

Homogeneous sets of positive outer measure*

Jindřich Zapletal †
Academy of Sciences, Czech Republic
University of Florida
zapletal math.cas.cz

December 17, 2009

Abstract

For every Polish space and a coanalytic set of its countable subsets, if there is a homogeneous set of outer measure one then there is a perfect homogeneous set. In the generic extension by a large measure algebra, if there is a homogeneous set of size continuum then there is a perfect homogeneous set.

1 Introduction

In a recent paper, Tamás Mátrai showed

Fact 1.1. [4] *Let X be a Polish space and $A \subset [X]^{\aleph_0}$ be coanalytic.*

1. *if there is a nonmeager set $C \subset X$ such that $[C]^{\aleph_0} \subset A$, then there is a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$;*
2. *in the iterated Sacks model and the Cohen model, if there is a set $C \subset X$ of size continuum such that $[C]^{\aleph_0} \subset A$, then there is a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$.*

Here, I call a set $A \subset [X]^{\aleph_0}$ coanalytic if the set $\{\vec{x} \in X^\omega : \{\vec{x}(n) : n \in \omega\} \in A\}$ is a coanalytic subset of the space X^ω equipped with the product topology. The sets C, P as in the statement of the fact are called *homogeneous* for A .

In this note, I will adjust Mátrai's argument to treat similar questions in the context of Borel probability measures.

Theorem 1.2. *Let X be a Polish space with a Borel probability measure and $A \subset [X]^{\aleph_0}$ be coanalytic.*

*2000 AMS subject classification 03E15, 03E30, 28A12

†Partially supported by NSF grant DMS 0300201 and Institutional Research Plan No. AV0Z10190503 and grant IAA100190902 of GA AV ČR.

1. If there is a outer mass one set $C \subset X$ such that $[C]^{\aleph_0} \subset A$, then there is a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$;
2. in the random model, if there is a set $C \subset X$ of size continuum such that $[C]^{\aleph_0} \subset A$, then there is a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$.

The notation in this paper follows the set theoretic standard of [2]. If t is a finite binary sequence then $O_t = \{x \in 2^\omega : t \subset x\}$; similar notation is used for clopen subsets of the Baire space ω^ω . The random model is a generic extension of a model of the generalized continuum hypothesis by a measure algebra with κ generators where κ is a regular cardinal larger than the continuum.

2 The iterated null ideal

Let X be a Polish space and μ a Borel probability measure on it. Let I be the σ -ideal of set of μ -mass zero. Consider the following ideals on the space X^ω :

- the ideal I^ω , the iterated Fubini power of I [6, Definition 5.1.1]. Here, a set $A \subset X^\omega$ is in I^ω if Player I has a winning strategy in the game $G(A)$. In this game, Players I and II alternate for ω many rounds, in each round Player I indicates a null set $C_n \subset X$ and Player II responds with a point $x_n \in X \setminus C_n$. Player II wins if $\langle x(n) : n \in \omega \rangle \in A$.
- I_*^ω , the ideal of all sets $A \subset X^\omega$ for which there are sets $C_n : n \in \omega$ such that $C_n \subset X^n$ is μ^n -null, where μ^n is the product measure on X^n , and $\forall \vec{x} \in A \exists n \vec{x} \upharpoonright n \in C_n$.

Both I^ω and I_*^ω are easily seen to be σ -ideals. They are in general distinct; however, in a suitable context, they contain the same analytic sets:

Proposition 2.1. *Suppose that all Δ_2^1 sets are Lebesgue measurable. If $A \subset X^\omega$ is analytic then $A \in I_*^\omega \leftrightarrow A \in I^\omega$.*

I do not know if the Δ_2^1 measurability assumption is necessary. In order to prove the main theorem without this assumption, I will have to pass to a generic extension in which all Δ_2^1 sets are measurable, use the proposition there, and use an absoluteness argument. Tamás Mátrai found a mistake in the original proof that did not consider this detour.

Proof. The left-to-right direction is easy and does not depend on the analyticity of the set A . It is enough to show that for every number $n \in \omega$ and every set $C \subset X^n$ of μ^n -mass zero, the set $\{\vec{x} \in X^\omega : \vec{x} \upharpoonright n \in C\}$ belongs to the ideal I^ω . Indeed, assume without loss of generality that the set C is Borel, and consider the strategy σ for Player I that commands him to play the set $\{y \in X : \{\vec{z} \in X^{n \setminus m} : \langle x_0, x_1, \dots, x_{m-2}, y \rangle \wedge \vec{z} \in C_n\}$ has positive mass} at every round $m \in n$. Use the Fubini theorem to argue by induction that the above set is of null mass, and therefore this is a legal strategy for Player II. Clearly, the strategy is winning and the left-to-right implication follows.

The right-to-left implication is more difficult. Suppose that $A \notin I_*^\omega$ and σ is a strategy for Player I in the game $G(A)$. I must produce a counterplay against the strategy which gives a sequence in the set A .

Fix a continuous function $f : \omega^\omega \rightarrow X^\omega$ such that $A = \text{rng}(f)$. By induction on $n \in \omega$ build points $x_n \in X$ and numbers m_n so that

- the points x_0, \dots, x_{n-1} form a legal finite counterplay against the strategy σ ;
- the set $A_n = \{\vec{z} \in X^{\omega \setminus n} : \langle x_0, \dots, x_{n-1} \rangle \hat{\ } \vec{z} \in f'' O_{\langle m_0, \dots, m_{n-1} \rangle}\}$ is $I_*^{\omega \setminus n}$ positive.

Suppose that the points $x_i : i \in n$ and numbers $m_i : i \in n$ have been constructed. Given a number $m \in \omega$ consider the set C_n^m of all points $y \in X$ such that the set $(A_n^m)_y \subset X^{\omega \setminus n+1}$ is $I_*^{\omega \setminus n}$ positive, where $(A_n^m)_y = \{\vec{z} \in X^{\omega \setminus n+1} : \langle x_0, \dots, x_{n-1}, y \rangle \hat{\ } \vec{z} \in f'' O_{\langle m_0, \dots, m_{n-1}, m \rangle}\}$. It may not be clear how to argue at this point that these sets are measurable; I will only prove that one of them is not null.

Suppose for contradiction that these sets are all null and enclose their union in a Borel null set C_n . Suppose for the simplicity of the notation that the underlying space X is just the Cantor space 2^ω . Consider the following coding of infinite sequences of G_δ null sets (any reasonable coding will do). A code is a sequence \vec{h} of functions $\vec{h}_k : \omega \times (2^{<\omega})^{k \setminus n+1} \rightarrow 2 : k \in \omega$ where the set $\bigcup \{O_{\vec{t}} : \vec{h}_k(i, \vec{t}) = 1\} \subset (2^\omega)^k$ is of $\mu^{k \setminus n+1}$ -mass $\leq 2^{-i}$; the k -th G_δ null set $C_k(\vec{h})$ coded is the intersection of all these open sets as i varies over all natural numbers. The set $\{(y, \vec{h}) : y \notin C_n \text{ and for every } m \in \omega \text{ and every sequence } \vec{z} \in (A_n^m)_y \text{ there is a number } k \in \omega \text{ such that } \vec{z} \upharpoonright (n, k) \in \vec{C}_k(\vec{h})\}$ is coanalytic, and by Novikov-Kondo's uniformization theorem [3, Theorem 36.14] there is a coanalytic uniformization F of it, with domain $X \setminus C$. For every $k > n$ define the set $C_k = \{y \hat{\ } \vec{z} \in X^{k \setminus n} : y \notin C_n, \vec{z} \in C_k(F(y)(k))\}$. This set is Δ_1^1 : $y \hat{\ } \vec{z} \in C_k$ iff $y \notin C_n$ and $\exists \vec{h} \vec{h} = F(y) \wedge \vec{z} \in C_k(\vec{h})$, iff $y \notin C_n$ and $\forall \vec{h} \vec{h} \neq F(y) \vee \vec{z} \in C_k(\vec{h})$. By the assumptions, the set C_k is measurable, and the Fubini theorem shows that it is $\mu^{k \setminus n}$ -null. Now the definitions imply that for every $\vec{y} \in A_n$ there is $k \geq n$ such that $\vec{z} \upharpoonright k \setminus n \in C_n$, contradicting the second induction assumption.

Fix a number m_n such that the set $C_n^{m_n}$ is not null, and choose a point x_n in the set $C_n^{m_n}$ which does not belong to the null set the strategy σ commands Player I to play at round n . The induction hypotheses continue to hold. In the end, the sequence $\langle x_n : n \in \omega \rangle$ belongs to the set A since it is the functional value of f applied to $\langle m_n : n \in \omega \rangle \in \omega^\omega$. The proposition follows. \square

3 The ZFC situation

Let X be a Polish space and μ a Borel probability measure on it. Suppose that $A \subset [X]^{\aleph_0}$ is a coanalytic set and there is an outer mass one set $C \subset X$ such

that $[C]^{\aleph_0} \subset A$. I must produce a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$. By the Borel isomorphism of measures theorem and the perfect set theorem it is enough to deal with the case $X = 2^\omega$ and μ = the unique probability measure on 2^ω invariant under coordinatewise addition. I will first show how to argue with the additional assumption of Δ_2^1 measurability.

Proposition 3.1. *The set $B = \{\vec{x} \in X^\omega : \{\vec{x}(n) : n \in \omega\} \notin A\}$ is in the ideal I^ω .*

Proof. Suppose that the set B is I^ω -positive. It is analytic, and in such a case by [6, Theorem 5.1.9] the game $G(B)$ is determined, and moreover Player II has an very simple winning strategy in the form of a Borel tree $T \subset X^\omega$ such that every node splits into I -positively many immediate successors, and $[T] \subset B$. However, since the set C has outer mass one, it is easy to find a branch of the tree T consisting solely of points in C . However, the definitions show that such a branch cannot be an element of the set B . Contradiction! □

Since $B \in I^\omega$ and B is analytic, it follows from the previous section that $B \in I_*^\omega$ and there are zero mass sets $C_n : n \in \omega$, each a subset of X^n respectively, such that for every sequence $\vec{x} \in X^\omega$, if $\forall n \vec{x} \upharpoonright n \notin C_n$ then $\vec{x} \notin B$. Let $M \prec H_\theta$ be a countable elementary submodel of a large enough structure containing all the sets $C_n : n \in \omega$. It will be enough to find a perfect set $P \subset X$ of points such that their finite one-to-one tuples are random generic for the model M , since then their infinite one-to-one sequences cannot belong to the set B by the previous sentence, and therefore countably infinite subsets of the set P must all belong to the set A . Such a perfect set of mutually random reals can be obtained from results of Mycielski [5].

To eliminate the Δ_2^1 measurability assumption, let C be the outer measure one homogeneous set, and pass to the random model $V[G]$. The set C retains its properties there: it is still of outer measure one since the random forcing preserves outer measure, and all of its countable subsets are still subsets of A . To see the latter claim, if $a \subset C$ is a countable infinite set in the extension, it is covered by a countable set $b \subset C$ in the ground model. The statement $[b]^{\aleph_0} \subset A$ is coanalytic, true in the ground model, therefore true in the extension, and $a \in A$ follows.

Now, the random extension satisfies Δ_2^1 measurability [1, Theorem 9.2.1]. By the work we have just done, in the random extension there must be a perfect homogeneous set $P \subset X$ such that $[P]^{\aleph_0} \subset A$. This is a Σ_2^1 statement, true in $V[G]$, and therefore, by Shoenfield absoluteness, it is true in the ground model. The theorem follows!

4 The random model

Suppose that $\lambda \leq \kappa$ are regular cardinals larger than the continuum. Consider the generic extension $V[G]$ obtained by measure algebra on κ many generators.

I will show that the following holds in $V[G]$. Whenever X is a Polish space and $A \subset [X]^{\aleph_0}$ is a coanalytic set such that there is a set $C \subset X$ of size λ with $[C]^{\aleph_0} \subset A$, then there is a perfect set $P \subset X$ such that $[P]^{\aleph_0} \subset A$.

First, work in V . To get a particular representation of the random algebra, consider the usual product Baire measure on the space 2^κ that assigns to every set of the form $\{y \in 2^\lambda : y(\beta) = 0\} : \beta \in \kappa$ mass $1/2$, and force with Baire subsets of 2^κ of positive mass, ordered by inclusion. The forcing adds a generic function $\dot{y}_{gen} \in 2^\kappa$. Let $\dot{x}_\alpha : \alpha \in \lambda$ be names for distinct elements of the set \dot{C} . Use the c.c.c. to find for each $\alpha \in \kappa$ a countable set $b_\alpha \subset \kappa$ and a Borel function $f : 2^{b_\alpha} \rightarrow X$ such that it is forced that $\dot{x}_\alpha = \dot{f}(\dot{y}_{gen} \upharpoonright b_\alpha)$. Thinning out if necessary, I may assume that the sets $b_\alpha : \alpha \in \lambda$ form a Δ -system with root b . By a standard homogeneity argument, I may assume that $b = 0$. Thinning out further, I may assume that the sets b_α have the same ordertype and that there is a single Borel function $g : 2^\gamma \rightarrow X$ such that $f = g \circ \pi_\alpha$ for every ordinal $\alpha \in \lambda$, where π_α denotes the transitive collapse of the set 2^{b_α} .

Now, in the generic extension $V[G]$, consider the set $C' = \{\pi_\alpha(\dot{y}_{gen} \upharpoonright b_\alpha) : \alpha \in \lambda\} \subset 2^\gamma$. A standard argument shows that this set has outer measure one. Also, every countable subset $C'' \subset C'$ has the property that $g \upharpoonright C''$ is one-to-one and $g''C'' \in A$. By the results of the previous section applied in the model $V[G]$, there must be a perfect set $P' \subset 2^\gamma$ with the same properties. The set $g''P'$ is uncountable and analytic, and as such contains a perfect subset $P \subset X$. It is not difficult to check that the set P has the requested properties.

References

- [1] Tomek Bartoszynski and Haim Judah. *Set Theory. On the structure of the real line*. A K Peters, Wellesley, MA, 1995.
- [2] Thomas Jech. *Set Theory*. Academic Press, San Diego, 1978.
- [3] Alexander S. Kechris. *Classical Descriptive Set Theory*. Springer Verlag, New York, 1994.
- [4] Tamás Mátrai. Infinite dimensional perfect set theorems. 2009.
- [5] Jan Mycielski. Algebraic independence and measure. *Fund. Math.*, 61:165–169, 1967.
- [6] Jindřich Zapletal. *Forcing Idealized*. Cambridge Tracts in Mathematics 174. Cambridge University Press, Cambridge, 2008.