

# Bearing fault detection in brushless DC motors

## A sensitivity study

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# Outline

Introduction

Fault model

Envelope analysis

Cyclostationary analysis

Spectral kurtosis

Conclusion

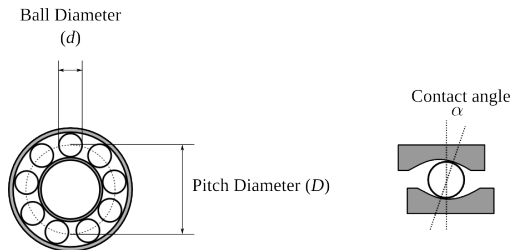


# Problem statement

## Fault detection in brushless DC motors

- ▶ Design of algorithms for fault detection in electronically commutated (EC) motors
- ▶ Unknown quality limits
- ▶ Incipient faults – hard to distinguish between faulty and fault-free motor

# Bearing vibration model



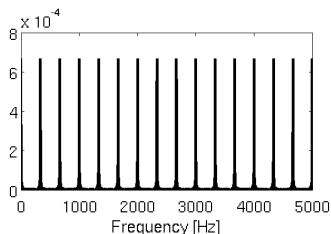
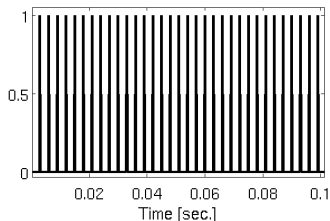
$$\begin{aligned}
 BPFO &= \frac{Zf_{rot}}{2} \left(1 - \frac{d}{D} \cos\alpha\right) & BPF1 &= \frac{Zf_{rot}}{2} \left(1 + \frac{d}{D} \cos\alpha\right) \\
 FTF &= \frac{f_{rot}}{2} \left(1 - \frac{d}{D} \cos\alpha\right) & BSF &= \frac{Df_{rot}}{2d} \left(1 - \left(\frac{d}{D} \cos\alpha\right)^2\right)
 \end{aligned}$$

# Localized bearing fault

## Idealized periodic pulses

$$x(t) = \sum_{i=-\infty}^{+\infty} \delta(t - iT)$$

$$\mathcal{F}\{x(t)\}$$

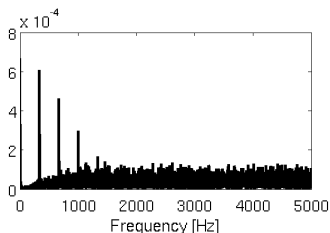
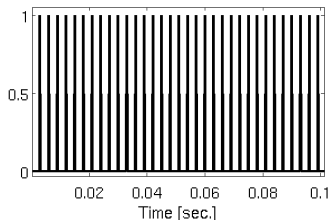


# Localized bearing fault

## Pulses with random lag

$$x(t) = \sum_{i=-\infty}^{+\infty} \delta(t - iT - \tau_i)$$

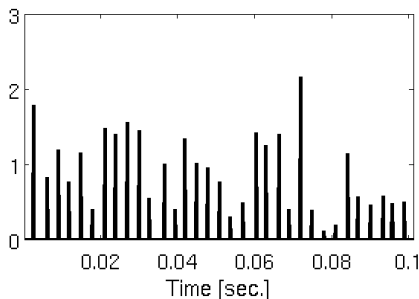
$$\mathcal{F}\{x(t)\}$$



# Localized bearing fault

## Random amplitude Pulses with random lag

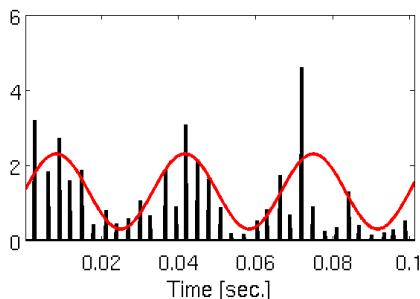
$$x(t) = \sum_{i=-\infty}^{+\infty} A_i \delta(t - iT - \tau_i)$$



# Localized bearing fault

Periodic AM random amplitude Pulses with random lag

$$x(t) = \sum_{i=-\infty}^{+\infty} A_i q(T_p) \delta(t - iT - \tau_i)$$



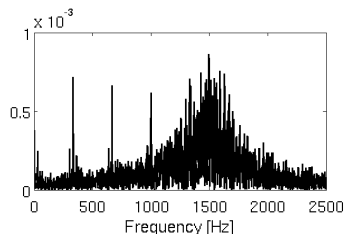
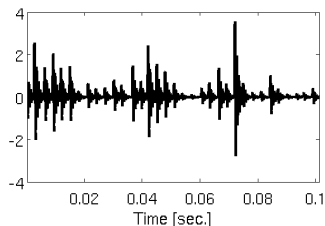


# Localized bearing fault

## Impulse response

$$x(t) = \sum_{i=-\infty}^{+\infty} A_i q(iT) s(t - iT - \tau_i)$$

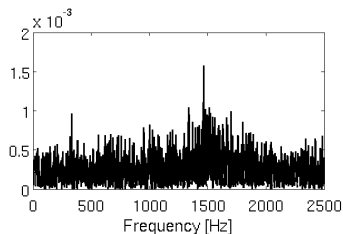
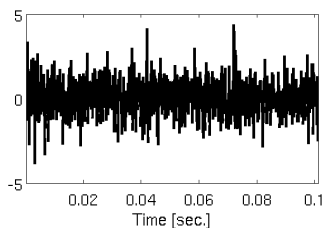
$$\mathcal{F}\{x(t)\}$$



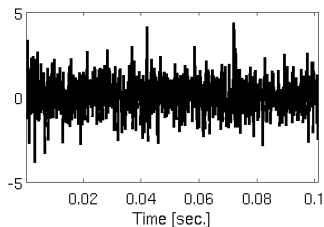
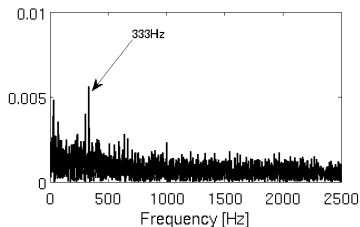
# Localized bearing fault

## Additive noise

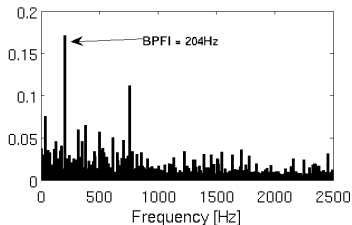
$$x(t) = \sum_{i=-\infty}^{+\infty} A_i q(iT) s(t - iT - \tau_i) + n(t)$$



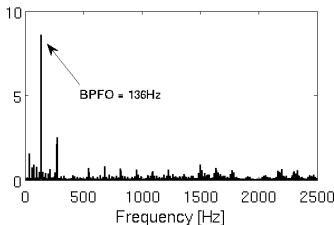
# Envelope analysis of simulated fault

 $x(t)$  $\mathcal{H}\{x(t)\}$ 

# Envelope spectra of unfiltered signals

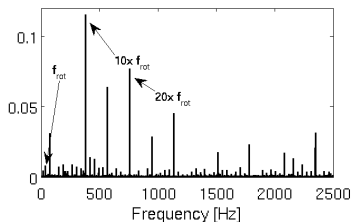


(a) Inner race fault

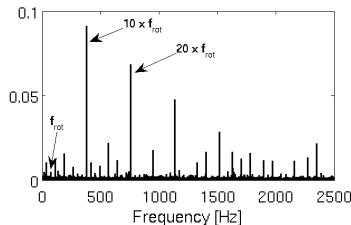


(b) Outer race fault

# Envelope spectra of unfiltered signals



(a) Fault free



(b) Lack of lubrication

# Sensitivity improvements

## Ideas

- ▶ Select a frequency band where the impulses generated by the fault can be best detected
- ▶ Conditions for frequency band selection
  - ▶ where the signal-to-noise ratio (SNR) is the highest
  - ▶ around a structural resonance frequency excited by the impacts
  - ▶ spectrum comparison for determining the region with the biggest change

# Cyclostationary processes

- ▶ Strict-sense CS process (SSCS)

$$F(x_1, x_2, \dots, x_n; t_1, t_2, \dots, t_n) = \\ F(x_1, x_2, \dots, x_n; t_1 + mT, t_2 + mT, \dots, t_n + mT)$$

- ▶ Wide-sense CS process (WSCS)

$$E\{x(t)\} = E\{x(t + mT)\} \\ R_x(t_1, t_2) = R_x(t_1 + mT, t_2 + mT)$$

# Spectral correlation density

- ▶ Wiener-Khinchin theorem for CS

$$S_X(\omega) = \int_{-\infty}^{+\infty} R_X(\tau) e^{-j\omega\tau} d\tau$$

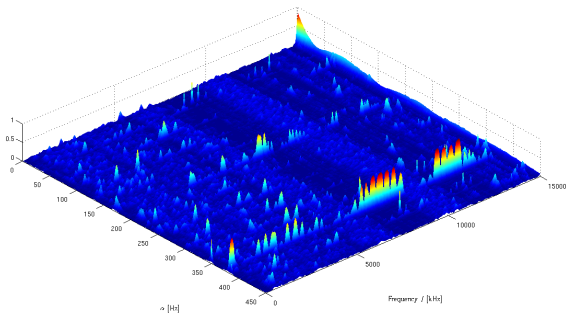
$$S_X^\alpha(\omega) = \int_{-\infty}^{+\infty} R_X^\alpha(\tau) e^{-j\omega\tau} d\tau$$

- ▶ Spectral Coherence (correlation coefficient)

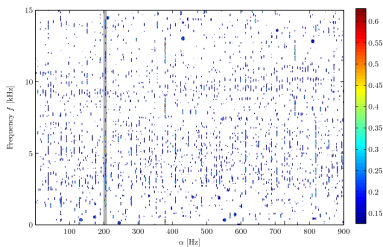
$$|\rho_X^\alpha(\omega)|^2 = \frac{|S_X^\alpha(\omega)|^2}{S_X(f-\alpha/2)S_X(f+\alpha/2)}, |\rho_X^\alpha(\omega)|^2 \in [0, 1]$$



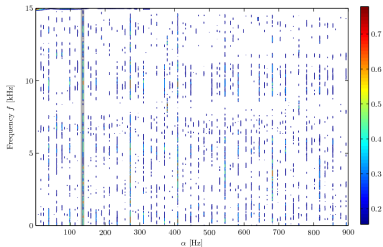
# Cyclic coherence



# Cyclic spectral coherence (SCOH) for vibration signal

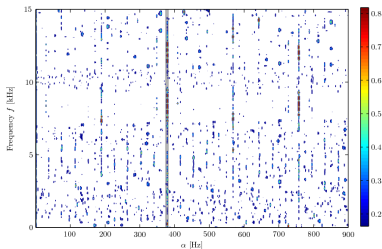


(a) Inner race fault

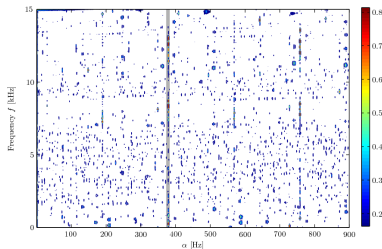


(b) Outer race fault

# Cyclic spectral coherence (SCOH) for vibration signal

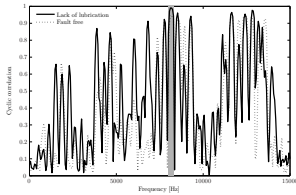


(c) Fault free

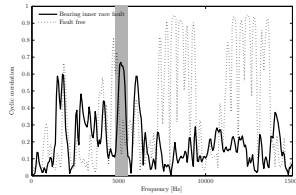


(d) Lack of lubrication

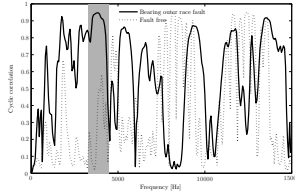
# SCOH for selected cyclic frequencies



(a) Lack of lubrication

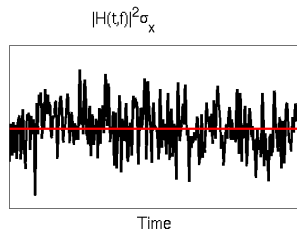
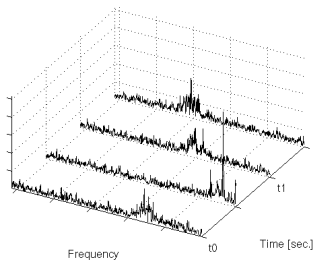


(b) Inner race fault

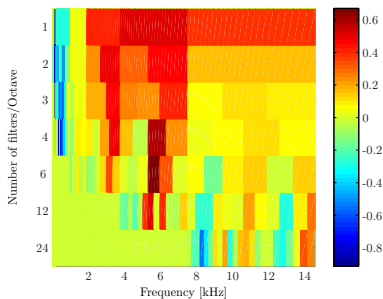


(c) Outer race fault

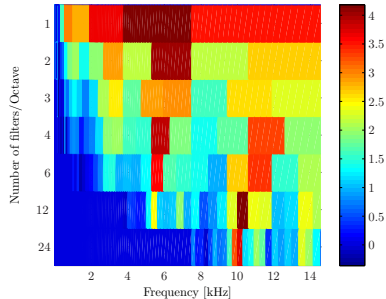
# Definition of spectral kurtosis



# Fast kurtogram

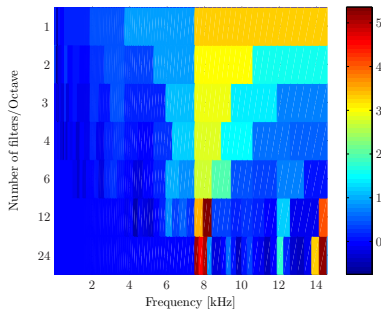


(a) Inner race fault



(b) Outer race fault

# Fast kurtogram



(c) Lack of lubrication

# Selected frequency bands

## ▶ Filter parameters determined by SK method

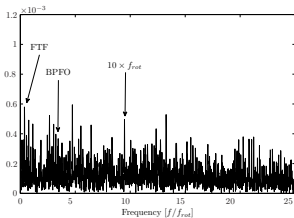
Fault	Central frequency	Bandwidth
Lack of lubrication	8180 Hz	1000 Hz
Bearing inner race fault	5600 Hz	900 Hz
Bearing outer race fault	5000 Hz	2000 Hz

## ▶ Filter parameters determined by CS method

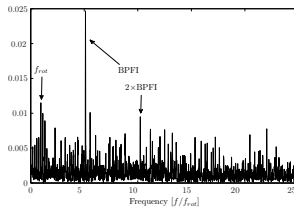
Fault	Central frequency	Bandwidth
Lack of lubrication	8150 Hz	600 Hz
Bearing inner race fault	5100 Hz	800 Hz
Bearing outer race fault	3700 Hz	1400 Hz



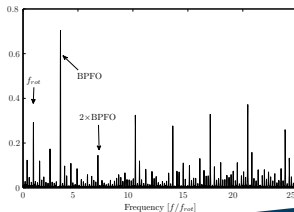
# Results of filtering



(a) Lack of lubrication



(b) Inner race fault



# Conclusion

- ▶ Envelope frequency analysis is capable in detecting majority of bearing faults
- ▶ Blind selection of band-pass filter parameters without the need of any historical data
- ▶ Increase in sensitivity of the feature extraction procedure

# Conclusion

- ▶ Envelope frequency analysis is capable in detecting majority of bearing faults
- ▶ Blind selection of band-pass filter parameters without the need of any historical data
- ▶ Increase in sensitivity of the feature extraction procedure

## Future work

- ▶ Non-stationary operating conditions
- ▶ Estimation of the remaining useful life