# Physiological Research Pre-Press Article

- 1 NGAL, albumin and cystatin C as markers of nephrotoxicity in oncological patients treated
- 2 with cisplatin
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- 13 Short title: Nephrotoxicity in patients treated with cisplatin.

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### 1 SUMMARY

2 Cisplatin is a commonly used chemotherapeutic drugs. It is known for its nephrotoxic side 3 effects with an increased risk of acute kidney injury. Finding of clinically feasible cisplatin 4 nephrotoxicity markers is of importance.

5 In our study, we compared neutrophil gelatinase-associated lipocalin (NGAL) in serum and 6 urine, the estimated glomerular filtration rate (based on serum cystatin C) and urine albumin as 7 markers of nephrotoxicity. The study involved 11 men and 9 women (mean  $\pm$  SD age 58.2  $\pm$  9.5 years) 8 with different malignancies treated with cisplatin in four cycles of chemotherapy (I – IV). Samples 0 – 9 4 were taken before, immediately after, in 3, 6 and 24 hours after administering chemotherapy.

10 We detected significant increase of ACR in Sample 2 (p = 0.03) and decrease of eGFR in 11 Sample 4 (p = 0.03) up to 24 hours after cisplatin administration in the first chemotherapy cycle only. 12 When cumulative effect of cisplatin was assessed, significantly increased values of urine albumin (vs 13 cycle I) were found in Sample 0 (p = 0.00058), 1 (p = 0.00256), 2 (p = 0.00456), 3 (p = 0.00006) and 4 14 (p = 0.00319) in cycles II to IV. We found a correlation between values of urine NGAL and urine 15 albumin (r = 0.68, p < 0.0001). In conclusion, urine albumin was the only measured marker that 16 consistently and statistically significantly increased after cisplatin containing chemotherapy cycles. 17 Keywords: Urine and serum NGAL, urine albumin, serum cystatin C, nephrotoxicity, cisplatin

### 18 INTRODUCTION

19 Chemotherapy is often accompanied by damage to vitally important organs during tumor 20 treatment. After oncology therapy, patients may have many long-term side effects associated with 21 toxic damage to organs caused by chemotherapy (e. g. heart failure or kidney damage). More 22 effective antitumor therapy results in higher-risk survivors with a clinical manifestation of chronic 23 damage to vitally important organs. Cisplatin ranks among so called alkylating cytostatics and is 24 currently one of the most common chemotherapeutics (Maghsoudi et al., 2015). Use of cisplatin is 25 limited by side effects on the body. These are especially neurotoxicity and nephrotoxicity

1 accompanied by higher risk of acute kidney injury (AKI). AKI induced by cisplatin appears in 2 approximately ten days after administering chemotherapy and is accompanied by decrease in 3 glomerular filtration (eGFR), hypomagnesemia and hypocalcemia (Maghsoudi et al., 2015). Renal 4 toxicity caused by cisplatin originates from uptake and activation of platinum in proximal tubule cells 5 (Miller et al., 2010) and is at least partly mediated by poly(ADP-ribose) polymerase (KIM, 2016).

6 Nowadays, increased serum creatinine and decreased urine production are primarily used in 7 diagnosis of AKI, which are factors indicating loss of excretory renal function. Afterwards, AKI is 8 classified according to RIFLE (Risk, Injury, Failure, Loss of Kidney Function, End-Stage Kidney Disease) 9 or AKIN criteria (Acute Kidney Injury Network) (Haase et al., 2011) or recently developed KDIGO 10 (Kidney Disease Improving Global Outcomes) classification. Since use of serum creatinine is neither 11 sensitive nor specific, particularly in early phases of AKI, there are attempts to find a marker which 12 would predict AKI earlier and in a more specific way (Maghsoudi et al., 2015). The mere 13 measurement of plasmatic creatinine does not enable us to distinguish normal renal function, AKI 14 and CKD from a temporary azotemia with dehydration (Haase-Fielitz et al., 2014). The estimation of 15 glomerular filtration based on serum creatinine suffers mainly from influences caused by changes in 16 muscle mass. Patients with progressive tumors are commonly malnourished and have decreased 17 muscle mass. Serum creatinine concentrations reflect the amount of muscle mass and are lower in 18 patients with malnutrition. And thus, the estimation of glomerular filtration can be overrated (Drott 19 et al., 1988).

20 Cystatin C is a microprotein relatively constantly produced in all nucleated cells, freely 21 filtered in the glomerulus and completely reabsorbed and degraded in proximal tubulus. This 22 characteristic allows us to use cystatin C as a marker of glomerular filtration rate. It has proven its 23 clinical superiority over creatinine in cases where eGFR derived from creatinine is biased (e.g. low or 24 high muscle mass), in confirmation of decreased eGFR for diagnosis of chronic kidney disease (CKD; 25 KIDNEY DISEASE: IMPROVING GLOBAL OUTCOMES (KDIGO) CKD WORK GROUP) and as a strong

1 predictor of mortality in patients with CKD (Xu et al, 2015) and as a predictor of AKI (GAYGISIZ ET AL., 2 2016). On the other hand, cystatin C is a strongly regulated molecule whose expression is also 3 modified in inflammatory and tumorous states. A significant correlation between increased serum 4 cystatin C and the malignity progression of melanoma and colorectal carcinoma shows possible non-5 renal factors on serum cystatin C values in malignant states (Kos et al., 1998).

6 Urinary albumin is considered the best routinely available laboratory marker of kidney injury. 7 It reflects especially injury of the glomerulus and albuminuria is important in pathophysiology of 8 tubulointersticial damage in CKD. To reflect changing concentration in urine depending on fluid 9 intake, ratio of albumin and creatinine is used (ACR). ACR is used in the KDIGO classification scheme 10 to determine the prognosis of CKD and prediction of AKI (DENG ET AL., 2017). Moreover, it is used in 11 diabetic patients as a marker of diabetic nephropathy but increased urinary albumin levels are 12 considered as a general sign of endothelial dysfunction and are incorporated in algorithms estimating 13 cardiovascular risk (Heerspink et al., 2015).

14 Neutrophil gelatinase-associated lipocalin (NGAL), or also siderocalin or lipocalin 2, appeared 15 to be one of auspicious markers for detecting AKI. NGAL is a glycoprotein (24 kDa) belonging to the 16 lipocalin family (Chakraborty et al., 2012). Human NGAL was first isolated from secondary granules of 17 human neutrophils (Devarajan, 2010) NGAL synthesis is strongly induced by ischemic and toxic 18 insults. An increase in urine NGAL arises within two hours after kidney injury and 24–72 hours before 19 an increase in serum creatinine (Haase et al., 2011; Mishra et al., 2003). An increase in urine NGAL 20 correlates with the toxin dose and renal ischemia duration (Mishra et al., 2003). In one study, more 21 than ten times higher increase in serum NGAL levels and more than hundred-time increase in urine 22 NGAL were found in adult patients with AKI (it was defined as doubling of serum creatinine within 23 less than five days) in comparison with the control group of patients (Nickolas et al., 2008).

24 Nevertheless, NGAL has its limits as a marker of AKI. Plasma NGAL measurements may be 25 influenced by pathological states such as chronic kidney damage, chronic hypertension, systemic

1 infection, anemia, hypoxia or malignancies. In some cases, urine NGAL measurements are not 2 specific for detection of kidney damage and there is no consensus on the cut-off value which should 3 be used for diagnosis of AKI (Tsigou et al., 2013).

4 The aim of our study was to select the best marker of cisplatin nephrotoxicity in patients 5 undergoing chemotherapy from the following 5 markers: serum and urine NGAL, serum creatinine 6 and cystatin C or urine albumin. The secondary goal was to describe time-course of these biomarkers 7 in the setting of real-life chemotherapy cycles.

## 8 METHODS

9 The group consisted of 20 patients (11 men and 9 women) aged between 34 and 78 (mean 10 age  $\pm$  SD was 58.2  $\pm$  9.5 years) who underwent chemotherapy protocol containing cisplatin. Most 11 patients were administered cis-dichlorodiammineplatinum (cissDDP) in concomitance with 12 radiotherapy (n = 11), the second most common protocol was a combination of cissDDP and 5- 13 fluorouracil ( $n = 7$ ) or other cytostatics ( $n = 2$ ). Regarding the concomitance with radiotherapy, the 14 dose of cissDDP was 50 mg/m<sup>2</sup> per week. In case of combined protocols, patients were administered 15 100 mg/m<sup>2</sup> per 3–4 weeks. Before the cisDDP infusion (one litre of normal saline with cisDPP for 2 16 hours), one litre of normal saline for 2 hours was infused followed by 500 ml of normal saline and 17 200 ml of mannitol.

18 Patients were diagnosed different types of tumours, namely nasopharyngeal carcinoma 19 (n = 1), lower gingiva (n = 1), tongue edge (n = 2), tongue root (n = 1), glottis (n = 1), tonsils (n = 1), 20 cardia (n = 1), esophageal chest (n = 2), urinary bladder (n = 1), undescended testes (n = 1), vagina 21  $(n = 2)$  and cervix  $(n = 6)$ . Patients were monitored for 3 to 47 months, 4.7 months on average. During 22 this period (9/2012 to 7/2016) eleven patients of the group died.

23 The aim of the treatment plan was to administer at least five chemotherapy cycles in 24 concomitance as well as in case of palliative therapy in combination with 5-fluorouracil. The main 25 reasons for the unfinished treatment plan was a necessary change of the platinum derivate due to 1 decreased renal functions (nephrotoxicity; n = 11) or the finished or suspended protocol for 2 hematologic toxicity (n = 5). Six patients refused to continue with the study due to the complicated 3 study protocol. The clinical diagnosis of decreased renal function (nephrotoxicity) was based on 4 declined estimated glomerular filtration rate under approx. 1 mL/s. Individual patients were 5 administered one to five cycles (2.3 cycles on average). Due to a very small number of patients in the 6 fifth cycle ( $n = 2$ ), we only evaluated data of the first four cycles.

7 This study was approved by the local ethics committee and all the patients provided 8 informed consent.

9 Urine and plasma were taken in each cycle before chemotherapy (Sample 0), immediately 10 after administering cisplatin (Sample 1), in three hours (Sample 2), in six hours (Sample 3) and in 11 24 hours (Sample 4) after administering cisplatin.

12 Serum and urine creatinine (S crea, U crea, resp.) concentration, serum and urine NGAL 13 concentration, urine albumin and serum cystatin C were determined. Afterwards we calculated 14 NGAL/creatinine ratio, albumin/creatinine ratio (ACR). Glomerular filtration rate was estimated by 15 using the CKD-EPI (Chronic Kidney Disease Epidemiology Collaboration) equation for cystatin C 16 (KIDNEY DISEASE: IMPROVING GLOBAL OUTCOMES (KDIGO) CKD WORK GROUP).

17 Serum and urine creatinine concentrations were determined by using the Jaffe method 18 (Crea, Beckman Coulter; automated biochemical analyzer AU640, Beckman Coulter). Serum 19 cystatin C level was determined by quantitative immunoturbidimetric assay (Cystatin C AssayKit, 20 Diazyme, supplier LabMark; automated biochemical analyzer AU640, Beckman Coulter). Urine and 21 serum NGAL concentrations were measured by quantitative immunoturbidimetric assay (The NGAL 22 Test ReagentKit, BioPorto, supplier LabMark; automated immunochemistry analyzer Architect i 23 2000SR, Abbott). Urine albumin concentration was determined by quantitative immunoturbidimetric 24 assay (Tina-quant Albumin, Roche Diagnostics; automated biochemical analyzer Cobas 6000, Roche).

1 We used the programs of R 3.2.0 and MedCalc 17.7.2 to evaluate the data statistically. 2 Correlation analysis was made by using Spearman´s correlation coefficient. We applied the 3 nonparametric Kruskal–Wallis test to compare changes among chemotherapy cycles and the Conover 4 test of pairwise comparison of subgroups. The Jonckheere–Terpstra test was used to detect trends of 5 medians in time. All measured markers were used as survival predictors in univariate survival analysis 6 (Cox proportional hazard analysis) where p value was derived from logrank test for comparison of 7 survival curves between patients with and without nephrotoxicity. If not stated otherwise, data are 8 presented as medians (interquartile range). P < 0.05 was considered statistically significant.

## 9 RESULTS

10 To detect acute nephrotoxic effects of cisplatin we compared changes of measured markers 11 in the samples 0 to 4 for each individual chemotherapy cycle. We only noticed statistically significant 12 changes in the first chemotherapy cycle, which was an increase in ACR in Sample 2 (3 hours after 13 cisplatin administration, p = 0.03, Figure 1 A) and a decrease in eGFR in Sample 4 (24 hours after 14 cisplatin administration, p = 0.03, Figure 3 A). Surprisingly, a decrease in serum NGAL was borderline 15 statistically significant in Sample 2 and Sample 3 ( $p = 0.045$ ) in the first chemotherapy cycle. More 16 details can be found in Table I.

17 To detect the cumulative effect of cisplatin, we compared changes in markers from Cycle I to 18 IV in Samples 0 to 4. E.g. comparing Sample 0 values in Cycle I with Sample 0 values in Cycles II, III 19 and IV. An increase in urine albumin and ACR was statistically significant in Cycle II, Cycle III and 20 Cycle IV (in comparison with Cycle I, in most samples; p < 0.05, see Figure 1 A and Table I). Urine 21 NGAL levels showed statistically significant increasing trend from Cycle I to Cycle IV ( $p = 0.03$ ) in 22 Sample 0 only. Serum and urine NGAL (Figure 2 A) and estimated glomerular filtration rate from 23 cystatin C (Figure 3 A) evinced no statistically significant trend in medians from Cycle I to Cycle IV and 24 the levels were not substantially different in individual cycles.

1 The univariate survival analysis for each marker with nephrotoxicity as a predicted variable 2 showed that cystatin C appears to be a significant nephrotoxicity predictor of all measured markers 3 (p < 0.05, Table II, Figure 4).



12

## 13 DISCUSSION

14 In our study, we compared changes in biomarkers among individual samples after 15 administering cisplatin (a short-time view of cisplatin toxicity) and among chemotherapy cycles (a 16 long-time view of cumulative effects of cisplatin) in oncological patients. We measured 5 markers: 17 serum and urine NGAL, serum creatinine and cystatin C and urine albumin. The comparison of their 18 clinical feasibility was derived from changes after cisplatin administration. Although there were no 19 conspicuous changes of measured markers in a short period after cisplatin administration, in the 20 long-term period, urinary albumin increase was consistently detected in oncologic patients 21 undergoing chemotherapy containing cisplatin. Our main finding is that urinary albumin, in direct 22 comparison with urinary NGAL, more consistently increases after chemotherapy containing cisplatin 23 (Figures 1 A and 2 A). The design of our study follows common clinical practice of cumulative 24 administration of cisplatin in chemotherapy cycles. On the contrary, most of the published results 25 deal with time-dependent changes after single cisplatin dose.

1 Concentrating on short-time view of cisplatin toxicity, we detected significant increase of ACR 2 and decrease of eGFR up to 24 hours after cisplatin administration in the first chemotherapy cycle 3 only. Unfortunately, these changes were not statistically significant in subsequent chemotherapy 4 cycles. The most probable explanation of this observation is the significantly decreased statistical 5 power caused by reduction of participants in chemotherapy cycles II and especially III and IV. 6 Different designs of published studies make it difficult to compare results with our study. 7 Nevertheless, in 33 cisplatin treated oncologic patients Lin (Lin et al., 2013) found a significant 8 increase of ACR 6 and 96 hours and an increase of urinary NGAL between 12 and 72 hours after 9 cisplatin infusion in patients with subsequent AKI only. In Lin´s study, 10 patients (30 %) of patients 10 have greater than 25 % decrease of eGFR, whereas in our study, just 1 patient (5 %) achieved this 11 limit. Moreover, the clinical approach to diagnosis of AKI in clinical practice is different in different 12 clinical contexts (e.g. in sepsis; Chvojka et. al., 2010) and cannot be easily translated from one 13 context to another. In comparison with Lin´s study, we also found an increase of ACR but we didn´t 14 detect a significant increase of urinary NGAL. Similarly, Gaspari observed a significant increase of 15 urinary NGAL 1, 2 and 3 days after cisplatin administration in 12 patients with AKI only (Gaspari et al., 16 2010). Although there was an obvious increasing trend for urinary NGAL in our study, heterogeneity 17 of responses to cisplatin treatment prevented it from being significant. Similar observation was 18 found by George (George et al., 2017), who analysed samples from 57 patients 3 and 10 days after 19 cisplatin treatment: there were no changes in urinary NGAL levels but urinary albumin increased.

20 Uniqueness of our results lies in the description of long-term view on changes in measured 21 biomarkers after repetitive cisplatin administrations in the setting of real-world chemotherapy 22 cycles. We are not aware of any similar published studies. According to our results, ACR has a 23 consistent and statistically significant pattern of increase with increasing number of cisplatin 24 containing chemotherapy cycles (Figure 1 A). On contrary, response of urinary NGAL to increasing 25 number of cisplatin containing chemotherapy cycles is more heterogenous and thus not statistically 26 significant (Figure 2 A). We didn´t find any significant long-term effect of increasing number of

1 cisplatin containing chemotherapy cycles on estimated glomerular filtration rate. However, 2 correlation of cumulative dose of cisplatin with measured markers doesn´t provide any robust 3 correlation that is consistent across all sampling intervals (Table III). The failure to provide an 4 evidence of cumulative dose-dependent relationship to urinary NGAL or ACR can be caused by 5 gradual selection of patients that are less sensitive to nephrotoxic effects of cisplatin. These patients 6 have low levels of urinary NGAL and ACR despite of high cumulative dose of cisplatin (Figure 1 B and 7 2 B). We can only hypothesize that paradoxical positive correlation of cumulative cisplatin dose and 8 eGFR estimated from serum cystatin C before and after administration of the last chemotherapy 9 (Figure 3 B, Table III) reflects a decrease of cystatin C production due to decrease of tumor mass 10 during the treatment. In the literature, some authors prove decrease of eGFR, e.g. De Jongh et al. 11 ascertained that serum creatinine increased over upper reference limit in 41 % of patients after 12 treatment with cisplatin (de Jongh et al., 2003) but serum cystatin C was not assessed in this study. 13 As mentioned above, the main reason of failing to prove significant long-term effects on urinary 14 NGAL and eGFR in our study can be the small numbers of participants in chemotherapy cycles II, III 15 and IV. That is why our results should be interpreted with caution. Moreover, as mentioned in the 16 Introduction, both serum creatinine and serum cystatin C as markers of GFR can have significant 17 drawbacks in oncologic patients and studies with precise and non-biased measurement of glomerular 18 filtration rate are needed.

19 Heterogeneity of results found in literature can be partly explained by the fact that absolute 20 concentration of urinary markers is severely influenced by large amounts of hydration (e.g. 5 litres of 21 i.v. fluids a day) used in cisplatin dosage protocols. Urine marker correction to urine creatinine does 22 not have to be optimum in oncological patients because creatinine excretion can be substantially 23 influenced by nutrition and catabolic state and subsequent correction of marker levels to urine 24 creatinine concentration might distort information (Drott et al., 1988; Waikar et al., 2010). Time 25 urine collection would probably be a better solution, but current clinical experience with a very high 26 frequency of errors in urine collection invalidates this solution too. Another factor which may

- 1 decrease urine NGAL validity in oncological patients is the presence of tumor itself and possible NGAL
- 2 expression in tumor tissue and many other tissues. Increased NGAL production was described e. g. in
- 3 esophageal, lung as well as colon tumors (Chakraborty et al., 2012).
- 4 In conclusion, ACR was the only measured marker that consistently increased with increased
- 5 number of cisplatin containing chemotherapy cycles in oncologic patients.

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3 individual chemotherapy cycles (I to IV) in samples taken before (Sample 0), immediately after

4 (Sample 1), in 3 hours (Sample 2), 6 hours (Sample 3) and 24 hours (Sample 4) after administering

5 chemotherapy. Note the logarithmic scale on y axis.

6 \* Statistically significantly higher than corresponding sample values from the first chemotherapy

7 cycle (p < 0.05).

8 # Statistically significantly higher than values in Sample 1, Sample 3 and Sample 4 of the same cycle 9  $(p = 0.03)$ .

10





- 3 of chemotherapy (Sample 0). Crosses and full circles denote patients with and without clinical
- 4 diagnosis of nephrotoxicity resp. Note logarithmic scale on y axis.





2 Figure 2 A: Boxplot showing changes in urine NGAL/urine creatinine ratio values between individual

3 chemotherapy cycles (I to IV) in samples taken before (Sample 0), immediately after (Sample 1), in 3

4 hours (Sample 2), 6 hours (Sample 3) and 24 hours (Sample 4) after administering chemotherapy.

5 Note the logarithmic scale on y axis.

6 Values we not significantly changed neither within neither among chemotherapy cycles.



Figure 2 B. Correlation of urine NGAL/urine creatinine with cumulative dose of cisplatin (mg/m<sup>2</sup>)

3 before the last applied cycle of chemotherapy (Sample 0). Crosses and full circles denote patients

4 with and without clinical diagnosis of nephrotoxicity resp.





2 Figure 3 A: Boxplot showing changes in eGFR estimated by serum cystatin C values between

3 individual chemotherapy cycles (I to IV) in samples taken before (Sample 0), immediately after

4 (Sample 1), in 3 hours (Sample 2), 6 hours (Sample 3) and 24 hours (Sample 4) after administering

5 chemotherapy.

6 # Statistically significantly lower than values in Sample 1, Sample 2 and Sample 3 of the same cycle

 $7$  (p = 0.03).



2 Figure 3 B. Correlation of eGFR estimated from serum cystatin C values with cumulative dose of

3 cisplatin (mg/m<sup>2</sup>) before the last applied cycle of chemotherapy (Sample 0). Crosses and full circles





2 Figure 4: Univariate survival analysis with nephrotoxicity as a predicted variable and cystatin C as a 3 predictor.

4 Table I: Changes in measured marker values between individual chemotherapy cycles. Following data 5 are expressed as median (interquartile range). In columns, aggregated values form chemotherapy

6 Cycles I to IV are showed. Rows in each marker represent Samples 0 to 4.







<sup>1</sup> \* statistically significantly higher than corresponding sample values from the Cycle I chemotherapy (p  $2 \times 0.05$ ).

3

 $1 - 1$  = statistically significantly higher than values in Sample 0 and Sample 4 of the same cycle (p = 0.03)

 $2^2$  = statistically significantly lower than values in Sample 1, Sample 2 and Sample 3 of the same cycle

6  $(p = 0.03)$ 

 $3 - 3$  = statistically significantly higher than values in Sample 2 and Sample 3 of the same cycle (p = 0.045)

8 Table II: Influence of markers on clinical diagnosis of nephrotoxicity. Results of univariate survival

9 analysis p is derived from logrank test for comparison of survival curves between patients with and

10 without nephrotoxicity.



11

12 Table III. Correlation of U\_NGAL/U\_crea, ACR and eGFR (cystatin C) with cumulative dose of cisplatin 13  $\,$  (mg/m<sup>2</sup>) in the last applied cycle of chemotherapy. Data are presented as correlation coefficient rho 14 (p-value) and correlations with p-value < 0.1 are in bold. Correlation coefficients are calculated for all 15 patients (n = 20), for patients with (n = 11) and without (n = 9) clinical diagnosis of toxicity and are

- 1 provided separately for samples taken before (Sample 0), immediately after (Sample 1), in 3 hours
- 2 (Sample 2), 6 hours (Sample 3) and 24 hours (Sample 4) after administering chemotherapy.



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