

# ILLUMINATION INVARIANT AND ROTATIONAL INSENSITIVE TEXTURAL REPRESENTATION

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## ABSTRACT

We propose an illumination invariant and rotation insensitive texture representation based on a Markovian textural model. A texture is aligned with its dominant orientation and textural features are derived from fast analytical estimates of Markovian statistics. We do not require any knowledge of illumination direction or spectrum. This makes our method suitable for computer analysis of real scenes, where appearance of materials depends on their orientation towards the illumination source. Our method is tested on the most realistic visual representation of natural materials - the bidirectional texture function (BTF), using data from the CURET database, where it outperforms the alternative leading illumination invariant Local Binary Patterns (LBP) and texton MR8 methods, respectively.

**Index Terms**— Illumination invariance, Markov random fields, texture features

## 1. INTRODUCTION

Textures are important clues to specify objects present in a visual scene. Unfortunately, the appearance of natural textures is highly illumination and view angle dependent. As a consequence, most recent real materials texture based classification or segmentation applications require multiple training images [1] captured under all possible illumination and viewing conditions for each material class. Such learning is obviously clumsy, expensive and very often even impossible if required measurements are not available.

Even though Drbohlav and Chantler [2] allow a single training image per class, they require uniform albedo surfaces and the knowledge of illumination direction. The normalisation canceling lighting variations caused by the object geometry [3] completely wipes out rough texture structures with all its valuable discriminative information. It was demonstrated [4] that for a grey-scale image of an object with Lambertian reflectance and missing surface material interreflections there

are no discriminative functions that are invariant to change of illumination direction. Local Binary Patterns [5] (LBP) are popular illumination invariant features, but too noise sensitive [6]. The rotation invariant texton representation [1] based on MR8 filter responses have been extended to incorporate colour information and to be illumination invariant [7]. Another approach [8] generates unseen training images using the photometric stereo, which requires three mutually registered images with different illumination direction for each material. Rotation invariance [9] and normalisation [10] was proposed without illumination invariance.

We introduce the efficient illumination invariant multispectral texture representation, which is simultaneously insensitive to texture rotation. The recognition accuracy is tested on the CURET texture database [11], which includes samples with varying illumination direction and also limited viewpoint changes. The employed features are simultaneously invariant to illumination brightness and spectrum changes, and robust to Gaussian noise degradation [6].

## 2. TEXTURE ANALYSIS

The scheme of texture analysis algorithm is depicted in Fig. 1. We start with the estimation of the dominant texture orientation. If the texture is significantly directional, it is rotated according to its dominant orientation. The texture is factorised into  $K$  levels of the Gaussian pyramid and subsequently each pyramid level is modelled by a Markov Random Field type of model - the Causal Autoregressive Random (CAR) model. The CAR model parameters are estimated and illumination invariants are subsequently computed from them. Finally, the illumination invariants from all the models are concatenated into one feature vector.

### 2.1. Orientation normalisation

The dominant texture orientation is estimated from the histogram of gradient orientations. Similar algorithm is used to determine orientation of SIFT keypoints [12]. The purpose of this preprocessing is detection of textures with strong orientations and their rotation alignment. The rotation of tex-

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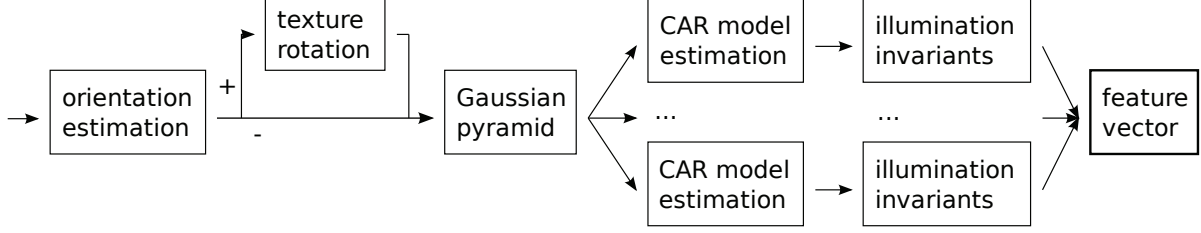


Fig. 1. Texture analysis algorithm

tures with less significant directions or unidirectional textures is not required, because they are aptly represented by the CAR model.

The input texture is converted into greyscale image  $G$  and its gradient  $\nabla G(r_1, r_2) = [G(r_1 + 1, r_2) - G(r_1 - 1, r_2), G(r_1, r_2 + 1) - G(r_1, r_2 - 1)]$  is computed at each pixel  $r = (r_1, r_2)$  where the first component  $r_1$  of the multiindex is the row and  $r_2$  is the column index, respectively.

Subsequently, histogram of gradient orientations is computed. Each gradient orientation is weighted by its magnitude and bilinear interpolation is used to assign gradient weight into two adjacent bins. All orientations are fitted into the interval  $[0^\circ, 180^\circ)$ . The reason is homogeneity of textures, where gradients in some direction are followed by gradients in the opposite direction. We use histogram with 36 bins and 6 pass histogram smoothing (averaging of three adjacent bins).

The texture is considered to have a dominant orientation if the height of the second highest peak in the histogram is lower than 80% of the highest peak. If there is no second highest peak, the sum of the highest peak bin and its two adjacent bins have to be greater than 150% of the expected value for three bins.

## 2.2. CAR Model

Let us assume that each multispectral texture is composed of  $C$  spectral planes (usually  $C = 3$ ).  $Y_r = [Y_{r,1}, \dots, Y_{r,C}]^T$  is multispectral pixel at location  $r$ . The spectral planes are either modelled by 3-dimensional CAR model or mutually decorrelated by the Karhunen-Loeve transformation (Principal Component Analysis) and subsequently modelled using a set of  $C$  2-dimensional CAR models.

The CAR representation assumes that the multispectral texture pixel  $Y_r$  can be modelled as linear combination of its neighbours:

$$Y_r = \gamma Z_r + \epsilon_r, \quad Z_r = [Y_{r-s}^T : \forall s \in I_r]^T \quad (1)$$

where  $Z_r$  is the  $C\eta \times 1$  data vector with multiindices  $r, s, t$ ,  $\gamma = [A_1, \dots, A_\eta]$  is the  $C \times C\eta$  unknown parameter matrix with submatrices  $A_s$ . In the case of  $C$  2D CAR models stacked into the model equation (1) the parameter matrices  $A_s$  are diagonal otherwise they are full matrices for general 3D CAR models. Some selected contextual

causal or unilateral neighbour index shift set is denoted  $I_r$  and  $\eta = \text{cardinality}(I_r)$ . The white noise vector  $\epsilon_r$  has normal density with zero mean and unknown constant covariance matrix, same for each pixel. Additionally for 2D CAR model, we assume uncorrelated noise vector components. Given the known CAR process history  $Y^{(t-1)} = \{Y_{t-1}, Y_{t-2}, \dots, Y_1, Z_t, Z_{t-1}, \dots, Z_1\}$  the parameter estimation  $\hat{\gamma}$  can be accomplished using fast, numerically robust and recursive statistics [13]:

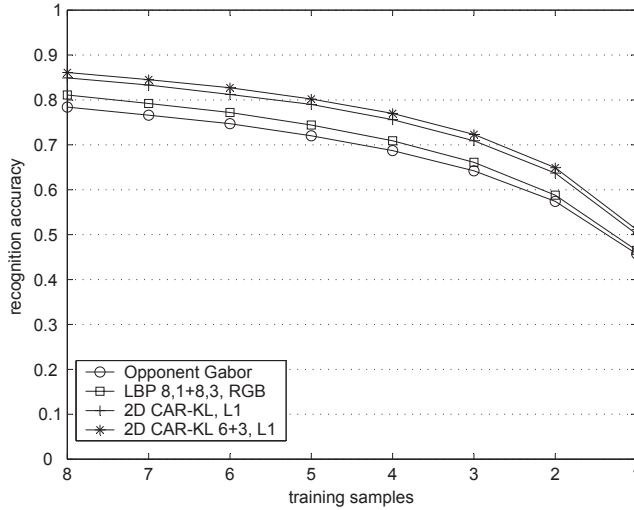
$$\begin{aligned} V_{t-1} &= \tilde{V}_{t-1} + V_0, \\ \tilde{V}_{t-1} &= \begin{pmatrix} \sum_{u=1}^{t-1} Y_u Y_u^T & \sum_{u=1}^{t-1} Y_u Z_u^T \\ \sum_{u=1}^{t-1} Z_u Y_u^T & \sum_{u=1}^{t-1} Z_u Z_u^T \end{pmatrix} \\ &= \begin{pmatrix} \tilde{V}_{yy(t-1)} & \tilde{V}_{zy(t-1)}^T \\ \tilde{V}_{zy(t-1)} & \tilde{V}_{zz(t-1)} \end{pmatrix}, \\ \lambda_{t-1} &= V_{yy(t-1)} - V_{zy(t-1)}^T V_{zz(t-1)}^{-1} V_{zy(t-1)}, \end{aligned}$$

where  $V_0$  is a positive definite matrix (see [13]).

## 2.3. Illumination Invariant Features

We assume that the two images  $\tilde{Y}, Y$  of the same texture and view position differing only in illumination can be linearly transformed to each other:  $\tilde{Y}_r = B Y_r$ , where  $\tilde{Y}_r, Y_r$  are multispectral pixel values at position  $r$  and  $B$  is a transformation matrix. This linear formula is valid for changes in brightness and illumination spectrum, with Lambertian surface reflectance, or with model including specular reflectance component (e.g. dichromatic reflection model [14]). With the previous assumptions, the following illumination invariant features were derived [6]:

1. trace:  $\text{tr } A_m, m = 1, \dots, \eta K$
2. eigenvalues:  $\nu_{m,j}$  of  $A_m, m = 1, \dots, \eta K, j = 1, \dots, C$
3.  $\alpha_1: 1 + Z_r^T V_{zz}^{-1} Z_r$ ,
4.  $\alpha_2: \sqrt{\sum_r (Y_r - \hat{\gamma} Z_r)^T \lambda^{-1} (Y_r - \hat{\gamma} Z_r)}$ ,
5.  $\alpha_3: \sqrt{\sum_r (Y_r - \mu)^T \lambda^{-1} (Y_r - \mu)}$ ,  $\mu$  is the mean value of vector  $Y_r$ ,



**Fig. 2.** Classification accuracy for different number of random training images, mean values were computed over 1000 repetitions.

Feature vectors are formed from these illumination invariants, which are easily evaluated during the CAR parameters estimation process. In the case of 2D models, invariants 3. – 5. are computed for each spectral plane separately. We have also experimented with combination of two models, where each factor of Gaussian pyramid is modelled by two models with different neighbourhood  $I_r$ . In that case, illumination invariants for both models are included in the final feature vector.

The distance between two feature vectors is computed using the  $L_1$  norm or alternatively with fuzzy contrast [15] in its symmetrical form  $FC_3$  (see details in [16]). However, the fuzzy contrast requires mean and standard deviation of each feature, which was estimated on the whole image database.

### 3. RESULTS

In the experiments, we focus on robustness of proposed texture representation under varying illumination direction and also limited viewpoint changes, which are compensated by texture rotation. These conditions are quite close to real world, where materials in a scene are observed under different viewpoint and illumination conditions.

We evaluated the texture recognition accuracy on the Columbia-Utrecht Reflectance and Texture Database (CURET) [11] to be able to compare our results with alternative approaches even if this database is already overcome by BTF measurements from the University of Bonn. This first BTF database consists of 61 real-world materials captured under different combinations of viewing and illumination directions. Dataset provided by Varma and Zisserman [1] consists of 61 materials, each with 92 samples with resolution

**Table 1.** Classification accuracy [%], using 4 random training images per texture.

method	performance	features
[7] MR8	58	600
[7] MR8-NC	54	600
[7] MR8-INC	60	600
[7] MR8-LINC	<b>67</b>	600
[7] MR8-SLINC	57	600
Gabor features	61.7	144
Opponent Gabor features	<b>68.7</b>	252
$LBP_{8,1+8,3}$ , grey	66.9	512
$LBP_{8,1+8,3}$ , RGB	<b>70.9</b>	<b>1536</b>
$LBP_{16,2}^u$ , RGB	68.7	729
$LBP_{16,2}^{riu2}$ , RGB	64.2	54
$LBP_{8,1+8,3}$ , opponent	57.4	1536
$LBP_{16,2}^u$ , opponent	69.7	729
2D CAR-KL, $L_1$	<b>75.6</b>	260
2D CAR-KL, $FC_3$	75.1	260
<b>2D CAR-KL 6+3, <math>L_1</math></b>	<b>77.0</b>	<b>392</b>
2D CAR-KL 6+3, $FC_3$	77.6	392
3D CAR, $L_1$	69.7	236
3D CAR, $FC_3$	67.6	236
3D CAR 6+3, $L_1$	<b>72.4</b>	344
3D CAR 6+3, $FC_3$	72.6	344

$200 \times 200$  pixels. We follow the experimental setup [7], where the classification accuracy is tested with randomly selected training samples and the SVM classifier. The number of training samples per material decreases from 8 to 1. The mean and standard deviation of classification accuracy is computed over 1000 repetitions (random selections). On the contrary, we use only simple nearest neighbour classifier.

Additionally to rotation and illumination invariant MR8 variants [7], we have compared in Tab.1 the performance of some other most frequented features. The Gabor features [17], which are rotation variant statistics of Gabor filters responses, were computed separately for each spectral plane and concatenated into the feature vector. The Opponent Gabor features [18] are extension that analyse relations between spectral channels. The distances for Gabor features requires standard deviation of features, which have been estimated on the whole image database. Local Binary Patterns [5] (LBP) are histograms of thresholded micro patterns, we have tested variants  $LBP_{8,1+8,3}$ ,  $LBP_{16,2}^u$  and rotation invariant  $LBP_{16,2}^{riu2}$ . They were computed either on grey-scale images or on each spectral plane of RGB colour space or opponent colour space.

The CAR features were computed for  $K = 4$  levels of Gaussian pyramid, using the 6-th order hierarchical neighbourhood. For combination of two models we use 6-th and 3-th order neighbourhoods, which consist in  $\eta = 14$  and  $\eta = 6$  neighbours, respectively.

Fig. 2 shows mean recognition rates over 1000 random repetitions. It is directly comparable to results [7], where recognition rate for MR8-LINC monotonously decreases, approximately, from 75% to 45% for 8 to 1 training samples. Our best performance, which is combination of two models (2D CAR-KL 6+3), goes from 86% to 51% with standard deviations from 0.6% to 1.5% .

The exact mean recognition rates together with size of feature vectors are displayed in Tab. 1, standard deviations are below 1%. The best performance 77% was again achieved with 2D CAR-KL 6+3 models, followed by 2D CAR-KL model with 75.6%, both with  $L_1$  distance. The best alternative features were  $LBP_{8,1+8,3}$  with average performance 70.9% and 4 times larger feature vector.

#### 4. CONCLUSIONS

We have compared the proposed illumination invariant textural representation on the classification of textures captured under varying illumination directions.<sup>1</sup> These BTF textures represent visual properties of sixty different real-world materials. Our illumination invariants are efficiently computed Markovian texture statistics. The overall method is insensitive to texture rotation and uses low number of features. The classifier can be learned from only one training image per texture and requires no knowledge of illumination direction or the spectrum. The classifier was tested on the database CURET, where it outperforms alternative leading illumination invariant LBP and texon MR8 methods.

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<sup>1</sup>Demonstrations are at <http://cbir.utia.cas.cz>, <http://ro.utia.cz/dem.html>