

## ON THE SYNTACTIC COMPLEXITY OF PARALLEL COMMUNICATING GRAMMAR SYSTEMS

GHEORGHE PĂUN

Paper dedicated to Professor Solomon Marcus, on his 65th birthday.

We compare the complexity of generating a language by a context-free grammar or by a parallel communicating grammar system (*PCGS*), in the sense of Gruska's measures *Var*, *Prod*, *Symb*. Then we define a specific measure for *PCGS*, *Com*, dealing with the number of communication symbols appearing in a derivation. The results are the expected ones: the *PCGS* are definitely more efficient than context-free grammars (the assertion will receive a precise meaning in Section 2), the parameter *Com* introduces an infinite hierarchy of languages, is incomparable with *Var*, *Prod*, *Symb*, and cannot be algorithmically computed.

### 1. PARALLEL COMMUNICATING GRAMMAR SYSTEMS

The main problem of the classical formal language theory is to study the way a language can be generated/recognized by a (hence *one*) grammar/automaton. However, in the present-day computer science a lot of circumstances there exist when we deal with more "processors" concerned with the same task: computer nets, distributed data bases, parallel computers, distributed expert systems, computer conferencing and so on. Thus, a natural research topic is to consider "systems of grammars", working together in a well defined way and generating *one* language.

Two classes of such grammar systems can be defined, depending on the working protocol: sequential (in each moment only one grammar is enabled to work), or parallel (the components work simultaneously, in a synchronized manner). The former type is considered in [2] (and investigated in a series of subsequent papers). The later leads to parallel communicating grammar systems (*PCGS*, for short). They were introduced in [11] and were investigated in [8], [9], [10], [14], from various (theoretical) points of view. Details about motivation and a survey of results can be found in [13].

Informally speaking, a *PCGS* consist of  $n$  usual Chomsky grammars, working simultaneously, each on its own sentential form, and communicating each other by sending, on request, the correct sentential form, from one component to another; the language generated in this way by a "master" component of the system is considered the language generated by the whole system.

Beside being a natural grammatical model of parallel computing, the *PCGS* prove to be also a mathematically appealing topic, rich in (often difficult) theoretical problems. Here we investigate two basic variants: centralized and non-centralized query-only systems.

Before presenting their definition, we specify some notations.

For a vocabulary  $V$ , denote by  $V^*$  the free monoid generated by  $V$ , by  $\lambda$  the null element of  $V^*$ , by  $|x|$  the length of  $x$  and by  $|x|_U$  the length of the string obtained by erasing from  $x$  all symbols not in  $U$ ,  $U \subseteq V$ ;  $V^+ = V^* - \{\lambda\}$ . For a Chomsky grammar  $G = (V_N, V_T, S, P)$ ,  $V_N$  is the nonterminal vocabulary,  $V_T$  is the terminal one,  $S$  is the axiom and  $P$  is the set of rewriting rules;  $V_G = V_N \cup V_T$ .

For other notions and notations in formal language theory, the reader is referred, for instance, to [12].

A *parallel communicating grammar system* (of degree  $n$ ,  $n \geq 1$ ) is an  $n$ -tuple

$$\gamma = (G_1, G_2, \dots, G_n)$$

where each  $G_i$  is a Chomsky grammar,  $G_i = (V_{N,i}, V_{T,i}, S_i, P_i)$ ,  $1 \leq i \leq n$ , such that  $V_{T,i} \cap V_{N,j} = \emptyset$ ,  $1 \leq i, j \leq n$  and there is a set  $K \subseteq \{Q_1, Q_2, \dots, Q_n\}$ , of special symbols (called *query symbols*),  $K \subseteq \bigcup_{i=1}^n V_{N,i}$ , used in derivations as follows.

For  $(x_1, x_2, \dots, x_n)$ ,  $(y_1, y_2, \dots, y_n)$ ,  $x_i, y_i \in V_{G_i}^*$ ,  $1 \leq i \leq n$ , we write  $(x_1, x_2, \dots, x_n) \Rightarrow (y_1, y_2, \dots, y_n)$  if one of the next two cases holds:

- (i)  $|x_i|_K = 0$ ,  $1 \leq i \leq n$ , and for each  $i$ ,  $1 \leq i \leq n$ , we have  $x_i \Rightarrow y_i$  in the grammar  $G_i$  or  $x_i \in V_{T,i}^*$ ,  $x_i = y_i$ ;
- (ii) If  $|x_i|_K > 0$  for some  $i$ ,  $1 \leq i \leq n$ , then for each such  $i$  we write  $x_i = z_1 Q_{i_1} z_2 Q_{i_2} \dots z_t Q_{i_t} z_{t+1}$ ,  $t \geq 1$ ,  $|z_j|_K = 0$ , for  $1 \leq j \leq t+1$ ; if  $|x_{i_j}|_K = 0$ ,  $1 \leq j \leq t$ , then  $y_i = z_1 x_{i_1} z_2 x_{i_2} \dots x_{i_t} z_{t+1}$  and  $y_{i_j} = S_{i_j}$ ,  $1 \leq j \leq t$ ; when, for some  $j$ ,  $1 \leq j \leq t$ ,  $|x_{i_j}|_K > 0$ , then  $y_i = x_i$ . For all  $i$ ,  $1 \leq i \leq n$ , for which  $y_i$  was not defined as above, we put  $y_i = x_i$ .

In words, an  $n$ -tuple  $(x_1, x_2, \dots, x_n)$  directly yields  $(y_1, y_2, \dots, y_n)$  if either no query symbol appears in  $x_1, x_2, \dots, x_n$ , and then we have a componentwise derivation,  $x_i \Rightarrow y_i$  in  $G_i$  for each  $i$ ,  $1 \leq i \leq n$ , or, in the case of query symbols appearing, we perform a *communication step*, as these query symbols impose: each occurrence of  $Q_{i_j}$  in  $x_i$  is replaced by  $x_{i_j}$ , provided  $x_{i_j}$  does not contain query symbols; more exactly, a component  $x_i$  is modified only when all its occurrences of query symbols refer to strings without query symbols occurrences. After a communication operation, the communicated string  $x_{i_j}$  replaces the query symbol  $Q_{i_j}$  whereas the grammar  $G_{i_j}$  resumes working from its axiom. The communication has priority over the effective rewriting. If some query symbols are not satisfied at a given communication step, then they will be satisfied at the next one (provided they ask for strings without query symbols in that moment) and so on. No rewriting is possible when at least a query symbol is present. This implies that when a circular query appears, the work of the system is blocked. Similarly, the derivation is blocked when no query symbol appears but some nonterminal component  $x_i$  cannot be further rewritten in  $G_i$ .

The language generated by  $\gamma$  is

$$L(\gamma) = \left\{ x \in V_{T,1}^* \mid (S_1, S_2, \dots, S_n) \xRightarrow{*} (x, \alpha_2, \dots, \alpha_n), \alpha_i \in V_{G_i}^*, 2 \leq i \leq n \right\}.$$

A derivation consists of repeated rewriting and communication steps, starting from  $(S_1, S_2, \dots, S_n)$ ; we retain in  $L(\gamma)$  the string generated in this way on the first component, terminal with respect to  $G_1$ , without care about the strings generated by  $G_2, \dots, G_n$  ( $G_1$  is the *master* grammar of the system).

A *PCGS* as above is called *non-centralized*; when  $K \cap V_{N,i} = \emptyset$ ,  $2 \leq i \leq n$ , then  $\gamma$  is called a *centralized PCGS* (only  $G_1$  may ask for the strings generated by other grammars in the system).

A further classification can be considered, according to the following criterion: the *PCGS* as above are called *returning, to the axiom*; when in point (ii) of the above definition we erase the words “and  $y_{i_j} = S_{i_j}$ ,  $1 \leq j \leq t$ ”, then we obtain a *non-returning PCGS* (after communicating a string  $x_{i_j}$  to some  $x_i$ , the grammar  $G_{i_j}$  does not return to  $S_{i_j}$ , but continues to process the current string  $x_{i_j}$ ).

Four classes of *PCGS* are obtained in this way: *RCPC*, *CPC*, *RPC*, *PC*, where *R* stands for *returning*, *C* for *centralized* and *PC* for *parallel communicating* grammar systems. When only systems of degree at most  $n$  are considered, we add the subscript

$n$ :  $RCPC_n$ ,  $CPC_n$  etc. According to the type of grammars  $G_1, G_2, \dots, G_n$ , a  $PCGS$  can be regular, linear, context-free,  $\lambda$ -free etc. (We can write  $RCPC(REG)$ ,  $RCPC(CF)$ , and so on, for distinguishing such classes.) Here we consider only  $\lambda$ -free context-free  $PCGS$ , hence  $RCPC$ ,  $CPC$ ,  $RPC$ ,  $PC$  will refer to such systems. The family of languages generated by a class  $X$  of  $PCGS$  is denoted by  $\mathcal{L}(X)$ .

Here are some simple *examples*, in order to clarify the above definitions and to point out the considerable generative capacity of  $PCGS$ .

$$\begin{aligned}\gamma_1 &= (G_1, G_2) \\ G_1 &= (\{S_1, S_2, Q_2\}, \{a, b, c\}, S_1, \{S_1 \rightarrow a S_1, S_1 \rightarrow a^2 Q_2, S_2 \rightarrow bc\}) \\ G_2 &= (\{S_1\}, \{a, b\}, S_2, \{S_2 \rightarrow b S_2 c\}).\end{aligned}$$

We have a centralized  $PCGS$ . The language generated both in the returning and the non-returning mode is

$$L(\gamma_1) = \{a^n b^n c^n \mid n \geq 2\}.$$

Indeed, let us examine a derivation in  $\gamma_1$ :

$$\begin{aligned}(S_1, S_2) &\xRightarrow{*} (a^k S_1, b^k S_2 c^k) \Rightarrow (a^{k+2} Q_2, b^{k+1} S_2 c^{k+1}) \\ &\Rightarrow (a^{k+2} b^{k+1} S_2 c^{k+1}, \alpha_2) \Rightarrow (a^{k+2} b^{k+2} c^{k+2}, \alpha'_2), \quad k \geq 0,\end{aligned}$$

with  $\alpha_2 = b^{k+1} S_2 c^{k+1}$ ,  $\alpha'_2 = b^{k+2} S_2 c^{k+2}$  in the non-returning case,  $\alpha_2 = S_2$ ,  $\alpha'_2 = b S_2 c$  in the returning case.

Note that  $G_1, G_2$  are linear grammars and  $L(\gamma_1)$  is not a context-free language.

$$\begin{aligned}\gamma_2 &= (G_1, G_2) \\ G_1 &= (\{S_1, Q_2\}, \{a, b, c\}, S_1, \{S_1 \rightarrow S_1, S_1 \rightarrow Q_2 c Q_2\}) \\ G_2 &= (\{S_2\}, \{a, b\}, S_2, \{S_2 \rightarrow a S_2, S_2 \rightarrow b S_2, S_2 \rightarrow a, S_2 \rightarrow b\}).\end{aligned}$$

We obtain

$$(S_1, S_2) \xRightarrow{*} (S_1, y) \Rightarrow (Q_2 c Q_2, x) \Rightarrow (x c x, z)$$

for  $z \in \{S_2, x\}$ . If  $x \in \{a, b\}^*$ , then the derivation is terminal, hence both in the returning and the non-returning case we have

$$L(\gamma_2) = \{x c x \mid x \in \{a, b\}^+\}$$

again a non-context-free language. (A similar  $PCGS$  can be written for  $\{(xc)^r \mid x \in \{a, b\}^+, r \geq 1\}$ : replace  $S_1 \rightarrow Q_2 c Q_2$  in  $G_1$  by the rule  $S_1 \rightarrow (Q_2 c)^r$ .)

## 2. THE EFFICIENCY OF $PCGS$

Given a  $PCGS$   $\gamma = (G_1, G_2, \dots, G_n)$  as above, we can define the complexity measures  $Var$ ,  $Prod$ ,  $Symb$  in the similar way as for context-free grammars [4], [5], [6]:

$$\begin{aligned}Var(\gamma) &= \sum_{i=1}^n \text{card } V_{N,i} \\ Prod(\gamma) &= \sum_{i=1}^n \text{card } P_i \\ Symb(\gamma) &= \sum_{i=1}^n Symb(P_i), \quad Symb(P_i) = \sum_{r \in P_i} Symb(r), \quad \text{and} \\ Symb(r) &= |x| + 2 \quad \text{for } r : A \rightarrow x.\end{aligned}$$

For a complexity measure  $M : X \longrightarrow \mathbf{N}$ , defined for a class of generative mechanisms  $X$ , we define  $M_X : \mathcal{L}(X) \longrightarrow \mathbf{N}$  by

$$M_X(L) = \inf \{M(G) \mid G \in X, L = L(G)\}.$$

Clearly, when  $X_1 \subseteq X_2$ , we have  $M_{X_1}(L) \geq M_{X_2}(L)$ , for all  $L \in \mathcal{L}(X_1)$ . Following [7], if there are languages  $L \in \mathcal{L}(X_1)$  such that  $M_{X_1}(L) > M_{X_2}(L)$ , provided  $X_1 \subset X_2$  is a proper inclusion, then we say that  $M$  is a *honest* measure. The following refinements of this notion are considered in [7]:

- (i)  $M_{X_1} >_1 M_{X_2}$  iff there is  $L \in \mathcal{L}(X_1)$  such that  $M_{X_1}(L) > M_{X_2}(L)$
- (ii)  $M_{X_1} >_2 M_{X_2}$  iff for every integer  $p$  there is  $L \in \mathcal{L}(X_1)$  such that  $M_{X_1}(L) - M_{X_2}(L) > p$  (arbitrarily large difference)
- (iii)  $M_{X_1} >_3 M_{X_2}$  iff there is a sequence  $L_n$ ,  $n \geq 1$  of languages in  $\mathcal{L}(X_1)$  such that

$$\lim_{n \rightarrow \infty} \frac{M_{X_1}(L_n)}{M_{X_2}(L_n)} = \infty$$

(supra-linear difference)

- (iv)  $M_{X_1} >_4 M_{X_2}$  iff there is a constant  $p$  such that for any integer  $q$  there is a language  $L \in \mathcal{L}(X_1)$  such that  $M_{X_1}(L) > q$  and  $M_{X_2}(L) \leq p$  (bounded by no mapping difference).

Clearly  $>_j$  implies  $>_{j-1}$  for each  $j = 2, 3, 4$ .

Here we are interested in comparing *Var*, *Prod*, *Symb* with respect to *CF*, the class of context-free grammars, with *RCPC*, *CPC*, *RPC*, *PC* (we have the inclusions  $CF \subset RCPC \subset RPC$ ,  $CF \subset CPC \subset PC$ ).

**Theorem 1.**  $Var_{CF} >_4 Var_X$ ,  $X \in \{RCPC, RPC, CPC, PC\}$ .

**Proof.** Let us consider the *PCGS*  $\gamma_n = (G_1, G_2)$  with

$$\begin{aligned} G_1 &= (\{S_1, Q_2\}, \{a, b\}, S_1, \\ &\quad \{S_1 \longrightarrow S_1\} \cup \{S_1 \longrightarrow Q_2^k b^k Q_2 \mid 1 \leq k \leq n\}) \\ G_2 &= (\{S_2\}, \{a\}, S_2, \{S_1 \longrightarrow a S_2, S_2 \longrightarrow a\}). \end{aligned}$$

Each derivation can contain only one communication step, hence  $\gamma_n$  can be viewed both as a returning and a non-returning *PCGS*, centralized or non-centralized. When using the rule  $S_1 \longrightarrow Q_2^k b^k Q_2$ , the string generated in  $G_2$  must be a terminal one ( $G_1$  cannot rewrite the symbol  $S_2$ ); moreover, that string is of arbitrary length. Therefore,

$$L(\gamma_n) = \bigcup_{k=1}^n \{a^{ki} b^k a^i \mid i \geq 1\}$$

and we have  $Var_X(L(\gamma_n)) \leq 3$  (and  $Prod_X(L(\gamma_n)) \leq n + 3$ ),  $X \in \{RCPC, RPC, CPC, PC\}$ .

Consider now a reduced context-free grammar  $G = (V_N, V_T, S, P)$  generating  $L(\gamma_n)$  and suppose there is a symbol  $A \in V_N$  such that  $A \xRightarrow{*} uAv$ ,  $uv \neq \lambda$ , in  $G$ . None of  $u, v$  can contain the symbol  $b$  (otherwise strings with arbitrarily many occurrences of  $b$  can be produced). If  $A \xRightarrow{*} w$ ,  $w \in \{a\}^*$ , then  $uvw \in \{a\}^*$ , hence this is a substring of the prefix  $a^{ki}b$  or of the suffix  $ba^i$  of some string  $a^{ki}b^k a^i$  in  $L(\gamma_n)$ . But  $u^r w v^r$  is such a substring too, for all  $r \geq 1$ . If  $a^{ki}b^k a^i = x u w v y b^k a^i$ , then, for  $r > ni$ ,  $|x u^r w v^r y| > ni$ , hence  $x u^r w v^r y b^k a^i \notin L(\gamma_n)$ . If  $a^{ki}b^k a^i = a^{ki} b^k x u w v y$ , then, for  $r > ki$ ,  $|x u^r w v^r y| > ki$ , hence  $a^{ki} b^k x u^r w v^r y \notin L(\gamma_n)$ . Consequently,

$w = a^r b^k a^s$  for all such derivations  $A \xRightarrow{*} uAv \xRightarrow{*} uvw$ . Assume  $u = a^p$ ,  $v = a^q$  and consider a derivation  $S \xRightarrow{*} a^g Aa^h \xRightarrow{*} a^g a^{pi} Aa^{qi} a^h \xRightarrow{*} a^g a^{pi} a^r b^k a^s a^{qi} a^h$  for an arbitrary  $i \geq 1$ . We must have  $g + pi + r = k(s + qi + h)$ , hence  $p = kq$  and the derivation  $A \xRightarrow{*} uAv \xRightarrow{*} uvw$  is of the form  $A_k \xRightarrow{*} a^{kq} a^r b^k a^s a^q$ . As each set  $\{a^{ki} b^k a^i \mid i \geq 1\}$  is infinite, when generating it we have to use recursive derivations, hence a nonterminal  $A_k$  and a derivation as above there exists in  $G$ . Suppose now that  $A_k = A_{k'}$ , for  $k \neq k'$ ,  $1 \leq k, k' \leq n$ . We can obtain a derivation

$$\begin{aligned} S &\xRightarrow{*} a^{t_1} A_k a^{t_2} \xRightarrow{*} a^{t_1} a^{kqr} A_k a^{qr} a^{t_2} \\ &\xRightarrow{*} a^{t_1} a^{kqr} a^{k'q's} A_k a^{q's} a^{qr} a^{t_2} \\ &\xRightarrow{*} a^{t_1} a^{kqr} a^{k'q's} a^{t_3} b^{k'} a^{t_4} a^{q's} a^{qr} a^{t_2} \end{aligned}$$

for arbitrary  $r, s$ . Therefore,  $t_1 + kqr + k'q's + t_3 = k'(t_4 + q's + qr + t_2)$ , for arbitrary  $r, s$ , which implies  $kqr + k'q's = k'(q's + qr)$ . However, this leads to  $k = k'$ , contradiction.

For each  $k$ ,  $1 \leq k \leq n$ , we have a distinct  $A_k$  as above, therefore  $\text{Var}(G) \geq n + 1$  (no one of  $A_k$  can be the axiom of  $G$ ),  $\text{Var}_{CF}(L(\gamma_n)) \geq n + 1$ , and the proof is over.  $\square$

**Corollary.**  $\text{Prod}_{CF} >_2 \text{Prod}_X$ ,  $\text{Symb}_{CF} >_1 \text{Symb}_X$ ,  $X$  as above.

**Proof.** In the above proof we obtain  $\text{Prod}(G) \geq 3n$ : we need a derivation  $S \xRightarrow{*} xA_k y$ , one  $A_k \xRightarrow{*} uA_k v$ , and a terminal one,  $A_k \xRightarrow{*} w$ , each of them involving at least a rule, for each  $k$ ,  $1 \leq k \leq n$ . Consequently,  $\text{Prod}_{CF}(L(\gamma_n)) \geq 3n$ , hence  $\text{Prod}_{CF} >_2 \text{Prod}_X$  (as we have pointed out,  $\text{Prod}_X(L(\gamma_n)) \leq n + 3$ ).

In the case  $n = 2$ , the above  $PCGS \gamma_2$  has  $\text{Symb}(\gamma_2) = 22$ , hence  $\text{Symb}_X(L(\gamma_2)) \leq 22$ . However, as it easily follows from the previous proof, a context-free grammar  $G$  for  $L(\gamma_2)$  must contain at least six rules, of the forms  $S \rightarrow x_1 A_2 y_1$ ,  $S \rightarrow x_2 A_2 y_2$ ,  $A_1 \rightarrow a^i A_1 a^i$ ,  $i \geq 1$ ,  $A_2 \rightarrow a^{2i} A_2 a^i$ ,  $i \geq 1$ ,  $A_1 \rightarrow u_1 b v_1$ ,  $A_2 \rightarrow u_2 b^2 v_2$ . Consequently,  $\text{Symb}(G) \geq 24$ , that is  $\text{Symb}_{CF} >_1 \text{Symb}_X$ ,  $X$  as above.  $\square$

For  $\text{Prod}$  we can find a stronger result.

**Theorem 2.**  $\text{Prod}_{CF} >_4 \text{Prod}_X$ ,  $X \in \{RCPC, RPC\}$ .

**Proof.** In [1] it is proved that  $\text{Prod}_{CF}(L_n) \geq \log_2(n+1)$  for  $L_n = \{a^i b a^j \mid i + j \leq n - 1\}$ . However,  $\text{Prod}_X(L_n) \leq 11$  for all  $n$ , as  $L_n$  is generated by the  $PCGS \gamma = (G_1, G_2, G_3)$ , with

$$\begin{aligned} G_1 &= (\{S_1, T, Q_2\}, \{a, b\}, S, \{S_1 \rightarrow b, S_1 \rightarrow ab, S_1 \rightarrow ba, \\ &\quad S_1 \rightarrow S_1, S_1 \rightarrow Q_2 T, T \rightarrow T, T \rightarrow b Q_2\}) \\ G_2 &= (\{S_2\}, \{a\}, S_2, \{S_1 \rightarrow a S_2, S_2 \rightarrow a\}) \\ G_3 &= (\{S_3, A, B\}, \{a\}, S_3, \{S_3 \rightarrow A^{n-2}, A \rightarrow B\}). \end{aligned}$$

Excepting the one-step derivations  $S_1 \Rightarrow x$ ,  $x \in \{a, ab, ba\}$ , all derivations in  $G_1$  are of the form  $S_1 \xRightarrow{*} S_1 \Rightarrow Q_2 T \xRightarrow{*} Q_2 T \Rightarrow Q_2 b Q_2$ . As  $G_1$  cannot rewrite  $S_2$ , the communicated strings must be of the form  $a^i, a^j$ , hence one generates strings of the form  $a^i b a^j$ . However, the derivations in  $G_3$  can have at most  $n - 1$  derivations steps, hence also  $G_2$  can perform at most  $n - 1$  derivation steps, which implies  $i + j \leq n - 1$ , that is  $L(\gamma) = L_n$ , which completes the proof.  $\square$

For the non-returning case, also the relation for  $\text{Symb}$  can be (slightly) improved.

**Theorem 3.**  $Prod_{CF} >_4 Prod_X, Symb_{CF} >_2 Symb_X, X \in \{CPC, PC\}$ .

*Proof.* We consider the *PCGS*  $\gamma_n = (G_1, G_2, G_3)$ , with

$$\begin{aligned} G_1 &= (\{S_1, D, Q_2, Q_3\}, \{a, b\}, S_1, \{S_1 \longrightarrow S_1, S_1 \longrightarrow DQ_3, D \longrightarrow Q_2D, \\ &\quad D \longrightarrow Q_2bQ_2, C \longrightarrow b\}) \\ G_2 &= (\{S_2\}, \{a\}, S_2, \{S_1 \longrightarrow aS_2, S_2 \longrightarrow a\}) \\ G_3 &= (\{S_3, B, C, E\}, \{a\}, S_3, \{S_3 \longrightarrow S_3, S_3 \longrightarrow C^n, C \longrightarrow B, B \longrightarrow E\}). \end{aligned}$$

Each derivation in  $G_1$  starts by  $S_1 \xRightarrow{*} S_1 \Rightarrow DQ_3$ . As  $G_1$  cannot rewrite the symbols  $S_3, B, E$ , in the moment of introducing  $DQ_3$  in  $G_1$  we must introduce  $C^n$  in  $G_3$  too. Thus we have  $(S_1, S_2, S_3) \xRightarrow{*} (S_1, \alpha_2, S_3) \Rightarrow (DQ_3, \alpha'_2, C^n)$ ,  $\alpha_2, \alpha'_2 \in \{a^i, a^i S_2 \mid i \geq 1\}$ . Now, in  $G_3$  we can use at most  $n$  times the rule  $C \longrightarrow B$  and at most  $n$  times the rule  $B \longrightarrow E$ , therefore the derivation will have at most  $2n$  further rewriting steps. In  $G_1$ , each  $C$  must be replaced by  $b$  ( $n$  rewriting steps); thus at most  $n$  steps can be performed using the rules  $D \longrightarrow Q_2D$  and  $D \longrightarrow Q_2bQ_2$ . At the first use of the rule  $D \longrightarrow Q_2D$ , the string  $\alpha'_2$  generated in  $G_2$  must be terminal ( $G_1$  cannot rewrite  $S_2$ ), that is of the form  $a^i$ . Consequently, all subsequent symbols  $Q_2$  will be replaced by the same string  $a^i$ . In conclusion,

$$L(\gamma_n) = \bigcup_{k=1}^n \{a^{ki}ba^ib^n \mid i \geq 1\}$$

hence  $Var_X(L(\gamma_n)) \leq 9$ ,  $Prod_x(L(\gamma_n)) \leq 11$ ,  $Symb_X(L(\gamma_n)) \leq n + 37$ .

Consider now a context-free grammar for  $L(\gamma_n)$ . As in the proof of Theorem 1, we can find that a derivation  $A_k \xRightarrow{*} a^{kq}A_k a^q$  there is for each  $k$ , that is  $Var_{CF}(L(\gamma_n)) \geq n + 1$ ,  $Prod_{CF}(L(\gamma_n)) \geq 3n$ ,  $Symb_{CF}(L(\gamma_n)) \geq 9n$ , and the proof is over.  $\square$

*Open problem.* Improve the above results for the measure *Symb*.

### 3. A SPECIFIC MEASURE

The above measures are borrowed from context-free grammars area; we consider now a specific complexity measure for *PCGS*, which can be interpreted as a dynamical one, as it refers to derivations, not to the “hardware” of a system.

Consider a *PCGS*  $\gamma = (G_1, G_2, \dots, G_n)$  and a derivation  $D : (S_1, S_2, \dots, S_n) \Rightarrow (w_{1,1}, w_{1,2}, \dots, w_{1,n}) \Rightarrow (w_{2,1}, w_{2,2}, \dots, w_{2,n}) \cdots \Rightarrow (w_{k,1}, w_{k,2}, \dots, w_{k,n})$  in  $\gamma$ . Denote

$$\begin{aligned} Com(w_{i,1}, \dots, w_{i,n}) &= \sum_{j=1}^n |w_{i,j}|_K \\ Com(D) &= \sum_{i=1}^k Com(w_{i,1}, \dots, w_{i,n}). \end{aligned}$$

For  $x \in L(\gamma)$  define

$$Com(x, \gamma) = \min \left\{ Com(D) \mid D : (S_1, \dots, S_n) \xRightarrow{*} (x, \alpha_2, \dots, \alpha_n) \right\}.$$

Then

$$Com(\gamma) = \sup \{ Com(x, \gamma) \mid x \in L(\gamma) \}$$

and, for a language  $L$  and a class  $X$  of *PCGS*,

$$Com_X(L) = \inf \{ Com(\gamma) \mid L = L(\gamma), \gamma \in X \}.$$

In what follows, we consider only centralized *PCGS* returning to axiom after each communication, hence we do not specify the class  $X$  of *PCGS* (it is always *RCPC*).

The parameter *Com* evaluates the number of query symbols appearing in a derivation (a sort of cost of producing a string in  $\gamma$ ).

A measure  $M : \mathcal{L}(X) \longrightarrow \mathbf{N}$  is called *connected* if for each  $n \geq n_0$ ,  $n_0$  a given constant, there is  $L_n \in \mathcal{L}(X)$  such that  $M(L_n) = n$  (cf. [6]).

**Theorem 4.** *Com* is a *connected measure*.

*Proof.* Consider the languages

$$L_n = \left\{ b (a^i b a^i)^{2n+1} b \mid i \geq 1 \right\}, \quad \text{for } n \geq 1.$$

They can be generated by the *PCGS*  $\gamma_n = (G_1, G_2)$ , with

$$\begin{aligned} G_1 &= (\{S_1, S'_1, S'_2, Q_2\}, \{a, b\}, S_1, \{S_1 \longrightarrow bS'_1b, \\ &\quad S'_1 \longrightarrow aS'_1a, S'_1 \longrightarrow a(bQ_2)^nba, S'_2 \longrightarrow b\}) \\ G_2 &= (\{S_2, S'_2\}, \{a\}, S_2, \{S_1 \longrightarrow S'_2, S'_2 \longrightarrow a^2S'_2a^2\}). \end{aligned}$$

A derivation in  $\gamma$  proceeds as follows:

$$\begin{aligned} (S_1, S_2) &\Longrightarrow (bS'_1b, S'_2) \xRightarrow{*} (ba^iS'_1a^ib, a^{2i}S'_2a^{2i}) \\ &\Longrightarrow (ba^{i+1}(bQ_2)^nba^{i+1}b, a^{2(i+1)}S'_2a^{2(i+1)}) \\ &\Longrightarrow (ba^{i+1}(ba^{2(i+1)}S'_2a^{2(i+1)})^nba^{i+1}b, S_2) \\ &\xRightarrow{*} (ba^{i+1}(ba^{2(i+1)}ba^{2(i+1)})^nba^{i+1}b, a^{2(n-1)}S_2a^{2(n-1)}), \end{aligned}$$

hence  $L(\gamma_n) = L_n$  indeed, and consequently  $\text{Com}(L_n) \leq n$ .

Consider now a *PCGS*  $\gamma = (G_1, G_2, \dots, G_m)$  generating this language. Each string in  $L_n$  contains  $2n + 3$  occurrences of the symbol  $b$ , hence  $2n + 2$  substrings of the form  $a^i, a^{2i}$  bounded by such symbols. Each  $G_i$  is a context-free grammar, hence cannot generate strings of the form  $x_1ba^ibx_2ba^ibx_3ba^ibx_4$  for arbitrarily many  $i$ . Two substrings  $a^i$  can be generated in  $G_1$ , for the other  $2n$  such substrings we need communication steps. Each communication can bring to  $G_1$  at most two substrings  $a^i$ , with arbitrarily large  $i$ . Therefore  $n$  communication steps are necessary, that is  $\text{Com}(\gamma) \geq n$ ,  $\text{Com}(L(\gamma_n)) \geq n$  hence  $\text{Com}(L(\gamma_n)) = n$ .

Clearly, the parameters *Var*, *Prod*, *Symb* can be computed for an arbitrary *PCGS* by a simple counting. The situation is different for the measure *Com* due to its dynamical character (it is evaluated on an infinite set, that of all terminal derivations).

**Theorem 5.**  $\text{Com}(\gamma)$  and  $\text{Com}(L(\gamma))$  cannot be algorithmically computed for an arbitrarily given (context-free, centralized and returning) *PCGS*.

*Proof.* In fact, a more general assertion is true, namely “the context-free-ness of  $L(\gamma)$ , for an arbitrarily given *PCGS*  $\gamma$ , is undecidable”. On the other hand,  $L(\gamma)$  is context-free if and only if  $\text{Com}(L(\gamma)) = 0$ .

For, consider an arbitrary context-free grammar  $G = (V_N, V_T, S, P)$ , with  $V_T = \{a, b\}$ , and the non-context-free language

$$L = \{c^n d^m c e^m \mid m \geq n \geq 1\}$$

and construct the language

$$L' = L(G) \{c, d, e\}^+ \cup \{a, b\}^+ L.$$

If  $L(G) = \{a, b\}^+$ , then  $L' = \{a, b\}^+ \{c, d, e\}^+$ , hence it is a regular language. If  $L(G) \neq \{a, b\}^+$ , then let  $w \in \{a, b\}^+ - L(G)$  be an arbitrary string. We have  $L' \cap \{w\} \{c, d, e\}^+ = \{w\} L$ , and this is not a context-free language. Consequently,  $L'$  is context-free (even regular) if and only if  $L(G) = \{a, b\}^+$ . The equality  $L(G) = \{a, b\}^+$  is undecidable for arbitrary context-free grammars, hence it is undecidable whether  $L'$  is context-free or not.

On the other hand,  $L'$  is generated by the *PCGS*  $\gamma = (G_1, G_2)$ , with

$$\begin{aligned} G_1 &= (\{S_1, A, B, C, T, Q_2\} \cup V_N, \{a, b, c, d, e\}, S_1, \\ &\quad \{S_1 \rightarrow T\} \cup P \cup \{T \rightarrow T\alpha \mid \alpha \in \{c, d, e\}\} \cup \\ &\quad \{T \rightarrow S\alpha \mid \alpha \in \{c, d, e\}\} \cup \\ &\quad \{S_1 \rightarrow AB\} \cup \{A \rightarrow \alpha A \mid \alpha \in \{a, b\}\} \cup \\ &\quad \{A \rightarrow \alpha \mid \alpha \in \{a, b\}\} \cup \\ &\quad \{B \rightarrow cB, B \rightarrow cQ_2, C \rightarrow c\}) \\ G_2 &= (\{S_2, C\}, \{d, e\}, S_2, \{S_2 \rightarrow C, C \rightarrow dCe\}). \end{aligned}$$

(Starting with the rule  $S_1 \rightarrow T$  we produce a string in  $L(G) \{c, d, e\}^+$  and starting with  $S_1 \rightarrow AB$  we obtain a string in  $\{a, b\}^+ L$ .) Consequently,  $Com(L(\gamma)) = 0$  if and only if  $L(\gamma)$  is regular, which is undecidable.

Moreover, let us remark that when  $L(G) = \{a, b\}^+$ , then the derivations starting with  $S_1 \rightarrow T$  produce all strings in  $L(\gamma)$ , without involving communications. When  $L(G) \neq \{a, b\}^+$ , as the language  $L(\gamma)$  is not context-free, at least a communication step is done. In conclusion,  $Com(\gamma) = 0$  if and only if  $L(G) = \{a, b\}^+$ , hence also the equality  $Com(\gamma) = 0$  is undecidable.  $\square$

**Corollary.** It is not decidable whether  $Com(\gamma) = Com(L(\gamma))$ , for an arbitrarily given *PCGS*  $\gamma$ .

**Proof.** For the above considered language  $L'$ , construct the *PCGS*  $\gamma = (G_1, G_2, G_3)$ , with

$$\begin{aligned} G_1 &= (\{S_1, A, B, C, T, Q_2, Q_3\} \cup V_N, \{a, b, c, d, e\}, S_1, \\ &\quad \{S_1 \rightarrow ST, T \rightarrow Q_3\} \cup \{T \rightarrow \alpha T \mid \alpha \in \{c, d, e\}\} \cup P \cup \\ &\quad \{S_1 \rightarrow AB, B \rightarrow cB, B \rightarrow cQ_2, C \rightarrow c\} \cup \\ &\quad \{A \rightarrow \alpha A \mid \alpha \in \{a, b\}\} \cup \{A \rightarrow \alpha \mid \alpha \in \{a, b\}\}) \\ G_2 &= (\{S_2, C\}, \{d, e\}, S_2, \{S_2 \rightarrow C, C \rightarrow dCe\}) \\ G_3 &= (\{S_3\}, \{c, d, e\}, S_3, \{S_3 \rightarrow \alpha \mid \alpha \in \{c, d, e\}\}). \end{aligned}$$

As it easily can be seen,  $L(\gamma) = L'$  and each derivation in  $\gamma$  must use either the rule  $B \rightarrow cQ_2$  or the rule  $T \rightarrow Q_3$ , hence  $Com(\gamma) = 1$ . On the other hand,  $Com(L(\gamma)) = 0$  or  $Com(L(\gamma)) = 1$ , depending on the equality  $L(G) = \{a, b\}^+$ , which is undecidable.

Consider now the *compatibility* question [6]: given a measure  $M : X \rightarrow \mathbf{N}$  and a language  $L \in \mathcal{L}(X)$ , denote

$$M^{-1}(L) = \{G \in X \mid M(G) = M(L), L = L(G)\}$$

(the set of minimal generative mechanisms for  $L$ , with respect to  $M$ ). Two measures  $M_1, M_2$  are said to be *incompatible* if there is a language  $L$  such that

$$M_1^{-1}(L) \cap M_2^{-1}(L) = \emptyset$$

(they cannot be simultaneously minimized for at least one language).  $\square$



**Theorem 6.** The measure  $Com$  is incompatible with each of  $Var$ ,  $Prod$ ,  $Symb$ .

*Proof.* Consider the language

$$L = \{a^n b^n c b^n c b^n c a^n \mid n \geq 1\}.$$

It can be generated by the  $PCGS$   $\gamma = (G_1, G_2, G_3)$ , with

$$\begin{aligned} G_1 &= (\{S_1, S_3, S_3, Q_2, Q_3\}, \{a, b, c\}, S_1, \\ &\quad \{S_1 \longrightarrow aS_1a, S_1 \longrightarrow aQ_2cQ_3a, S_2 \longrightarrow c, S_3 \longrightarrow c\}) \\ G_2 &= (\{S_2\}, \{b\}, S_2, \{S_2 \longrightarrow bS_2b\}) \\ G_3 &= (\{S_3\}, \{b\}, S_3, \{S_3 \longrightarrow bS_3\}). \end{aligned}$$

Consequently,  $Com(L) \leq 2$ .

Consider a  $PCGS$   $\gamma$  such that  $L = L(\gamma)$ ,  $Com(\gamma) \leq 2$ . Suppose  $\gamma = (G_1, G_2)$ . Each of  $G_1, G_2$  is context-free and each string in  $L$  contains five substrings  $a^n, b^n$  with related lengths. This implies  $Com(\gamma) \geq 2$ . If two communications are performed from  $G_2$  to  $G_1$ , then they must be allowed to bring to  $G_1$  strings of the same form (after a communication, the grammar  $G_2$  resumes working from  $S_2$ ). However, we cannot distinguish in  $a^n b^n c b^n c b^n c a^n$  two substrings, both of the form  $a^n$  or of the form  $b^n c$  or  $c b^n$  and so on, such that the string obtained by removing them to can be generated in the context-free grammar  $G_1$ . In conclusion, either  $Com(\gamma) \geq 3$ , or  $\gamma$  is of degree at least 3, contradiction.

As we assumed  $Com(\gamma) \leq 2$ , we have  $\gamma$  of degree at least 3. However, this implies  $Var(\gamma) \geq 5$  (we have to use at least  $S_1, S_2, S_3, Q_2, Q_3$ ),  $Prod(\gamma) \geq 5$  (each  $G_i$  contains at least a rule, whereas  $G_1$  must contain a terminal rule, one introducing  $Q_2, Q_3$  and a recursive one, which is different from the above two), and  $Symb(\gamma) \geq 19$  (in each  $G_i$  we have a nonterminal rule, also introducing a symbol  $a, b$  – we obtain  $Symb \geq 12$  for them – but also  $c$  must be introduced by a non-recursive rule, as well as  $Q_2, Q_3$  – two further rules, with  $Symb \geq 7$ ).

On the other hand,  $Var(L) \leq 4$ ,  $Prod(L) \leq 4$ ,  $Symb(L) \leq 17$ , as  $L$  can be generated by the  $PCGS$   $\gamma' = (G_1, G_2)$ , with

$$\begin{aligned} G_1 &= (\{S_1, S_2, Q_2\}, \{a, b, c\}, S_1, \\ &\quad \{S_1 \longrightarrow aS_1a, S_1 \longrightarrow Q_2Q_2Q_2, S_2 \longrightarrow c\}) \\ G_2 &= (\{S_2\}, S_2, \{S_2 \longrightarrow bS_2\}) \end{aligned}$$

having  $Com(\gamma') = 3$ .

#### 4. FINAL REMARKS

Of course, the complexity of  $PCGS$  must be more investigated, both considering for them measures used for context-free grammars (grammatical level, index etc. [6]) and defining specific measures. For instance, a natural idea is to consider the number of simultaneously used query symbols: for a derivation  $D$  as in the beginning of Section 3, define

$$SCom(w_{i,1}, \dots, w_{i,n}) = \max \{|x_{i,j}|_K : 1 \leq j \leq n\}$$

and then define  $SCom(D)$ ,  $SCom(x, \gamma)$ ,  $SCom(\gamma)$ ,  $SCom(L)$  as for  $Com$ . Similar results as for  $Com$  are expected also for this measure. Other such measures can be the maximum length of a communicated string, the degree of non-centralization (the number of grammars introducing query symbols) and so on.

As we already said, the  $PCGS$  area seems to be both “practically” motivated and rich in theoretical problems.

(Received October 10, 1990.)

## REFERENCES

- 
- [1] W. Bucher, K. Čulík II., H. A. Maurer and D. Wotschke: Concise description of finite languages. Theoret. Comput. Sci. *14* (1981), 227 – 246.
  - [2] E. Csuhaj-Varju and J. Dassow: On cooperating/distributed grammar systems. J. Inform. Process. Cybernet. (EIK) *26* (1990), 49 – 63.
  - [3] S. Ginsburg: The Mathematical Theory of Context-Free Languages. McGraw-Hill, New York 1966.
  - [4] J. Gruska: On a classification of context-free languages. Kybernetika *3* (1967), 22 – 29.
  - [5] J. Gruska: Some classifications of context-free languages. Inform. and Control *14* (1969), 152 – 179.
  - [6] J. Gruska: Descriptive complexity of context-free languages. In: Proc. Math. Found. Comp. Sci. Symp., High Tatras, 1973, pp. 71 – 84.
  - [7] Gh. Păun: On the fidelity of some measures of syntactic complexity. Rev. Roum. Lingv. *24* (1987), 77 – 86.
  - [8] Gh. Păun: Parallel communicating grammar systems: the context-free case. Found. Control Engrg. *14* (1989), 39 – 50.
  - [9] Gh. Păun: Non-centralized parallel communicating grammar systems. Bulletin of the EATCS *40* (1989), 257 – 264.
  - [10] Gh. Păun: On the power of synchronization in parallel communicating grammar systems. Stud. Cerc. Matem. *41* (1989), 191 – 197.
  - [11] Gh. Păun and L. Sântean: Parallel communicating grammar systems: the regular case. Ann. Univ. Buc., Ser. Matem.-Inform. *37* (1989), 55 – 63.
  - [12] A. Salomaa: Formal Languages. Academic Press, New York – London 1973.
  - [13] L. Sântean: Parallel communicating grammar systems. Bulletin of the EATCS (The Formal Language Theory Column) *42* (1990), 160 – 171.
  - [14] L. Sântean and J. Kari: The impact of the number of cooperating grammars on the generative power. Theoret. Comput. Sci. (to appear).

*Dr. Gheorghe Păun, Institute of Mathematics, Str. Academiei 14, 70109 București. Romania.*