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**Perturbation of  $m$ -isometries  
by nilpotent operators**

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# PERTURBATION OF $m$ -ISOMETRIES BY NILPOTENT OPERATORS

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ABSTRACT. We prove that if  $T$  is an  $m$ -isometry on a Hilbert space and  $Q$  an  $n$ -nilpotent operator commuting with  $T$ , then  $T + Q$  is a  $(2n + m - 2)$ -isometry. Moreover, we show that a similar result for  $(m, q)$ -isometries on Banach spaces is not true.

## 1. INTRODUCTION

The notion of  $m$ -isometric operators on Hilbert spaces was introduced by Agler [1]. See also [14], [6], [4] and [5]. Recently Sid Ahmed [15] has defined  $m$ -isometries on Banach spaces, Bayart [7] introduced  $(m, q)$ -isometries on Banach spaces, and  $(m, q)$ -isometries on metric spaces were considered in [8]. Moreover, Hoffman, Mackey and Searcóid [13] have studied the role of the second parameter  $q$ . Recall the main definitions.

A map  $T : E \rightarrow E$  ( $m \geq 1$  integer and  $q > 0$  real), defined on a metric space  $E$  with distance  $d$ , is called an  $(m, q)$ -isometry if, for all  $x, y \in E$ ,

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d(T^k x, T^k y)^q = 0. \quad (1.1)$$

We say that  $T$  is a *strict*  $(m, q)$ -isometry if either  $m = 1$  or  $T$  is an  $(m, q)$ -isometry with  $m > 1$ , but is not an  $(m - 1, q)$ -isometry. Note that  $(1, q)$ -isometries are isometries.

The above notion of an  $(m, q)$ -isometry can be adapted to Banach spaces in the following way: a bounded linear operator  $T : X \rightarrow X$ , where  $X$  is a Banach space with norm  $\|\cdot\|$ , is an  $(m, q)$ -isometry if and only if, for all  $x \in X$ ,

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \|T^k x\|^q = 0. \quad (1.2)$$

In the setting of Hilbert spaces, the case  $q = 2$  can be expressed in a special way. Agler [1] gives the following definition: a linear bounded operator  $T : H \rightarrow H$  acting on a Hilbert

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space  $H$  is an  $(m, 2)$ -isometry if

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*k} T^k = 0. \quad (1.3)$$

$(m, 2)$ -isometries on Hilbert spaces will be called for short  $m$ -isometries.

The paper is organized as follows. In the next section we collect some results about applications of arithmetic progressions to  $m$ -isometric operators.

In section 3 we prove that, in the setting of Hilbert spaces, if  $T$  is an  $m$ -isometry,  $Q$  is an  $n$ -nilpotent operator and they commute, then  $T + Q$  is a  $(2n + m - 2)$ -isometry. This is a partial generalization of the following result obtained in [9, Theorem 2.2]: if  $T$  is an isometry and  $Q$  is a nilpotent operator of order  $n$  commuting with  $T$ , then  $T + Q$  is a strict  $(2n - 1)$ -isometry.

In the last section we give some examples of operators on Banach spaces which are of the form identity plus nilpotent, but they are not  $(m, q)$ -isometries, for any positive integer  $m$  and any positive real number  $q$ .

**Notation.** Throughout this paper  $H$  denotes a Hilbert space and  $B(H)$  the algebra of all linear bounded operators on  $H$ . Given  $T \in B(H)$ ,  $T^*$  denotes its adjoint. Moreover,  $m \geq 1$  is an integer and  $q > 0$  a real number.

## 2. PRELIMINARIES: ARITHMETIC PROGRESSIONS AND $(m, q)$ -ISOMETRIES

In this section we give some basic properties of  $m$ -isometries. We need some preliminaries about arithmetic progressions and their applications to  $m$ -isometries. In [10], some results about this topic are recollected.

Let  $G$  be a group, not necessarily commutative, and denote its operation by  $+$ . Given a sequence  $a = (a_n)_{n \geq 0}$  in  $G$ , the difference sequence  $Da = (Da)_{n \geq 0}$  is defined by  $(Da)_n := a_{n+1} - a_n$ . The powers of  $D$  are defined recursively by  $D^0 a := a$ ,  $D^{k+1} a = D(D^k a)$ . It is easy to show that

$$(D^k a)_n = \sum_{i=0}^k (-1)^{k-i} \binom{k}{i} a_{i+n},$$

for all  $k \geq 0$  and  $n \geq 0$  integers.

A sequence  $a$  in a group  $G$  is called an *arithmetic progression* of order  $h = 0, 1, 2, \dots$ , if  $D^{h+1} a = 0$ . Equivalently,

$$\sum_{i=0}^{h+1} (-1)^{h+1-i} \binom{h+1}{i} a_{i+j} = 0 \quad (2.4)$$

for  $j = 0, 1, 2, \dots$ . It is well known that the sequence  $a$  in  $G$  is an arithmetic progression of order  $h$  if and only if there exists a polynomial  $p(n)$  in  $n$ , with coefficients in  $G$  and of degree less or equal to  $h$ , such that  $p(n) = a_n$ , for every  $n = 0, 1, 2, \dots$ ; that is, there are  $\gamma_h, \gamma_{h-1}, \dots, \gamma_1, \gamma_0 \in G$ , which depend only on  $a$ , such that, for every  $n = 0, 1, 2, \dots$ ,

$$a_n = p(n) = \sum_{i=0}^h \gamma_i n^i. \quad (2.5)$$

We say that the sequence  $a$  is an *arithmetic progression of strict order*  $h = 0, 1, 2, \dots$ , if  $h = 0$  or if it is of order  $h > 0$ , but is not of order  $h - 1$ ; that is, the polynomial  $p$  of (2.5) has degree  $h$ .

Moreover, a sequence  $a$  in a group  $G$  is an arithmetic progression of order  $h$  if and only if, for all  $n \geq 0$ ,

$$a_n = \sum_{k=0}^h (-1)^{h-k} \frac{n(n-1) \cdots \overbrace{(n-k)}^{h-k} \cdots (n-h)}{k!(h-k)!} a_k; \quad (2.6)$$

that is,

$$a_n = \sum_{k=0}^h (-1)^{h-k} \binom{n}{k} \binom{n-k-1}{h-k} a_k. \quad (2.7)$$

Now we give a basic result about  $m$ -isometries.

**Theorem 2.1.** *Let  $H$  be a Hilbert space. An operator  $T \in B(H)$  is a strict  $m$ -isometry if and only if there are  $A_{m-1} \neq 0, A_{m-2}, \dots, A_1, A_0$  in  $B(H)$ , which depend only on  $T$ , such that, for every  $n = 0, 1, 2, \dots$ ,*

$$T^{*n} T^n = \sum_{i=0}^{m-1} A_i n^i; \quad (2.8)$$

that is, the sequence  $(T^{*n} T^n)_{n \geq 0}$  is an arithmetic progression of strict order  $m - 1$  in  $B(H)$ .

*Proof.* If  $T \in B(H)$  is a strict  $m$ -isometry, then it satisfies equation (1.3). Hence, for each integer  $i \geq 0$ ,

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*i} T^{*k} T^k T^i = \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*k+i} T^{k+i} = 0, \quad (2.9)$$

but

$$\sum_{k=0}^{m-1} (-1)^{m-1-k} \binom{m-1}{k} T^{*k} T^k \neq 0. \quad (2.10)$$

By (2.4), the operator sequence  $(T^{*n} T^n)_{n \geq 0}$  is an arithmetic progression of strict order  $m - 1$ . Therefore, from (2.5) we obtain that there is a polynomial  $p(n)$ , of degree  $m - 1$  in

$n$ , with coefficients in  $B(H)$  satisfying  $p(n) = T^{*n}T^n$ ; that is, there are operators  $A_{m-1} \neq 0, A_{m-2}, \dots, A_1, A_0$  in  $B(H)$ , such that, for every  $n = 0, 1, 2, \dots$ ,

$$T^{*n}T^n = A_{m-1}n^{m-1} + A_{m-2}n^{m-2} + \dots + A_1n + A_0 .$$

Conversely, if  $(T^{*n}T^n)_{n \geq 0}$  is an arithmetic progression of strict order  $m - 1$ , then the equations (2.9) and (2.10) hold. Taking  $i = 0$  we obtain (1.3), so  $T$  is a strict  $m$ -isometry.  $\square$

Now we recall an elementary property of  $(m, q)$ -isometries on metric spaces which will be used in next sections:

**Proposition 2.1.** [8, Proposition 3.11] *Let  $E$  be a metric space and  $T : E \longrightarrow E$  be an  $(m, q)$ -isometry. If  $T$  is an invertible strict  $(m, q)$ -isometry, then  $m$  is odd.*

### 3. $m$ -ISOMETRY PLUS $n$ -NILPOTENT

Recall that an operator  $Q \in B(H)$  is *nilpotent of order  $n$*  ( $n \geq 1$  integer), or  *$n$ -nilpotent*, if  $Q^n = 0$  and  $Q^{n-1} \neq 0$ .

In any finite dimensional Hilbert space  $H$ , strict  $m$ -isometries can be characterized in a very simple way: a linear operator  $T \in B(H)$  is a strict  $m$ -isometry if and only if  $m$  is odd and  $T = A + Q$ , where  $A$  and  $Q$  are commuting operators on  $H$ ,  $A$  is unitary and  $Q$  a nilpotent operator of order  $\frac{m+1}{2}$ , ([2, page 134] & [9, Theorem 2.7]).

It was proved in [9, Theorem 2.2] that if  $A \in B(H)$  is an isometry and  $Q \in B(H)$  is an  $n$ -nilpotent operator such that  $TQ = QT$ , then  $T + Q$  is a strict  $(2n - 1)$ -isometry. Now we obtain a partial generalization of this result: if  $T \in B(H)$  is an  $m$ -isometry and  $Q \in B(H)$  is an  $n$ -nilpotent operator commuting with  $T$ , then  $T + Q$  is a  $(2n + m - 2)$ -isometry. However,  $T + Q$  is not necessarily a strict  $(2n + m - 2)$ -isometry. For example, if  $T$  is an isometry and  $Q$  any  $n$ -nilpotent operator ( $n > 1$ ) such that  $TQ = QT$ , then  $T = T + Q + (-Q)$  is not a strict  $(4n - 3)$ -isometry.

**Theorem 3.1.** *Let  $H$  be a Hilbert space. Let  $T \in B(H)$  be an  $m$ -isometry and  $Q \in B(H)$  an  $n$ -nilpotent operator ( $n \geq 1$  integer) such that  $TQ = QT$ . Then  $T + Q$  is  $(2n + m - 2)$ -isometry.*

*Proof.* Fix an integer  $k \geq 0$  and denote by  $h := \min\{k, n-1\}$ . Then we have

$$\begin{aligned} (T+Q)^{*k}(T+Q)^k &= \left( \sum_{i=0}^h \binom{k}{i} Q^{*i} T^{*k-i} \right) \left( \sum_{j=0}^h \binom{k}{j} T^{k-j} Q^j \right) = \\ &= \sum_{i,j=0}^h \binom{k}{i} \binom{k}{j} Q^{*i} T^{*k-i} T^{k-j} Q^j = \\ &= \sum_{0 \leq i < j \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} T^{*j-i} T^{*k-j} T^{k-j} Q^j + \sum_{0 \leq j \leq i \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} T^{*k-i} T^{k-i} T^{i-j} Q^j . \end{aligned}$$

From (2.8) we obtain, for certain  $A_{m-1}, \dots, A_0 \in B(H)$ ,

$$\begin{aligned} (T+Q)^{*k}(T+Q)^k &= \sum_{0 \leq i < j \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} T^{*j-i} \left( \sum_{r=0}^{m-1} A_r (k-j)^r \right) Q^j + \\ &+ \sum_{0 \leq j \leq i \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} \left( \sum_{r=0}^{m-1} A_r (k-i)^r \right) T^{i-j} Q^j . \end{aligned}$$

Write

$$\begin{aligned} B_{r,i,j} &:= Q^{*i} T^{*j-i} A_r Q^j \in B(H) , \quad C_{r,i,j} := Q^{*i} A_r T^{i-j} Q^j \in B(H) , \\ q_{r,i,j} &:= \binom{k}{i} \binom{k}{j} (k-j)^r , \quad p_{r,i,j} := \binom{k}{i} \binom{k}{j} (k-i)^r . \end{aligned}$$

Note that  $\binom{k}{i}$  and  $\binom{k}{j}$  are real polynomials in  $k$  of degree less or equal to  $h \leq n-1$ , and  $(k-j)^r$  and  $(k-i)^r$  have degree  $r \leq m-1$ . Hence  $q_{r,i,j}$  and  $p_{r,i,j}$  are real polynomials of degree less or equal to  $m-1+2(n-1) = 2n+m-3$ . Consequently we can write

$$(T+Q)^{*k}(T+Q)^k = \sum_{r=0}^{m-1} \sum_{0 \leq i < j \leq h} B_{r,i,j} q_{r,i,j} + \sum_{r=0}^{m-1} \sum_{0 \leq j \leq i \leq h} C_{r,i,j} p_{r,i,j} ,$$

which is a polynomial in  $k$ , of degree less or equal to  $2n+m-3$  with coefficients in  $B(H)$ .

By Theorem 2.1, the operator  $T+Q$  is an  $(2n+m-2)$ -isometry.  $\square$

For isometries it is possible to say more [9, Theorem 2.2].

**Theorem 3.2.** *Let  $H$  be a Hilbert space. Let  $T \in B(H)$  be an isometry and  $Q \in B(H)$  be an  $n$ -nilpotent operator ( $n \geq 1$  integer) such that  $TQ = QT$ . Then  $T+Q$  is a strict  $(2n-1)$ -isometry.*

*Proof.* By Theorem 3.1 we obtain that  $T + Q$  is a  $(2n - 1)$ -isometry. Note that as  $T$  is an isometry we have  $T^{*k}T^k = I$ , for every positive integer  $k$ .

As in the proof of Theorem 3.1, for any integer  $k \geq 0$ , we have that

$$\begin{aligned} (T + Q)^{*k}(T + Q)^k &= \sum_{i,j=0}^h \binom{k}{i} \binom{k}{j} Q^{*i} T^{*h-i} T^{h-j} Q^j = \\ &= \sum_{0 \leq i < j \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} T^{*j-i} Q^j + \sum_{0 \leq j \leq i \leq h} \binom{k}{i} \binom{k}{j} Q^{*i} T^{i-j} Q^j, \end{aligned}$$

where  $h := \min\{k, n - 1\}$ .

The coefficient of the summand that appears at  $k^{2n-1}$  is equal to

$$\binom{k}{n-1}^2 Q^{*n-1} Q^{n-1},$$

which is null if and only if  $Q^{*n-1}Q^{n-1} = 0$ ; that is, if and only if  $Q^{n-1} = 0$ . Therefore, if  $Q$  is nilpotent of order  $n$ , then  $(T + Q)^{*k}(T + Q)^k$  can be written as a polynomial in  $k$ , of degree  $2n - 1$  and coefficients in  $B(H)$ . Consequently  $T + Q$  is a strict  $(2n - 1)$ -isometry.  $\square$

Now we obtain the following corollary of Theorem 3.2.

**Corollary 3.1.** *Let  $H$  be a Hilbert space. Let  $Q \in B(H)$  be an  $n$ -nilpotent operator ( $n \geq 1$  integer). Then  $I + Q$  is a strict  $(2n - 1)$ -isometry.*

Recall that an operator  $T \in B(H)$  is  $N$ -supercyclic ( $N \geq 1$  integer) if there exists a subspace  $F \subset H$  of dimension  $N$  such that its orbit  $\{T^n x : n \geq 0, x \in F\}$  is dense in  $H$ . Moreover,  $T$  is called *supercyclic* if it is 1-supercyclic. See [12] and [11].

Bayart [7, Theorem 3.3] proved that on an infinite dimensional Banach space an  $(m, q)$ -isometry is never  $N$ -supercyclic, for any  $N \geq 1$ . In the setting of Banach spaces, Yarmahmoodi, Hedayatian and Yousefi [16, Theorem 2.2] showed that any sum of an isometry and a commuting nilpotent operator is never supercyclic. For Hilbert space operators we extend the result [16, Theorem 2.2] to  $m$ -isometries plus commuting nilpotent operators.

**Corollary 3.2.** *Let  $H$  be an infinite dimensional Hilbert space. If  $T \in B(H)$  is an  $m$ -isometry that commutes with a nilpotent operator  $Q$ , then  $T + Q$  is never  $N$ -supercyclic for any  $N$ .*



## 4. SOME EXAMPLES IN THE SETTING OF BANACH SPACES

Theorem 3.2 is not true for finite-dimensional Banach spaces even for  $m = 1$ .

Denote by  $\ell_p^d := (\mathbb{C}^d, \|\cdot\|_p)$ .

**Example 4.1.** Let  $Q : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  be defined by  $Q(x, y) := (y, 0)$ , hence  $Q$  is a 2-nilpotent operator. The following assertions hold:

- (1)  $I + Q$  is not a  $(3, p)$ -isometry on  $\ell_p^2$  for any  $1 \leq p < \infty$  and  $p \neq 2$ .
- (2)  $I + Q$  is not a  $(3, p)$ -isometry on  $\ell_\infty^2$  for any  $p > 0$ .
- (3)  $I + Q$  is a strict  $(2k + 1, 2k)$ -isometry on  $(\mathbb{C}^2, \|\cdot\|_{2k})$  for any  $k = 1, 2, 3, \dots$

*Proof.* For  $(x, y) \in \mathbb{C}^2$  we have

$$(I + Q)(x, y) = (x + y, y), \quad (I + Q)^2(x, y) = (x + 2y, y), \quad (I + Q)^3(x, y) = (x + 3y, y).$$

Write

$$A(x, y; p, q) := \|(I + Q)^3(x, y)\|_p^q - 3\|(I + Q)^2(x, y)\|_p^q + 3\|(I + Q)(x, y)\|_p^q - \|(x, y)\|_p^q.$$

- (1) We consider two cases,  $1 < p < \infty$  and  $p = 1$ .
- (a) *Case*  $1 < p < \infty$ . For  $x = 0, y = 1$  and  $q = p$ , we have

$$A(0, 1; p, p) = 3^p + 1 - 3 \cdot 2^p - 3 + 6 - 1 = 3^p - 3 \cdot 2^p + 3.$$

So  $A(0, 1; p, p) = 0$  if and only if  $3^{p-1} + 1 = 2^p$ , which is true only when  $p = 2$  or  $p = 1$  since the function  $f(t) = 3^{t-1} + 1 - 2^t$  is null only for  $t = 1$  and  $t = 2$ .

Consequently  $I + Q$  is not a  $(3, p)$ -isometry on  $\ell_p^2$  if  $p \neq 2$  and  $1 < p < \infty$ .

(b) *Case*  $p = 1$ . In order to prove that  $I + Q$  is not a  $(3, 1)$ -isometry on  $\ell_1^2$ , we take the vector  $(1, -1)$  and obtain that

$$A(1, -1; 1, 1) = \|(I+Q)^3(1, -1)\|_1 - 3\|(I+Q)^2(1, -1)\|_1 + 3\|(I+Q)(1, -1)\|_1 - \|(1, -1)\|_1 \neq 0.$$

- (2) For  $(x, y) \in \mathbb{C}^2$  we have

$$\begin{aligned} A(x, y; \infty, p) &:= \|(I + Q)^3(x, y)\|_\infty^p - 3\|(I + Q)^2(x, y)\|_\infty^p + 3\|(I + Q)(x, y)\|_\infty^p - \|(x, y)\|_\infty^p = \\ &= \max\{|x + 3y|, |y|\}^p - 3 \max\{|x + 2y|, |y|\}^p + 3 \max\{|x + y|, |y|\}^p - \max\{|x|, |y|\}^p. \end{aligned}$$

In particular, for  $x := (1, -1)$ ,

$$A(1, -1; \infty, p) = 2^p - 1 \neq 0.$$

Therefore  $I + Q$  is not a  $(3, p)$ -isometry on  $\ell_\infty^2$  for any  $p > 0$ .

(3) First we prove by induction on  $k$  that  $I + Q$  is a  $(2k + 1, 2k)$ -isometry on  $\ell_{2k}^2$  for any  $k = 1, 2, 3 \dots$ . Note that, for  $(x, y) \in \mathbb{C}^2$ ,

$$(I + Q)^s(x, y) = (x + sy, y) \quad (s = 0, 1, 2 \dots).$$

By Corollary 3.1, the operator  $I + Q$  is a strict  $(3, 2)$ -isometry on  $\ell_2^2$ . Hence  $I + Q$  is a strict  $(2k + 1, 2k)$ -isometry on  $\ell_2^2$  for all  $k = 1, 2, 3 \dots$  [13, Corollary 4.6]. Thus for  $(x, y) \in \mathbb{C}^2$ ,

$$\sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} (|x + sy|^2 + |y|^2)^k = 0. \quad (4.11)$$

Suppose that  $I + Q$  is a  $(2i - 1, 2i - 2)$ -isometry on  $\ell_{2i-2}^2$  for every  $i = 2, 3, \dots, k$ . Hence  $I + Q$  is also a  $(2k + 1, 2i - 2)$ -isometry on  $\ell_{2i-2}^2$ . Then, for  $(x, y) \in \mathbb{C}^2$ ,

$$\sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} (|x + sy|^{2i-2} + |y|^{2i-2}) = 0, \quad (2 \leq i \leq k).$$

Therefore

$$\sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} |x + sy|^{2i-2} = 0, \quad (2 \leq i \leq k). \quad (4.12)$$

Taking into account the equality (4.12) we can write (4.11) in the following way:

$$\begin{aligned} 0 &= \sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} \sum_{i=0}^k \binom{k}{i} |x + sy|^{2i} |y|^{2(k-i)} \\ &= \sum_{i=0}^{k-1} \binom{k}{i} |y|^{2(k-i)} \sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} |x + sy|^{2i} \\ &\quad + \sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} |x + sy|^{2k} \\ &= \sum_{s=0}^{2k+1} (-1)^{2k+1-s} \binom{2k+1}{s} (|x + sy|^{2k} + |y|^{2k}). \end{aligned}$$

Therefore  $I + Q$  is a  $(2k + 1, 2k)$ -isometry on  $\ell_{2k}^2$ .

Now we prove that  $I + Q$  is a strict  $(2k + 1, 2k)$ -isometry on  $\ell_{2k}^2$ . Suppose on the contrary that  $I + Q$  is a  $(2k, 2k)$ -isometry on  $\ell_{2k}^2$ . Then

$$\sum_{s=0}^{2k-1} (-1)^{2k-1-s} \binom{2k-1}{s} (|x + sy|^{2k} + |y|^{2k}) = 0$$

for all  $(x, y) \in \mathbb{C}^2$ . So

$$\sum_{s=0}^{2k-1} (-1)^{2k-1-s} \binom{2k-1}{s} |x + sy|^{2k} = 0 \quad (4.13)$$

for all  $(x, y) \in \mathbb{C}^2$ . In particular, for  $y = 1$  and  $x = 0, 1, 2, \dots$  we have

$$\sum_{s=0}^{2k-1} (-1)^{2k-1-s} \binom{2k-1}{s} (x + s)^{2k} = 0. \quad (4.14)$$

So  $(s^{2k})_{s=0}^{\infty}$  is an arithmetic progression of order  $2k - 2$ , which is a contradiction with (2.5).  $\square$

**Remark 4.2.** Notice that in any Hilbert space of dimension  $n$ , there are strict  $m$ -isometries only for any  $m \leq 2n - 1$ . However, as the above example shows, there are strict  $(2k + 1, 2k)$ -isometries for any integer  $k$  in a Banach space of dimension 2.

The following example gives an operator of the form  $I + Q$  with  $Q$  a nilpotent operator such that  $I + Q$  is not an  $(m, q)$ -isometry for any integer  $m$  and any  $q > 0$ .

**Example 4.3.** Let  $X$  be the Banach space of all continuous functions from  $[0, 1]$  to  $\mathbb{R}$  such that vanish at 1 endowed with sup-norm. Define  $Q : X \rightarrow X$  by

$$(Qf)(t) := \begin{cases} f(t + \frac{1}{2}) & \text{if } 0 \leq t \leq \frac{1}{2} \\ 0 & \text{if } \frac{1}{2} < t \leq 1. \end{cases}$$

Then  $Q \in B(X)$  is 2-nilpotent operator. Moreover,  $I + Q$  is not an  $(m, q)$ -isometry for any  $m = 1, 2, 3, \dots$  and any  $q > 0$ .

*Proof.* It is clear that  $I + Q$  is not an isometry since the function  $f \in X$  given by

$$f(t) := \begin{cases} 1 & \text{if } 0 \leq t \leq \frac{1}{2} \\ -2t + 2 & \text{if } \frac{1}{2} < t \leq 1 \end{cases}$$

satisfies  $\|f\| = 1$  and  $\|(I + Q)f\| = 2$ .

For  $m = 2, 3, 4, \dots$  consider the function  $f_m \in X$  defined by

$$f_m(t) := \begin{cases} -4t + 1 & \text{if } 0 \leq t \leq \frac{1}{4} \\ 0 & \text{if } \frac{1}{4} < t \leq \frac{1}{2} \\ \frac{-4}{m-1}t + \frac{2}{m-1} & \text{if } \frac{1}{2} < t \leq \frac{3}{4} \\ \frac{4}{m-1}t - \frac{4}{m-1} & \text{if } \frac{3}{4} < t \leq 1. \end{cases}$$

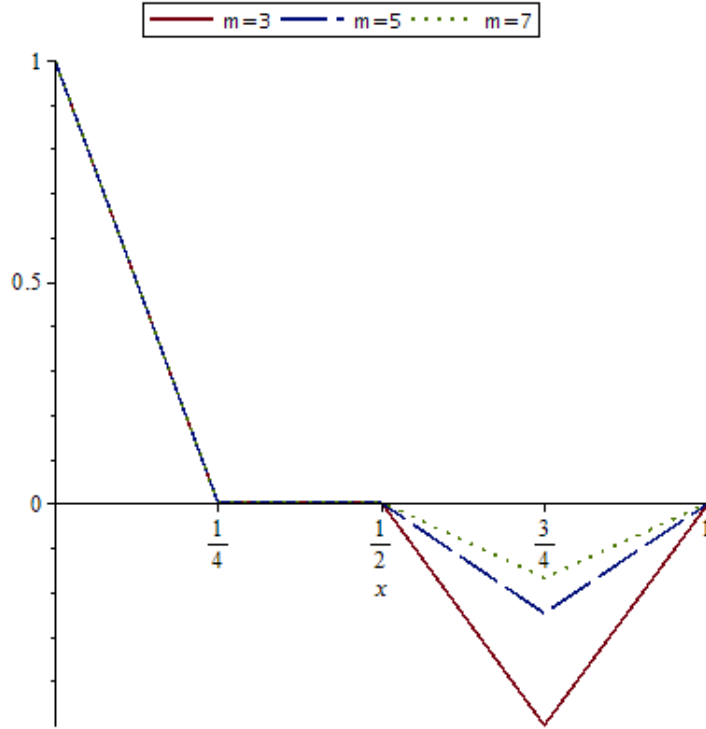


FIGURE 1. Graphics of functions  $f_3$ ,  $f_5$  and  $f_7$

Note that  $f_m(\frac{3}{4}) = \frac{1}{1-m} = \min_{0 \leq t \leq 1} f_m(t)$ .

Fix  $q > 0$ . For  $k = 0, 1, 2, \dots$  we have

$$\|(I + Q)^k f_m\|^q = \|(I + kQ)f_m\|^q = \sup_{0 \leq t \leq 1} |f_m(t) + k(Qf_m)(t)|^q.$$

If  $0 \leq k \leq m - 1$ , then

$$\|(I + Q)^k f_m\|^q = |f_m(0) + kf_m(1/2)|^q = 1,$$

since  $k \frac{1}{m-1} \leq 1$ . But as  $m \frac{1}{m-1} > 1$  we obtain

$$\|(I + Q)^m f_m\|^q = |f_m(1/4) + mf_m(3/4)|^q = \left(\frac{m}{m-1}\right)^q > 1.$$

Consequently

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \|(I+Q)^k f_m\|^q = \sum_{k=0}^{m-1} (-1)^{m-k} \binom{m}{k} \|(I+Q)^k f_m\|^q + \|(I+Q)^m f_m\|^q = -1 + \left(\frac{m}{m-1}\right)^q \neq 0.$$

Therefore  $I + Q$  is not an  $(m, q)$ -isometry for any  $m = 1, 2, 3, \dots$  and any  $q > 0$ .  $\square$

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