

## ON THE CLASS OF ORDER DUNFORD-PETTIS OPERATORS

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*Abstract.* We characterize Banach lattices  $E$  and  $F$  on which the adjoint of each operator from  $E$  into  $F$  which is order Dunford-Pettis and weak Dunford-Pettis, is Dunford-Pettis. More precisely, we show that if  $E$  and  $F$  are two Banach lattices then each order Dunford-Pettis and weak Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint Dunford-Pettis operator  $T'$  from  $F'$  into  $E'$  if, and only if, the norm of  $E'$  is order continuous or  $F'$  has the Schur property. As a consequence we show that, if  $E$  and  $F$  are two Banach lattices such that  $E$  or  $F$  has the Dunford-Pettis property, then each order Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint  $T': F' \rightarrow E'$  which is Dunford-Pettis if, and only if, the norm of  $E'$  is order continuous or  $F'$  has the Schur property.

*Keywords:* Dunford-Pettis operator, weak Dunford-Pettis operator, order Dunford-Pettis operator, order continuous norm, Schur property

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## 1. INTRODUCTION

The problem discussed in the article [5] was to impose conditions on Banach lattices,  $E$  and  $F$ , and the operator  $T$  from  $E$  to  $F$  for its adjoint operator  $T'$  to be weak Dunford-Pettis. In this paper, we continue our research on this way and give necessary and sufficient conditions on  $E$ ,  $F$  and  $T$  to have a Dunford-Pettis adjoint operator  $T'$ . More precisely, we show that if  $E$  and  $F$  are two Banach lattices then each order Dunford-Pettis and weak Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint Dunford-Pettis operator  $T'$  from  $F'$  into  $E'$  if, and only if, the norm of  $E'$  is order continuous or  $F'$  has the Schur property (Theorem 3.1). Our theorem, Theorem 3.1, appears to be a reformulation of Theorems 3.2 and 3.5 in [5] in the following sense. In the sufficient condition of Theorem 3.2 [5], the authors give the condition of AM-compactness property of spaces  $E$  and  $F$ . However, under these

conditions, a positive weak Dunford-Pettis operator is an order and weak Dunford-Pettis operator. This shows that Theorem 3.2 [5] can be easily deduced from our Theorem 3.1 and the conditions that were sufficient are also necessary. Theorem 3.5 [1] which gives a necessary condition is also included in our theorem in the way that the conditions that were only necessary became also sufficient if the operator is supposed to be order Dunford-Pettis. Hence the importance of Theorem 3.1 given in this article.

## 2. PRELIMINARIES AND NOTATION

In [2] K. T. Andrews said that a norm bounded subset  $A$  of a Banach space  $X$  is a Dunford-Pettis set whenever every weakly compact operator from  $X$  to an arbitrary Banach space carries  $A$  to a norm totally bounded set. Alternatively, a norm bounded subset  $A$  of a Banach lattice  $E$  is said to be a Dunford-Pettis set if every weakly null sequence  $(f_n)$  of  $E$  converges uniformly to zero on the set  $A$ , that is,  $\sup_{x \in A} |f_n(x)| \rightarrow 0$  (see Theorem 5.98 of [1]). On the other hand, a Banach space  $X$  is said to have the Dunford-Pettis property if every weakly compact operator  $T$  defined on  $E$  and taking values in a Banach space  $F$  is Dunford-Pettis. For example, the Banach space  $\ell^\infty$  has the Dunford-Pettis property but the Banach space  $\ell^\infty(\ell_n^2)$  does not have the Dunford-Pettis property.

Based on the concept of Dunford-Pettis sets, the class of order Dunford-Pettis operators is defined in [4]. In fact, an operator  $T$  from a Banach lattice  $E$  into a Banach space  $X$  is said to be order Dunford-Pettis if it carries each order bounded subset of  $E$  into a Dunford-Pettis set of  $X$ , i.e., if for each  $x \in E^+$ , the subset  $T([-x, x])$  is Dunford-Pettis in  $X$ .

Let  $X$  and  $Y$  be two Banach spaces. An operator  $T: X \rightarrow Y$  is called a Dunford-Pettis operator if  $T$  carries weakly convergent sequences to norm convergent sequences. (Equivalently, for each weakly null sequence  $(x_n)$  we have  $\lim_{n \rightarrow \infty} \|T(x_n)\| = 0$ ). Alternatively, an operator  $T: X \rightarrow Y$  is a Dunford-Pettis operator if and only if  $T$  carries relatively weakly compact sets to norm totally bounded sets.

On the other hand, unlike compact operators, there are operators  $T$  from a Banach space  $X$  into another  $Y$  whose dual operators  $T'$  from  $Y'$  into  $X'$  are not Dunford-Pettis. In fact, the dual operator of the identity operator of the Banach space  $\ell^1$ , which is the identity of the Banach space  $\ell^\infty$ , is not Dunford-Pettis.

Recall from [1] that an operator  $T$  from a Banach space  $X$  into another  $Y$  is said to be weak Dunford-Pettis if  $y_n(T(x_n))$  converges to 0 whenever  $(x_n)$  converges weakly to 0 in  $X$  and  $(y_n)$  converges weakly to 0 in  $Y$ . Alternatively,  $T$  is weak

Dunford-Pettis if the composed operator  $S \circ T$  is Dunford-Pettis for each weakly compact operator  $S$  from  $Y$  into  $G$ , for an arbitrary Banach space  $G$ .

The latter class of operators was connected in Theorem 5.98 of [1] with the class of the Dunford-Pettis sets.

Let us recall that an operator  $T$  from a Banach lattice  $E$  into a Banach space  $X$  is said to be AM-compact if it carries each order-bounded subset of  $E$  onto a relatively compact subset of  $X$ . In [3], the Banach lattice  $E$  is said to have the AM-compactness property if every weakly compact operator defined on  $E$ , and taking values in a Banach space  $X$ , is AM-compact. For example, the Banach lattice  $L^2([0, 1])$  does not have the AM-compactness property, but  $\ell^1$  has the AM-compactness property.

It follows from Proposition 3.1 of [3] that a Banach lattice  $E$  has the AM-compactness property if and only if for every weakly null sequence  $(f_n)$  of  $E$  we have  $|f_n| \rightarrow 0$  for  $\sigma(E', E)$ .

On the other hand, it is well known that there exist weak Dunford-Pettis operators whose adjoints are not Dunford-Pettis. In fact, let us consider the Banach lattice  $\ell^1$ : its identity operator  $\text{Id}_{\ell^1}: \ell^1 \rightarrow \ell^1$  is weak Dunford-Pettis while its dual operator  $\text{Id}_{\ell^\infty}: \ell^\infty \rightarrow \ell^\infty$  is not Dunford-Pettis. Also, there exist order Dunford-Pettis operators whose adjoints are not Dunford-Pettis. In fact, as the Banach space  $\ell^2$  has the AM-compactness property, the identity operator  $\text{Id}_{\ell^2}$  is order Dunford-Pettis, but its dual operator, which is the identity operator of  $\ell^2$ , is not Dunford-Pettis (because the Banach space  $\ell^2$  does not have the Schur property). However, we will prove that each operator is weak Dunford-Pettis and also order Dunford-Pettis if its adjoint is.

To state our results, we need to fix some notation and recall some definitions. A Banach lattice is a Banach space  $(E, \|\cdot\|)$  such that  $E$  is a vector lattice and its norm satisfies the following condition: for each  $x, y \in E$  such that  $|x| \leq |y|$ , we have  $\|x\| \leq \|y\|$ . A norm  $\|\cdot\|$  of a Banach lattice  $E$  is order continuous if for each generalized sequence  $(x_\alpha)$  such that  $x_\alpha \downarrow 0$  in  $E$ ,  $(x_\alpha)$  converges to 0 for the norm  $\|\cdot\|$  where the notation  $x_\alpha \downarrow 0$  means that  $(x_\alpha)$  is decreasing, its infimum exists and  $\inf(x_\alpha) = 0$ . A vector lattice  $E$  is Dedekind  $\sigma$ -complete if every majorized countable nonempty subset of  $E$  has a supremum. A Banach lattice  $E$  has the Schur property if each weakly null sequence in  $E$  converges to zero in the norm. For example, the Banach lattice  $\ell^1$  has the Schur property but the Banach lattice  $L^1([0, 1])$  does not have the Schur property. Note that if  $E$  is a Banach lattice, its topological dual  $E'$ , endowed with the dual norm and the dual order, is also a Banach lattice.

We will use the term operator  $T: E \rightarrow F$  between two Banach lattices to mean a bounded linear mapping. It is positive if  $T(x) \geq 0$  in  $F$  whenever  $x \geq 0$  in  $E$ . The operator  $T$  is regular if  $T = T_1 - T_2$  where  $T_1$  and  $T_2$  are positive operators from  $E$  into  $F$ . Note that each positive linear mapping on a Banach lattice is continuous. If an operator  $T: E \rightarrow F$  between two Banach lattices is positive, then its adjoint

$T': F' \rightarrow E'$  is likewise positive, where  $T'$  is defined by  $T'(f)(x) = f(T(x))$  for each  $f \in F'$  and for each  $x \in E$ .

For terminology concerning Banach lattice theory and positive operators we refer the reader to the excellent book of Aliprantis-Burkinshaw [1].

### 3. MAIN RESULTS

Let  $X$  and  $Y$  be two Banach spaces, and let  $E$  be a Banach lattice. We denote:

$wDP(X, Y)$ , the space of all weak Dunford-Pettis operators from  $X$  into  $Y$ ,  
 $oDP(E, Y)$ , the space of all order Dunford-Pettis operators from  $E$  into  $Y$  and  
 $DP(X, Y)$ , the space of all Dunford-Pettis operators from  $X$  into  $Y$ .

To give the proof of Proposition 3.1, we need the following lemma

**Lemma 3.1.** *Let  $A$  be a bounded subset of a Banach space  $X$ . If for each  $\varepsilon > 0$  there exists a Dunford-Pettis set  $A_\varepsilon$  in  $X$  such that  $A \subseteq A_\varepsilon + \varepsilon B_X$  (where  $B_X$  is the closed unit ball of  $X$ ), then  $A$  is a Dunford-Pettis set.*

*Proof.* Let  $Y$  be a Banach space and let  $T: X \rightarrow Y$  be a weakly compact operator. We have to prove that  $T(A)$  is relatively compact in  $Y$ . Let  $\varepsilon > 0$ , then by hypothesis there exists a Dunford-Pettis subset  $A_\varepsilon$  of  $X$  such that  $A \subseteq A_\varepsilon + \varepsilon B_X$ , and then  $T(A) \subseteq T(A_\varepsilon) + \varepsilon \|T\| B_Y$ . Now as  $A_\varepsilon$  is a Dunford-Pettis set,  $T(A_\varepsilon)$  is relatively compact in  $Y$  and hence by Theorem 3.1 of [1],  $T(A)$  is relatively compact in  $Y$ . This shows that  $A$  is a Dunford-Pettis set.  $\square$

**Proposition 3.1.** *Let  $E$  and  $F$  be two Banach lattices, and let  $X$  be a Banach space. Then*

- (1)  $oDP(E, X)$  is a norm closed vector subspace of the space  $L(E, X)$  of all operators from  $E$  into  $X$ ,
- (2) if  $T: E \rightarrow F$  is an order Dunford-Pettis operator, then for each operator  $S: F \rightarrow X$ , the composed operator  $S \circ T$  is order Dunford-Pettis,
- (3) if  $T: E \rightarrow F$  is an order bounded operator, then for each order Dunford-Pettis operator  $S: F \rightarrow X$ , the composed operator  $S \circ T$  is order Dunford-Pettis.

*Proof.* (1) Clearly,  $oDP(E, X)$  is a vector subspace of  $L(E, X)$ . To see that  $oDP(E, X)$  is also norm closed, let  $S$  be in the norm closure of  $oDP(E, X)$ . To this end, let  $x$  be a nonzero in  $E^+$  and  $\varepsilon > 0$ . Choose some  $T \in oDP(E, X)$  satisfying  $\|S - T\| \leq \varepsilon/\|x\|$ , and observe that  $S([-x, x]) \subset T([-x, x]) + \varepsilon B_X$  holds. Since  $T$  is order Dunford-Pettis,  $T([-x, x])$  is a Dunford-Pettis set and hence by Lemma 3.1  $S([-x, x])$  is a Dunford-Pettis set. This shows that  $S$  is order Dunford-Pettis.

(2) Let  $T: E \rightarrow F$  be an order Dunford-Pettis operator. Then for each  $x \in E^+$ ,  $T([-x, x])$  is a Dunford-Pettis set in  $F$  and hence  $S(T[-x, x])$  is a Dunford-Pettis set in  $X$ . So,  $S \circ T$  is order Dunford-Pettis.

(3) Let  $T: E \rightarrow F$  be an order bounded operator. Then for each  $x \in E^+$ ,  $T([-x, x])$  is an order interval and since  $S$  is order Dunford-Pettis,  $S(T[-x, x])$  is a Dunford-Pettis set in  $X$ . Hence  $S \circ T$  is order Dunford-Pettis.  $\square$

**Proposition 3.2.** *Let  $E$  be a Banach lattice and  $X$  a Banach space. If the norm of  $E$  is order continuous and  $X$  has the Dunford-Pettis property then each operator  $T$  from  $E$  into  $X$  is order Dunford-Pettis.*

*Proof.* Since the norm of  $E$  is order continuous, it follows from Theorem 2.4.3 of [7] that for each  $x \in E^+$ , the order interval  $[-x, x]$  is weakly compact. If  $T: E \rightarrow X$  is an operator, then  $T([-x, x])$  is weakly compact in  $X$ .

On the other hand, since  $X$  has the Dunford-Pettis property, the identity operator of  $X$  is weak Dunford-Pettis and hence by Theorem 5.99 of [1],  $T([-x, x])$  is a Dunford-Pettis set. This shows that  $T$  is order Dunford-Pettis.  $\square$

The following proposition gives some characterizations of order Dunford-Pettis operators

**Proposition 3.3** ([4]). *Let  $T$  be an operator from a Banach lattice  $E$  into a Banach space  $X$ . Then the following assertions are equivalent:*

- (1)  $T$  is an order Dunford-Pettis operator,
- (2) for each weakly compact operator  $S$  from  $X$  into an arbitrary Banach space  $Z$ , the composed operator  $S \circ T$  is AM-compact,
- (3) for each weakly null sequence  $(f_n)$  in  $X'$  we have  $|T'(f_n)| \rightarrow 0$  for  $\sigma(E', E)$ .

There exist operators that are not order Dunford-Pettis. In fact, the identity operator of the Banach lattice  $L^2([0, 1])$  is not order Dunford-Pettis. The following result gives a characterization of a Banach lattice which has the AM-compactness property.

**Proposition 3.4.** *Let  $E$  be a Banach lattice. Then the following statements are equivalent:*

- (1) each positive operator from  $E$  into  $E$  is order Dunford-Pettis,
- (2) the identity operator of  $E$  is order Dunford-Pettis,
- (3)  $E$  has the AM-compactness property.

*Proof.* (1)  $\implies$  (2) Obvious.

(2)  $\implies$  (3) Let  $x \in E^+$  and let  $T: E \rightarrow X$  be a weakly compact operator where  $X$  is arbitrary Banach space.

Since the identity operator of  $E$  is an order Dunford-Pettis,  $[-x, x]$  is a Dunford-Pettis set in  $E$  and hence  $T([-x, x])$  is relatively compact. This shows that  $T$  is AM-compact and hence  $E$  has the AM-compactness property.

(3)  $\implies$  (1) Let  $T: E \rightarrow E$  be a positive operator and  $S: E \rightarrow Z$  a weakly compact operator where  $Z$  is an arbitrary Banach space. Since  $E$  has the AM-compactness property, the operator  $S$  is AM-compact and hence  $S \circ T$  is AM-compact. Finally, it follows from Proposition 3.3 that  $T$  is order Dunford-Pettis.  $\square$

**Proposition 3.5.** *Let  $T$  be an operator from a Banach lattice  $E$  into a Banach space  $F$ . If  $T' \in DP(F', E')$ , then  $T \in oDP(E, F)$ .*

*Proof.* Let  $(f_n)$  be a sequence of  $F'$  such that  $f_n \rightarrow 0$  in the weak topology  $\sigma(F', F'')$ .

As the adjoint  $T'$  is Dunford-Pettis from  $F'$  into  $E'$ , we deduce that  $T'(f_n) \rightarrow 0$  for the norm of  $E'$  and hence  $|T'(f_n)| \rightarrow 0$  for  $\sigma(E', E)$ . Finally, by Proposition 3.3, we deduce that  $T$  is order Dunford-Pettis.  $\square$

**Proposition 3.6.** *Let  $T$  be an operator from a Banach lattice  $E$  into a Banach space  $F$ . If  $T' \in DP(F', E')$ , then  $T \in wDP(E, F)$ .*

*Proof.* Let  $(x_n)$  (resp.  $(f_n)$ ) be a sequence of  $E$  (of  $F'$ ) such that  $x_n \rightarrow 0$  in the weak topology  $\sigma(E, E')$  ( $f_n \rightarrow 0$  in  $\sigma(F', F'')$ ). We have to prove that  $f_n(T(x_n)) \rightarrow 0$ . As  $(f_n)$  is a sequence of  $F'$  such that  $f_n \rightarrow 0$  in  $\sigma(F', F'')$  and hence  $T'$  is Dunford-Pettis then  $T'(f_n) \rightarrow 0$  for the norm of  $E'$ .

On the other hand, since  $x_n \rightarrow 0$  in the weak topology  $\sigma(E, E')$  hence  $(x_n)$  is norm bounded and by the inequality  $|T'(f_n)(x_n)| \leq \|T'(f_n)\|_{E'}$ , we conclude that  $T$  is weak Dunford-Pettis.  $\square$

**Theorem 3.1.** *Let  $E$  and  $F$  be two Banach lattices. Then the following assertions are equivalent:*

- (1) *each order Dunford-Pettis and weak Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint Dunford-Pettis operator  $T'$  from  $F'$  into  $E'$ ,*
- (2) *one of the following is valid:*
  - (a) *the norm of  $E'$  is order continuous,*
  - (b)  *$F'$  has the Schur property.*

*Proof.* (1)  $\implies$  (2) Assume that (2) is false, i.e., the norm of  $E'$  is not order continuous and  $F'$  does not have the Schur property. We will construct an operator  $T: E \rightarrow F$  which is weak Dunford-Pettis and order Dunford-Pettis but its adjoint  $T': F' \rightarrow E'$  is not Dunford-Pettis. Indeed, suppose that  $E'$  does not have an order continuous norm. By Theorem 2.4.14 of [7] we may assume that  $\ell^1$  is a closed

sublattice of  $E$ , and it follows from Proposition 2.3.11 of [7] that there is a positive projection  $P$  from  $E$  into  $\ell^1$ . On the other hand, since  $F'$  does not have the Schur property, there exists a weakly null sequence  $(f_n) \subset F'$  such that  $\|f_n\| = 1$  for all  $n$ . Moreover, there exists a sequence  $(y_n) \subset F^+$  with  $\|y_n\| \leq 1$ , and an  $\varepsilon > 0$  such that  $|f_n(y_n)| \geq \varepsilon$  for all  $n$ .

Now, we consider the operator  $T = S \circ P: E \rightarrow \ell^1 \rightarrow F$  where  $S$  is the operator defined by

$$S: \ell^1 \rightarrow F, (\alpha_n) \rightarrow \sum_n \alpha_n y_n.$$

Since  $\ell^1$  has the Dunford-Pettis property, the operator  $T$  is weak Dunford-Pettis.

Also,  $T$  is order Dunford-Pettis. In fact, since  $\ell^1$  is discrete and its norm is order continuous, it is clear that  $P([-x, x])$  is relatively compact in  $\ell^1$ . Then  $T = S \circ P([-x, x])$  is relatively compact in  $F$  and hence there is a Dunford-Pettis set in  $F$  for each  $x \in E_+$ . Finally, we conclude that  $T$  is order Dunford-Pettis.

But the adjoint  $T': F' \rightarrow E'$  is not Dunford-Pettis. Indeed, the sequence  $(f_n)$  is weakly null in  $F'$ . And as the operator  $P: E \rightarrow \ell^1$  is surjective, there exists  $\delta > 0$  such that  $\delta \cdot B_{\ell^1} \subset P(B_E)$  where  $B_H$  is the closed unit ball of  $H = E$  or  $\ell^1$ . Hence

$$\begin{aligned} \|T'(f_n)\| &= \sup_{x \in B_{E'}} |T'(f_n)(x)| = \sup_{x \in B_E} |f_n(T(x))| \\ &= \sup_{x \in B_E} |f_n \circ S(P(x))| \geq \delta \cdot |f_n \circ S(e_n)| \geq \delta \cdot |f_n(y_n)| > \delta \cdot \varepsilon \end{aligned}$$

(where  $(e_n)_{n=1}^\infty$  is the canonical basis of  $\ell^1$ ). Then  $\|T'(f_n)\| > \delta \cdot \varepsilon$  for all  $n$ , and we conclude that  $T'$  is not Dunford-Pettis. This presents a contradiction.

(2; a)  $\implies$  (1) Let  $(f_n)$  be a disjoint sequence of  $F'$  such that  $(f_n) \rightarrow 0$  in  $\sigma(F', F'')$ . We have to prove that  $(T'(f_n))$  converges to 0 for the norm of  $E'$ . By using Corollary 2.7 of Dodds-Fremlin [6], it suffices to prove that  $|T'(f_n)| \rightarrow 0$  in  $\sigma(E', E)$  and  $T'(f_n)(x_n) \rightarrow 0$  for every norm bounded disjoint sequence  $(x_n) \in E_+$ . In fact, as  $(f_n)$  is a weakly null sequence in  $F'$  and since  $T$  is order Dunford-Pettis we have  $|T'(f_n)| \rightarrow 0$  for  $\sigma(E', E)$ . On the other hand, since the norm of  $E'$  is order continuous, it follows from Corollary 2.9 of Dodds and Fremlin [6] that  $x_n \rightarrow 0$  in  $\sigma(E, E')$ . Hence, as  $T$  is a weak Dunford-Pettis operator, we obtain  $T'(f_n)(x_n) = f_n(T(x_n)) \rightarrow 0$ , and this proves that  $T'$  is Dunford-Pettis.

(2; b)  $\implies$  (1) Obvious. □

**Corollary 3.1.** *Let  $E$  and  $F$  be two Banach lattices such that  $E$  or  $F$  has the Dunford-Pettis property. Then the following assertions are equivalent:*

- (1) *each order Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint Dunford-Pettis operator from  $F'$  into  $E'$ ,*

- (2) one of the following is valid:
- (a) the norm of  $E'$  is order continuous,
  - (b)  $F'$  has the Schur property.

As consequences of Theorem 3.1 and Proposition 3.4, we obtain the following result:

**Corollary 3.2.** *Let  $E$  and  $F$  be two Banach lattices such that  $E$  has the AM-compactness property. Then the following assertions are equivalent:*

- (1) each weak Dunford-Pettis operator  $T$  from  $E$  into  $F$  has an adjoint Dunford-Pettis operator from  $F'$  into  $E'$ ,
- (2) one of the following is valid:
  - (a) the norm of  $E'$  is order continuous,
  - (b)  $F'$  has the Schur property.

As consequences of Theorem 3.1 and Proposition 3.2, we obtain the following result:

**Corollary 3.3.** *Let  $E$  and  $F$  be two Banach lattices such that the norm of  $E$  is order continuous and  $F$  has the Dunford-Pettis property. Then the following assertions are equivalent:*

- (1) each operator  $T$  from  $E$  into  $F$  has an adjoint which is Dunford-Pettis,
- (2) one of the following is valid:
  - (a) the norm of  $E'$  is order continuous,
  - (b)  $F'$  has the Schur property.

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