

The Principles of the Assessment of the Institutional Support of the Institutes of the ASCR for 2012 and the Framework for the Approach for the Subsequent Period

1. Institutional Support for 2012

In accordance with the relevant provision of Act No. 130/2002 Coll., institutional support shall be provided to the institutes of the ASCR for 2012 on the basis of the conducted evaluation of their research and professional activities for the period of 2005–2009, in whose framework the units of the individual institutes were complexly assessed and their qualitative profiles determined. According to the method shown here, the amount is divided among the institutes of the ASCR that is a sum of the institutional support for the research plans of the ASCR, institutional support for the development of research organisations of the ASCR and parts of the institutional support of the activities of the institutes of the ASCR (without the expenditures for normal upkeep, rent, support for youth /Otto Wichterle Award, J. E. Purkyně Fellowship/, devices including reserves and for property reproduction) and that is labelled below as available institutional means for 2012. The amount of this institutional support for the individual institutes is assessed according to the following principles and method:

(i) From the qualitative profile, a weighted mark is determined for every institute within the scale stipulated by the Methodological Instructions for the Approach of the Evaluation Commissions in Assessing the Research Activities of the Institutes of the ASCR in 2010–2011. The weighted mark is calculated from the marks of the individual units with the weight set by the number of the recounted workload of its research employees (see Art. 22, Sections 2 and 4 of the Attachment for the Statutes of the ASCR) listed in the background materials for the evaluation.

(ii) For the transfer of the conclusions of the mentioned evaluation into the proposal of the institutional financing for 2012, each institute of the ASCR is based on the weighted mark placed in one of five categories for the provision of institutional support Ia, Ib, IIa, IIb and III (see the attachment 'Division of the Institutes of the ASCR into Categories for the Provision of Institutional Support').

(iii) The proportion of the amount of institutional means to be divided within the individual science areas is maintained at the level of 2011 (i.e. SA I 39.17 %, SA II 45.17 % and SA III 15.66 %).

(iv) 75 % of the available institutional means for 2012 is divided in the proportion of the amounts 'base for science after the evaluation' for the individual research institutes according to the allocation for 2011 (see the relevant column in Table 3a of the material 'Report on the Economic Situation of the ASCR and Proposal of its Budget for 2011' for the 37th Session of the Academic Assembly of the ASCR). This part serves for 2012 as the 'base of institutional support' for the individual research institutes.

(v) The amount of institutional means 'for division according to the results of the evaluation' among the research institutes is thus calculated that the total of the 'bases of institutional support' of all of the research institutes and the expenditures for the Library of the ASCR (KNAV) and the Centre for Administration and Operations of the ASCR (CAO) determined according to point (vii) is subtracted from the overall amount of the available institutional means for 2012.

(vi) The amount 'for division according to the results of the evaluation' is divided among the research institutes according to the results of the evaluations in the following way:

The amount is first divided into the individual science areas in the proportion according to step (iii). For each institutes, the 'base of institutional support' determined in step (iv) is then multiplied by the coefficient $W_{(k)}$ specific for the given category for the provision of institutional support ($k = Ia, Ib, IIa, IIb$ and III), which reflects the results of the evaluation and the current outlook for the budget of the ASCR for 2012. The result of this operation is the 'total institutional support after the evaluation' for the individual research institutes.

The differences between the coefficients of $W_{(k)}$ are with respect to a reasonable level of the scale for the amount of support in the individual categories (so that the changes evoked by the evaluation were distinctive and motivating, but not such that they would threaten the effective use of the means) determined in this way:

$$W_{(Ia)} - W_{(Ib)} = W_{(Ib)} - W_{(IIa)} = 0.034;$$

$$W_{(IIa)} - W_{(IIb)} = 0.040;$$

$$W_{(IIb)} - W_{(III)} = 0.060.$$

The specific values of the coefficients $W_{(k)}$ are prescribed for the individual science areas so as to maintain the overall amount of the financial means divided in the given science area within this step.

(vii) The amount of institutional support of the KNAV and CAO are determined in a similar way with consideration of the costliness of their activities individual in this way: in the case of KNAV based on the evaluation of the results of research and development of the evaluation of the infrastructure services; in the case of CAO based on the evaluation of the infrastructure services and other results achieved.

2. Framework for the Approach for the Subsequent Period

For the division of the institutional support for 2013, the same algorithm will apply as for 2012, i.e. the method described in steps (iii) through (vii) in Part 1, in which 2012 is replaced by 2013 (with the proportions of the amounts of the 'base for science after the evaluation' according to the allocation for 2011 in step (iv) being used again).

For the period beginning in 2014, the Academic Council of the ASCR anticipates a gradual transition to financing of the institutes based on the performance of the 'Programme of Research Activities for 2012–2017'. A complex evaluation of the individual units will be conducted once every six years. In between, a regular check of the performance of the current programme of the activities will be conducted at every institute every two years, the aim of which will be to determine how the institute reacts to the conclusions of the complex evaluation and how carries out its programme. The results of this check will always be taken into consideration in determining the institutional support for the following two years, where it will proceed from the results of the evaluation for 2005–2009. The sense of all of these measures will be to ensure sufficient stability and continuity of the financing in the given period and at the same time appropriate consideration of the dynamics of the development of the institutes.

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