipients are generally younger, the patients have experienced mainly short-term dialysis, if any, and the ischemia reperfusion injury that might trigger viral replication is significantly shorter (Davis *et al.* 2005, Kayler *et al.* 2011, Mange *et al.* 2001).

In conclusion, our study shows no visible effects of post-transplant immunosuppression on CMV specific T cell immunity in peripheral blood of LD kidney transplant recipients with already established CMV immunity, regardless of lymphocyte depletion and CMV prophylaxis. This observation is significant, since most of previous studies included the deceased donor kidney transplantation only, while LD transplantations have been increasing in many countries worldwide. Our data however do not form a ground

for changing guidelines or forming a recommendation, but rather warrants future larger prospective studies in this specific population.

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Figure legends

Fig. 1: Dialysis vintage and CMV specific immunity. Number of spots after stimulation with IE-1 (A) and pp65 (B) antigens

Figure 2: Individual T cell reactivity development in seropositive recipients after stimulation with pp65 (A) and IE-1 (B) antigens prior and 6 months after transplantation.

Figure 3: Effect of induction treatment on T cell reactivity against CMV. Number of responder T cells producing IFN- γ after stimulation with A: pp65 antigen prior and 6 months after transplantation and B: IE-1 antigen prior and 6 months after transplantation. All rATG treated patients received CMV prophylaxis while basiliximab treated patients did not.

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Table 1: Patients demographics

Variables	Total	rATG	Basiliximab	p
Patients (n)	24	12	12	
Recipients age (years)*	43.6± 10.5	42.4±13.2	44.7±6.9	0.8
Donor age (years)*	48.7± 9.89	51.0±8.5	46.5±10.7	0.2
Gender (male/female)	19/5	10/2	9/3	
Dialysis vintage (months)#	3.4 [0; 259.2]	1.4 [0; 259.2]	5.1 [0; 80.4]	0.7
HLA mismatch *	3.83 ±1.19	3.5 ± 1.21	4.0±1.1	0.5
PRA max (%) #	0 [0; 69]	11.5 [0; 69]	0 [0; 6]	0.03
Retransplantation (1 st , 2 nd , 3 rd)	21, 1, 2	9, 1, 2	12, 0, 0	0.2
CMV prophylaxis (n)	12	12	0	0.001
Pretransplant CMV IgG serostatus				
Donors (kAU/l) *	172.9±91.9	178.4±95.9	167.5±89.5	0.8

Recipients (kAU/l) *	212.8±56.3	219.6±53.5	206.0±59.3	0.5
Creatinine (µmol/l) 6 months *	135.5±16.2	138.8 ± 19.9	130.5±8.6	0.5
eGFR 6 months (ml/s) *	0.9±0.2	0.9±0.2	1.0±0.2	0.6
eGFR 12 months (ml/s) *	0.9±0.2	0.9±0.3	1.0±0.2	0.7

Median [min; max], * mean ± SD (range), kAU/l (King Armstrong unit per liter of serum)

Table 2: CMV specific immunity depending on PCR replication and CMV prophylaxis

	CMV ELISPOT (spots) preTx pp65 *	IFN- γ ELISPOT (spots) 6M pp65 *	p	CMV ELISPOT (spots) preTx IE-1*	IFN- γ ELISPOT (spots) 6M IE-1*	p	CMV prophylaxis	CMV w/o prophylaxis	eGFR 6M	eGFR 12M	p
DNAemia neg. N=18	262.2 [1; 633]	320.5 [1; 559]	0.836	69.5 [0; 602]	87.5 [7; 514]	0.849	9 (50%)	9 (50%)	0.96 (\pm 0.23)	0.98 (\pm 0.23)	0.52
Middle DNAemia (PCR > 10², N=5)	265.5 [81; 516]	173.5 [0; 545]	0.841	351.5 [12; 367]	134.5 [3; 319]	0.222	2 (40%)	3 (60%)	1.07 (\pm 0.19)	1.05 (\pm 0.25)	0.69
High DNAemia (PCR > 2* 10³) N=1	348	356		659	511		1 (100%)	0	0.71	0.47	

* Data are presented as medians [min, max] and # mean \pm SD (range). Middle DNAemia ranged 728 \pm 939 copies

Table 3: Demographic characteristics of patients with DNAemia

Variables	Total	rATG	Basiliximab
Patients (n)	6	3	3
Recipients age (years)*	45.7±10.1	50.8± 11.6	40.5±5.3
Donor age (years)*	49.2±11.4	54.0± 12.4	44.3±8.6
Gender (male/female)	5/1	3/0	2/1
Dialysis vintage (months)#	0 [0; 6.2]	0 [0; 6.2]	0 [0; 6.2]
HLA mismatch *	3.8±1.2	3.6 ± 0.5	4.3±1.3
PRA max (%)#	0 [0; 28]	0 [0; 28]	0 [0; 2]
CMV prophylaxis (n)	3	3	0
Retransplantation (1 st , 2 nd , 3 rd)	6, 0, 0	3, 0, 0	3, 0, 0
Pretransplant CMV IgG serostatus			
Donors (kAU/l)	142.1±90.6	160.0±69.3	124.0±111.7
Recipients (kAU/l)	184.3±66.2	165.9±86.5	202.7±36.6
Creatinine (umol/l) 6 months *	117.4±16.6	120.6 ± 24.7	114.2±7.3

Median [min,max], * mean ± SD (range). PRA (panel reactive antibodies) measured every 3 months before transplantation. The highest number (PRA_{max}) was considered for each patient.

Table 4: CMV specific immunity depending on donors' serostatus

CMV status	Onset of DNAemia	CMV Prophylaxis	w/o CMV prophylaxis	CMV IFN- γ ELISPOT (spots) preTx pp65*	CMV IFN- γ ELISPOT (spots) 6M pp65*	p	CMV IFN- γ ELISPOT (spots) preTx IE-1*	CMV IFN- γ ELISPOT (spots) 6M IE-1*	p
D-/R+, n (%)		2	2	99.5 [1; 517]	39 [1; 76]	0.771	44.25 [0; 351]	18.7 [8; 252]	0.685
N=4									
	<100 d	0	1	516	76.5		351.5	22.5	
	1 (25%)								
	>100 d								
	0								
D+/R+, n (%)		9 (45)	11 (55)	282 [81; 633]	371 [0; 560]	0.421	228.7 [0; 659]	174 [3; 514]	0.413
N=20									
	<100 d	1	2	232 [81; 348]	264 [0; 456]	0.685	364 [659; 12]	174 [3; 511]	0.485
	4 (20%)								
	>100 d	1	0	265	545		185	319	
	1 (5%)								

* Data are presented as medians [min, max]

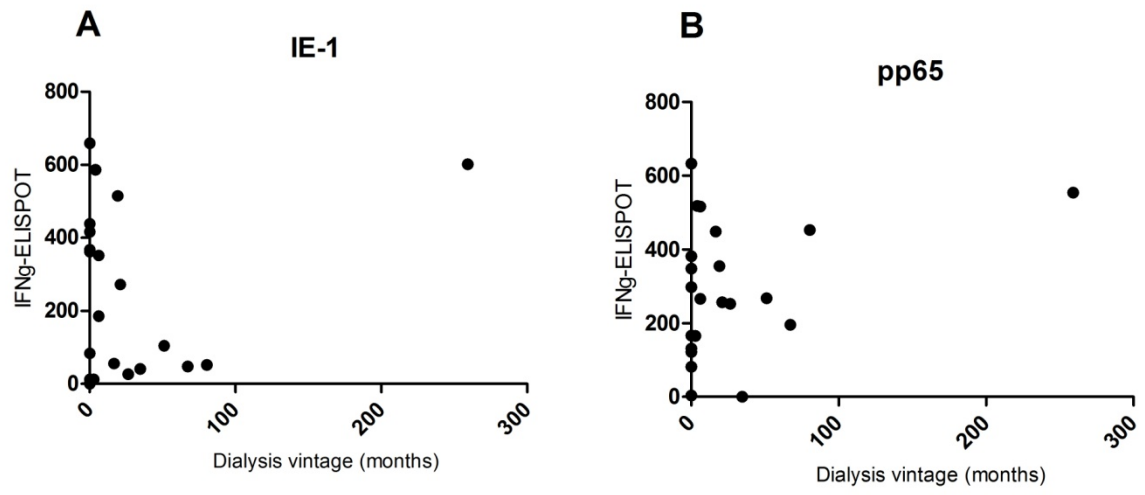


Figure 1

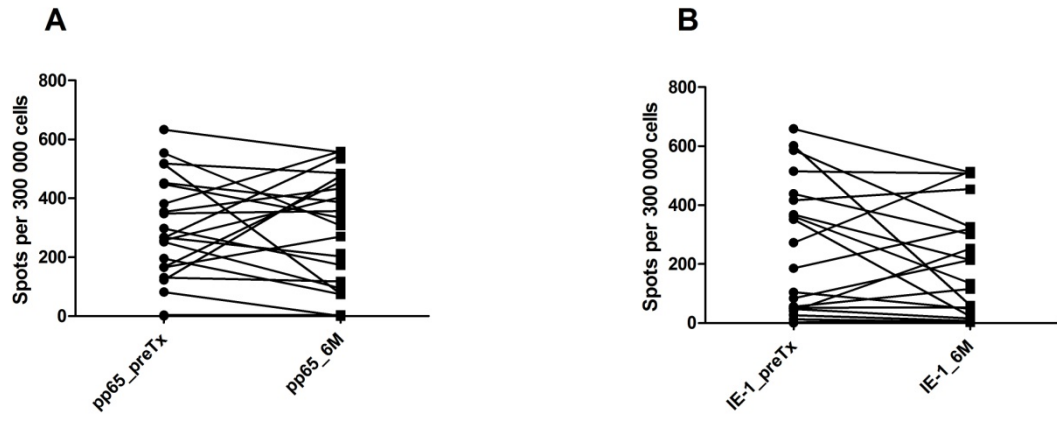


Figure 2

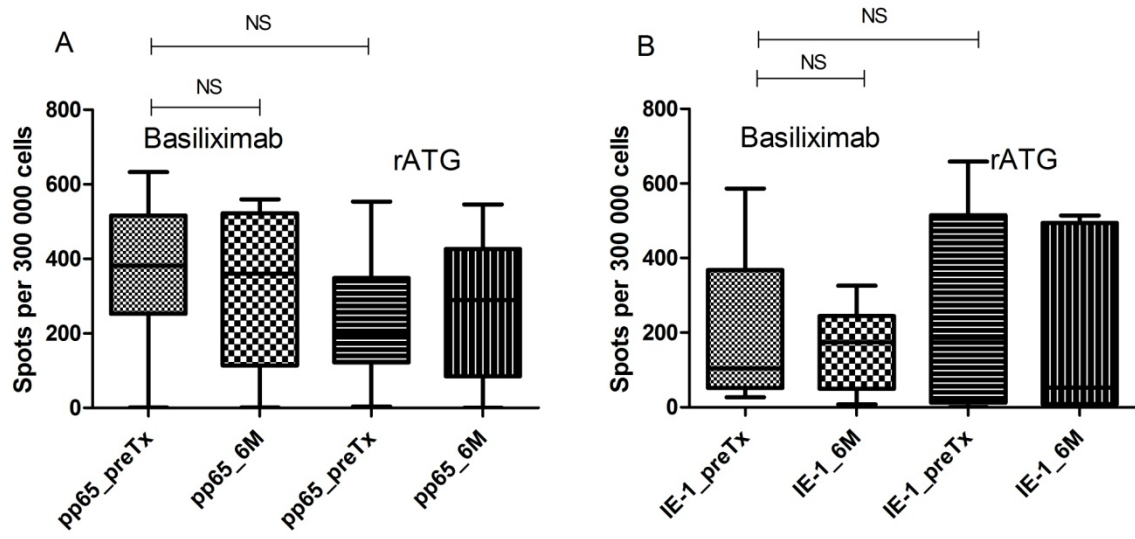


Figure 3