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The Lelek fan and the Poulsen simplex as Fraïssé limits

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Abstract

We describe the *Lelek fan*, a smooth fan whose set of end-points is dense, and the *Poulsen simplex*, a Choquet simplex whose set of extreme points is dense, as Fraïssé limits in certain natural categories of embeddings and projections. As an application we give a short proof of their uniqueness, universality, and almost homogeneity. We further show that for every two countable dense subsets of end-points of the Lelek fan there exists an auto-homeomorphism of the fan mapping one set onto the other. This improves a result of Kawamura, Oversteegen, and Tymchatyn from 1996.

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1 Introduction

The theory of *Fraissé limits* has been recently extended beyond first-order structures, in particular, covering some topological spaces. For example, one can consider a class of "small" (for example, compact) topological spaces with certain properties and their inverse limits. Under some natural conditions, there exists a universal space that maps onto all spaces from the class and satisfies a variant of "surjective homogeneity", implying that its group of auto-homeomorphisms is rich. Such a space is unique and is called the *Fraissé limit* of the class under consideration.

Of course, the details are more complicated, we refer to [11], [10] and [8] for more information.

We first consider a very special and simple class of compact metric spaces, namely *finite fans*, together with a very particular class of continuous mappings. We recognize its Fraïssé limit as the *Lelek fan*, a well-known object in geometric topology, constructed by Lelek [13] in 1961, whose uniqueness was not known for almost 30 years.

The Lelek fan has been recently studied by Bartošová and Kwiatkowska [1] in the framework of the projective Fraïssé theory developed by Irwin and Solecki [8]. Our aim is to describe the Lelek fan as a Fraïssé limit in the sense of Kubiś [10]. We are going to do it by developing a natural geometric structure of smooth fans. This will allow us to strengthen a few results from [1] and to prove new ones.

The Poulsen simplex, constructed by Poulsen [18] in the same year as the Lelek fan, was studied in the 70s by Lindenstrauss, Olsen, and Sternfeld [14], who showed its uniqueness, universality, and homogeneity. The space of real-valued continuous affine functions on the Poulsen simplex, from which one can recover the Poulsen simplex as the space of states, was constructed in a Fraïssé-theoretic framework by Conley and Törnquist (unpublished). Independently from our work, constructions of the Poulsen simplex as a Fraïssé limit were recently given in the work of Bartošová, Lopez-Abad, and Mbombo, and in the paper by Lupini [15], who uses the framework of the Fraïssé theory for metric structures in the sense of Ben Yaacov [2], and for that he works with the category of real-valued continuous affine functions on Choquet simplices which forms a category dual to the one of Choquet simplices. In fact Lupini shows how to construct a number of objects from functional analysis as Fraïssé limits. We will describe the Poulsen simplex in the Fraïssé-theoretic framework developed by Kubiś [10]. The advantage of such approach is that we will work directly with simplices and affine maps between them, rather than in the dual category.

We would like to point out that the Fraïssé families we will consider to obtain the Lelek fan and to obtain the Poulsen simplex are very similar, and in both cases the morphisms will be affine projections.

The set E of end-points of the Lelek fan is an interesting topological space, studied in detail by Kawamura, Oversteegen, and Tymchatyn. One of their results [9, Thm. 12] states that for every two countable dense subsets of E there is an autohomeomorphism of E moving the first set onto the other. We generalize their theorem and show that for every two countable dense subsets of E there exists an autohomeomorphism of the full Lelek fan which is linear on each spike and moves the first set onto the other. We further present an example of an auto-homeomorphism of E that does not extend to the Lelek fan, which shows that our result does not follow from their result.

2 Preliminaries

Denote by \mathfrak{Komp} the category of all non-empty compact second countable spaces with continuous mappings. We shall consider the category $\ddagger \mathfrak{Komp}$ whose objects are non-empty compact metric spaces and arrows are pairs of the form $\langle e, p \rangle$, where e is a continuous injection and p is a continuous surjection satisfying $p \circ e = \mathrm{id}_A$, where A is the domain of e. The composition is $\langle e, p \rangle \circ \langle e', p' \rangle$ is $\langle e \circ e', p' \circ p \rangle$. The *domain* of an arrow $\langle e, p \rangle$ is, by definition, the domain of e. Its *co-domain* is the domain of p.

We will review the Fraïssé-theoretic framework introduced by Kubiś [10], focusing only on subcategories of **Romp**. Let \mathfrak{C} be a subcategory of **Romp**. Let us assume that each $F \in \operatorname{Ob}(\mathfrak{C})$ is equipped with a metric d_F . Given two $\ddagger \mathfrak{C}$ -arrows $f, g \colon F \to G$, $f = \langle e, p \rangle, g = \langle i, q \rangle$, we define

$$d(f,g) = \begin{cases} \max_{y \in G} d_F(p(y), q(y)) & \text{if } e = i, \\ +\infty & \text{otherwise} \end{cases}$$

Say that $\ddagger \mathfrak{C}$ equipped with the metric d is a *metric category* if $d(f_0 \circ g, f_1 \circ g) \leq d(f_0, f_1)$ and $d(h \circ f_0, h \circ f_1) \leq d(f_0, f_1)$, whenever the composition makes sense.

We say that $\ddagger \mathfrak{C}$ is *directed* if for every $A, B \in \ddagger \mathfrak{C}$ there is $C \in \ddagger \mathfrak{C}$ such that there exist arrows from A to C and from B to C. Say that $\ddagger \mathfrak{C}$ has the *almost amalgamation* property if for every $\ddagger \mathfrak{C}$ -arrows $f: C \to A, g: C \to B$, for every $\varepsilon > 0$, there exist $\ddagger \mathfrak{C}$ -arrows $f': A \to W, g': B \to W$ such that $d(f' \circ f, g' \circ g) < \varepsilon$. It has the *strict* amalgamation property if we can have f' and g' as above satisfying $f' \circ f = g' \circ g$.

The category $\ddagger \mathfrak{C}$ is *separable* if there is a countable subcategory \mathscr{F} such that

(1) for every $X \in Ob(\ddagger \mathfrak{C})$ there are $A \in Ob(\mathscr{F})$ and an arrow $f: X \to A$;

(2) for every $\ddagger \mathfrak{C}$ -arrow $f \colon A \to Y$ with $A \in \operatorname{Ob}(\mathscr{F})$. for every $\varepsilon > 0$ there exists an $\ddagger \mathfrak{C}$ -arrow $g \colon Y \to B$ and an \mathscr{F} -arrow $u \colon A \to B$ such that $d(g \circ f, u) < \varepsilon$.

We say that a $\ddagger \mathfrak{C}$ -sequence $\vec{U} = \langle U_m; u_m^n; \omega \rangle$ is a Fraissé sequence if the following holds:

(F) Given $\varepsilon > 0, m \in \omega$, and an arrow $f: U_m \to F$, where $F \in Ob(\ddagger \mathfrak{C})$, there exist m < n and an arrow $g: F \to U_n$ such that $d(g \circ f, u_m^n) < \varepsilon$.

Theorem 2.1 (Thm. 3.3, [10]). Let $\ddagger \mathfrak{C}$ be a directed metric subcategory of $\ddagger \mathfrak{Komp}$ with the almost amalgamation property. The following conditions are equivalent:

- (a) $\ddagger \mathfrak{C}$ is separable.
- (b) ‡C has a Fraïssé sequence.

We have the following general theorems for a metric category $\ddagger \mathfrak{C}$ as above. All the necessary definitions are given on pages 5-7 in [10].

Theorem 2.2 (Uniqueness, Thm. 3.5, [10]). There exists at most one Fraissé sequence (up to an isomorphism).

Theorem 2.3 (Universality, Thm. 3.7, [10]). Suppose that \vec{U} is a Fraissé sequence in $\ddagger \mathfrak{C}$. Then for every sequence \vec{X} in $\ddagger \mathfrak{C}$ there is an arrow $f : \vec{X} \to \vec{U}$.

Theorem 2.4 (Almost Homogeneity, Thm. 3.6, [10]). Suppose that $\ddagger \mathfrak{C}$ has the almost amalgamation property and it has a Fraissé sequence \vec{U} . Then for every $A, B \in Ob(\ddagger \mathfrak{C})$ and for all arrows $i: A \to \vec{U}$, $j: B \to \vec{U}$, for every $\ddagger \mathfrak{C}$ -arrow $f: A \to B$, for every $\varepsilon > 0$, there exists an isomorphism $H: \vec{U} \to \vec{U}$ such that $d(j \circ f, H \circ i) < \varepsilon$.

In particular, we can take above A = B and f = id.

In Section 3.3, we will work with a slightly more general category than $\ddagger \mathfrak{Komp}$. Namely, let $\ddagger \mathfrak{Komp}^d$ be the category whose objects are pairs of sets (F^1, F^2) with $F^1 \subset F^2$, and F^2 compact. An arrow from (F^1, F^2) to (G^1, G^2) is a pair $\langle e, p \rangle$ such that $e: F^1 \to G^1$ is an embedding, $p: G^2 \to F^2$ is a continuous surjection so that $p \circ e = \operatorname{id}_{F^1}$ (more formally: $(p \upharpoonright G^1) \circ e$ is the inclusion $F^1 \subseteq F^2$). All definitions and theorems given above remain true in this slightly more general setting, as this still falls under the setting developed in [10].

A retraction is a continuous mapping $r: X \to X$ satisfying $r \circ r = r$. A mapping $f: X \to Y$ is right-invertible if there exists a mapping $i: Y \to X$ such that $f \circ i = id_Y$. Note that in this case $r = i \circ f$ is a retraction. On the other hand, if $r: X \to X$ is a retraction then $r = i \circ f$, where i is the inclusion of Y = f[X] and f is the mapping r treated as a surjection onto Y. We shall often speak about retractions, having in mind right-invertible mappings.

Lemma 2.5. Assume that K is a compact space and $\{r_n\}_{n\in\omega}$ is a sequence of retractions of K that is pointwise convergent to the identity and satisfies $r_n \circ r_m = r_{\min(n,m)}$ for every $n, m \in \omega$. Then K is the inverse limit of the sequence consisting of $K_n := r_n[K]$, such that the bonding map from K_{n+1} to K_n is $r_n \upharpoonright K_{n+1}$.

Proof. Let $P = \prod_{n \in \omega} K_n$ and define $h: K \to P$ by setting $h(x) = (r_n(x))_{n \in \omega}$. As $\{r_n\}_{n \in \omega}$ is pointwise convergent to the identity, h is one-to-one. The condition $r_n \circ r_m = r_{\min(n,m)}$ ensures that h[K] is inside the inverse limit $L \subseteq P$ of the sequence $\vec{K} = \langle K_n; r_n^m; \omega \rangle$. Fix $y \in L$. Then $y = (x_n)_{n \in \omega}$, where $x_n = r_n^m(x_m) = r_n(x_m)$, whenever n < m. Let x be an accumulation point of $\{x_n\}_{n \in \omega} \subseteq K$. Then $r_n(x) = x_n$ for every $n \in \omega$, therefore h(x) = y. This shows that $h: K \to L$ is a homeomorphism.

Remark 2.6. The converse to the above lemma holds as well. Namely, every inverse sequence of compact spaces K_n whose bonding maps are right-invertible can be turned into a chain $K_0 \subseteq K_1 \subseteq \ldots$ so that the limiting projections become retractions satisfying the assumptions of Lemma 2.5. The proof is an easy exercise.

For all undefined notions from category theory we refer to [16].

3 The Lelek fan

In this section we present category-theoretic framework for the class of smooth fans, showing that the Lelek fan its a Fraïssé limit. Next we prove the announced result involving countable dense sets of end-points of the Lelek fan.

3.1 Geometric theory of smooth fans

Our universe is the topological space $V = \Delta(2^{\omega}) = ([0,1] \times 2^{\omega})/\sim$, where 2^{ω} denotes the Cantor set and \sim is the equivalence relation identifying all points of the form $\langle 0, t \rangle$, $t \in 2^{\omega}$ and no other elements of the space. In other words, V is the cone over the Cantor set. Obviously, V is embeddable into the plane in such a way that the top of the cone is 0 and for each $t \in 2^{\omega}$ the set $[0,1] \times \{t\}$ is a straight line segment. Thus, V is closed under multiplication by non-negative scalars ≤ 1 . We say that a subset S of V is convex if $\lambda \cdot x \in S$ whenever $\lambda \in [0,1]$ and $x \in S$. Of course, this notion has not much to do with the standard convexity in the plane.

The space V is called the *Cantor fan.* Closed convex subsets of V will be called *geometric fans. Smooth fans* are defined in purely topological terms¹, up to homeomorphisms. It turns out, however, that every smooth fan is homeomorphic to a geometric fan [7] and clearly every geometric fan is smooth. From now on we shall

¹ A fan is an arcwise connected hereditarily unicoherent continuum with at most one ramification point, called the *top*. A fan is *smooth* if for each sequence (p_n) converging to p the sequence of arcs tp_n converges to the arc tp, where t is the top point. See [5] for more details and historical references.

deal with geometric fans only, having in mind that we actually cover the class of all smooth fans.

We shall use the function $\varrho: V \to [0,1]$ defined by $\varrho(s,t) = s$. We call $\varrho(x)$ the level of $x \in V$. We say that a function $f: S \to V$, where $S \subseteq V$, is level-preserving if $\varrho(f(s)) = \varrho(s)$ for every $s \in S$. Given a fan F, we denote by E(F) the set of its end-points. Formally, $x \in F$ is an *end-point* if there are no $y \in F$ and $\lambda \in [0,1)$ such that $x = \lambda \cdot y$. Obviously, $E(V) = \{1\} \times 2^{\omega}$ and every fan F with E(F) finite is actually homeomorphic to the cone over its end-points. We shall say that F is a finite fan if E(F) is finite. Given $x \in E(F)$, the minimal convex set containing x is the line segment joining it to 0. Such a set will be called a *spike*.

Given two geometric fans F, G, a map $f: F \to G$ will be called *affine* if it is continuous and $f(\lambda \cdot x) = \lambda \cdot f(x)$ for every $x \in F$, $\lambda \in [0, 1)$. If F is finite, then the last condition actually implies continuity.

Let $\Delta(X)$ denote the cone over the space X. Fix a geometric fan K. We shall analyze affine quotients of K onto finite fans. Recall that K "lives" in the Cantor fan $V = \Delta(2^{\omega})$. A subset $U \subseteq K$ will be called a *triangle* if it is of the form $U = K \cap \Delta(W)$, where $W \subseteq 2^{\omega}$ is a non-empty basic clopen set, that is, $W = W_s$, where

$$W_s = \{t \in 2^\omega \colon s \subseteq t\}$$

and $s \in 2^{<\omega}$. In particular, two triangles are either disjoint or one is contained in the other. The *size* of a triangle U as above is defined to be 1/n, where n is the cardinality (length) of the finite sequence s. Note that every triangle U is almost clopen, namely, U is closed and $U \setminus \{0\}$ is clopen. Now, a *triangular decomposition* of K is a (necessarily finite) family \mathscr{U} consisting of triangles, such that $\{\pi[U]: U \in \mathscr{U}\}$ is a partition of $\pi[K] \subseteq 2^{\omega}$. Formally, a triangular decomposition is a covering of Kby almost clopen sets and it becomes a partition only after removing the vertex 0.

Given a triangle U, define $\varrho(U) = \max\{\varrho(x) \colon x \in U\}$. Now suppose \mathscr{U} is a triangular decomposition of K and choose for each $U \in \mathscr{U}$ some $a_U \in E(K)$ with $\varrho(a_U) = \varrho(U)$. Let K_0 be the finite fan whose set of end-points is $\{a_U \colon U \in \mathscr{U}\}$. There is a unique level-preserving continuous affine retraction $r \colon K \to K_0$ satisfying $f^{-1}[[0, a_U]] = U$ for every $U \in \mathscr{U}$.

Using the observations above, we can show the following proposition.

Proposition 3.1. Every geometric fan is the inverse limit of a sequence of finite fans whose bonding mappings are affine and level-preserving (therefore 1-Lipschitz).

Proof. We choose a sequence of triangular decompositions \mathscr{U}_n such that the size of each triangle in \mathscr{U}_n is not more than 1/n, and \mathscr{U}_{n+1} refines \mathscr{U}_n . For each \mathscr{U}_n we take a level-preserving affine retraction as in the remarks above. This gives the required sequence.

We are interested in a better representation of geometric fans. An *embedding* of geometric fans is, by definition, a one-to-one continuous affine map. By compactness, this is obviously a topological embedding. An embedding $e: F \to G$ will be called

stable if $e[E(F)] \subseteq E(G)$. If e is the inclusion then this just means that G is obtained from F by adding some new spikes and not enlarging the existing ones.

Lemma 3.2. Let G be a finite geometric fan and let $q: F \to G$ be an affine retraction between geometric fans. Then there exists a stable embedding $e: G \to F$ such that $q \circ e = id_G$.

Proof. For each $x \in E(G)$ choose $y_x \in F$ such that $f(y_x) = x$. Necessarily $y_x \in E(F)$, because f is linear on each spike. Define $e: G \to F$ to be the unique affine map such that $e(x) = y_x$. Continuity comes automatically from the fact that G is a finite fan. Thus, e is a stable embedding. That $q \circ e = \mathrm{id}_G$ follows from the fact that a linear self-map of a closed interval fixing its end-points must be the identity. \Box

Combining Proposition 3.1, Lemma 3.2 and the remark below Lemma 2.5, we obtain the following theorem.

Theorem 3.3. Let F be a geometric fan. Then there exists a chain

 $F_0 \subseteq F_1 \subseteq F_2 \subseteq \ldots \subseteq F$

of finite fans, such that $E(F_n) \subseteq E(F)$ for each $n \in \omega$, and there exist 1-Lipschitz affine retractions $r_n: F \to F_n$ such that $r_n \circ r_m = r_{\min(n,m)}$ for every $n, m \in \omega$, and $\{r_n\}_{n \in \omega}$ converges pointwise to id_F.

3.2 Construction and properties of the Lelek fan

We now have all the necessary tools for describing the Lelek fan and its properties. Given a finite geometric fan F embedded into a plane, we define a metric d_F on F. Let $d_F(x, y)$ be the length of the shortest path (which is always either a line segment or the union of two line segments) between x and y, computed with respect to the usual Euclidean metric on the plane, in which V is embedded. This is obviously a metric compatible with the topology, as long as F is a finite fan.

Let $\ddagger \mathfrak{F}$ be the category whose objects are finite geometric fans and an arrow from F to G is a pair $\langle e, p \rangle$ such that $e: F \to G$ is a stable embedding, $p: G \to F$ is a 1-Lipschitz affine retraction and $p \circ e = \mathrm{id}_F$. The composition $\langle e, p \rangle \circ \langle e', p' \rangle$ is $\langle e \circ e', p' \circ p \rangle$. An immediate consequence of Theorem 3.3 is

Corollary 3.4. Every geometric fan is the inverse limit of a sequence in $\ddagger \mathfrak{F}$.

Lemma 3.5. The category $\ddagger \mathfrak{F}$ has the strict amalgamation property.

Proof. Let A, B, C be finite geometric fans such that $C = A \cap B$. Suppose also that two 1-Lipschitz affine retractions $r: A \to C$, $s: B \to C$ are given. Obviously, $A \cup B$ is a finite geometric fan and there are 1-Lipschitz affine retractions $r': A \cup B \to A$, $s': A \cup B \to B$ defined by conditions

$$r' \upharpoonright B = s, \quad r' \upharpoonright A = \mathrm{id}_A, \qquad s' \upharpoonright A = r, \quad s' \upharpoonright B = \mathrm{id}_B.$$

Now $f' = \langle e_A^{A \cup B}, r' \rangle$ and $g' = \langle e_B^{A \cup B}, s' \rangle$ provide an amalgamation of the pairs $f = \langle e_C^A, r \rangle$ and $g = \langle e_C^B, s \rangle$ in the category $\ddagger \mathfrak{F}$, where e_C^A, e_C^B denote the inclusions $C \subseteq A, C \subseteq B$.

Lemma 3.6. $\ddagger \mathfrak{F}$ is a separable metric category.

Proof. Let \mathscr{F} consist of all finite fans such that ϱ restricted to the end-points has rational values. Let us consider all $\ddagger \mathfrak{F}$ -arrows $\langle e, p \rangle$ such that p maps the end-points into points with rational value of ϱ (recall that $\varrho(x)$ is the first coordinate of x in V). After identifying isometric fans, this family of objects and arrows is certainly countable.

By Theorem 2.1, $\ddagger \mathfrak{F}$ has a Fraïssé sequence, whose limit we denote by U_{∞} .

Theorem 3.7. Let \vec{U} be a sequence in $\ddagger \mathfrak{F}$ and let U_{∞} be its inverse limit. The following properties are equivalent:

- (a) The set $E(U_{\infty})$ is dense in U_{∞} .
- (b) \vec{U} is a Fraïssé sequence.

Proof. (a) implies (b) Fix $m \in \omega$ and $\varepsilon > 0$. Fix a $\ddagger \mathfrak{F}$ -arrow $f: U_m \to U$, with $f = \langle e, p \rangle$. It suffices to prove condition (F) in case where U is an extension of U_m by adding a single spike. All other $\ddagger \mathfrak{F}$ -arrows are obtained as compositions of such arrows. Let v be the "new" end-point of U and let x = p(v). There exists a sequence $\{x_n\}_{n\in\omega}$ of end-points of U_{∞} convergent to x. Then $\lim_{k\to\infty} r_m(x_k) = r_m(x) = x$. As U_m is a finite fan, for k big enough we have that $r_m(x_k)$ is ε -close to x with respect to the metric d defined for finite fans. Finally, the fan W obtained from U_m by adding the spike with end-point x_k "realizes" U with an ε -error. Making another ε -error, we can assume that $W = U_n$ for some m < n.

(b) implies (a) Fix $x \in U_m$, where *m* is fixed. Let $n_0 = m$. Using condition (F), we find $n_1 > n_0$ and $x_1 \in E(U_{n_1})$ such that $d(r_{n_0}(x_1), x) < 2^{-1}$, where *d* denotes the "shortest path" metric. Again using (F) applied to U_{n_1} , we find $n_2 > n_1$ and $x_2 \in E(U_{n_2})$ such that $d(r_{n_1}(x_2), x) < 2^{-2}$. We continue this way, ending up with a sequence of end-points $\{x_k\}_{k\in\omega}$ such that $\lim_{k\to\infty} r_{n_k}(x_{k+1}) = x$. Refining this sequence, we may assume that it is convergent, i.e. $\lim_{k\to\infty} x_k = y \in U_\infty$ and $\{n_k\}_{k\in\omega}$ is a strictly increasing sequence of positive integers. We need to show that y = x.

Fix $\ell \in \omega$. If $n_k > \ell$ then $r_\ell = r_\ell \circ r_{n_k}$, therefore

$$r_{\ell}(x) = r_{\ell}(\lim_{k \to \infty} r_{n_k}(x_{k+1})) = \lim_{k \to \infty} r_{\ell}(x_{k+1}) = r_{\ell}(y).$$

This shows that $r_{\ell}(x) = r_{\ell}(y)$ for every $\ell \in \omega$. This is possible only if x = y.

Finally, as $\bigcup_{m \in \omega} U_m$ is dense in U_∞ , this shows the density of $E(U_\infty)$ in U_∞ . \Box

Corollary 3.8. U_{∞} is the Lelek fan.

From now on, we shall write \mathbb{L} instead of U_{∞} . Fraïssé theory combined with our geometric theory of finite fans provides a simple proof of the uniqueness result, originally proved by Charatonik [5] and independently by Bula & Oversteegen [3].

Corollary 3.9 (Uniqueness). The Lelek fan is a unique smooth fan whose set of end-points is dense.

Further corollaries, coming from the Fraïssé theory are the following.

Corollary 3.10 (Universality). For every geometric fan F there are a stable embedding into the Lelek fan \mathbb{L} and an affine retraction from \mathbb{L} onto F.

Corollary 3.11 (Almost homogeneity). Let F be a finite fan which is stably embedded into the Lelek fan and let $f, g: \mathbb{L} \to F$ be affine retractions. Then for every $\varepsilon > 0$ there is a homeomorphism $h: \mathbb{L} \to \mathbb{L}$ such that for every $x \in \mathbb{L}$, $d_F(f \circ h(x), g(x)) < \varepsilon$.

Corollary 3.10 says, in particular, that the set of end-points of the Lelek fan is universal for the class of all sets of the form E(K), where K is a geometric fan. This class was characterized in topological terms by Kawamura, Oversteegen and Tymchatyn [9].

3.3 More properties of the Lelek fan

We shall prove the following two statements:

Theorem 3.12. Let $f: S \to T$ be a bijection, such that $S, T \subseteq E(\mathbb{L})$ are finite sets. Then there exists an affine homeomorphism $h: \mathbb{L} \to \mathbb{L}$ such that $h \upharpoonright S = f$.

Theorem 3.13. Let $A, B \subseteq E(\mathbb{L})$ be countable dense sets. Then there exists an affine homeomorphism $h: \mathbb{L} \to \mathbb{L}$ such that h[A] = B.

The first result is rather well-known. A weakening of Theorem 3.13 was stated in [9, Thm. 12], where the authors showed that the space of end-points of the Lelek fan is countably dense homogeneous. We shall obtain both of these results from the general Fraïssé theory, adding some extra work.

Given a sequence \vec{F} in $\ddagger \mathfrak{F}$, we shall denote by $\varprojlim \vec{F}$ the inverse limit of the 1-Lipschitz affine retractions associated with \vec{F} . We already know that $\varprojlim \vec{F}$ is a geometric fan and every geometric fan is of the form $\varprojlim \vec{F}$ for some sequence \vec{F} in $\ddagger \mathfrak{F}$. Given a sequence \vec{F} , let us denote by $\varinjlim \vec{F}$ the union $\bigcup_{n \in \omega} F_n$, which is a dense subset of $\liminf \vec{F}$ consisting of countably many spikes.

Proof of Theorem 3.12. It will suffice to prove the theorem for S and T which are one-element sets, as then we deduce the theorem in a general case by decomposing the Lelek fan into finitely many disjoint spaces homeomorphic to the Lelek fan, with their roots identified.

Choose an affine (but not necessarily 1-Lipschitz) homeomorphism $h_S: \mathbb{L} \to \mathbb{L}'$ and $h_T: \mathbb{L} \to \mathbb{L}''$ such that $h_S(S)$ is the endpoint of a longest spike in \mathbb{L}' and $h_T(T)$ is the endpoint of a longest spike in \mathbb{L}'' . As in the proof of Proposition 3.1, we can find sequences \vec{A}, \vec{B} in $\ddagger \mathfrak{F}$ such that $\mathbb{L}' = \lim_{t \to \infty} \vec{A}$ and $\mathbb{L}'' = \lim_{t \to \infty} \vec{B}$, and additionally $A_0 = h_S(S), B_0 = h_T(T)$. By Theorem 3.7, both sequences are Fraïssé. Corollary 3.11 applied to any $\varepsilon > 0$ gives an affine isomorphism f of \mathbb{L}' and \mathbb{L}'' that carries $h_S(S)$ to $h_T(T)$. The homeomorphism $(h_T)^{-1} \circ f \circ h_S$ is as required. \Box

Let F be a geometric fan. A skeleton in F is a convex set $D \subseteq F$ such that E(D) is countable, contained in E(F) and dense in E(F).

Let us consider the following generalization of the category $\ddagger \mathfrak{F}$. Namely, let $\ddagger \mathfrak{F}^d$ be the category whose objects are pairs of finite geometric fans (F^1, F^2) with $F^1 = F^2$, and an arrow from (F^1, F^2) to (G^1, G^2) is a pair $\langle e, p \rangle$ such that $e: F^1 \to G^1$ is a stable embedding, $p: G^2 \to F^2$ is a 1-Lipschitz affine retraction and $p \circ e = \mathrm{id}_F$. Then $\ddagger \mathfrak{F}^d$ is a separable metric category, therefore it has a unique up to isomorphism Fraïssé sequence. Its Fraïssé limit is (D, \mathbb{L}) for some skeleton D in \mathbb{L} . To prove Theorem 3.13, we will show the following crucial lemma, an analog for $\ddagger \mathfrak{F}^d$ of Corollary 3.4.

Lemma 3.14. Let L be a geometric fan and let D be a skeleton in L. Then there exist a geometric fan L', a skeleton D' of L', and an affine (not necessarily 1-Lipschitz) homeomorphism $h: L \to L'$ with h(D) = D' such that there is a sequence \vec{F} in $\ddagger \mathfrak{F}^d$ satisfying $L' = \varprojlim \vec{F}$ and $D' = \varinjlim \vec{F}$.

We postpone for a moment the proof Lemma 3.14 and first show how to derive Theorem 3.13. The proof of the following theorem goes along the lines of the first part of the proof of Theorem 3.7.

Theorem 3.15. Let \vec{U} be a sequence in $\ddagger \mathfrak{F}^d$ such that (D, \mathbb{L}) is its inverse limit, where D is a skeleton of \mathbb{L} . Then \vec{U} is a Fraissé sequence.

Proof of Theorem 3.13. Choose A', \mathbb{L}' , and $h': \mathbb{L} \to \mathbb{L}'$ with h'(A) = A', and choose B'', \mathbb{L}'' , and $h'': \mathbb{L} \to \mathbb{L}''$ with h''(B) = B'', as in Lemma 3.14. Then there are two sequences \vec{F} , \vec{G} in $\ddagger \mathfrak{F}^d$ such that $\mathbb{L}' = \varprojlim \vec{F}$ and $\mathbb{L}'' = \varprojlim \vec{G}$, $E(\varinjlim \vec{F}) = A'$, and $E(\varinjlim \vec{G}) = B''$. By Theorem 3.15, both sequences are Fraïssé in $\ddagger \mathfrak{F}^d$. The uniqueness of a Fraïssé sequence gives that there is an affine automorphism $H: \mathbb{L}' \to \mathbb{L}''$ such that H[A'] = B''. Then the map $(h'')^{-1} \circ H \circ h'$ is as required.

Lemma 3.16. Let U be a triangle in a geometric fan L. Let 0 < b < 1. Let $e \in E(U)$ be such that $\frac{\varrho(e)}{\varrho(U)} > b$. Then there is an affine homeomorphism $h: U \to h[U] \subseteq U$ such that $\varrho(h[U]) = \varrho(h(e))$ and for every $x \in U$, $1 \ge \frac{\varrho(h(x))}{\varrho(x)} > b$. Moreover, h(e) = e and for any $x \in U$, h(x) is on the same spike as x.

Proof. Let $U = V_0 \supseteq V_1 \supseteq V_2 \supseteq \cdots$ be a decreasing sequence of triangles such that $\bigcap_n V_n = [0, e]$. Let $l_n = \varrho(V_n)$. Let h be such that $h \upharpoonright (V_n \setminus V_{n+1})$ is the affine map that takes $x \in V_n \setminus V_{n+1}$ into $\frac{\varrho(e)}{l_n}x$ and let $h \upharpoonright [0, e] = \mathrm{id}_{[0,e]}$. Since $\lim_{n\to\infty} l_n = \varrho(e)$, h is continuous. Since moreover h is one-to-one, and L

Since $\lim_{n\to\infty} l_n = \varrho(e)$, *h* is continuous. Since moreover *h* is one-to-one, and *L* is compact, we get that *h* is a homeomorphism onto its image. Note that *h* satisfies all other required conditions.

The remaining part of this section is devoted to the proof of Lemma 3.14. We will need to modify the construction used in the proof of Proposition 3.1.

Proof of Lemma 3.14. Let $\{s_n\}$ be an enumeration of E(D). We construct an affine homeomorphism $h: L \to L' \subseteq L$ and triangular decompositions $\widetilde{\mathscr{U}}_n$ of L' of size $\frac{1}{n+2}$ such that for all n, for all $U \in \widetilde{\mathscr{U}}_n$, there is $a_U \in E(D)$ with $\varrho(a_U) = \varrho(U)$. Once this is achieved, we will proceed as in the proof of Proposition 3.1. Roughly speaking, we will replace L and $\{s_n\}$ by L' and $\{h(s_n)\}$, for which we can have level-preserving retractions.

First, we will do an inductive construction, where at step n = -1, 0, 1, 2, ... we will obtain an affine homeomorphism $h_n: L_{n-1} \to L_n \subseteq L_{n-1}$ between copies of L, a triangular decomposition \mathscr{U}_n of L_n such that the size of each triangle in it is $\leq \frac{1}{n+2}$. Furthermore, denoting $s'_i = h_n \circ \ldots \circ h_{-1}(s_i), S'_n = \{s'_0, s'_1, \ldots, s'_n\}$, and $S' = \{s'_0, s'_1, \ldots\}$, for any $U \in \mathscr{U}_n$, there is $a_U \in E(U) \cap S'$ such that $\varrho(a_U) = \varrho(U)$, and each $s' \in S'_n$ is realized as a_U for some $U \in \mathscr{U}_n$.

Fix a sequence of positive reals $\{b_n\}$ such that $\prod_n b_n > 0$.

Step -1. Let $\mathscr{U}_{-1} = \{L\}$ and let $h_{-1}: L_{-2} \to L_{-1}$, where $L_{-2} = L_{-1} = L$, be the identity map.

Step n+1. Subdivide \mathscr{U}_n to get \mathscr{U}'_{n+1} , a triangular decomposition of L_n , with the property that the size of each triangle in it is $\leq \frac{1}{n+3}$, and moreover for $\widetilde{U} \in \mathscr{U}'_{n+1}$ such that $s'_{n+1} \in \widetilde{U}$, we have $\frac{\varrho(s'_{n+1})}{\varrho(\widetilde{U})} > b_{n+1}$. Let $a_{\widetilde{U}} = s'_{n+1}$ and let for all $U \neq \widetilde{U}$, a_U be some $s \in S'$ such that $\frac{\varrho(s)}{\varrho(U)} > b_{n+1}$. We require that each $s' \in S'_n$ is realized as a_U for some $U \in \mathscr{U}'_{n+1}$. Apply Lemma 3.16 to each $U \in \mathscr{U}'_{n+1}$ (taking $e = a_U$ and $b = b_{n+1}$) and denote by h_U the resulting affine homeomorphism. Let h_{n+1} be such that $h_{n+1} \upharpoonright U = h_U$, for every $U \in \mathscr{U}'_{n+1}$. Let L_{n+1} be the image of h_{n+1} and let $\mathscr{U}_{n+1} = \{U \cap L_{n+1} : U \in \mathscr{U}'_{n+1}\}$. Let a_U for $U \in \mathscr{U}_{n+1}$ be equal to a_U for the corresponding $U \in \mathscr{U}'_{n+1}$. Note that $h_{n+1}(a_U) = a_U$ for each a_U , $\varrho(a_U) = \varrho(U)$ for every $U \in \mathscr{U}_{n+1}$ and also each of s''_0, \ldots, s''_{n+1} is realized as a_U for some $U \in \mathscr{U}_{n+1}$, where for each $i, s''_i = h_{n+1} \circ \ldots \circ h_{-1}(s_i)$.

The limit step. Let $h_n: L_n \to L_{n+1}$ be as above. For each n let $f_n = h_n \circ \ldots \circ h_0$. Clearly each f_n is continuous and affine. The sequence $\{f_n\}$ converges uniformly, therefore its limit h is a continuous function. By the choice of $\{b_n\}$, it cannot happen that the image of a spike is equal to the root, and hence h is one-to-one. Since L is compact, we get that h is an affine homeomorphism onto its image, which we denote by L'. Let $\mathscr{U}_n = \{U \cap L' : U \in \mathscr{U}_n\}$ and let a_U for $U \in \mathscr{U}_n$ be equal to a_U for the corresponding $U \in \mathscr{U}_n$.

We have $\varrho(a_U) = \varrho(U)$ for every $U \in \widetilde{\mathscr{U}_n}$. Let r_n be the retraction such that $r_n \upharpoonright U$, for every $U \in \widetilde{\mathscr{U}_n}$, is the level-preserving projection. Note that as a set $\{h(s_n)\}$ is equal to the set set of all a_U , where $U \in \widetilde{\mathscr{U}_n}$ for some n. Conditions of Lemma 2.5 are fulfilled. This completes the proof.

3.4 An example

We finally present an example showing that Theorem 3.13 cannot be deduced from the result of Kawamura, Oversteegen, and Tymchatyn [9] saying that for every countable dense sets $A, B \subset E(\mathbb{L})$ there is a homeomorphism $g: E(\mathbb{L}) \to E(\mathbb{L})$ such that g(A) = B. As we will see, not every homeomorphism of $E(\mathbb{L})$ can be lifted to a homeomorphism of \mathbb{L} .

Proposition 3.17. There exists a homeomorphism $h: E(\mathbb{L}) \to E(\mathbb{L})$ such that for no homeomorphism $f: \mathbb{L} \to \mathbb{L}$, we have $f \upharpoonright E(\mathbb{L}) = h$.

We assume below that the Cantor fan V is the cone of a Cantor set C contained in $[0,1] \times \{1\}$ over the top point $(\frac{1}{2},0)$. The Lelek fan L is realized as a subfan of V. Without loss of generality, we can, and we will, assume that there is a spike in L joining the top point $(\frac{1}{2},0)$ with $(\frac{1}{2},1)$. To prove Proposition 3.17, since $E(\mathbb{L})$ is dense in L, it is enough to show Lemma 3.18.

Lemma 3.18. There exists a continuous function $f : \mathbb{L} \to \mathbb{L}$, which is not one-toone, such that $f \upharpoonright E(\mathbb{L}) : E(\mathbb{L}) \to E(\mathbb{L})$ is a homeomorphism.

Proof. Let $g: [0,1]^2 \to [0,1]^2$ be a continuous function such that:

- (1) for any $(x, y) \in [0, 1]^2$, $\pi_1(g(x, y)) = x$, where π_1 is the projection onto the first coordinate;
- (2) for any $x \in [0, 1]$, g(x, 0) = (x, 0);
- (3) for every $x \neq \frac{1}{2}$, $g \upharpoonright (\{x\} \times [0,1])$ is one-to-one, while $g \upharpoonright (\{\frac{1}{2}\} \times [0,1])$ is not one-to-one;
- (4) $g(\frac{1}{2}, 1) \neq (\frac{1}{2}, 0).$

Such a function g exists. We can, for example, take

$$g(x,y) = \begin{cases} (x, 2\alpha(x)y) & \text{if } y \le \frac{1}{2} \\ (x, y + \alpha(x) - \frac{1}{2}) & \text{if } y \ge \frac{1}{2}, \end{cases}$$

where $\alpha(x) = -x + \frac{1}{2}$ if $0 \le x \le \frac{1}{2}$, and $\alpha(x) = x + \frac{1}{2}$ if $\frac{1}{2} \le x \le 1$.

Let $\pi: C \times [0,1] \to V$ be the quotient map. Let $f_1: \mathbb{L} \to V$ be given by $f_1(\pi(x,y)) = \pi(g(x,y))$. By (1) and (2) in the definition of g, f_1 is well defined. Since g is continuous and not one-to-one, so is f_1 .

We claim that the image $f_1(\mathbb{L})$ is homeomorphic to the Lelek fan. By (1) and (2) in the definition of g, $f_1(\mathbb{L})$ is a subfan of V. The set of end-points of $f_1(\mathbb{L})$ is dense, because: the set of end-points of \mathbb{L} is dense, f_1 is continuous, and end-points of \mathbb{L} are mapped to end-points of $f_1(\mathbb{L})$.

We now verify that $f_1 \upharpoonright (E(\mathbb{L})) \colon E(\mathbb{L}) \to E(f_1(\mathbb{L}))$ is a homeomorphism. By (3) and (4) in the definition of g, f_1 maps end-points to end-points and non-end-points to non-end-points, therefore $f_1 \upharpoonright (E(\mathbb{L}))$ is a bijection between $E(\mathbb{L})$ and $E(f_1(\mathbb{L}))$. Since f_1 is continuous, so is $f_1 \upharpoonright (E(\mathbb{L}))$. Using the compactness of \mathbb{L} , continuity of f_1 , and the fact that $f_1 \upharpoonright (E(\mathbb{L}))$ is a bijection, we also see that $f_1 \upharpoonright (E(\mathbb{L}))$ is open.

Let $f_2: f_1(\mathbb{L}) \to \mathbb{L}$ be a homeomorphism (it exists because of the uniqueness of the Lelek fan). Take $f = f_2 \circ f_1$. This f is as required. \Box

4 The Poulsen simplex

In this section we present category-theoretic framework for metrizable Choquet simplices, showing that the Poulsen simplex is a Fraïssé limit. It is worth pointing out that the geometric theory of finite-dimensional simplices is very similar to the theory of finite fans.

4.1 Simplices

A point x in a compact convex set K is an extreme point if whenever $x = \lambda y + (1-\lambda)z$ for some $\lambda \in [0,1]$, $y, z \in K$, then $\lambda = 0$ or $\lambda = 1$. The set of extreme points of K is denoted by ext K. For compact and convex sets K and L, recall that a map $p: L \to K$ is affine if for any $x, y \in L$ and $\lambda \in [0,1]$ we have $p(\lambda x + (1-\lambda)y) =$ $\lambda p(x) + (1-\lambda)p(y)$. We call a map $p: L \to K$ a projection if it is an affine continuous retraction. Note that in this case ext $K \subseteq p[\text{ext } L]$ and p is uniquely determined by its restriction to ext L.

A Choquet simplex (later just called a simplex) is for us a non-empty compact convex and metrizable set K in a locally convex linear topological space such that every $x \in K$ has a unique representing measure, that is, a unique probability measure μ supported on the set of extreme points of K and such that

$$f(x) = \int_{K} f \, d\mu$$

for every continuous affine function $f: K \to \mathbb{R}$. For more information on the theory of Choquet simplices we refer to Phelps' book [17].

Every finite-dimensional simplex is, up to an affine isomorphism, of the form

$$\Delta_n = \{ x \in \mathbb{R}^{n+1} \colon \sum_{i=1}^{n+1} x(i) = 1 \text{ and } x(i) \ge 0 \text{ for every } i = 1, \dots, n+1 \},\$$

and $n \ge 0$ is the *dimension* of the simplex. In particular, Δ_0 is a singleton, Δ_1 is a closed interval, and Δ_2 is a triangle.

Let $f: \Delta_{n+1} \to \Delta_n$ be a projection. Identifying Δ_n with one of the *n*-dimensional faces of Δ_{n+1} , we can think of f as a projection determined by choosing a point of Δ_n to be the image of the unique extreme point (vertex) of Δ_{n+1} that is not in Δ_n . Every projection $f: \Delta_n \to \Delta_m$ is a compositions of such projections. As a consequence, we see that every such map comes as the restriction of a linear projection P_f from \mathbb{R}^{n+1} onto \mathbb{R}^{m+1} . Furthermore, when each \mathbb{R}^k is endowed with the Euclidean norm, all projections P_f are 1-Lipschitz. Thus, given an inverse sequence of projections

$$\Delta_{k_0} \longleftarrow \Delta_{k_1} \longleftarrow \Delta_{k_2} \longleftarrow \cdots$$

we can view its limit as a compact convex subset of the Hilbert space (the limit of the sequence of 1-Lipschitz projections between Euclidean spaces).

An *embedding* of simplices is a one-to-one continuous affine map. By compactness, every embedding is a topological embedding. An embedding $i: K \to L$ will be called *stable* if $i[\operatorname{ext} K] \subseteq \operatorname{ext} L$. We have the following analog of Lemma 3.2.

Lemma 4.1. Let L be a finite simplex and let $p: L \to K$ be a projection of simplices. Then there exists a stable embedding $i: K \to L$ such that $p \circ i = id_K$.

4.2 Construction and properties of the Poulsen simplex

Let $\ddagger \mathfrak{S}$ be the category whose objects are finite-dimensional simplices. Maps between simplices are projections and an arrow from F to G is a pair $\langle e, p \rangle$ such that $e \colon F \to G$ is a stable embedding, $p \colon G \to F$ is a projection and $p \circ e = \mathrm{id}_F$. Note that every p is 1-Lipschitz with respect to the metrics inherited from the Euclidean metrics.

The following is well-known.

Theorem 4.2 (Corollary to Thm. 5.2, [12]). Metrizable Choquet simplices are, up to affine homeomorphisms, precisely the limits of inverse sequences in $\ddagger \mathfrak{S}$.

Let us note the following important property of our category.

Lemma 4.3. The category $\ddagger \mathfrak{S}$ has the strict amalgamation property.

Proof. We may assume that f and g are projections of Δ_{ℓ} and Δ_m , respectively, onto Δ_k , so that Δ_k is a face of both Δ_{ℓ} and Δ_m . As Δ_{ℓ} and Δ_m are abstract simplices, we may assume that they both "live" in the same vector space so that Δ_k is their intersection. Now the convex hull of $\Delta_{\ell} \cup \Delta_m$ is a simplex, which we denote by Δ_n , where $n = \ell + m - k$. Note that f, g determine projections f', g' satisfying $f' \upharpoonright \Delta_m = g$, $f' \upharpoonright \Delta_{\ell} = \mathrm{id}_{\Delta_{\ell}}$, and $g' \upharpoonright \Delta_{\ell} = f$, $g' \upharpoonright \Delta_m = \mathrm{id}_{\Delta_m}$. These projections obviously satisfy $f \circ f' = g \circ g'$.

Lemma 4.4. $\ddagger \mathfrak{S}$ is a separable metric category.

Proof. Denote by $\ddagger \mathfrak{S}^{\mathbb{Q}}$ the subcategory of $\ddagger \mathfrak{S}$ such that $Ob(\ddagger \mathfrak{S}^{\mathbb{Q}}) = Ob(\ddagger \mathfrak{S})$ and an $\ddagger \mathfrak{S}^{\mathbb{Q}}$ -arrow from Δ_m to Δ_n is an $\ddagger \mathfrak{S}$ -arrow $f = \langle e, p \rangle$ such that p(v) has rational barycentric coordinates for every extreme point v in Δ_n . Then $\ddagger \mathfrak{S}^{\mathbb{Q}}$ has countably many arrows and is as required. \Box

By Theorem 2.1, $\ddagger \mathfrak{S}$ has a Fraïssé sequence, whose limit we denote by U_{∞} . The proof of the following theorem goes along the lines of the proof of Theorem 3.7.

Theorem 4.5. Let \vec{U} be a sequence in $\ddagger \mathfrak{S}$ and let K be its inverse limit. The following properties are equivalent:

- (a) The set ext K is dense in K.
- (b) \vec{U} is a Fraïssé sequence.

Corollary 4.6. U_{∞} is the Poulsen simplex.

From now on, we shall write \mathbb{P} instead of U_{∞} . Fraïssé theory provides simple proofs of the uniqueness, universality, and almost homogeneity, originally proved in 1978 by Lindenstrauss, Olsen and Sternfeld [14]. A subset F of a simplex K is called a *face* if it is compact, convex, and ext $F \subset \text{ext } K$.

Corollary 4.7 (Uniqueness). The Poulsen simplex is unique.

Corollary 4.8 (Universality). Every metrizable simplex is affinely homeomorphic to a face of \mathbb{P} .

Corollary 4.9 (Almost homogeneity). Let F be a finite-dimensional face of a Poulsen simplex and let $f, g: \mathbb{P} \to F$ be projections. Then for every $\varepsilon > 0$ there is an affine homeomorphism $H: \mathbb{P} \to \mathbb{P}$ such that for every $x \in \mathbb{P}$, $d_F(f \circ H(x), g(x)) < \varepsilon$, where d_F is any compatible metric on F.

In fact the authors of [14] proved a stronger homogeneity property: For every two closed faces F and G (not necessarily finite-dimensional) of the Poulsen simplex and an affine homeomorphism $h: F \to G$ there is an affine homeomorphism $H: \mathbb{P} \to \mathbb{P}$ extending h.

5 Final remarks

We say that a space K is *retractively universal* for a given class \mathscr{P} of spaces, if for every $P \in \mathscr{P}$ there exists a retraction of K whose image is homeomorphic to P.

A general question is which compact second countable spaces can be represented as inverse limits of sequences of retractions onto "simpler" spaces. A typical meaning of "simple" could be a "polyhedron". **Proposition 5.1.** No second countable compact space is retractively universal for the class of all non-empty second countable compact spaces.

The proof of Proposition 5.1 is presented in [4, Cor. 3.2.4], where it is shown that no second countable compact space is retractively universal for the class of subcontinua of a Cook continuum. A Cook continuum, constructed by Cook [6], is a hereditary indecomposable continuum C such that there exists a family \mathscr{F} of subcontinua of C of cardinality continuum with the property that the only continuous map from a continuum in \mathscr{F} to another continuum in \mathscr{F} is a constant map.

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