

# *Lecture 12: Introduction to nonlinear optics II.*

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Propagation of strong optic signals (proper nonlinear effects)

- Second order effects
  - ☞ Three-wave mixing
    - Phase matching condition
  - ☞ Second harmonic generation
  - ☞ Sum frequency generation
  - ☞ Parametric generation
- Third order effects
  - ☞ Four-wave mixing
  - ☞ Optical Kerr effect

# Nonlinear polarization

$$P_i(\omega) = \epsilon_0 \chi_{ij}(\omega) E_j(\omega) + \int d\omega_1 \underbrace{\chi_{ijk}^{(2)}(\omega; \omega_1, \omega_2)}_{\omega = \omega_1 + \omega_2} E_j(\omega_1) E_k(\omega_2) + \dots$$

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$$P_i = \epsilon_0 \chi_{ij} E_j + \chi_{ijk}^{(2)} E_j E_k + \dots$$


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Intrinsic symmetry:  $\chi_{ijk} = \chi_{ikj}$

For symmetric tensors Voigt notation can be introduced:

indices ( $ij$ )	11	22	33	23 or 32	13 or 31	12 or 21
contraction ( $l$ )	1	2	3	4	5	6

A  $3 \times 6$  matrix  $\chi_{il}$  is introduced,  
 where  $l = 1 \dots 6$  is a contracted index,  
 and  $i = 1 \dots 3$ .

$$\chi_{il} = \begin{pmatrix} \chi_{11} & \chi_{12} & \chi_{13} & \chi_{14} & \chi_{15} & \chi_{16} \\ \chi_{21} & \chi_{22} & \chi_{23} & \chi_{24} & \chi_{25} & \chi_{26} \\ \chi_{31} & \chi_{32} & \chi_{33} & \chi_{34} & \chi_{35} & \chi_{36} \end{pmatrix}$$

# Three-wave mixing

Coupling between two optical waves  $\omega_1$  and  $\omega_2$ :

$$\mathbf{E}^{\omega_1}(t) = \text{Re}\{\mathbf{E}^{\omega_1} e^{i\omega_1 t}\} = \frac{1}{2}(\mathbf{E}^{\omega_1} e^{i\omega_1 t} + (\mathbf{E}^{\omega_1})^* e^{-i\omega_1 t}) = \frac{1}{2}(\mathbf{E}^{\omega_1} e^{i\omega_1 t} + c.c.)$$

$$\mathbf{E}^{\omega_2}(t) = \text{Re}\{\mathbf{E}^{\omega_2} e^{i\omega_2 t}\} = \frac{1}{2}(\mathbf{E}^{\omega_2} e^{i\omega_2 t} + (\mathbf{E}^{\omega_2})^* e^{-i\omega_2 t}) = \frac{1}{2}(\mathbf{E}^{\omega_2} e^{i\omega_2 t} + c.c.)$$

The total field:

$$\mathbf{E} = \mathbf{E}^{\omega_1}(t) + \mathbf{E}^{\omega_2}(t) = \frac{1}{2}(\mathbf{E}^{\omega_1} e^{i\omega_1 t} + \mathbf{E}^{\omega_2} e^{i\omega_2 t} + c.c.)$$

Linear part of the polarization  $\mathbf{P}_L$ :

$$\mathbf{P}_L = \varepsilon_0(\chi(\omega_1) \mathbf{E}^{\omega_1}(t) + \chi(\omega_2) \mathbf{E}^{\omega_2}(t))$$

Nonlinear part of the polarization  $\mathbf{P}_{NL}$ :

$$\begin{aligned} \mathbf{P}_{NL} = \chi^{(2)} \mathbf{E} \mathbf{E} = \frac{1}{4} \chi^{(2)} & \left( \mathbf{E}^{\omega_1} \mathbf{E}^{\omega_1} e^{2i\omega_1 t} + \mathbf{E}^{\omega_2} \mathbf{E}^{\omega_2} e^{2i\omega_2 t} + 2\mathbf{E}^{\omega_1} \mathbf{E}^{\omega_2} e^{i(\omega_1 + \omega_2)t} \right. \\ & \left. + 2\mathbf{E}^{\omega_1} (\mathbf{E}^{\omega_2})^* e^{i(\omega_1 - \omega_2)t} + \mathbf{E}^{\omega_1} (\mathbf{E}^{\omega_1})^* + \mathbf{E}^{\omega_2} (\mathbf{E}^{\omega_2})^* + c.c. \right) \end{aligned}$$

# Nonlinear polarization for three wave mixing

$$\mathbf{P}_{NL} = \chi^{(2)} \mathbf{E} \mathbf{E} = \frac{1}{4} \chi^{(2)} \left( \mathbf{E}^{\omega_1} \mathbf{E}^{\omega_1} e^{2i\omega_1 t} + \mathbf{E}^{\omega_2} \mathbf{E}^{\omega_2} e^{2i\omega_2 t} + 2\mathbf{E}^{\omega_1} \mathbf{E}^{\omega_2} e^{i(\omega_1 + \omega_2)t} + 2\mathbf{E}^{\omega_1} (\mathbf{E}^{\omega_2})^* e^{i(\omega_1 - \omega_2)t} + \mathbf{E}^{\omega_1} (\mathbf{E}^{\omega_1})^* + \mathbf{E}^{\omega_2} (\mathbf{E}^{\omega_2})^* + c.c. \right)$$

If we take into account the dispersion, the susceptibility is weighted:  $\chi^{(2)}(\omega_1, \omega_2)$

The polarization  $\mathbf{P}_{NL}$ , when introduced into the Maxwell equations, becomes the source of the radiation at frequencies  $2\omega_1$ ,  $2\omega_2$ ,  $\omega_1 + \omega_2$  et  $\omega_1 - \omega_2$

It causes an energy transfer between the fundamental and the mixed spectral components

Three wave mixing: two initial components ( $\omega_1$  and  $\omega_2$ ) give raise to a third one ( $\omega_3$ )

A phase matching condition has to be fulfilled : at most one efficient energy transfer channel is in general possible

# Second harmonic generation (SHG)

Coupling between  $\omega$  and  $2\omega$  — other spectral components are omitted:

$$\mathbf{E} = \mathbf{E}^\omega(t) + \mathbf{E}^{2\omega}(t) = \frac{1}{2} \left( \mathbf{E}^\omega e^{i\omega t} + \mathbf{E}^{2\omega} e^{i2\omega t} + c.c. \right)$$

$$P_{i,NL}^\omega = \frac{1}{2} \chi_{ijk}^{(2)} \left( E_j^{2\omega} (E_k^\omega)^* e^{i\omega t} + c.c. \right)$$

$$P_{i,NL}^{2\omega} = \frac{1}{4} \chi_{ijk}^{(2)} \left( E_j^\omega E_k^\omega e^{2i\omega t} + c.c. \right)$$

The wave equation in the time domain then reads:

$$\nabla^2 \mathbf{E} = \mu_0 \epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2} + \mu_0 \frac{\partial^2 \mathbf{P}_{NL}}{\partial t^2}$$

Absorption can be taken into account in  $\epsilon$ ; however, we neglect it here

The waves are supposed to propagate along  $z$ ; their amplitudes do not depend on  $x$  and  $y$ .

# SHG: continued

$$E_j^\omega(z,t) = \frac{1}{2} \left( E_j^\omega(z) e^{i(\omega t - k_1 z)} + c.c. \right) \quad E_j^{2\omega}(z,t) = \frac{1}{2} \left( E_j^{2\omega}(z) e^{i(2\omega t - k_2 z)} + c.c. \right)$$

The energy transfer between the two waves is assumed to be very small in the scale of the wavelength:

$$\frac{dE_j^\omega}{dz} k_1 \gg \frac{d^2 E_j^\omega}{dz^2} \quad \frac{dE_j^{2\omega}}{dz} k_2 \gg \frac{d^2 E_j^{2\omega}}{dz^2}$$

Coupled wave equations:

$$\left( \left( \omega^2 n_\omega^2 / c^2 - k_1^2 \right) \frac{E_j^\omega}{2} - ik_1 \frac{dE_j^\omega}{dz} \right) e^{i(\omega t - k_1 z)} + c.c. = \mu_0 \frac{\partial^2 P_{j,NL}^\omega}{\partial t^2}$$
$$\left( \left( (2\omega)^2 n_{2\omega}^2 / c^2 - k_2^2 \right) \frac{E_j^{2\omega}}{2} - ik_2 \frac{dE_j^{2\omega}}{dz} \right) e^{i(2\omega t - k_2 z)} + c.c. = \mu_0 \frac{\partial^2 P_{j,NL}^{2\omega}}{\partial t^2}$$

# Coupled-wave equations

$$\left( \left( \omega^2 n_\omega^2 / c^2 - k_1^2 \right) \frac{E_j^\omega}{2} - ik_1 \frac{dE_j^\omega}{dz} \right) e^{i(\omega t - k_1 z)} + c.c. = \mu_0 \frac{\partial^2 P_{j,NL}^\omega}{\partial t^2}$$

$$\left( \left( (2\omega)^2 n_{2\omega}^2 / c^2 - k_2^2 \right) \frac{E_j^{2\omega}}{2} - ik_2 \frac{dE_j^{2\omega}}{dz} \right) e^{i(2\omega t - k_2 z)} + c.c. = \mu_0 \frac{\partial^2 P_{j,NL}^{2\omega}}{\partial t^2}$$

The wave equations without coupling define the wave vectors  $k_1$  and  $k_2$ :

$$\omega^2 n_\omega^2 / c^2 - k_1^2 = 0 \quad (2\omega)^2 n_{2\omega}^2 / c^2 - k_2^2 = 0$$

We finally obtain:

$$\frac{dE_j^\omega}{dz} = -\frac{i\omega\eta_0}{2n_\omega} \chi_{jkl}^{(2)} E_k^{2\omega} (E_l^\omega)^* e^{-i(k_2 - 2k_1)z}$$

$$\frac{dE_j^{2\omega}}{dz} = -\frac{i\omega\eta_0}{2n_{2\omega}} \chi_{jkl}^{(2)} E_k^\omega E_l^\omega e^{-i(2k_1 - k_2)z}$$

# Constant field approximation

The fundamental wave is supposed not to be depleted:

$$\frac{dE_j^{2\omega}}{dz} = -\frac{i\omega\eta_0}{2n_{2\omega}} \chi_{jkl}^{(2)} E_k^\omega E_l^\omega e^{-i(2k_1-k_2)z}$$

Solution:

$$E_j^{2\omega}(z) = B - A e^{i\Delta k z}$$

with

$$\Delta k = k_2 - 2k_1$$

$$A = \frac{\omega\eta_0 \chi_{jkl}^{(2)} E_k^\omega E_l^\omega}{2n_{2\omega} \Delta k}$$

$B$  determined from the boundary condition:  $E_j^{2\omega}(z=0) = 0$

# SHG solution

$$E_j^{2\omega}(L) = \frac{\omega\eta_0}{2n_{2\omega}} \frac{1 - e^{i\Delta kL}}{\Delta k} \chi_{jkl}^{(2)} E_k^\omega E_l^\omega$$

$$I_{2\omega} = \frac{n_{2\omega}}{2\eta_0} |E_j^{2\omega}(L)|^2 = \frac{1}{2} \eta_0^3 \frac{\omega^2 (\chi_{eff}^{(2)})^2 L^2}{n_{2\omega} n_\omega^2} I_\omega^2 \frac{\sin^2(\frac{1}{2} \Delta kL)}{(\frac{1}{2} \Delta kL)^2}$$

Character of the solution depends critically on the value of  $\Delta k$

$$\Delta k \neq 0$$

Both waves do not propagate with the same phase velocity: they are not constantly in phase, but become periodically out-of-phase. This leads to a modulation of  $I_{2\omega}$  with the period (called coherence length):

$$l_c = \frac{2\pi}{\Delta k} = \frac{2\pi}{k_2 - 2k_1} = \frac{\lambda}{2(n_{2\omega} - n_\omega)}$$

Typically:  $n_{2\omega} - n_\omega \approx 10^{-2}$ ,  $l_c \approx 100 \mu\text{m}$ . This is the maximum crystal length that can efficiently participate to SHG.

# Phase matching condition

$$\Delta k = 0 \Rightarrow k_2 = 2k_1 \quad n_{2\omega} = n_\omega$$

$$I_{2\omega} = \frac{1}{2} n_0^3 \frac{\omega^2 (\chi_{eff}^{(2)})^2}{n_{2\omega} n_\omega^2} I_\omega^2 L^2$$

All the crystal length participates efficiently to the generation

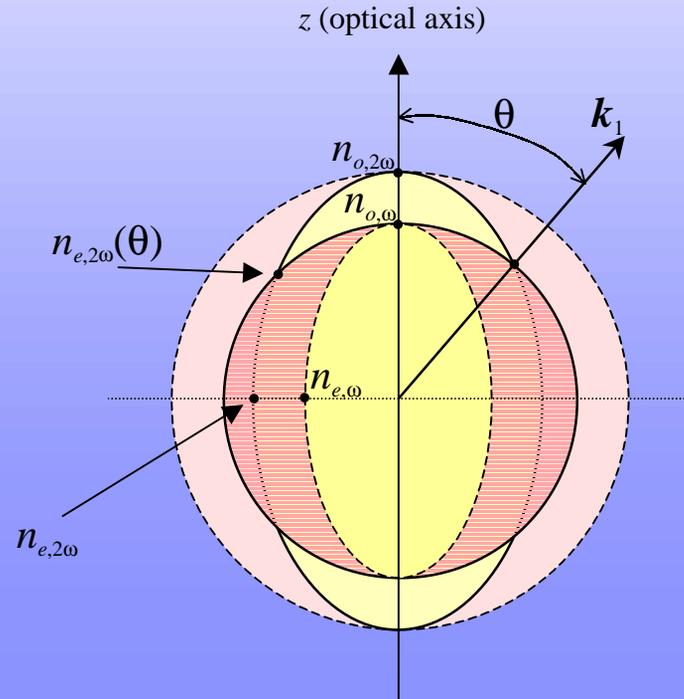
How to achieve the phase matching condition:

- Compensation of the birefringence and the dispersion

**OO-E interaction**

$$n_{e,2\omega}(\theta) = n_{o,\omega}$$

$$\sin^2 \theta = \frac{n_{o,\omega}^{-2} - n_{o,2\omega}^{-2}}{n_{e,2\omega}^{-2} - n_{o,2\omega}^{-2}}$$

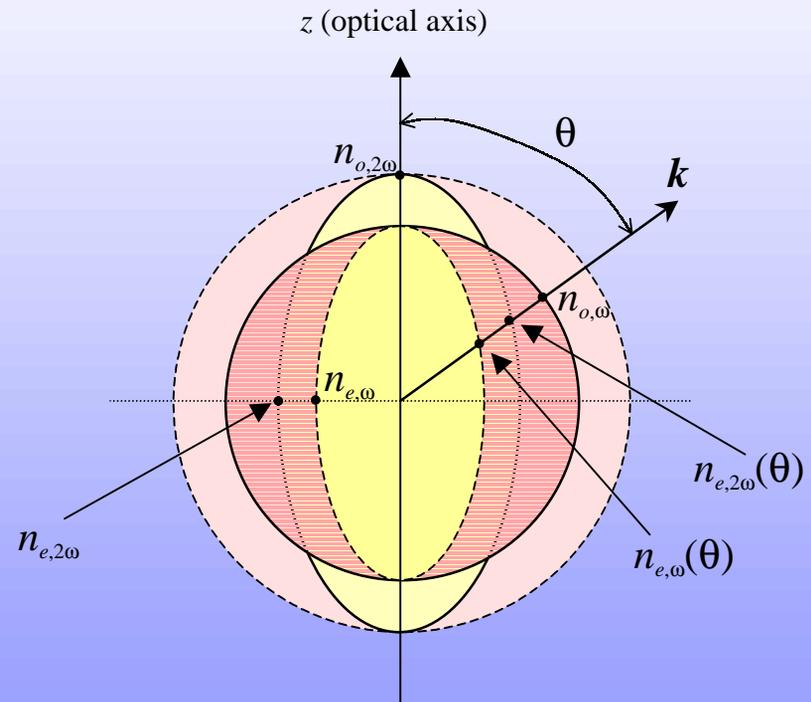


# Phase matching condition: continued

## EO-E interaction:

$$\Delta k = 0 \Rightarrow k_{2\omega,e} = k_{\omega,o} + k_{\omega,e}$$

$$n_{e,2\omega}(\theta) = \frac{1}{2}(n_{o,\omega} + n_{e,\omega}(\theta))$$



The choice of the polarizations depends on the available coefficients of  $\chi_{ijk}$  (e.g.  $\chi_{111}$  couples only parallel polarizations and thus can never allow the phase matching)

# Three-wave mixing: summary

General equations of three-wave mixing

$$\omega_1 \pm \omega_2 \pm \omega_3 = 0 \quad (\text{frequency transformation})$$

$$\mathbf{k}_1 \pm \mathbf{k}_2 \pm \mathbf{k}_3 = 0 \quad (\text{phase matching condition})$$

Sum and difference frequency generation (SFD, DFD):

- Input: two strong beams  $\omega_1$  and  $\omega_2$   $\omega_1 \pm \omega_2 = \omega_3$
- Output: strong beam  $\omega_3$   $\mathbf{k}_1 \pm \mathbf{k}_2 = \mathbf{k}_3$

Parametric generation (amplification of weak beams):

- Input: strong  $\omega_3$  + weak  $\omega_1$   $\omega_3 - \omega_1 = \omega_2$
- Output: medium  $\omega_2$  + medium  $\omega_1$   $\mathbf{k}_3 - \mathbf{k}_1 = \mathbf{k}_2$

Up-conversion

- Input: strong  $\omega_1$  + weak  $\omega_2$   $\omega_1 + \omega_2 = \omega_3$
- Output: weak  $\omega_3$   $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3$

# Four-wave mixing

Third order effect:

$$P_{NL}^{\omega_4} = \chi_{ijkl}^{(3)} E_j^{\omega_1} E_k^{\omega_2} E_l^{\omega_3}$$

Required conditions for the wavelength transformation:

$$\begin{array}{lll} \omega_4 = \omega_1 + \omega_2 + \omega_3 & \text{or} & \omega_4 + \omega_3 = \omega_1 + \omega_2 \\ k_4 = k_1 + k_2 + k_3 & & k_4 + k_3 = k_1 + k_2 \end{array} \quad \text{etc.}$$

Degenerated cases are frequently used

Transient grating experiments

# Propagation in Kerr-like media

Degenerated case (one very strong optical beam):

$$\mathbf{P}_{NL}^{\omega} = 3\chi^{(3)} E^{\omega} E^{\omega} (E^{\omega})^*$$

Indices are omitted (i.e. the beam is linearly polarized and it is an eigenmode of the medium)

The beam propagated along  $z$ :

$$E^{\omega}(z, t) = A(z) e^{i(\omega t - kz)}$$

Wave equation:

$$\left( \left( \omega^2 n^2 / c^2 - k^2 \right) A - 2ik \frac{dA}{dz} \right) e^{i(\omega t - kz)} = -3\mu_0 \omega^2 \chi^{(3)} A^2 A^* e^{i(\omega t - kz)}$$

Linear wave equation:  
definition of  $k$

Nonlinear polarization

# Propagation in Kerr media: continued

Remaining terms in the wave equation

$$\frac{dA}{dz} = -i \frac{3}{2} \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{\omega}{n} \chi^{(3)} |A|^2 A$$

if  $\chi^{(3)}$  is real then

$$A = A_0 \exp\left(-i \frac{3\eta_0 \omega \chi^{(3)}}{2n} |A_0|^2 z\right) = A_0 e^{-ik_1 z}$$

$$E^\omega(z, t) = A(z) e^{i(\omega t - kz - k_1 z)}$$

The wave vector is renormalized:

$$K = k + k_1 = \frac{\omega}{c} \left( n + \frac{3\chi^{(3)}}{2\epsilon_0 n} |A_0|^2 \right)$$

The effective refractive index depends on the intensity of the beam:

$$n' = n + n_2 I \quad \left( n_2 = \frac{3\eta_0 \chi^{(3)}}{\epsilon} \right)$$

# Propagation in Kerr media

Self-phase modulation (ultrashort pulses)

refractive index is time dependent

phase of the pulse is modulation

creation of new frequency components (bandwidth broadening)

pulse shortening

Self-focustion (intense beams)

Kerr lensing due to spatial profile of the beam

