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COMMISSION OF THE EUROPEAN COMMUNITIES

Brussels,

COM(2005) 442 final/2

2005/0187 (CNS)

Amended proposal for a

**COUNCIL DECISION**

**concerning the specific programme “People” implementing the 7<sup>th</sup> Framework Programme (2007-2013) of the European Community for research, technological development and demonstration activities**

**«Adaptation following the agreement, 17 May 2006, on the Financial Perspectives»**

(presented by the Commission)

2005/0187 (CNS)

Amended proposal for a

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**concerning the specific programme “People” implementing the 7<sup>th</sup> Framework Programme (2007-2013) of the European Community for research, technological development and demonstration activities**

**(Text with EEA relevance)**

*Article 3 is replaced as follows:*

*Article 3*

The amount deemed necessary for the execution of the specific programme shall be EUR 4 727\* million, of which less than 6% shall be for the Commission’s administrative expenditure.

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EUR 4 153 million in 2004 prices

## LEGISLATIVE FINANCIAL STATEMENT

### 1. NAME OF THE PROPOSAL:

Proposal for a COUNCIL DECISION adopting a specific programme for research, technological development and demonstration activities: "People" (2007 to 2013)

### 2. ABM / ABB FRAMEWORK

RESEARCH

### 3. BUDGET LINES

#### 3.1. Budget lines (operational lines and related technical and administrative assistance lines) including headings:

08 11 01 People

#### 3.2. Duration of the action and of the financial impact:

2007-2013 subject to the approval of new financial perspectives framework

#### 3.3. Budgetary characteristics:

Budget line	Type of expenditure		New	EFTA contribution	Contributions from applicant countries	Heading in financial perspective
08	Non-comp	Diff <sup>1</sup> /	YES	YES	YES	No [1a...]
XX.01	Non-comp	Non-diff <sup>2</sup>	YES	NO	NO	No [1a...]
XX.01.05	Non-comp	Non-diff	YES	YES	YES	No [1a...]

<sup>1</sup> Differentiated appropriations.

<sup>2</sup> Non-differentiated appropriations here after referred to as NDA.

## 4. SUMMARY OF RESOURCES

### 4.1. Financial Resources

#### 4.1.1. Summary of commitment appropriations (CA) and payment appropriations (PA)

EUR million (to 3 decimal places)

Expenditure type	Secti on no.		2007	2008	2009	2010	2011	2012	2013	Total
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#### Operational expenditure<sup>3</sup>

Commitment Appropriations (CA)	8.1	a	430,179	458,432	489,432	523,164	737,964	891,953	936,090	4.467,214
Payment Appropriations (PA)		b	6,000	312,427	462,356	570,835	638,882	731,746	1.744,968	4.467,214

#### Administrative expenditure within reference amount<sup>4</sup>

Technical & administrative assistance (NDA)	8.2.4	C	24,220	36,018	36,739	38,258	39,469	41,587	43,678	259,969
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#### TOTAL REFERENCE AMOUNT

Commitment Appropriations		a + c	454,399	494,450	526,171	561,422	777,433	933,540	979,768	4.727,183
Payment Appropriations		b + c	30,220	348,445	499,095	609,093	678,351	773,333	1.788,646	4.727,183

#### Administrative expenditure not included in reference amount<sup>5</sup>

Human resources and associated expenditure (NDA)	8.2.5 d									
Administrative costs, other than human resources and associated costs, not included in reference amount (NDA)	8.2.6 e									

#### Total indicative financial cost of intervention

<b>TOTAL CA including cost of Human Resources</b>	a+c +d +e	454,399	494,450	526,171	561,422	777,433	933,540	979,768	4.727,183
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<sup>3</sup> Expenditure that does not fall under Chapter xx 01 of the Title xx concerned.

<sup>4</sup> Expenditure within article xx 01 05 of Title xx.

<sup>5</sup> Expenditure within chapter xx 01 other than articles xx 01 05.

<b>TOTAL PA including cost of Human Resources</b>	b+c +d +e	30,220	348,445	499,095	609,093	678,351	773,333	1.788,646	4.727,183
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### Co-financing details

If the proposal involves co-financing by Member States, or other bodies (please specify which), an estimate of the level of this co-financing should be indicated in the table below (additional lines may be added if different bodies are foreseen for the provision of the co-financing):

*EUR million (to 3 decimal places)*

Co-financing body		Year n	n + 1	n + 2	n + 3	n + 4	n + 5 and later	Total
	f							
TOTAL CA including co-financing	a+c +d+ e+f							

#### 4.1.2. Compatibility with Financial Programming

- Proposal is compatible with next financial programming (Interinstitutional agreement on the financial perspectives 2007-2013).
- Proposal will entail reprogramming of the relevant heading in the financial perspective.
- Proposal may require application of the provisions of the Interinstitutional Agreement<sup>6</sup> (i.e. flexibility instrument or revision of the financial perspective).

#### 4.1.3. Financial impact on Revenue

- Proposal has no financial implications on revenue
- Proposal has financial impact – the effect on revenue is as follows:

Certain Associated States may contribute to the funding of the framework programmes.

In accordance with Article 161 of the Financial Regulation, the Joint Research Centre may benefit from revenue from various types of competitive activities and from other services provided for outside bodies.

In accordance with Article 18 of the Financial Regulation, certain revenue may be used to finance specific items.

<sup>6</sup> See points 19 and 24 of the Interinstitutional agreement.

EUR million (to one decimal place)

		Prior to action [Year n-1]	Situation following action					
Budget line	Revenue		[Year n]	[n+1]	[n+2]	[n+3]	[n+4]	[n+5]
	<i>a) Revenue in absolute terms</i>							
	<i>b) Change in revenue</i>	$\Delta$						

**4.2. Human Resources FTE (including officials, temporary and external staff) – see detail under point 8.2.1.**

Annual requirements	2007	2008	2009	2010	2011	2012	2013
Total number of human resources	274	286	286	303	309	329	349

It will be necessary to consider year by year the consequences for human resources of the phasing out of the 6<sup>th</sup> Framework Programme and the phasing in of the 7<sup>th</sup> Framework Programme.

**5. CHARACTERISTICS AND OBJECTIVES**

**5.1. Need to be met in the short or long term**

Europe needs to become more attractive to researchers, in order to increase Europe's capacity and performance in research and technological development and to consolidate and further develop the European Research Area. Against the background of growing competition at world level, the development of an open and competitive European labour market for researchers with diversified, attractive career prospects is needed.

**5.2. Value-added of Community involvement and coherence of the proposal with other financial instruments and possible synergy**

The value added of the support provided in this Specific Programme is the promotion of mobility, both trans-national and intersectoral, the structuring effect throughout the EU on the organisation, performance and quality of research training, on the active career development of researchers, and on knowledge sharing through researchers between sectors and research organisations, and on a strong participation of women.

**5.3. Objectives, expected results and related indicators of the proposal in the context of the ABM framework**

The overall objective is the strengthening, quantitatively and qualitatively, of the human potential in research and technological development in Europe, by stimulating people to

enter into the researcher's profession, encouraging European researchers to stay in Europe, and attracting researchers from the entire world, making Europe more attractive to the best researchers. The objectives include the sharing of knowledge through researchers between countries, sectors, organisations and disciplines, as well as a strong participation of women in research and technological development. The objectives will be pursued by systematic investments in people, mainly through a coherent set of "Marie Curie Actions", addressing researchers in terms of their skills and competence development at all stages of their careers, from initial research training to their career development and life long training. Mobility, both in its trans-national and intersectoral dimension, recognition of experiences acquired in different sectors and countries, and adequate working conditions are key elements throughout the "Marie Curie Actions".

The more detailed objectives of each action are set out in Annex I to the legislative proposal.

**Performance indicators** will be developed at three levels:

- Quantitative and qualitative indicators to show the level of compliance with regard to human resources and career development R&D, as well as with regard to achieving structuring impact in these domains.

- Management indicators to monitor performance internally and support senior management decision making. These could include level of budget execution, time to contract and time to payment.

- Outcome (impact) indicators to assess the overall effectiveness against high level objectives. These could include assessment at the aggregate Framework Programme level (e.g. impact on the achievement of the Lisbon, Goeteborg, Barcelona and other objectives) and assessment at the SP level (e.g. contribution made to the EU S&T and economic performance).

#### **5.4. Method of Implementation (indicative)**

Show below the method(s) chosen for the implementation of the action.

Centralised Management

Directly by the Commission

Indirectly by delegation to:

Executive Agencies

Bodies set up by the Communities as referred to in art. 185 of the Financial Regulation

National public-sector bodies/bodies with public-service mission

*Shared or decentralised management*

With Member states

With Third countries



† ***Joint management with international organisations (please specify)***

Relevant comments:

The commission proposes a centralised management of this programme, both directly by the Commission and indirectly by delegation to an Executive Agency.

For the part of the action “Life-long training and career development” implemented through the co-funding modality for regional, national or international programmes in the field of research training and career development, for policy reasons the Commission will retain in full the implementation of the action. The Commission shall also retain direct management of some specific actions.

Externalisation is an important part of the management of this framework programme. It is, in addition, a progressive exercise. Within this context, parts of the framework programme will progressively be given to an existing or new agency. These parts are expected to concern the People programme. The scope of work undertaken by the agencies involved will be determined on the basis of on-going analyses. The continued possibility to sub-contract specific tasks to private companies (e.g. for the development, operation and support of IT tools) will not be ruled out.

## **6. MONITORING AND EVALUATION**

Monitoring and evaluation aspects are set out in the Legislative Financial Statement of the proposal of the 7<sup>th</sup> Framework Programme, COM(2005) 119 final.

## **7. ANTI-FRAUD MEASURES**

Appropriate measures should also be taken to prevent irregularities and fraud and the necessary steps should be taken to recover funds lost, wrongly paid or incorrectly used in accordance with Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities<sup>7</sup>, Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation 1605/2002<sup>8</sup>, Council Regulations (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests<sup>9</sup>, (EC, Euratom) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities’ financial interests against fraud and other irregularities<sup>10</sup> and Regulation (EC) No 1073/1999 of the European Parliament and of the Council concerning investigations conducted by the European Anti-Fraud Office (OLAF)<sup>11</sup>.

<sup>7</sup> OJ L 248, 16.9.2002, p. 1.

<sup>8</sup> OJ L 357, 31.12.2002, p. 1.

<sup>9</sup> OJ L 312, 23.12.1995, p. 1.

<sup>10</sup> OJ L 292, 15.11.1996, p. 2.

<sup>11</sup> OJ L 136, 31.5.1999, p. 1.

## 8. DETAILS OF RESOURCES

### 8.1. Objectives of the proposal in terms of their financial cost

*Commitment appropriations in EUR million (to 3 decimal places)*

(Headings of Objectives, actions and outputs should be provided)	Year 2007		Year 2008		Year 2009		Year 2010		Year 2011		Year 2012		Year 2013		TOTAL	
	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost	No. outputs	Total cost
OPERATIONAL OBJECTIVE No.1 <sup>12</sup>		454,399		494,450		526,171		561,422		777,433		933,540		979,768		<b>4.727,183</b>
TOTAL COST		<b>454,399</b>		<b>494,450</b>		<b>526,171</b>		<b>561,422</b>		<b>777,433</b>		<b>933,540</b>		<b>979,768</b>		<b>4.727,183</b>

<sup>12</sup> As described under Section 5.3.

## 8.2. Administrative Expenditure

### 8.2.1. Number and type of human resources

Types of post		Staff to be assigned to management of the action using existing and/or additional resources ( <b>number of posts/FTEs</b> )						
		Year 2007	Year 2008	Year 2009	Year 2010	Year 2011	Year 2012	Year 2013
Officials or temporary staff <sup>13</sup> (XX 01 01)	A*/AD							
	B*, C*/AST							
Staff financed <sup>14</sup> by art. XX 01 02								
Other staff <sup>15</sup> financed by art. XX 01 05	A*/AD	106	109	109	106	106	105	103
	B*, C*/AST	71	73	73	71	71	70	69
External staff		97	104	104	126	132	154	177
<b>TOTAL</b>		<b>274</b>	<b>286</b>	<b>286</b>	<b>303</b>	<b>309</b>	<b>329</b>	<b>349</b>

### 8.2.2. Description of tasks deriving from the action

Implementation of the Framework Programme

### 8.2.3. Sources of human resources (statutory)

(When more than one source is stated, please indicate the number of posts originating from each of the sources)

- Posts currently allocated to the management of the programme to be replaced or extended
- Posts pre-allocated within the APS/PDB exercise for year 2007
- Posts to be requested in the next APS/PDB procedure

<sup>13</sup> Cost of which is NOT covered by the reference amount.

<sup>14</sup> Cost of which is NOT covered by the reference amount.

<sup>15</sup> Cost of which is included within the reference amount.

Posts to be redeployed using existing resources within the managing service (internal redeployment)

Posts required for year n although not foreseen in the APS/PDB exercise of the year in question

8.2.4. *Other Administrative expenditure included in reference amount (XX 01 05 – Expenditure on administrative management)*

*EUR million (to 3 decimal places)*

Budget line (number and heading)	Year 2007	Year 2008	Year 2009	Year 2010	Year 2011	Year 2012	Year 2013	TOTAL
<b>Statutory staff</b> <b>xx.01 05 01</b>	14,427	21,276	21,702	21,528	21,958	22,144	22,200	<b>145,235</b>
<b>External staff</b> <b>xx.01 05 02</b>	3,514	5,404	5,512	6,811	7,278	8,661	10,154	<b>47,334</b>
<b>Other administrative expenses</b> <b>xx.01 05 03</b>	6,279	9,338	9,525	9,919	10,233	10,782	11,324	<b>67,400</b>
<b>Total Technical and administrative assistance</b>	<b>24,220</b>	<b>36,018</b>	<b>36,739</b>	<b>38,258</b>	<b>39,469</b>	<b>41,587</b>	<b>43,678</b>	<b>259,969</b>

8.2.5. *Financial cost of human resources and associated costs not included in the reference amount*

*EUR million (to 3 decimal places)*

Type of human resources	Year 2007	Year 2008	Year 2009	Year 2010	Year 2011	Year 2012	Year 2013	TOTAL
Officials and temporary staff (08 0101 and )								
Staff financed by Art XX 01 02 (auxiliary, END, contract staff, etc.)								
<b>Total cost of Human Resources and associated costs (NOT in reference amount)</b>								

Calculation– *Administrative expenditures*

*Have been calculated taking into account the following hypothesis :*

- includes all administrative expenditures (including executive agencies)

- the following assumptions in 2004 prices (with 2% inflation) :

cost of official permanent staff and temporary agent : 108 000 €/year

cost of external staff : 48 000 €/year

cost of other administrative expenses : 35 % of staff cost

-figures for 2007 correspond to PDB 2007

Calculation– **Staff financed under art. XX 01 02**

Reference should be made to Point 8.2.1, if applicable

#### 8.2.6. Other administrative expenditure not included in reference amount

EUR million (to 3 decimal places)

	Year 2007	Year 2008	Year 2009	Year 2010	Year 2011	Year 2012 and 2013	TOTAL
XX 01 02 11 01 – Missions							
XX 01 02 11 02 – Meetings & Conferences							
XX 01 02 11 03 – Committees							
XX 01 02 11 04 – Studies & consultations							
XX 01 02 11 05 - Information systems							
<b>2 Total Other Management Expenditure (XX 01 02 11)</b>							
<b>3 Other expenditure of an administrative nature</b> (specify including reference to budget line)							
<b>Total Administrative expenditure, other than human resources and associated costs (NOT included in reference amount)</b>							

Calculation - **Other administrative expenditure not included in reference amount**

The needs for human and administrative resources shall be covered within the allocation granted to the managing DG in the framework of the annual allocation procedure. The allocation of posts should take into account an eventual reallocation of posts between departments on the basis of the new financial perspectives.