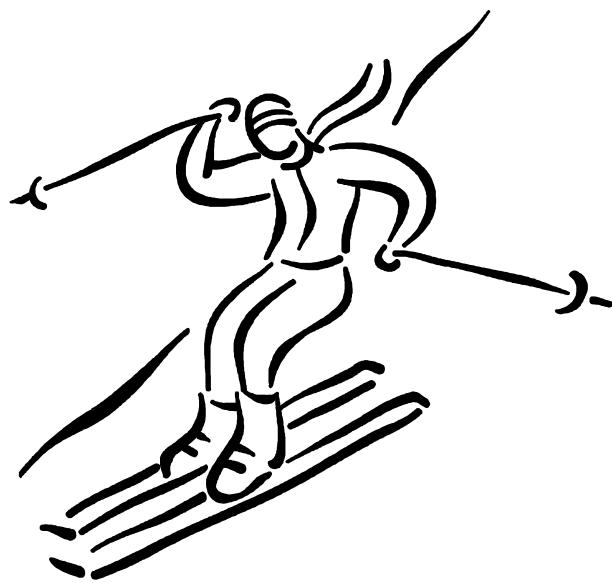




# **Proceedings of the 3rd Winter Education Seminar in Rokytnice nad Jizerou 2012**

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February 1 - 4, 2012



**ISBN 978-80-260-3425-4**

Published by the FZÚ AV ČR, v. v. i.  
Cukrovarnická 10, 162 53, Praha 6, Czech Republic  
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RNDr. Martin Ledinský, PhD.  
<http://www.fzu.cz/~remes/Rokytnice/workshop.html>  
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## PREFACE

The Proceedings publish the selected talks presented at the 3rd Winter Educational Seminar which took place in **February** Wednesday 1 - Saturday 4, 2012 in the Ski center Horni Domky, Rokytnice nad Jizerou, as a joint activity of two departments of the Institute of Physics AS CR, v. v. i. (FZU), i.e. Department of Thin Films and Nanostructures (26) and the Department of Optical Materials (27). The seminar was organized within the frame of the CABIOM - Carbon-based Biomaterials and Biointerfaces (<http://cabiom.fzu.cz/>), the Virtual Research Center of the Institute of Physics AS CR, v. v. i.). The aim of the seminar is promoting discussions about the scientific and experimental difficulties young students experience during their PhD. studies face to face to other researchers and thus, to support them during their work and build a natural bridge between young researchers and senior scientists.

The main subject of the given talks was the CVD deposition and material processing as well as characterization of thin films. The discussions were focused on material engineering, CVD technologies, characterization of materials and interfaces, data processing and analysis, and theoretical modeling. The attended researchers and workers referred about the scientific results and they introduced some special methods used in their laboratories, mainly localized at the Institute of Physics of the ASCR, v. v. i.

The number of participants at the 3rd Winter Educational Seminar was as follows: 7 senior scientists from the Institute of Physics of the ASCR, v. v. i., 8 PhD. students and 2 undergraduate students. The seminar was attended this year by external guests coming from the International Laser Centre in Bratislava and from Slovak University of Technology in Bratislava with whom we closely collaborate in the frame of the agreements on international cooperation in research & development in the field of nanocrystalline diamond and diamond-like carbon thin films, fundamental physic of materials, manipulation and characterization of semiconductor surfaces and their nanostructures as well as development of novel analytic techniques.

The 4<sup>rd</sup> Winter Educational Seminar will take place at the same place in January 30- February 1, 2013, please see <http://www.fzu.cz/~remes/Rokytlice/workshop.html> for detailed information.

The seminar is open for PhD. students and the undergraduate students working in the Institute of Physics of the Academy of Sciences, v. v. i. as well as for the invited guests. The attendance to the seminar is free of charge for the participants of the seminar under the condition that they will give in English an oral talk about their work or a topic that can be interested for others.

Ing. Lenka Hoďáková, Mgr. Zdeněk Remeš, PhD., Ing. Alexander Kromka, PhD. and RNDr. Martin Ledinský, PhD.  
in Prague September 20, 2012

## TABLE OF CONTENTS

### Preface

### Contributed presentations

<b>Dotek atomu</b> Antonín Fejfar <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 05
<b>Silicon solar cell: methods for experimental study and evaluation of materiál parameters in advanced structures</b> Jakub Holovský <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 23
<b>Study of nucleation and growth of diamond thin films</b> T. Ižák <sup>1,2*</sup> , O. Babchenko <sup>1</sup> , M. Varga <sup>1,2</sup> , S. Potocky <sup>1</sup> , M. Marton <sup>2</sup> , M. Vojs <sup>2</sup> , M. Domonkos <sup>1,3</sup> and A. Kromka <sup>1</sup> <sup>1</sup> <i>Institute of Physics AS CR, Cukrovarnicka 10, 16253 Praha 6, Czech Republic</i> <sup>2</sup> <i>Slovak University of Technology, FEI STU, Ilkovicova 3, 812 19 Bratislava, Slovakia</i> <sup>3</sup> <i>Faculty of Nuclear Sciences and Physical Engineering, CTU, Břehová 7, 115 19 Praha, Czech Republic</i>	p. 40
<b>Perspectives in diamond thin film technology</b> Alexander Kromka <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 51
<b>Luminiscenční spektroskopie (Si nanokrystalů)</b> Kateřina Kůsová <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 67
<b>Local photoconductivity mapping of mixed phase silicon thin films</b> M. Ledinský, A. Fejfar, A. Vetuska, J. Stuchlík, B. Rezek and J. Kočka <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 76
<b>SEM and AFM at FZU ASCR, v.v.i.</b> J. Libertínová, Š. Stehlík, B. Rezek <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 85
<b>Department of Thin Films</b> M. Müller <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 91

<b>Suns VOC metod of IV curve measurement of photovoltaic cells</b> V. Pic, P. Pikna <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 97
<b>Potential for nanotechnology approaches in the production of crystalline and thin film silicon solar cells</b> A. Poruba, R. Bařinka, P. Čech, P. Bařinková, J. Hladík, I. Mudroň and J. Řehák <i>Solartec, s. r. o.</i>	p. 109
<b>Optical properties of thin NCD films</b> Z. Remeš, A. Kromka <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 122
<b>Stem cells-open opportunities</b> N. Romanyuk <i>Institute of Experimental Medicíne ASCR, v.v.i., Prague</i>	p. 129
<b>Stabilization Principles of III-V Semiconductor Surfaces</b> O. Romanyuk <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 141
<b>Measurement of the magnetic hysteresis and Backhausen noise at controllable Magnetic conditions</b> O. Stupakov <i>Institute of Physics, ASCR, Na Slovance 2, Prague 8, Czech Republic</i>	p. 158
<b>Photonic structures and their preparation</b> M. Varga <sup>1,2</sup> , L. Ondič <sup>1,3</sup> , K. Hruška <sup>1</sup> , J. Potměšil <sup>1</sup> , J. Libertínová <sup>1</sup> , A. Kromka <sup>1</sup> , Z. Remeš <sup>1</sup> <sup>1</sup> <i>Institute of Physics of the ASCR, v.v.i., Cukrovarnicka 10, Praha 6, 16253, Czech Republic</i> <sup>2</sup> <i>Institute of Electronics and Photonics, FEI STU, Ilkovičova 3, SK-812 19 Bratislava</i> <sup>3</sup> <i>Faculty of Mathematics and Physics, Charles University, Ke Karlovu 3, 121 16 Prague 2, Czech Republic</i>	p. 172
<b>Thermoelectric conversion of heat to electricity – principles, materials, characterization and applications</b> J. Hejtmánek, K. Knížek <i>Institute of Physics, ASCR, Cukrovarnicka 10, Prague 6, Czech Republic</i>	p. 183
<b>Terahertzová spektroskopie</b> L. Fekete <i>Institute of Physics, ASCR, Na Slovance 2, Prague 8, Czech Republic</i>	p. 194

# **Dotek atomu**

## **aneb rastrovací hrotové mikroskopie**

A. Fejfar

Fyzikální ústav Akademie věd České republiky  
Cukrovarnická 10, 162 53 Praha 6



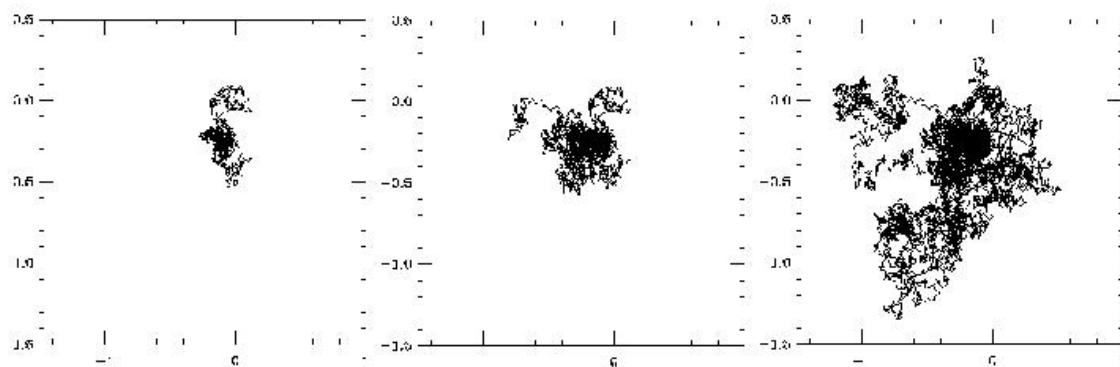
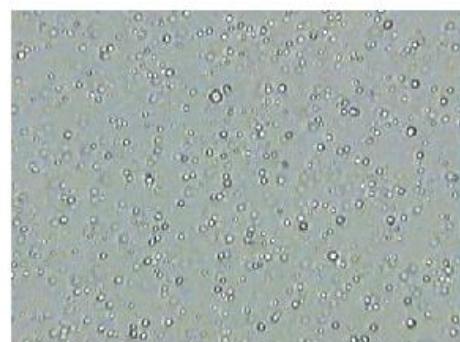
\* e-mail: [fejfar@fzu.cz](mailto:fejfar@fzu.cz)



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# 1827

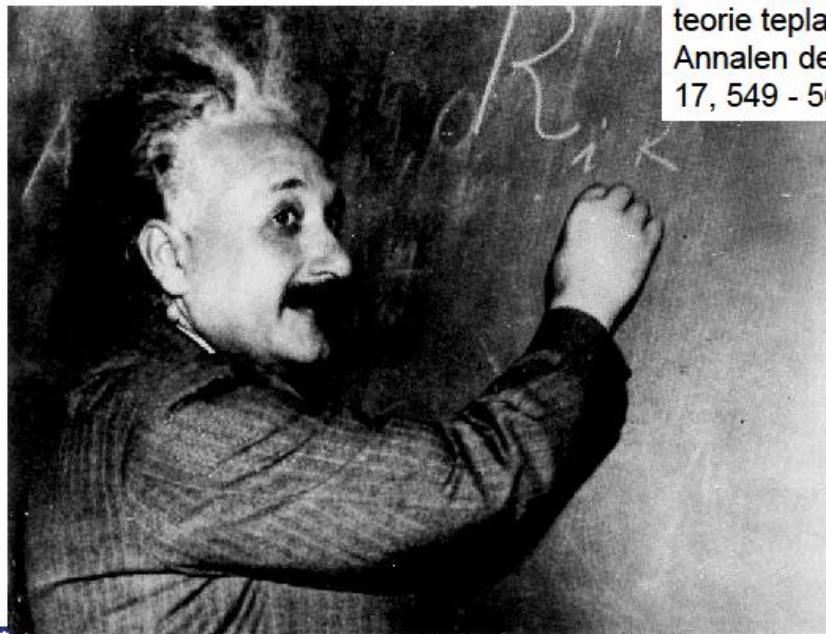
## Robert Brown



4.2.2012 Fejfar Dotek atomu



## A. Einstein



O pohybu malých částic  
rozptýlených ve stacionární  
kapalině, vyplývajícím  
z molekulární kinetické  
teorie tepla,  
*Annalen der Physik*  
17, 549 - 560

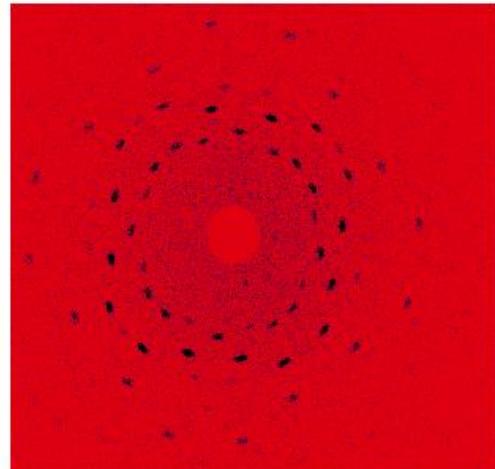
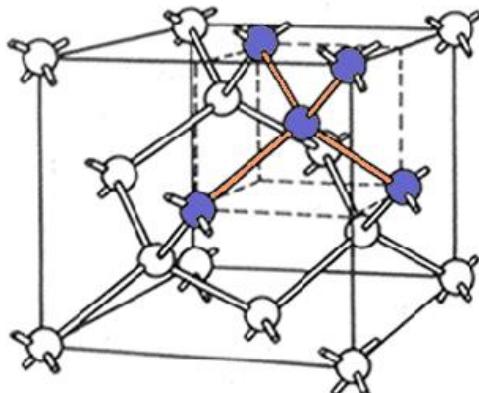


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# Experimentální důkazy

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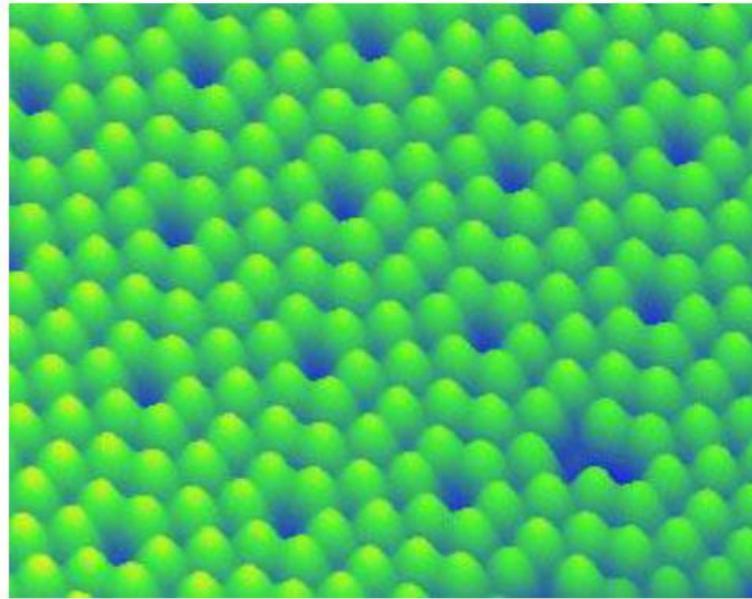
- Jean Perrin 1909 - The Atoms (NC 1926)
- Max von Laue (1912) - difrakce Roentgenova záření (NC 1914)



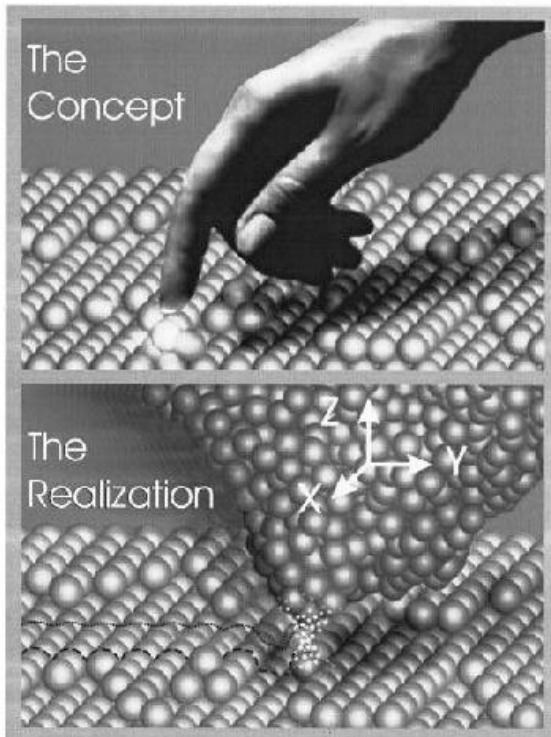
4.2.2012 Fejfar Dotek atomu

Dnes můžeme atomy vidět přímo:

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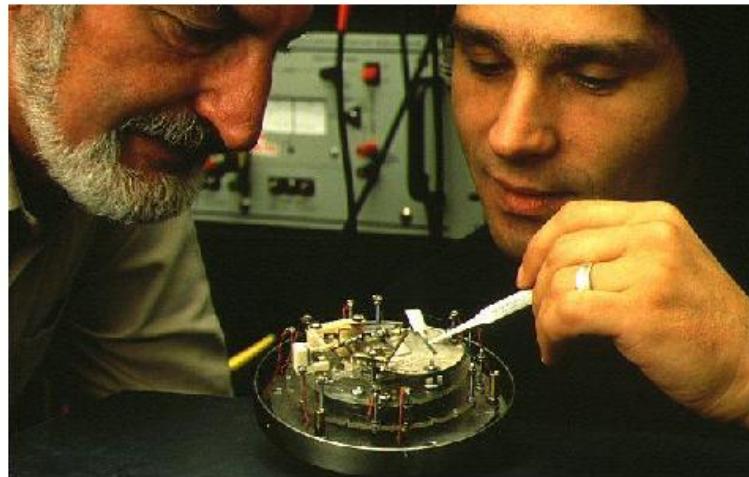
## Myšlenka

G. Binnig, H. Rohrer,  
Rev. Mod. Phys. 71 (1999) S324.



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## Realizace



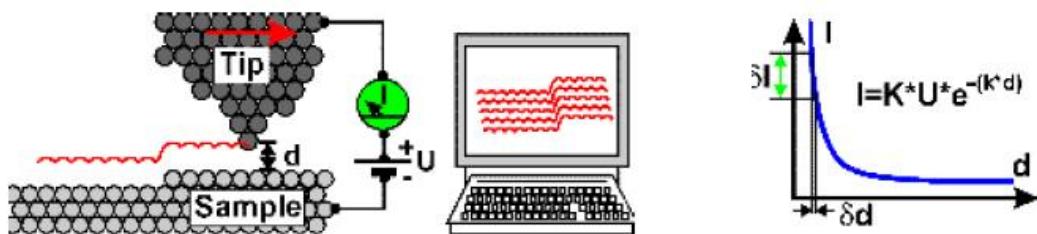
G. Binnig, H. Rohrer (Nobelova cena 1986)



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# Princip STM

Scanning Tunneling Microscope,  
tj. rastrovací tunelovací mikroskop

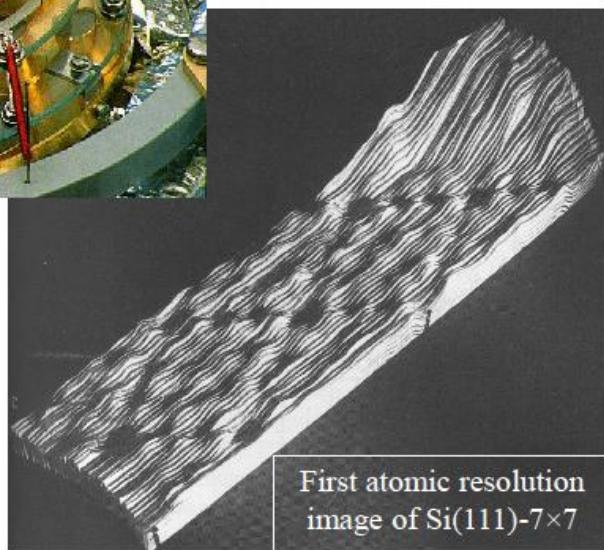


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## STM

scanning tunneling  
microscopy

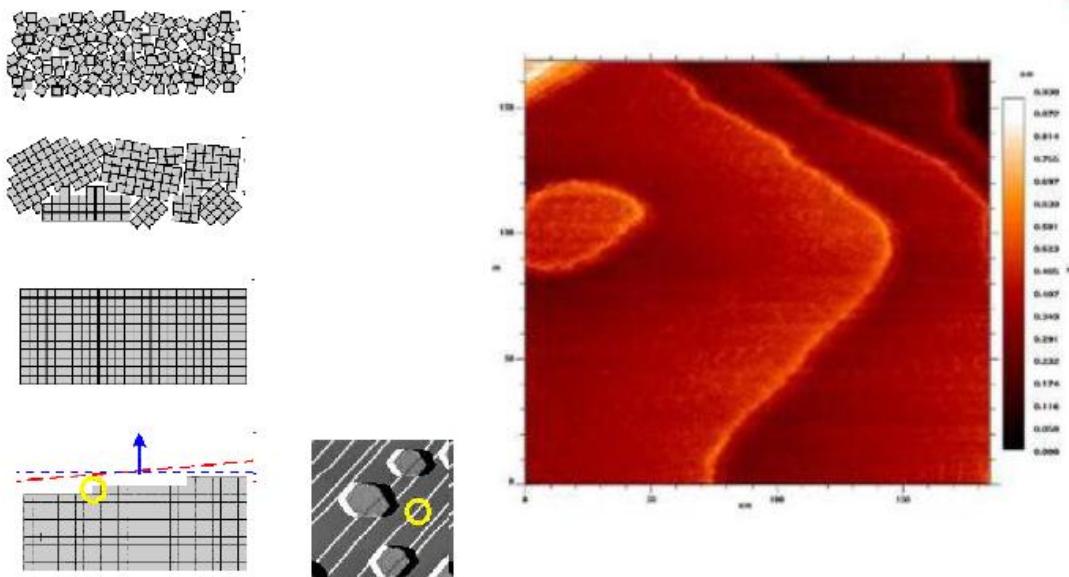


First atomic resolution  
image of Si(111)-7×7



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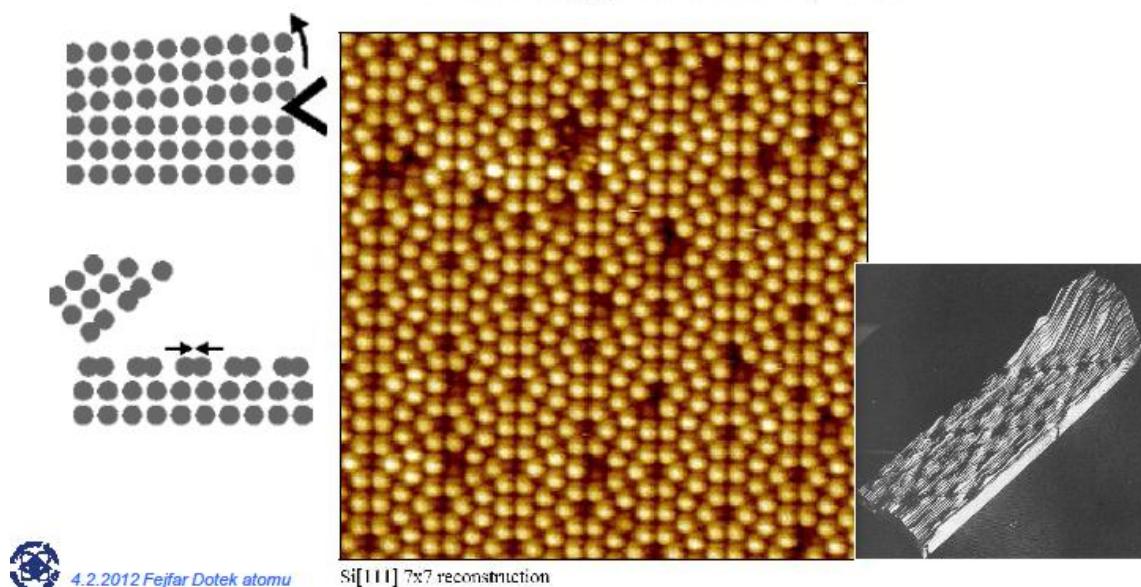
# Atomární schody na povrchu zlata



4.2.2012 Fejfar Dotek atomu

## Povrchová rekonstrukce Si(111) 7x7

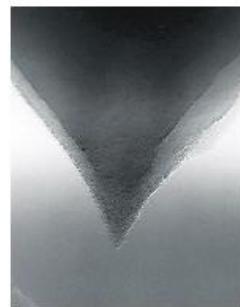
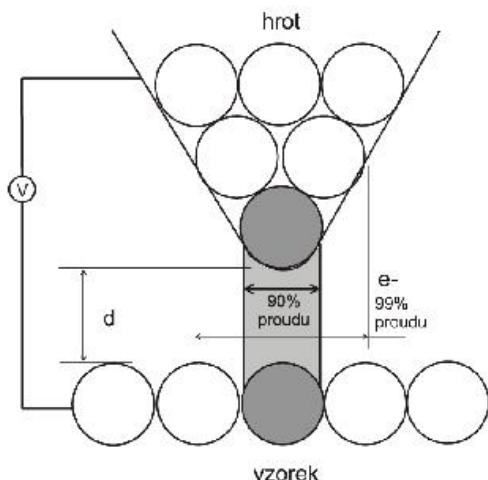
Atomic Resolution Imaging at 500 fA Tunneling Current



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# Sondy pro hrotové mikroskopy

Podstatnou roli pro vysoké rozlišení hraje ostrost hrotu –W, PtIr (cca 20 nm)



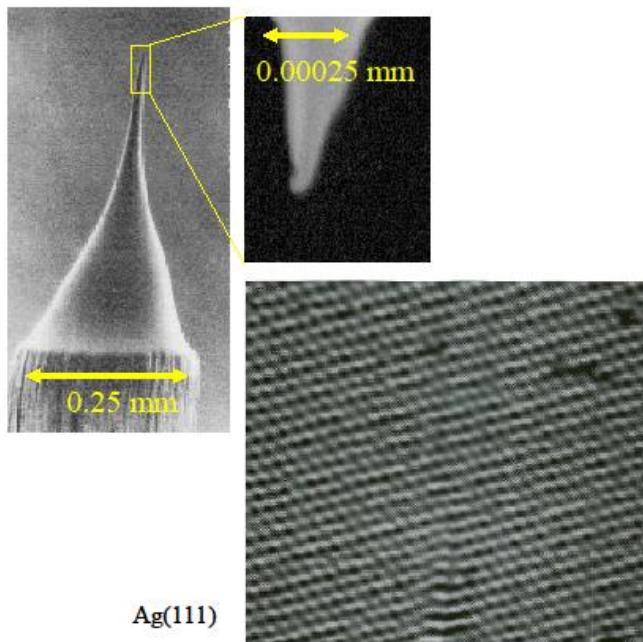
70 nm -----



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## Potřebná přesnost

Hrot - povrch 1 nm = 0,000.001 mm  
rozlišení 1 pm = 0,000.000.001 mm



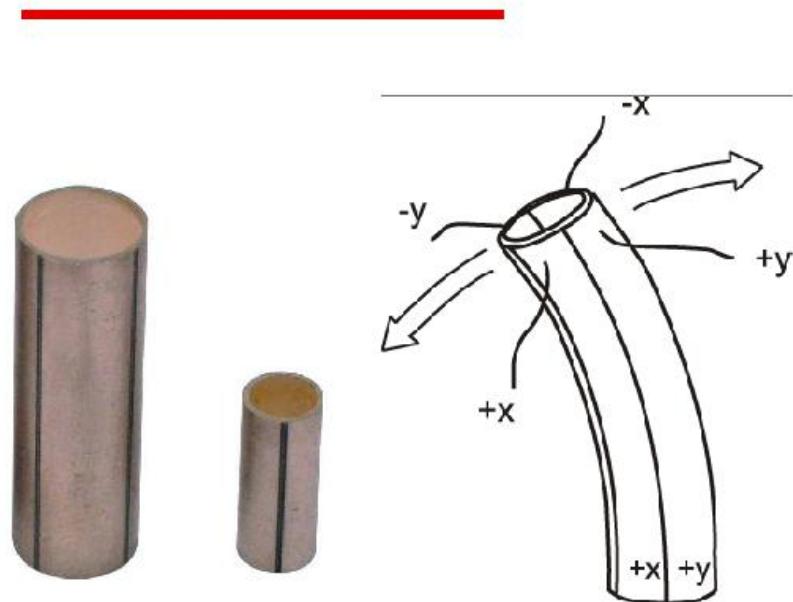
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**Analogie:** stejně výškové rozlišení v našich měřítkách:

Eiffelovka rastrovaná 1 mm nad vozovkou Champs Élysées s přesností 0,001 mm.



# Skener



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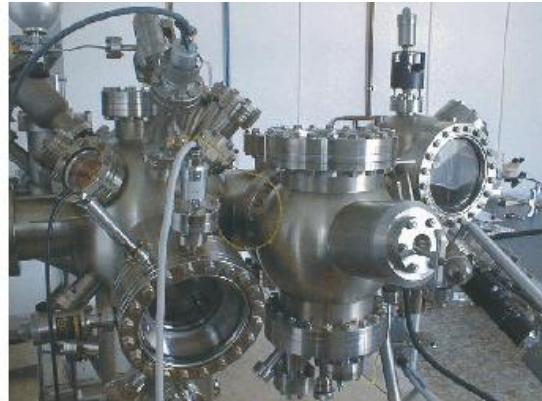
## Laboratoř AFM-STM

### Omicron system:

- UHV ( $\sim 10^{-11}$  mbar)
- LEED
- Auger
- hmotový spektrometr
- iontové dělo
- UHV napařovadlo

### Naše dodatky:

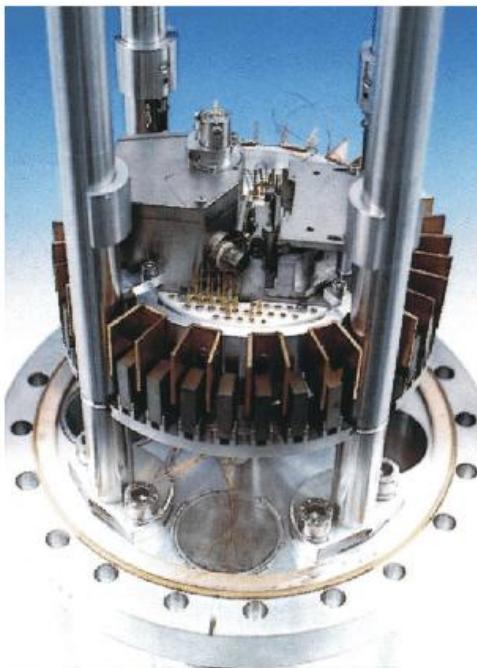
- PECVD (RF, VHF)
- STL



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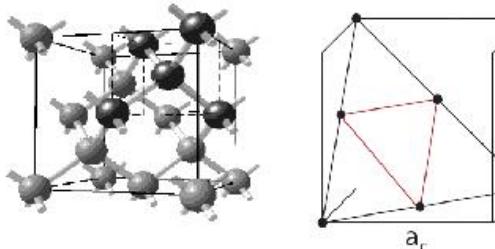
## AFM/STM

## VT-STM



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## Atomární uspořádání křemíku v krystalu



Rovina (111)  
v jednotkové buňce

- Silicon has the **diamond crystal structure** that can be regarded as **two interpenetrated f.c.c. lattices** displaced by  $1/4 [111]$
- The cubic lattice constant  $a_c = 5.43 \text{ \AA}$
- The covalent radius of Si is  $117 \text{ pm} (1.17 \text{ \AA})$
- The bond length is  $2.34 \text{ \AA}$ .
- Note that cube diagonal divided by 4:  $\sqrt{3.0} (5.43)/4 = 2.35 \text{ \AA}$  is twice the covalent radius, as it should be.



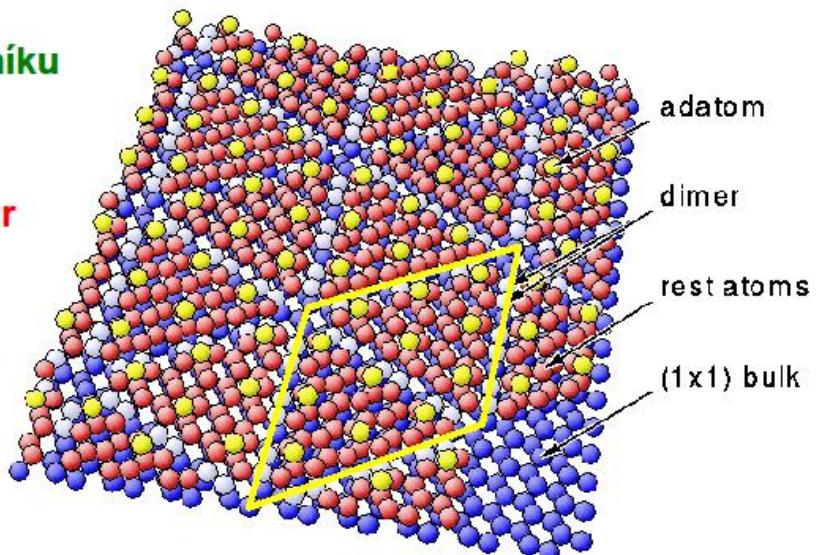
4.2.2012 Fejfar Dotek atomu

# Povrchová rekonstrukce křemíku

Povrch křemíku

Si(111)

7×7 structuur

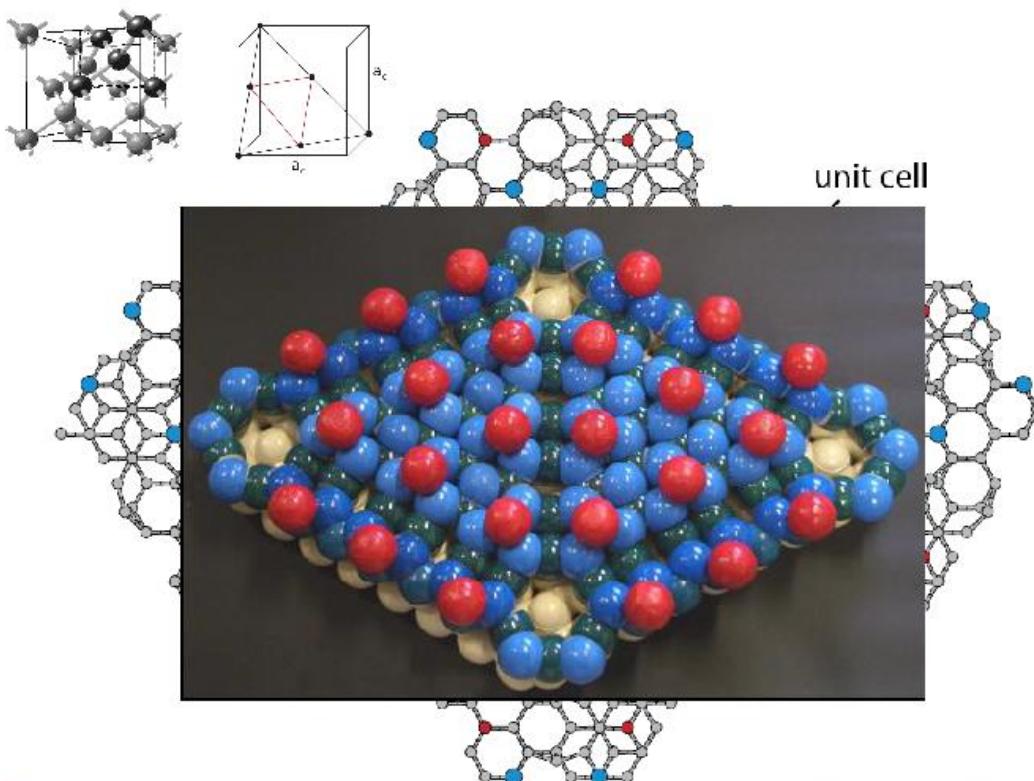


DAS-model (Takayanagi / Tong)

(Bron: FHI)



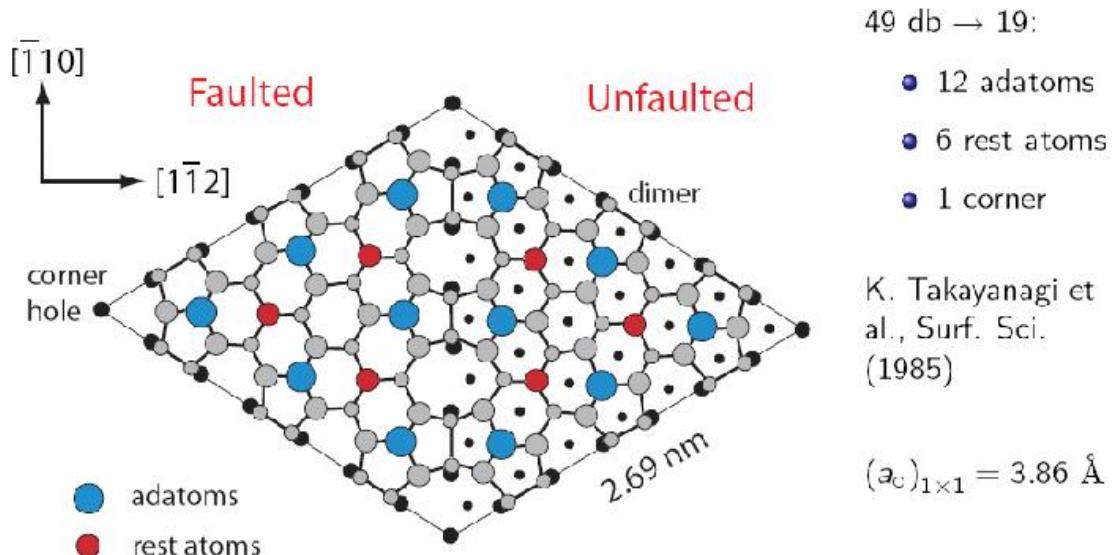
4.2.2012 Fejfar Dotek atomu



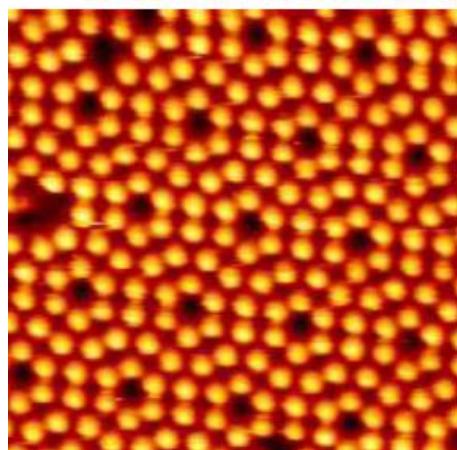
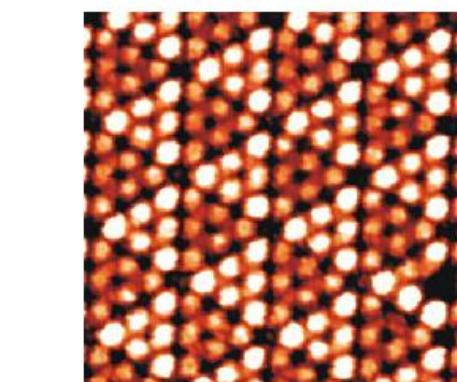
4.2.2012 Fejfar Dotek atomu

Si(111)-7×7

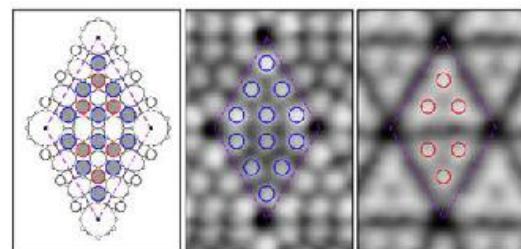
model



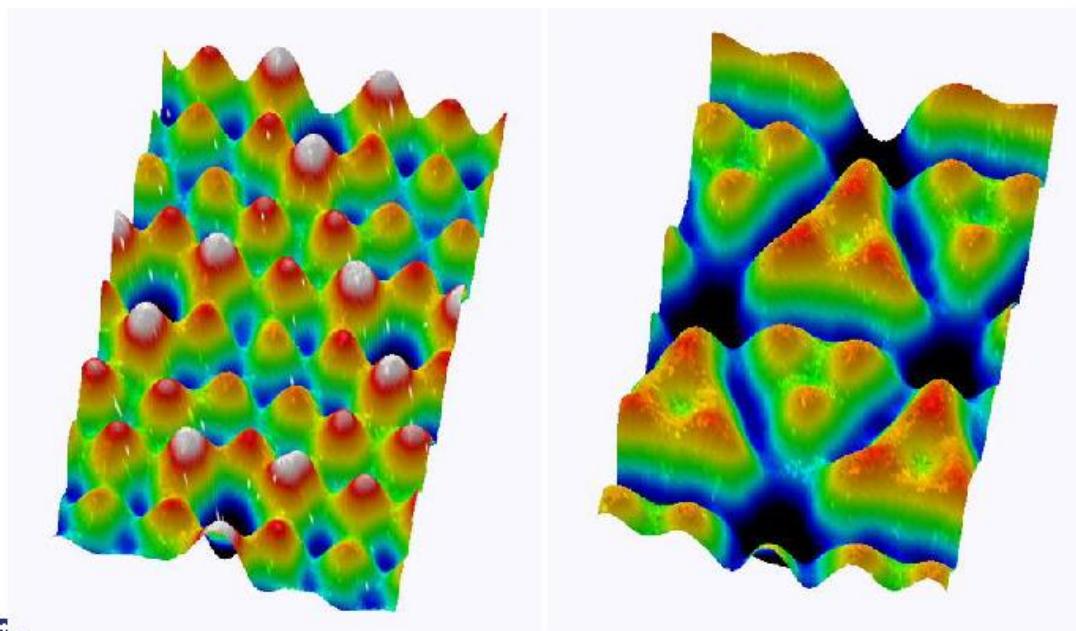
4.2.2012 Feijar Dotek atomu



## Povrch křemíku (111)-(7 × 7)

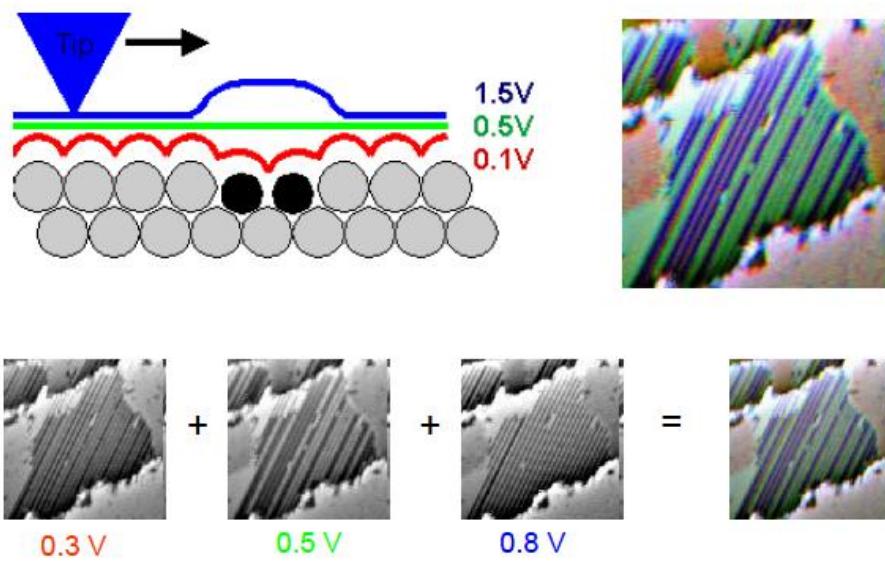


# Barvy ?



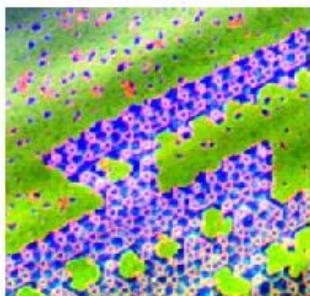
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## Princip „barevného“ STM



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# STM v barvách



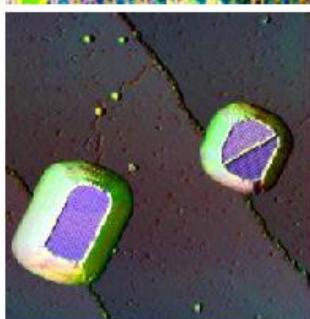
Surface of a 10 monolayer thick island of terbium (Tb) on W(110). The green region is the clean Tb(0001) surface, while the blue-violet region is an adsorbate induced reconstruction of the Tb(0001) surface. The adsorbates, possibly CO, result from impurities from the evaporant, since the degassing (cleaning) process of the Tb evaporation-source had not been finished as this sample was prepared.

120 nm x 120 nm topography

red = 0.3 V

green = 1 V

blue = 1.8 V



Crystallites or "islands" of GdFe<sub>2</sub>, prepared by simultaneously evaporating Gd and Fe onto the W(110) substrate and annealing at 430°C. The left island is 2.8 nm thick. Among the islands, the substrate is covered with a monolayer of GdFe<sub>2</sub>. The GdFe<sub>2</sub> monolayer exhibits a slightly different color than the GdFe<sub>2</sub> of the islands, what means, that the electronic structures are different. The reason is the monolayer being stressed by the underlying W(110) substrate, with a continuous stress release to the thicker islands.

75 nm x 75 nm

10% topography+ 90% deviated topography at 0.1 V

Color-information:

three constant current topographical images at:

red = 0.1 V

green = 1 V

blue = 2.1 V



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# STM v barvách

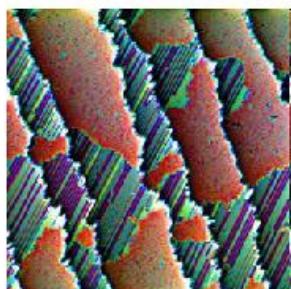
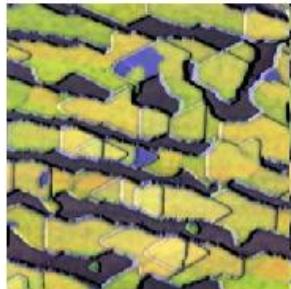


Image size: 125 nm x 125 nm  
Tunneling bias: red: 0.3 V, green: 0.8 V, blue: 1.2 V

The rare earth metal terbium ( Tb ) was evaporated onto a W(110) single crystal as a thin film. The thickness of the film was less than one single atomic layer. At this low coverage the Tb atoms arrange in parallel lines, so- called "superstructures", visible as stripes. Areas with different distances of the monoatomic stripes are visible. Since the electronic structure of the superstructures depend on this stripe distance, each superstructure exhibits a characteristic color in this three color composite.

This is the main scientific message of these images:  
different colors mean different electronic structure!



About 4 monolayers of Tb on W(110), annealed at 330°C. At these temperature parameters, the islands mainly grow along the substrate steps. Among the islands, the substrate is covered with a monolayer of Tb. The blue regions are hydrogen being absorbed on top of the surface. Hydrogen is always present in small amounts in the ultra-high vacuum chamber.

140 nm x 140 nm

15% topography+ 85% deviated topography at -0.3 V

current imaging tunneling spectroscopy, obtained after the topography, dI/dU images at:

red = 0.1 V

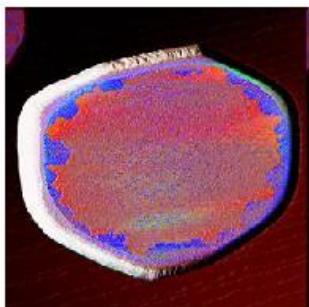
green = 1 V

blue = 2.1 V



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# STM v barvách



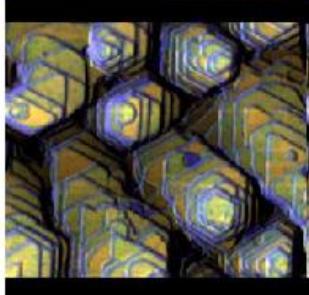
450 nm x 450 nm, Tunneling bias: red: 0.3 V, green: 1 V, blue: 1.8 V

Crystallite or "island" of the rare earth metal Terbium (Tb)

grown on a Tungsten (W) single crystal in (110) orientation.

The rare earth metals are extremely sensitive to contamination, for instance from a vacuum that is not good enough or from an insufficient cleaning process of the evaporant.

As this sample was prepared the cleaning process that is carried out by melting and degassing the Tb under high vacuum, was not finished. This results in the formation of diverse adsorbate induced reconstructions. Here they are visible mainly at the rim in blue.



Tb terraces on W(110); during the evaporation process the substrate temperature was lowered from 350 to 300 °C, resulting in the formation of such step pyramid like structures, with each step being only one single atomic layer (0.28 nm) high. Beside hydrogen adsorption sites (blue) the terraces exhibit two kind of regions of slightly different color, yellowish and greenish. The difference is due to stacking faults that are present in the Tb(0001) surface.

Image-size: 200 nm x 150 nm

Image: 10% topography+ 90% deviated topography at -0.3 V

Color-information: current imaging tunneling spectroscopy, obtained after the topography, dI/dU images at:

red = 0.1 V

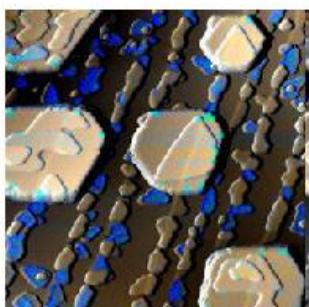
green = 1 V

blue = 2.1 V



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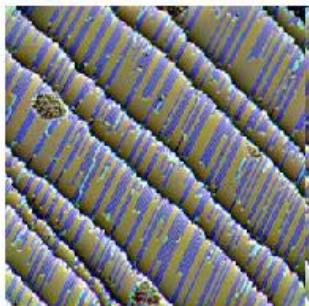
# STM v barvách



The brown, small and large objects that look like stones are islands of Gadolinium grown on tungsten.

Where the surface appears blue, hydrogen has been adsorbed on it changing the surface electronic structure drastically.

The three color composite process was slightly different for this image than for the other ones: The topographic image was colorized via three current imaging spectroscopical images at different bias voltages.

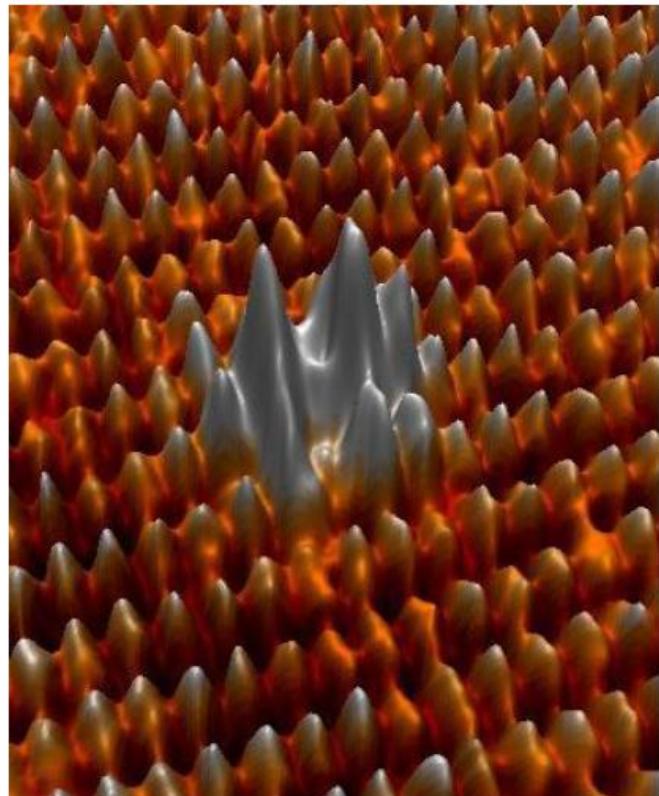


Gadolinium and iron were deposited on the W(110) substrate. They form an alloy, GdFe2, visible as the olive-green areas. A surplus of Gd results in the Gd superstructures, (identical to the Tb superstructures) visible as the blue striped areas.



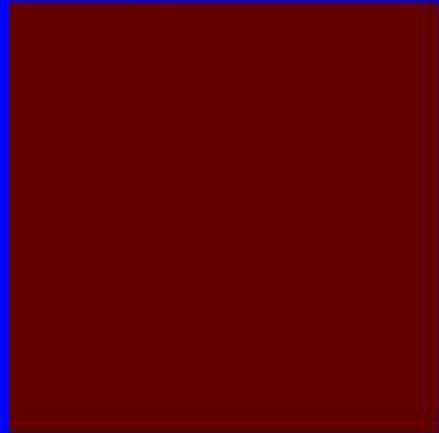
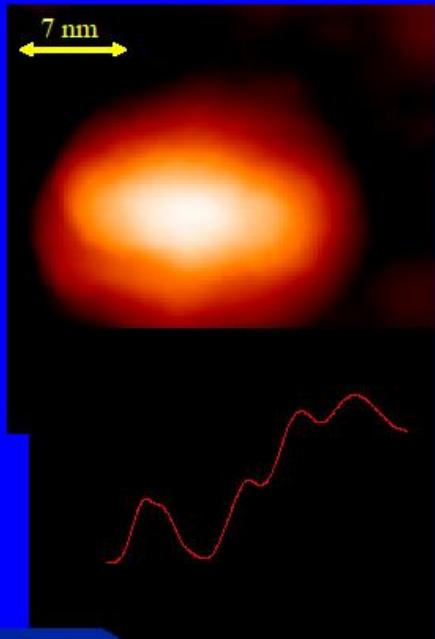
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# Povrch GaAs + Si



4.2.2012 Fejfar Dotek atomu

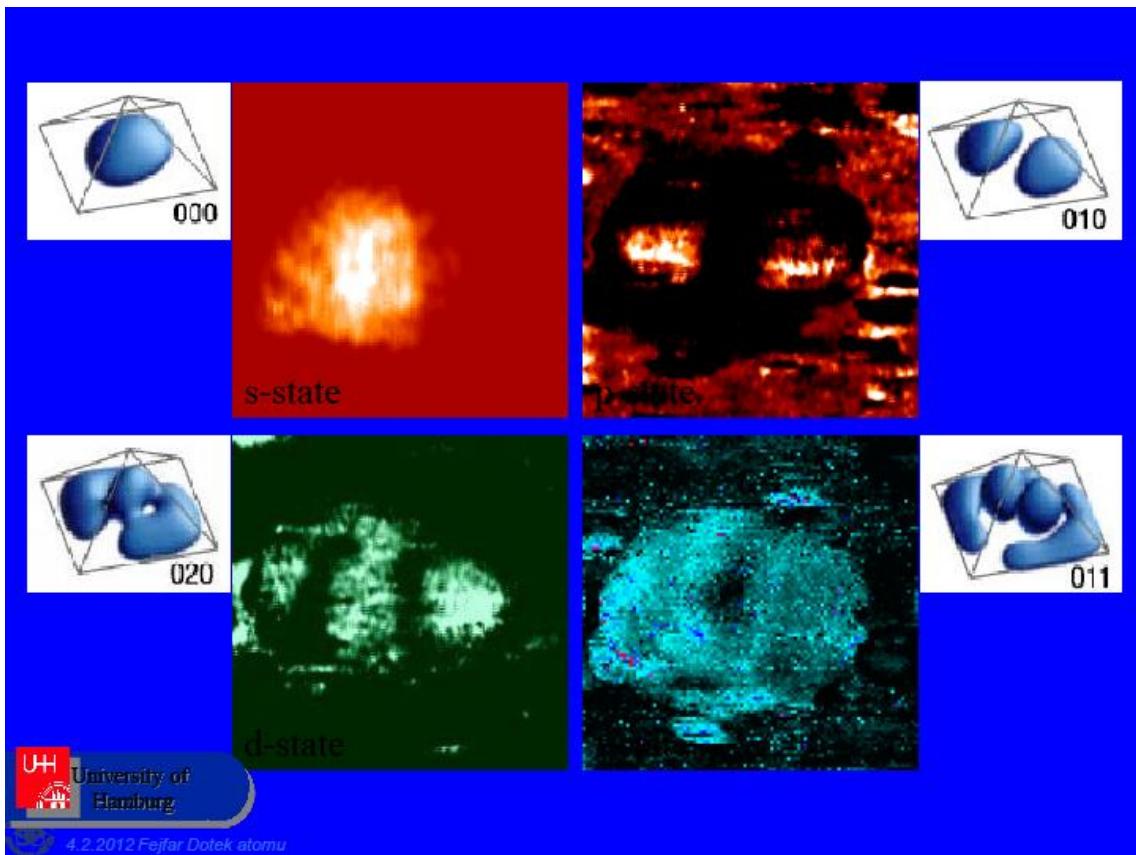
## Prostorové mapování vlnových funkcí



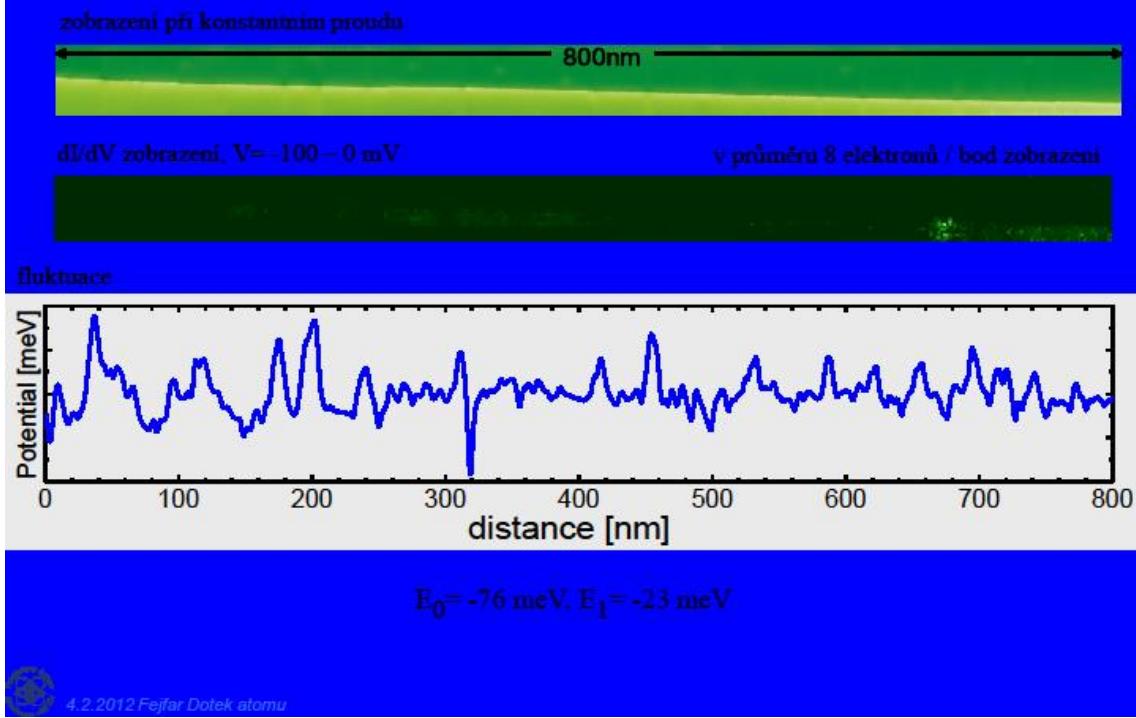
STS:  $V_{\text{stab}} = 2.4$   
 $I_{\text{stab}} = 70 \text{ pA}$   
 $U = 0.9 \text{ V} - 2 \text{ V}$



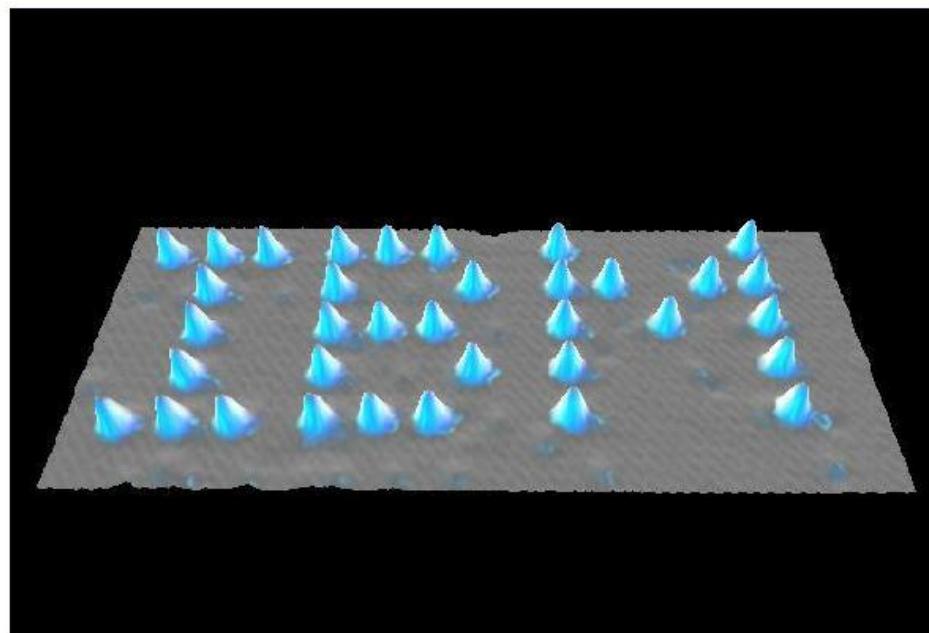
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## Schod na povrchu

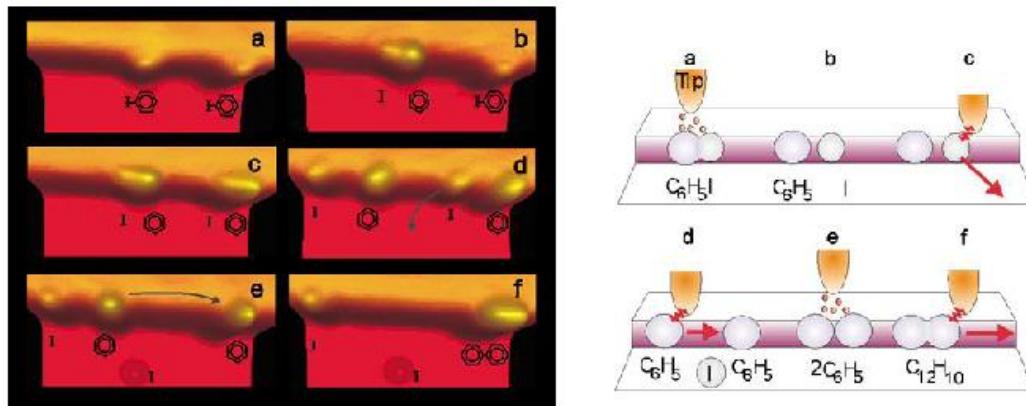


# Manipulace

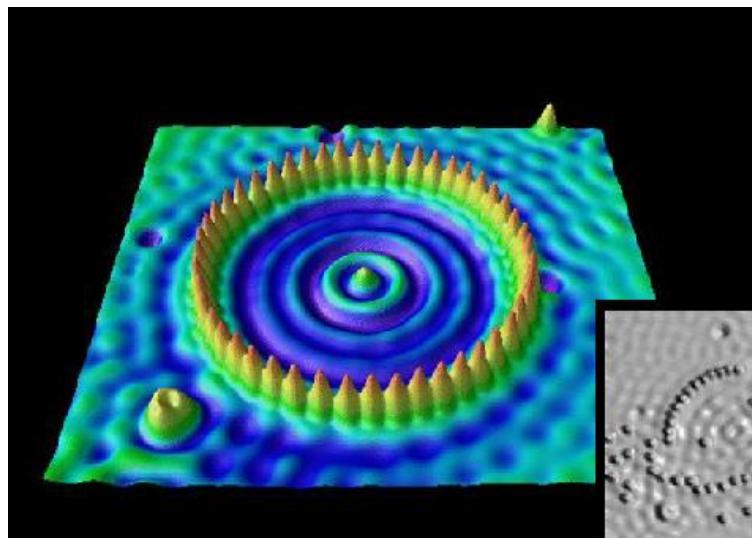


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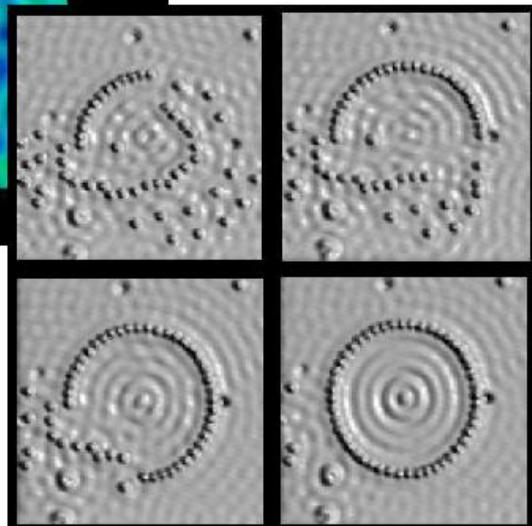
## Chemie po krocích



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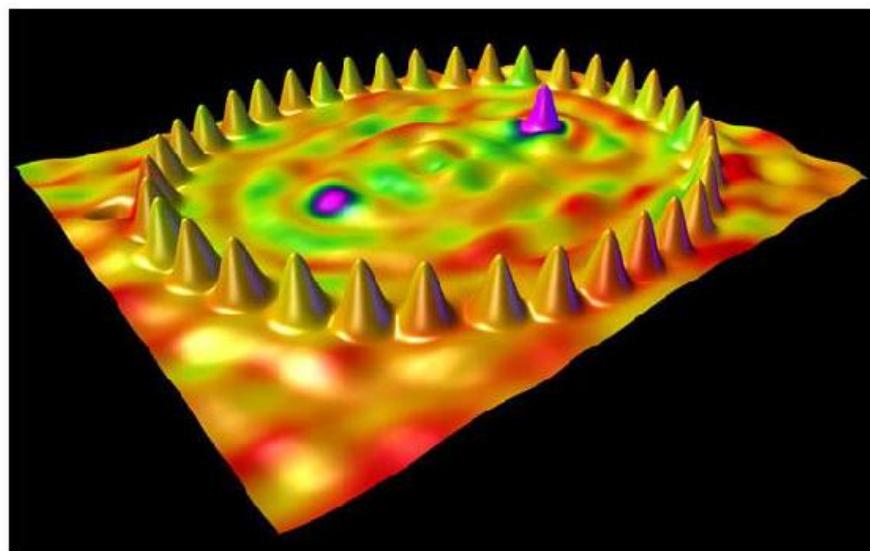


## Kvantové ohrady



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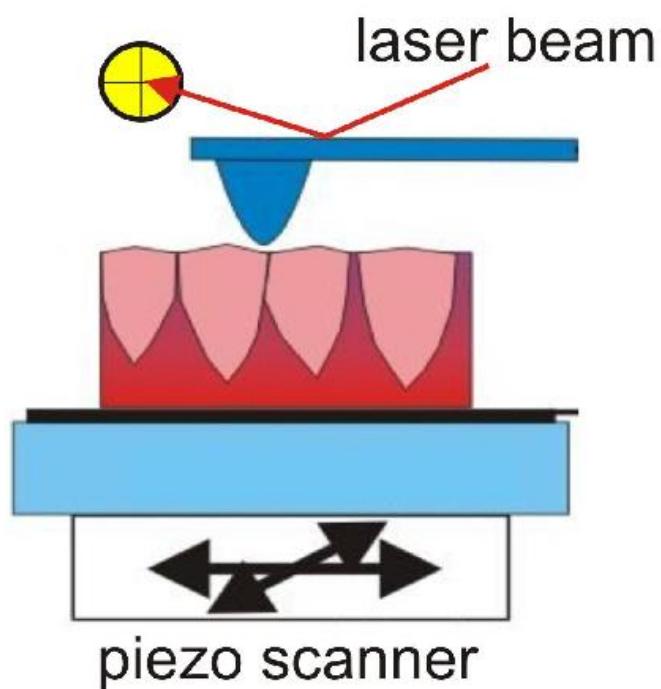
## Kvantová fata morgana



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# AFM

Atomic  
Force  
Microscopy



4.2.2012 Fejfar Dotek atomu



Charles University in Prague  
Faculty of Mathematics and Physics  
Study programme: Physics  
Specialization: Quantum optics and optoelectronics



Mgr. Jakub Holovský

## **Silicon solar cells: methods for experimental study and evaluation of material parameters in advanced structures**

Supervisor: RNDr. Milan Vaněček, CSc.

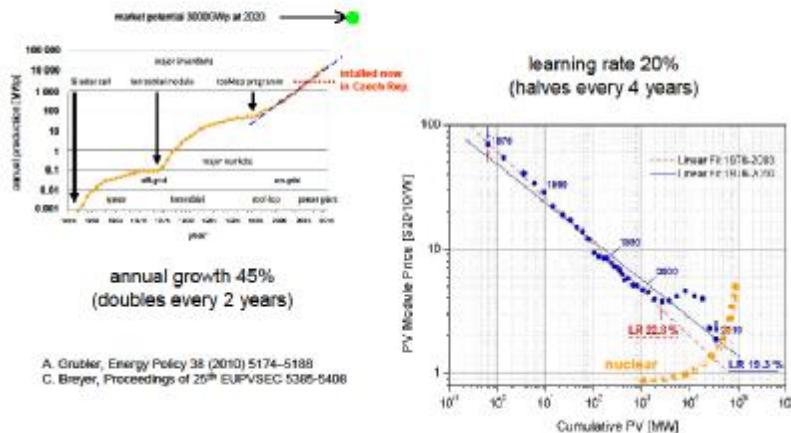
Institute of Physics,  
Academy of Sciences of the Czech Republic, v. v. i.

### **Outline:**

- Motivation
- Introduction
  - Optical simulations
  - Material characterization
  - Dual-junction device characterization
- Conclusion

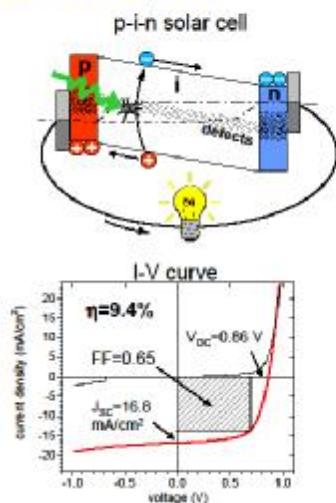
## Motivation:

solar energy: potential of cheap, decentralized, renewable source of energy

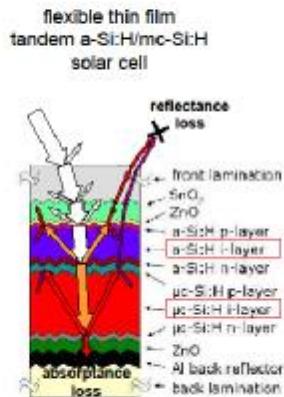


## Introduction

### electrical part:



### optical part:

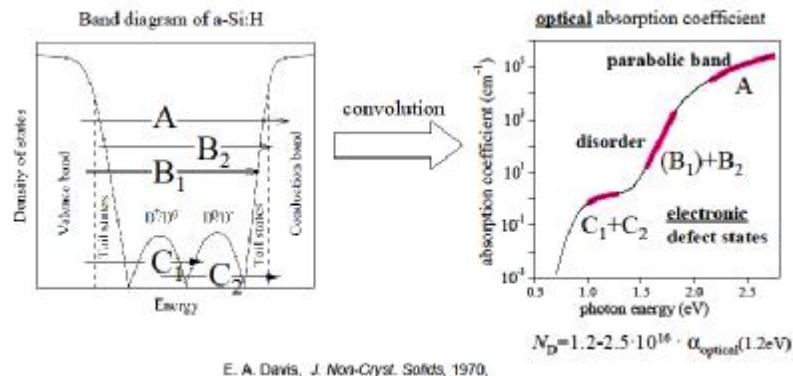


## INTRODUCTION

optical + electrical: optical absorption coefficient

$$\alpha(\hbar\omega; \omega) \propto \hbar\omega \cdot \int N_V(E) N_C(E + \hbar\omega) dE$$

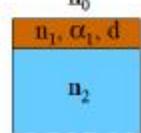
(in dark, limit of T → 0)



## Optical simulations – smooth layer

evaluation of absorption coefficient

- Fresnel coefficients
- multiple reflections (coherent / incoherent)
- reducing interferences by A/T ratio



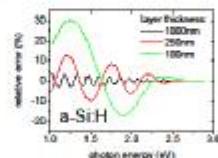
$$\frac{A}{T} = \frac{e^{i\delta} - 1 + R_2(1 - e^{-i\delta})}{1 - R_2}$$

$$R_2 = \left| \frac{\tilde{n}_1 - \tilde{n}_2}{\tilde{n}_1 + \tilde{n}_2} \right|^2$$

approximation

$$\frac{A_{\text{ap}}}{T_{\text{ap}}} = \frac{(R_{01} - 1)(1 - R_{12} - e^{i\delta} + R_{12}e^{-i\delta}) + 4\sqrt{R_{01}R_{12}} \sin(\delta) \sin(\alpha_1 + 2\gamma)}{(1 - R_{01})(1 - R_{12})}$$

BUT validity of this formula depends on thickness!  
→ iterative procedure



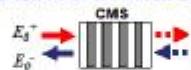
D. Ritter, K. Weiser, Opt. Commun. Vol 57, n.5 1986

## Optical simulations – smooth multilayer

### simulation of absorptance in multilayer

$$\beta = 2\pi M / \lambda$$

- coherent part:



$$\hat{M} = \hat{S}_{0,1}^T \cdot \hat{S}_1^L \cdot \hat{S}_{1,2}^T \cdot \dots \cdot \hat{S}_{n-1,n}^T$$

$$\begin{pmatrix} E_{n-1}^+ \\ E_{n-1}^- \end{pmatrix} = \hat{S}_{n-1,n}^T \cdot \hat{S}_n^L \cdot \dots \cdot \hat{S}_{1,n}^T \cdot \begin{pmatrix} E_n^+ \\ E_n^- \end{pmatrix}$$

mutually coherent

$$r_{coh}^+ = \frac{\hat{M}_{21}}{\hat{M}_{11}} \quad r_{coh}^- = -\frac{\hat{M}_{12}}{\hat{M}_{22}}$$

$$t_{coh}^+ = \frac{1}{\hat{M}_{11}} \quad t_{coh}^- = \hat{M}_{22} - \frac{\hat{M}_{12}\hat{M}_{21}}{\hat{M}_{11}}$$

absorption in a layer is a balance of intensities:

$$I = \frac{1}{2} \sqrt{n_s} \left[ n \left( |E^+|^2 - |E^-|^2 \right) + 2k \operatorname{Im}(E^+ E^-) \right]$$

- incoherent part:

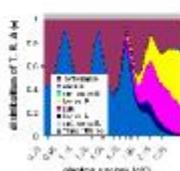


$$M = S_{0,1}^T \cdot S_1^L \cdot S_{1,2}^T \cdot \dots \cdot S_{n-1,n}^T$$

$$R^+ = \frac{M_{21}}{M_{11}} \quad R^- = -\frac{M_{12}}{M_{22}}$$

$$\begin{pmatrix} I_{M-1}^+ \\ I_{M-1}^- \end{pmatrix} = S_{M-1,M}^T \cdot S_M^L \cdot \dots \cdot S_{N-1,N}^T \cdot \begin{pmatrix} I_N^+ \\ I_N^- \end{pmatrix}$$

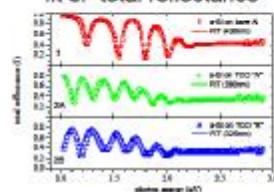
$$T^+ = T^- = \frac{1}{M_{11}}$$



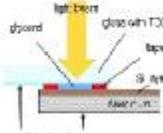
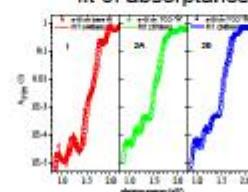
## Optical simulations – roughness

### Monte-Carlo simulation of absorptance in nanostructured films

fit of total reflectance

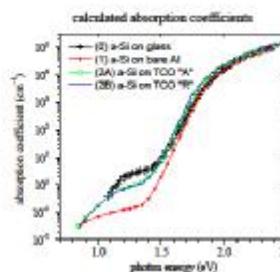


fit of absorptance



#### Samples under study: (no.)

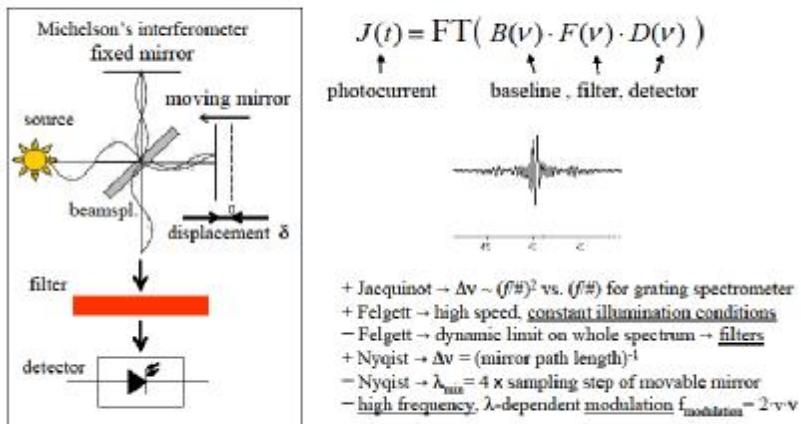
- Al foil + a-Si:H (1)
- Al foil „A“ + SnO<sub>2</sub> + a-Si:H (2A)
- Al foil „B“ + SnO<sub>2</sub> + a-Si:H (2B)
- Corning glass + a-Si:H (0)



J. Holovský et al., *Physica Status Solidi A*, Vol. 207, p. 578 (2010)

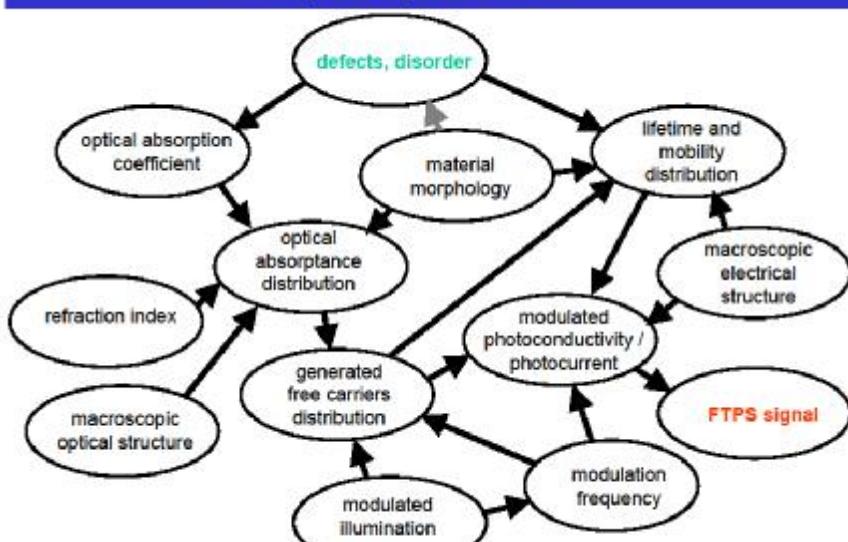
## Material characterization

### Fourier Transform Photocurrent Spectroscopy (FTPS)



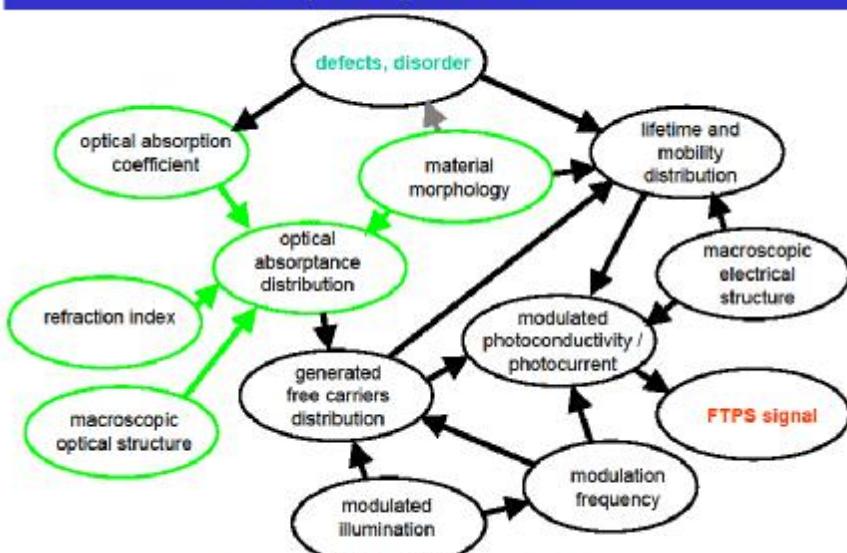
A. Poruba et al., 17th EU-PVSEC, pp. 2981-2984 (2001)

## Complexity of FTPS



J. Holovsky in: New Analytical Approaches and FTIR Strategies, InTech, 2011

## Complexity of FTPS

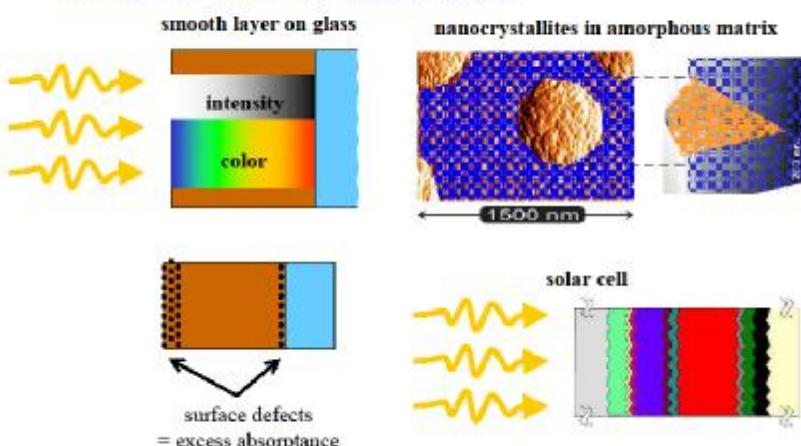


J. Holovský in: New Analytical Approaches and FTIR Strategies, InTech, 2011

## FTPS: structure → opt.

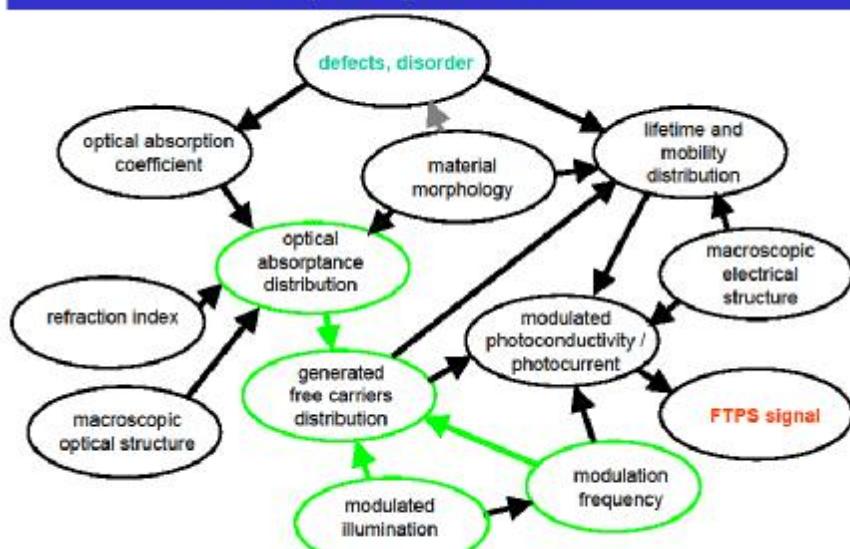
### absorptance

#### distribution of optical absorptance



picture: T. Mates, et al., Journal of Physics: Conference Series 61 (2007) 290–294

## Complexity of FTPS

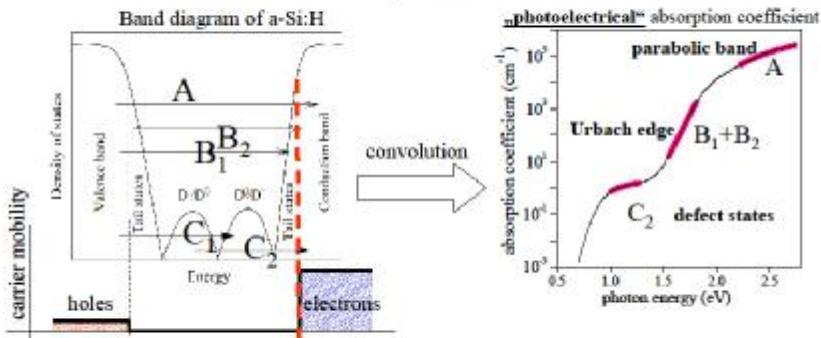


J. Holovský in: New Analytical Approaches and FTIR Strategies, InTech, 2011

## FTPS: absorptance → carrier density

optical absorptance and conductive carriers density

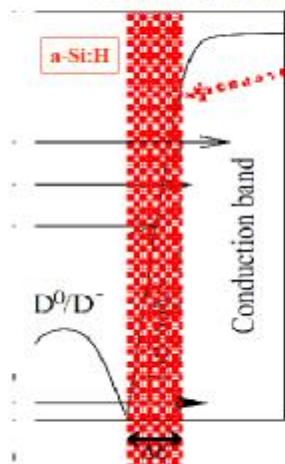
$$E_2(\hbar\omega < 3eV) \propto \hbar\omega \int N_p(E) N_c(E + \hbar\omega) dE$$



- in „photoelectrical“ absorption coefficient transition  $C_1$  is missing,
- transition  $B_1$  is maintained by thermalization under room temperature
- we can still calculate with  $A_{\text{photocel.}}$  as with  $A_{\text{optical}}$  and  $N_{D, \text{optical}} = 2 \cdot N_{D, \text{optoe.}}$

## FTPS: absorptance → carrier density

frequency dependence of photocarrier density



region of thermalization - probability, that electron will be thermally excited above the mobility edge in phase with excitation frequency  $\omega$  is \* :

$$p = \left[ 1 + \left( \frac{\omega}{f_1} \right)^2 \exp\left(\frac{2\Delta E}{k_B T}\right) \right]^{-1}$$

→ free carrier generation is generally frequency dependent:

**Modulated Photocurrent Method \***  
showed noticeable effect at frequencies around 1kHz

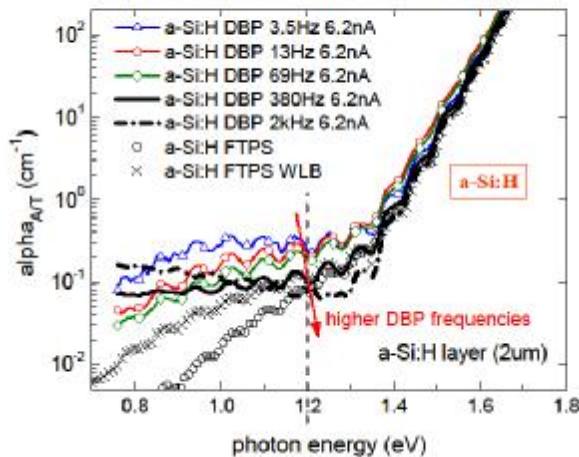
→   
 prob  
 em

\* K. Abe, et al., Philosophical Magazine B, Vol. 58, No. 2, pp. 171-184, 1988

## FTPS: absorptance → carrier density

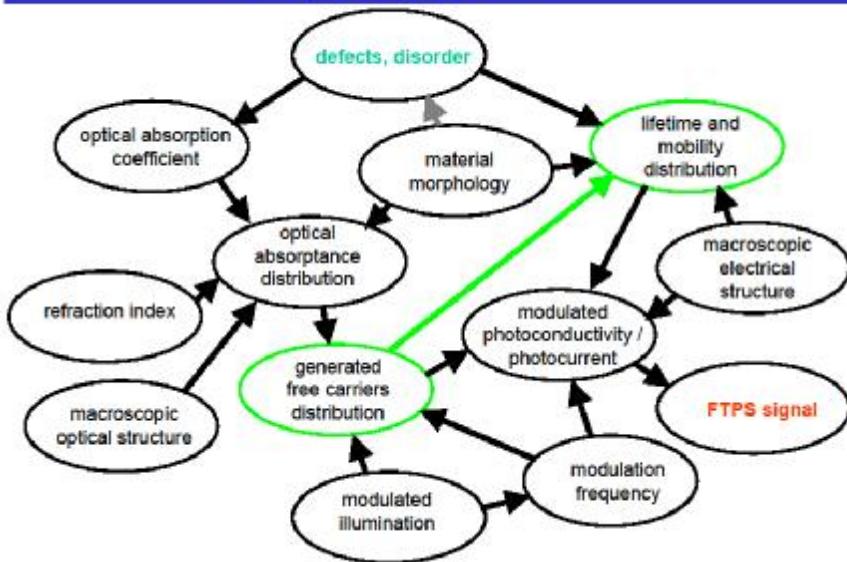
frequency dependence of photocarrier density

### dual beam photocurrent (DBP) and FTPS



J. Holovský, J. Non-Cryst. Solids 354 (2008) 2167 – 2170

## Complexity of FTPS



## FTPS: carrier density →

lifetime

lifetime dependence on illumination

$$\text{photocurrent density: } j_{ph} = eE \cdot G \cdot \mu \cdot \tau$$

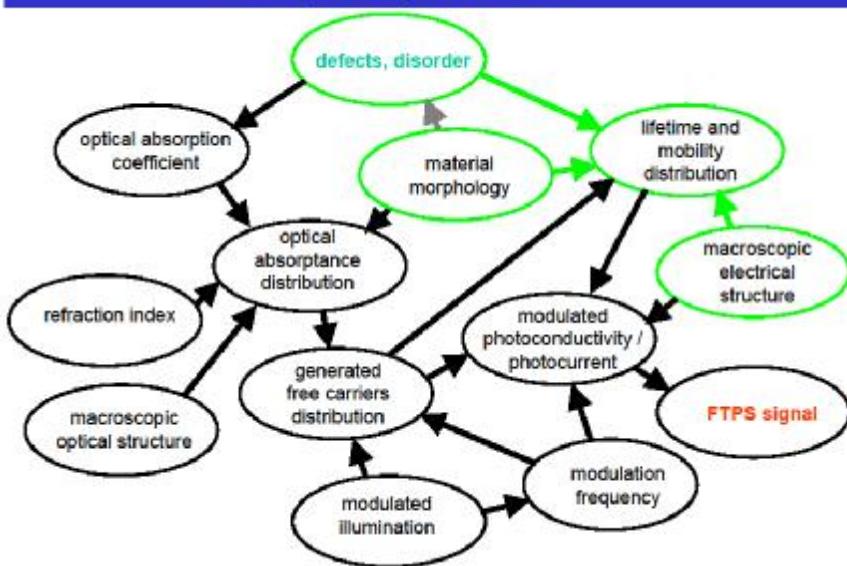
mobility      ← lifetime  
 in dark      illuminated      E\_FN      E\_FP

BUT! ...the excess carriers change the position of quasi-Fermi levels  
 → traps/recombination centers changes → lifetime changes →  $\boxed{\tau = \tau(\Delta N) = \tau(G)}$

in classical spectroscopy:  $G = G(\lambda) \rightarrow \tau = \tau(\lambda)$  !

- a) **Dual Beam Photocurrent**: additional  $G_{\text{const}}$   $>> G(\lambda) \rightarrow \tau \approx \text{const.}$   
 problem: no more „in dark“ → dependent on  $G_{\text{const}}$ .
- b) **Constant Photocurrent Method**: change  $G(\lambda)$  to keep  $j_{ph}$  constant  $\rightarrow \tau \approx \text{const.}$
- c) **Fourier Transform Phot. Spectr.**: both  $G$  and  $j_{ph}$  constant  $\rightarrow \tau \approx \text{const.}$   
 → „dark“ conditions in FTPS are satisfied only if the IR filter is used

## Complexity of FTPS



## FTPS: structure → mobility

**lifetime**  
structural effects influencing mobility lifetime:

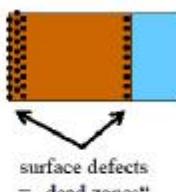
### a) microstructural - grains

$$\mu\tau(\text{grains}) > \mu\tau(\text{amorphous matrix})$$

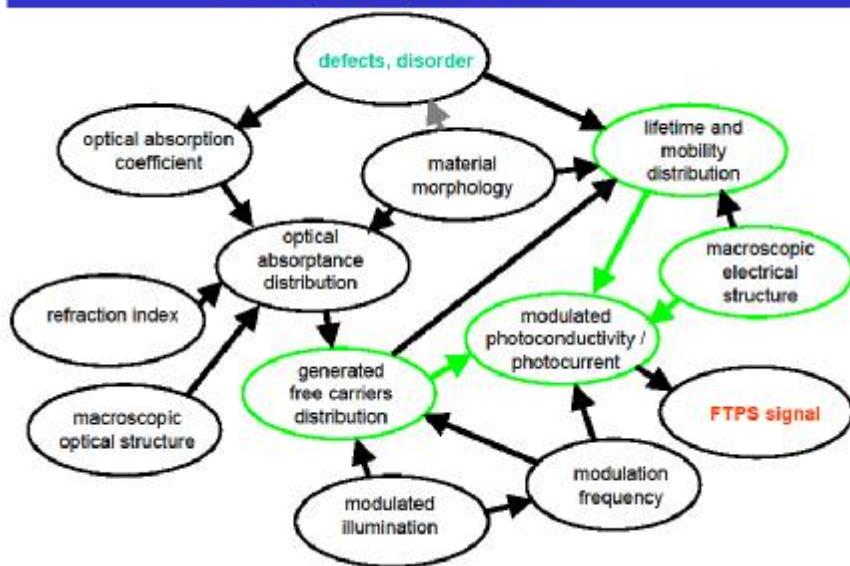


### b) surfaces, interfaces

every non passivated interface (surface)  
represents strongly defective area with  
strong recombination rate and low lifetime  $\tau$

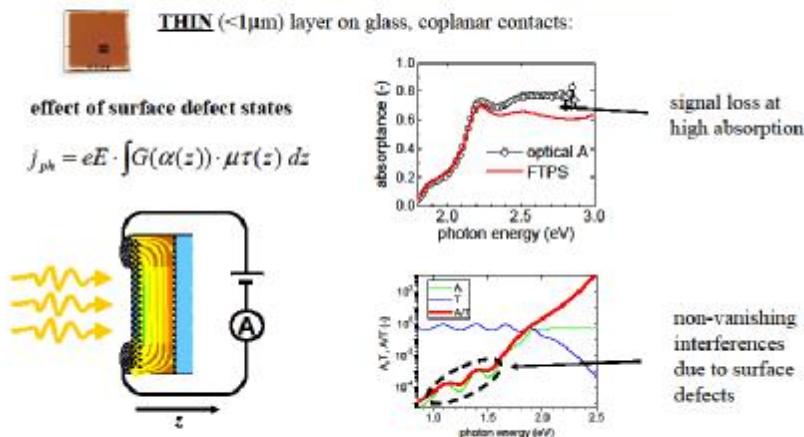


## Complexity of FTPS



## FTPS: distributions → el. current

### a) distribution of mobility, lifetime and generation

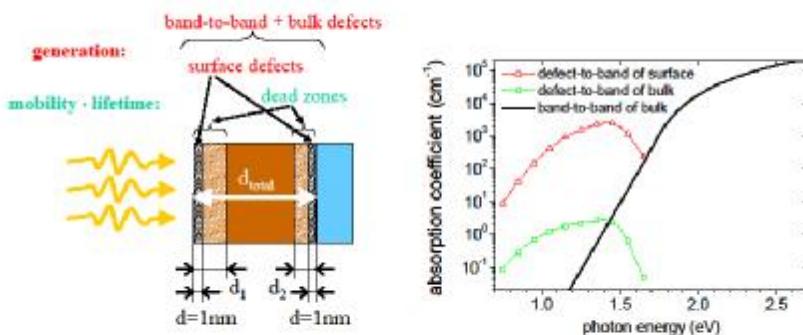


M. Vaněček, et al., *J. Appl. Phys.* 78 (1995) 6203-6210

## FTPS: distributions → el. current

### a) distribution of mobility, lifetime and generation

model representation:



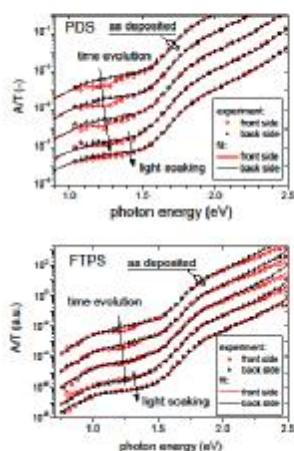
optically simulated as smooth multilayer on substrate - matrix approach

J. Holovský et al., J. Non-Cryst. Solids, 2011, in press

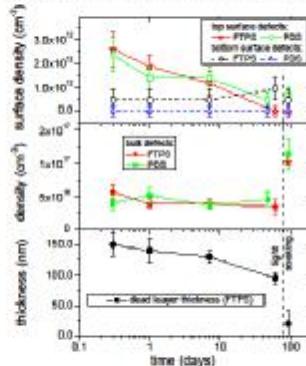
## FTPS: distributions → el. current

### a) distribution of mobility, lifetime and generation

numerical fit of measured data:



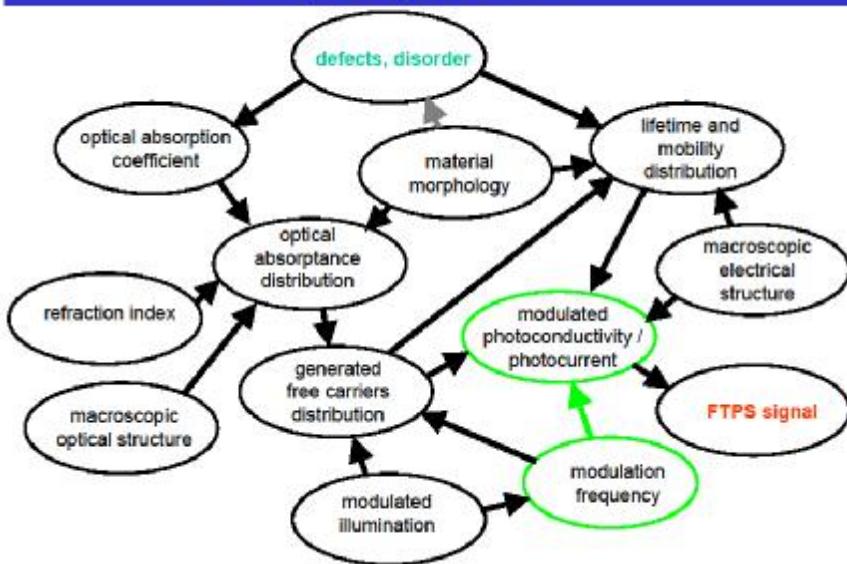
observed time evolution in defect density:



→ all the changes explained only by effect of time evolution of surface states

J. Holovský et al., J. Non-Cryst. Solids, 2011, in press

## Complexity of FTPS



## FTPS: distributions → el. current

### b) effect of time dependence

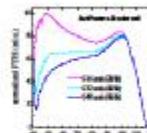
continuity equation:  $\frac{\partial n}{\partial t} = \frac{1}{e} \nabla \cdot j + G(t) - R(n)$

time dependent generation → frequency dependence

approximation:  $j_{ph} = eE \cdot G \cdot \mu \cdot \tau$

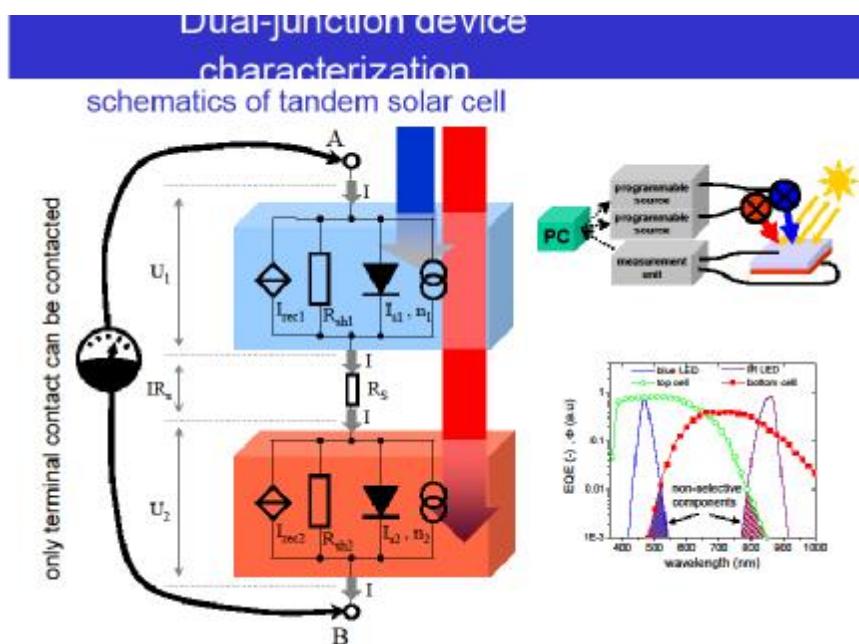
#### validity:

		amorphous silicon:	crystalline silicon:
slow modulation frequency:	$\frac{\partial n}{\partial t} \approx 0$ $\frac{1}{f} \gg f - 10^4 \text{ Hz}$	$\tau = 10^{-6} - 10^{-5} \text{ s}$ YES	$\tau = 10^{-4} - 1 \text{ s}$ NO
quasi-homogeneous generation and recombination:	$\nabla n \approx 0$ $\alpha d < 1$	$\alpha(2eV) = 10^4 \text{ cm}^{-1}$ $d = 1 \mu\text{m}$ YES	$\alpha(2eV) = 3 \cdot 10^3 \text{ cm}^{-1}$ $d = 100 \mu\text{m}$ NO



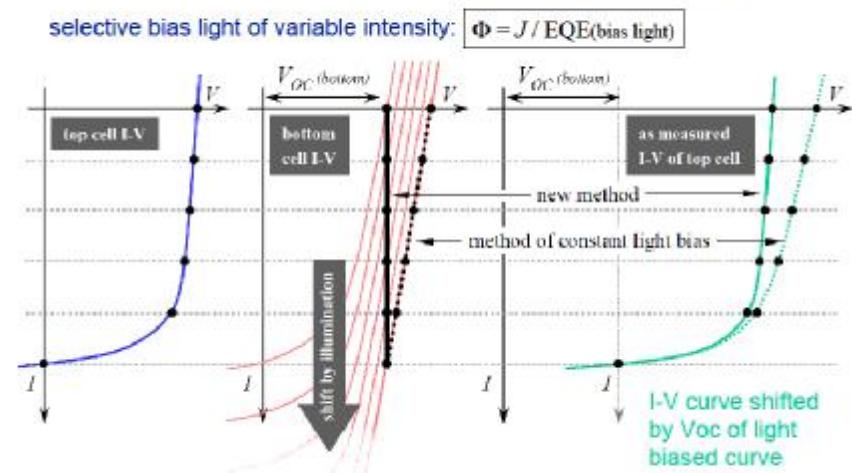
## Outline:

- Motivation
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  - Dual-junction device characterization
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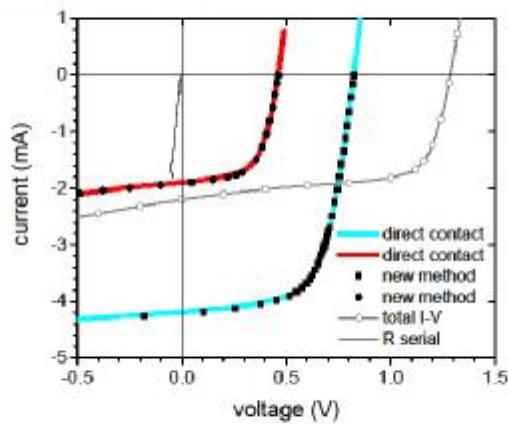
## Dual-junction device characterization

measurement of I-V curves of individual sub-cells



## Dual-junction device characterization

measurement of I-V curves of individual sub-cells

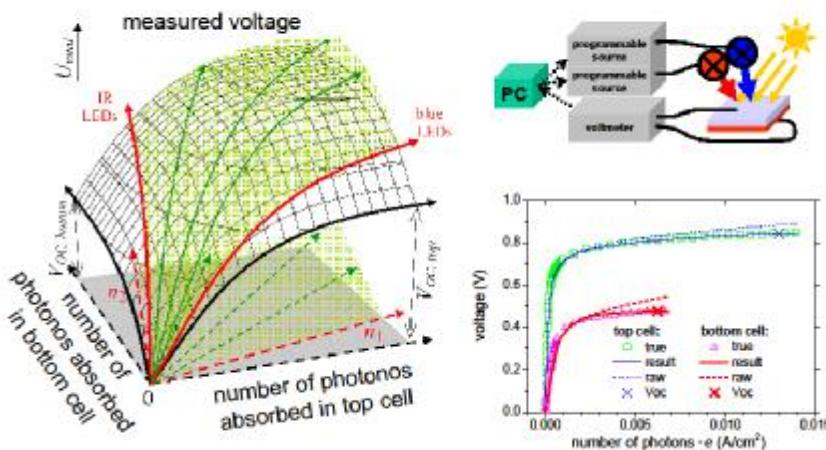


We only have to know individual values of open-circuit voltage ( $V_{oc}$ )!

J. Holovský et al., Solar Energy Materials and Solar Cells, 103, p. 128-133 (2012)

## Dual-junction device characterization

measurement of  $V_{OC}$  of individual sub-cells



J. Holovský et al., IEEE Journal of Photovoltaics, 2, 164-168, (2012)

## Conclusion

### Optical simulation

- absorptance / evaluation of absorption coefficient
- smooth / rough, single- / multi-layer
- Monte-Carlo / vector approach

### Material characterization

- fundamentals of Fourier transform
- fundamentals of material (silicon)
- real structures: surface states, roughness, metal substrates

### Characterization of dual-junction solar cells

- electrical and optical representation
- methods to access to individual parameters: FTPS, I-V

# Study of nucleation and growth of diamond thin films

T. Ižák<sup>1,2\*</sup>, O. Babchenko<sup>1</sup>, M. Varga<sup>1,2</sup>, S. Potocky<sup>1</sup>, M. Marton<sup>2</sup>, M. Vojs<sup>2</sup>, M. Domonkos<sup>1,3</sup>  
and A. Kromka<sup>1</sup>

<sup>1</sup> Institute of Physics AS CR, Cukrovarnická 10, 16253 Praha 6, Czech Republic

<sup>2</sup> Slovak University of Technology, FEI STU, Ilkovicova 3, 812 19 Bratislava, Slovakia

<sup>3</sup> Faculty of Nuclear Sciences and Physical Engineering, CTU, Břehová 7, 115 19 Praha,  
Czech Republic

This study deals with the nucleation and growth of CVD diamond films on Si substrates. In nucleation part two different nucleation methods were studied: (i) the bias enhanced nucleation (BEN) and (ii) ultrasonic seeding. In the case of BEN, (i) the nucleation time and (ii) the influence of bias voltage were studied. For ultrasonic seeding the effect of different solutions of ultradisperzed detonation nanodiamond (UDD) powder with metal particles on the nucleation efficiency and growth process was investigated (i.e. diamond powder, nanosized Ni, microsized Co and Y metal powders). Moreover, the effect of isopropyl alcohol and deionized water on the nucleation efficiency was compared. In the third part, it was focused on the self- and re-nucleation processes in different microwave power deposition systems on non-treated (non-nucleated substrates) in order to achieve more information about nucleation process and plasma properties. The content of CO<sub>2</sub> or CH<sub>4</sub> in the gas mixture and the pressure was varied.

In second main part of this study, the diamond film growth in various chemical vapour deposition (CVD) systems was demonstrated: hot filament CVD (HFCVD), focused microwave plasma CVD (FMWP) and pulsed linear antenna microwave plasma CVD (PLAMWP). The growth process of micro- to nano- crystalline diamond films, as low temperature diamond growth (LTDG) and re-nucleation process was studied (including the influence of Ar and N<sub>2</sub> addition, the effect of increasing CO<sub>2</sub> and CH<sub>4</sub>). Systematic study was realized also on the pressure, temperature and gas mixture. The surface morphology of diamond films was analysed by SEM microscopy and their chemical composition (sp<sup>2</sup> vs. sp<sup>3</sup> carbon bonds) by Raman spectroscopy. The surface chemistry was characterized by activation energies.

## Acknowledgements

This work was supported by the grant P108/12/G108 (GAČR Excellence Center) and it was carried out in frame of the LNSM infrastructure. We would like to gratefully appreciate to K. Hruska, M. Michalka for SEM measurements and J. Potmesil and O. Rezek for technical support.

## Study of nucleation and growth of diamond thin films

**T. Izák<sup>1,2\*</sup>, O. Babchenko<sup>1</sup>, M. Varga<sup>1,2</sup>, S. Potocký<sup>1</sup>, M. Marton<sup>2</sup>, M. Vojs<sup>2</sup>,  
M. Domonkos<sup>1,3</sup> and A. Kromka<sup>1</sup>**

<sup>1</sup> Institute of Physics ASCR, Okrovavnická 10, CZ-16253 Praha 6, Czech Republic

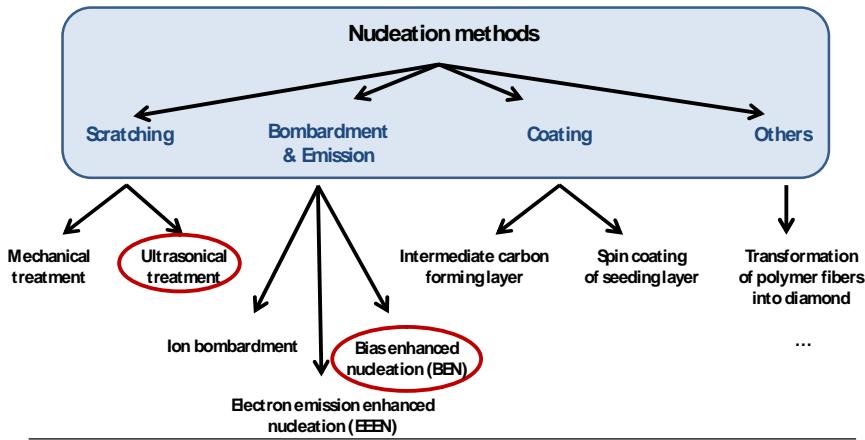
<sup>2</sup> Slovak University of Technology, FEG STU, Ilkovičova 3, 812 19 Bratislava, Slovakia

<sup>3</sup> Faculty of Nuclear Sciences and Physical Engineering, CTU, Břehová 7, 115 19 Praha, Czech Republic

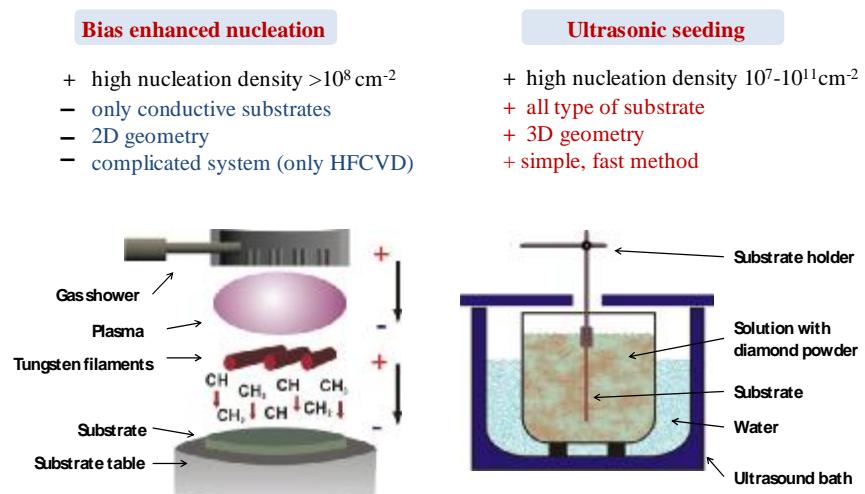
Rokytnice nad Jizerou, 2012

### Introduction to nucleation of diamond films

- Pre-treatment of the substrate surface → to enhance the nucleation density
  - enhance the formation of **diamond nuclei** on non-diamond substrates
  - nucleation density on **untreated** non-diamond substrates  $10^5 \text{ cm}^{-2}$



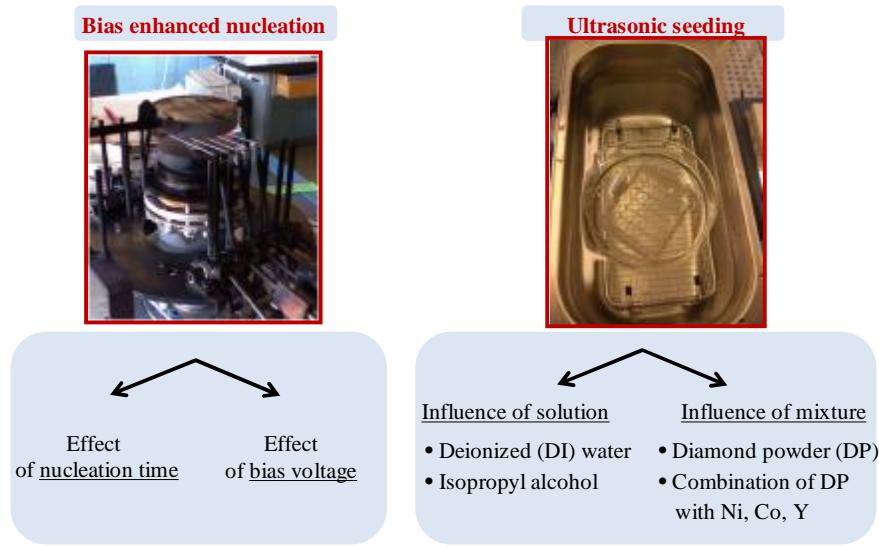
## BEN vs. ultrasonic seeding



Izak T. et al., Study of nucleation and growth of diamond thin films

3

## Nucleation – Experimental part



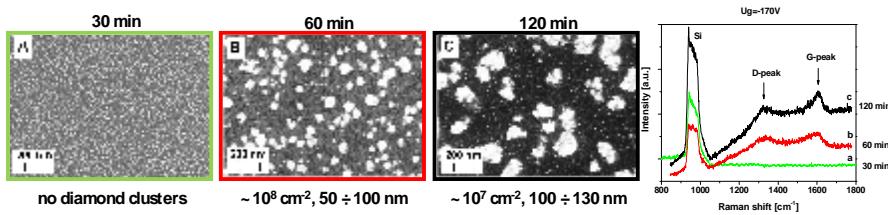
Izak T. et al., Study of nucleation and growth of diamond thin films

4

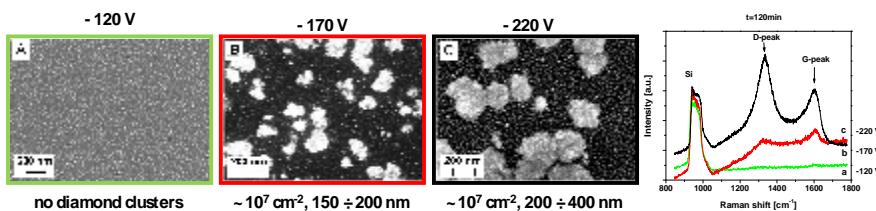
42

## Bias Enhanced Nucleation

**Effect of process time** (at constant  $U_b = -170V$ )



**Effect of bias voltage** (at constant  $t = 120 \text{ min}$ )



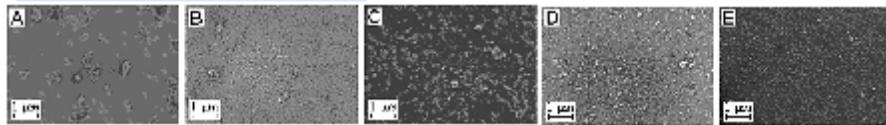
Izak T. et al., Study of nucleation and growth of diamond thin films

5

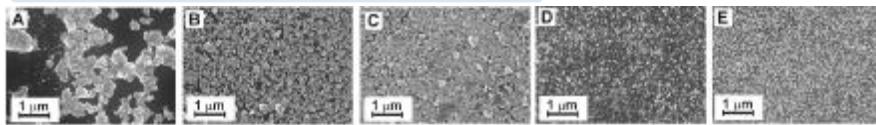
## Ultrasonic Seeding

Isopropyl alcohol+DI water  
nanodiamond (ND) powder      Deionized water  
ND powder      Deionized water  
ND powder+Ni      Deionized water  
ND powder+O      Deionized water  
ND powder+Y

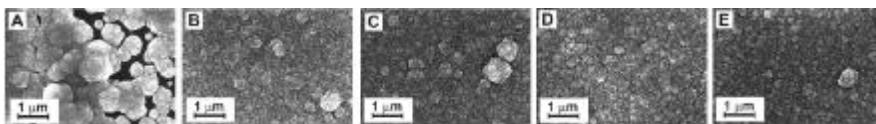
**After nucleation (i.e. ultrasonic seeding)**



**After 10 min growth (i.e. high-lighting the nucleation centers)**



**After 1 h growth (i.e. over-grown the layer)**



Izak T. et al., Study of nucleation and growth of diamond thin films

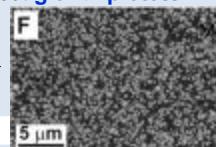
6

43

## Self- and re-nucleation

### Motivation:

- Diamond growth **without any pre-treatment** → useful for soft substrates
- Study of self-nucleation → more information about **growth process & plasma character**
- Nucleation density → as high as possible →



### Definitions:

**Self-nucleation** - nucleation (formation of diamond clusters) on non-treated substrates

**Re-nucleation** - nucleation during the growth process (secondary nucleation)  
(important for UNCD growth)

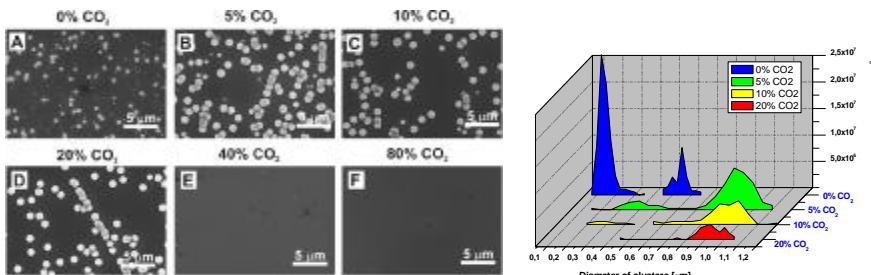
### Experiments:

- Comparison of PLAMWP & FMWP apparatus
- Influence of  $\text{CO}_2$ ,  $\text{CH}_4$ , pressure, temperature, etc. on self-nucleation
- Substrates: Si &  $\text{SiO}_2$
- Analysis methods: SEM microscope & Atlas software (statistical distribution)

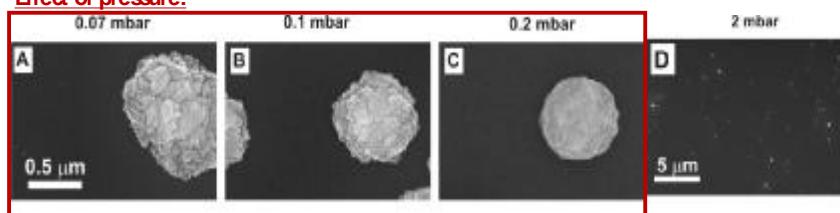
Izak T. et al., Study of nucleation and growth of diamond thin films

7

## Self- and re-nucleation – Effect of $\text{CO}_2$



### Effect of pressure:

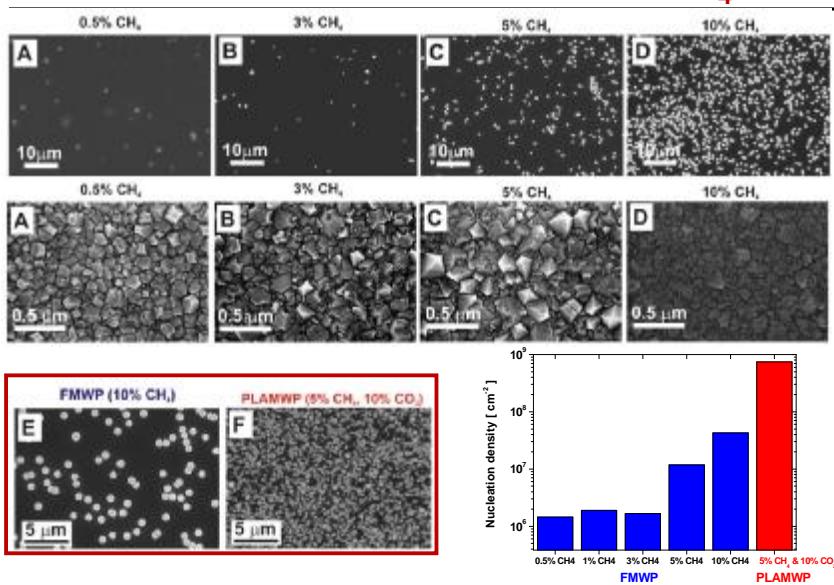


Izak T. et al., Study of nucleation and growth of diamond thin films

8

44

## Self- and re-nucleation – Effect of CH<sub>4</sub>



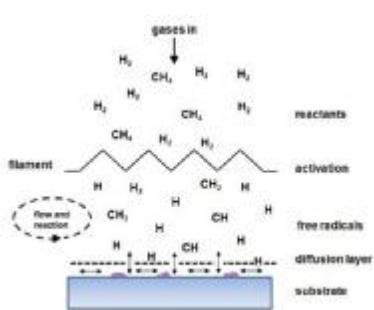
Izak T. et al., Study of nucleation and growth of diamond thin films

9

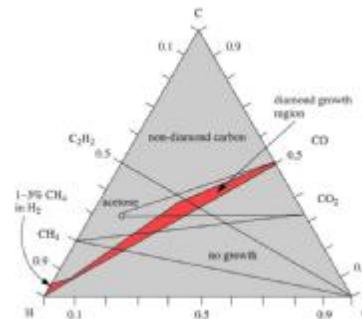
## Introduction to diamond growth

- **HPT** (high pressure – high temperature): 5-10 GPa, 2000°C
- **CVD** (chemical vapor deposition): hot filament, microwave plasma

### Principle of CVD



### Bachmann triangle



P.W. May, Phil. Trans. R. Soc. Lond. A 358 (2000), 473-495

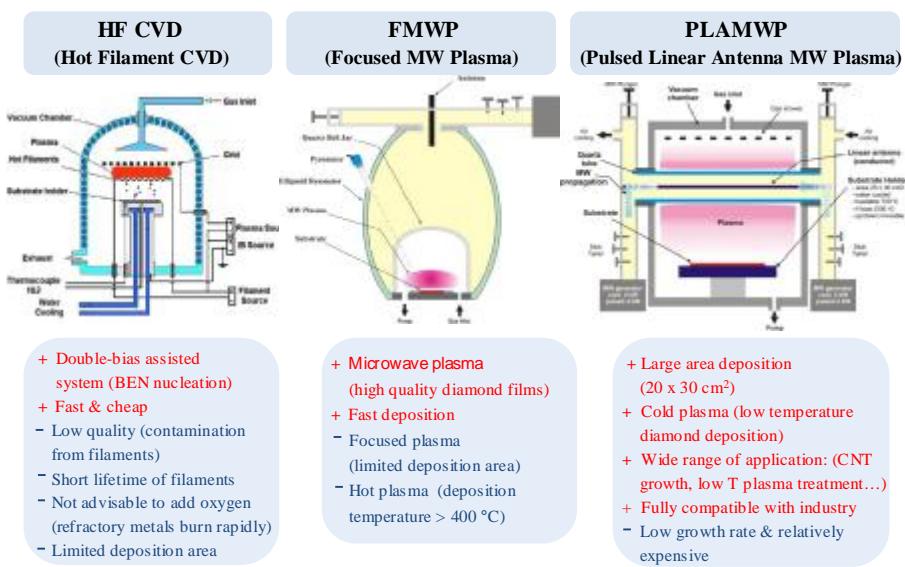
- **Trend:** low temperature & large area deposition

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10

45

# Deposition systems

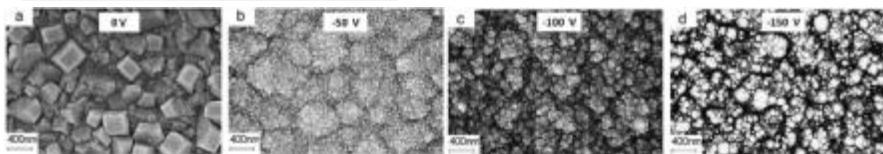


Izak T. et al., Study of nucleation and growth of diamond thin films

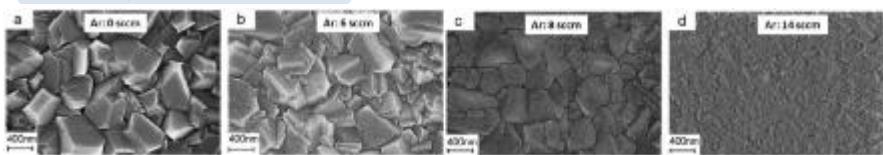
11

## From MCD to NCD

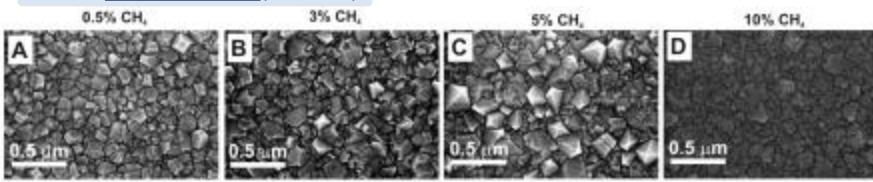
### **Effect of negative bias voltage (in HFCVD)**



### **Effect of argon addition (in HFCVD)**



## Effect of methane addition (in FMWP)

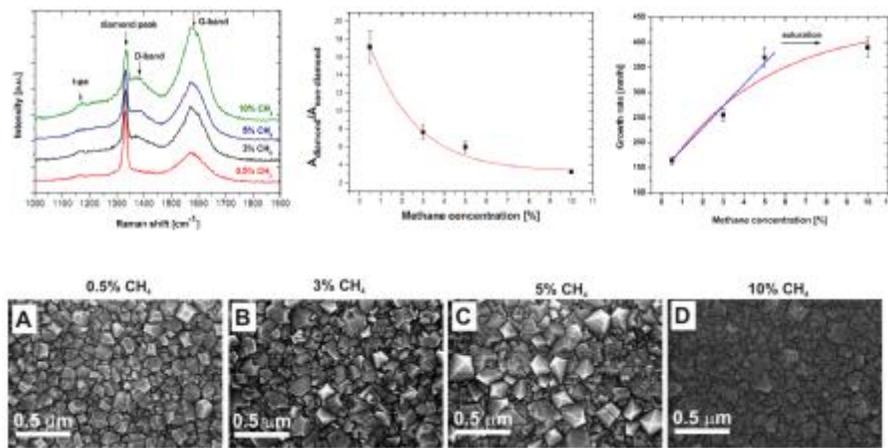


Izak T. et al., Study of nucleation and growth of diamond thin films

12

## From MCD to NCD

### Effect of methane addition (in FMWP)

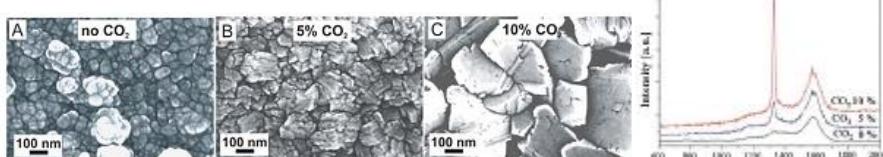


Izak T. et al., Study of nucleation and growth of diamond thin films

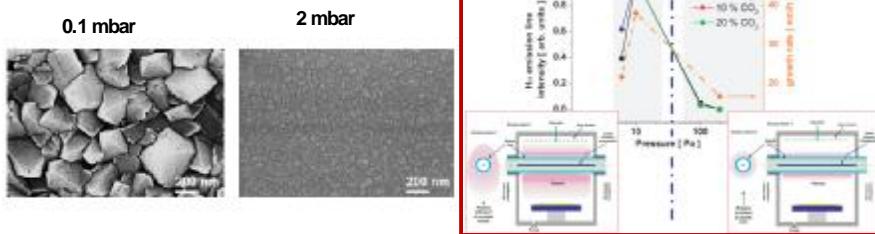
13

## From MCD to NCD

### Effect of CO<sub>2</sub> addition (in PLAMWP)



### Effect of pressure (in PLAMWP)



(Kromka et al., 22<sup>nd</sup> Diamond Conference, 4-8 September 2010, Garmisch-Partenkirchen, Germany)

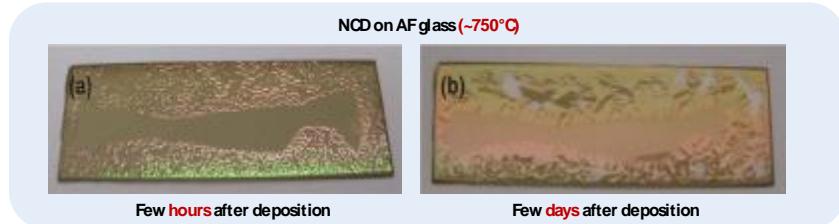
Izak T. et al., Study of nucleation and growth of diamond thin films

14

47

## Low Temperature Diamond Growth

**Motivation:** Normally high deposition temp. (800–900 °C) → **limitations** in applications to high melting materials



### Solution

#### Changed growth chemistry

- Halogenated precursors - F<sub>2</sub> and Cl<sub>2</sub> ( $T_{\text{sub}} = 370$  °C)
- Oxygen containing precursors - CO/H<sub>2</sub>, CO/H<sub>2</sub>/O<sub>2</sub>, or CO<sub>2</sub>/CH<sub>4</sub> ( $T_{\text{sub}} = 130$  °C)
- Argon/nitrogen addition – mainly UNCD

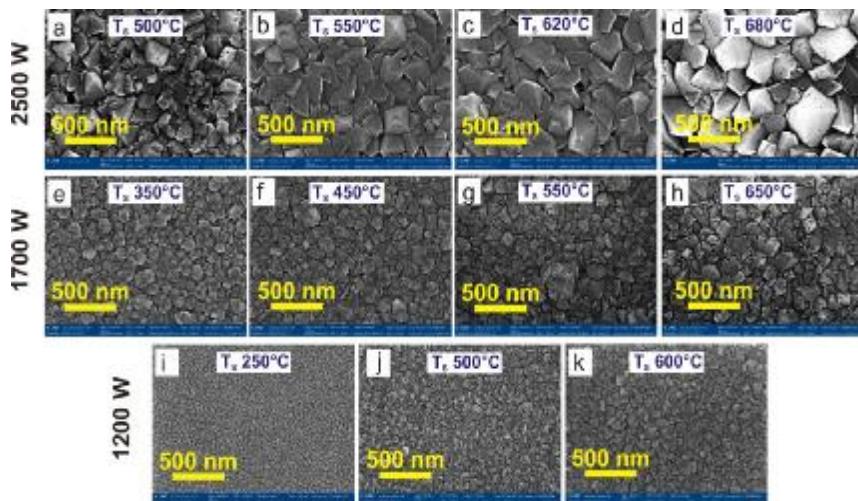
#### Developing of new plasma systems

- using of **linear system** instead of focus plasma (*cold plasma*)

Izak T. et al., Study of nucleation and growth of diamond thin films

15

## § LTDG in Linear-antenna plasma MWCVD



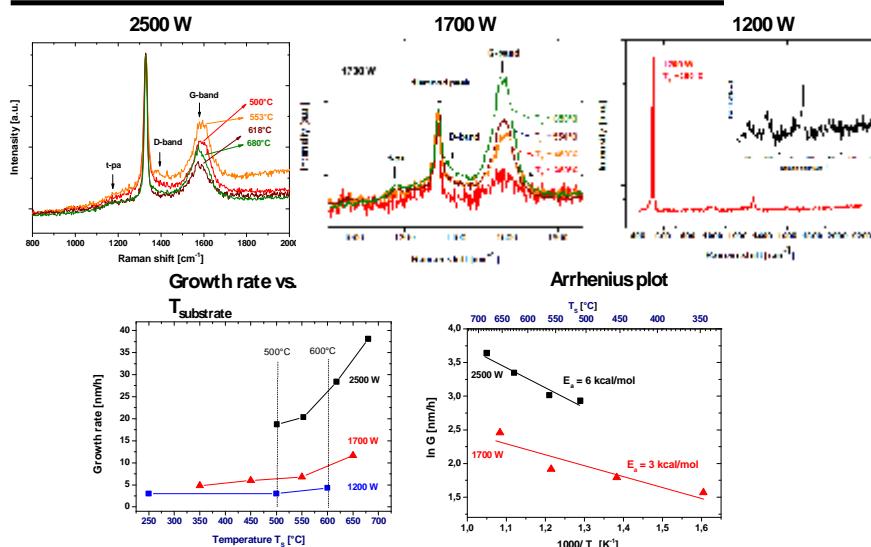
Source: Izak et al., Low temperature diamond growth by linear antenna plasma CVD over large area, *physica status solidi (b)*, 2012 (accepted paper)

Izak T. et al., Study of nucleation and growth of diamond thin films

16

48

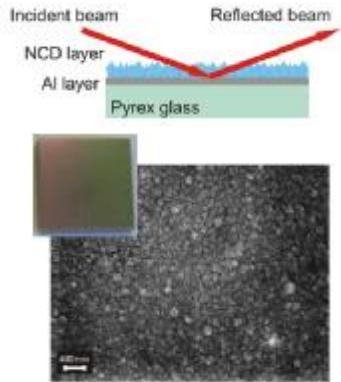
## §Raman spectra & Activation energy



17

## Applications of LTDG

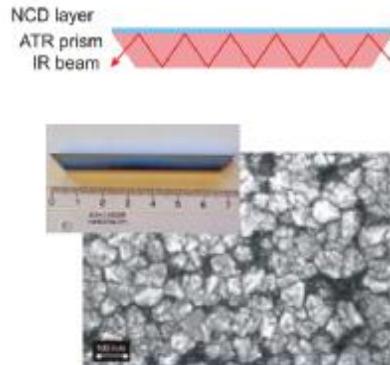
Grazing angle reflectance (GAR) spectroscopy under the Brewster's angle



(O. Babchenko et al., Deposition of nanocrystalline diamond films on temperature sensitive substrates for infrared reflectance spectroscopy, phys. stat. sol. (b), submitted)

(H. Kozak et al., Optical characterisation of organosilane-modified nanocrystalline diamond films, Chem. Papers, 2011, 65(1), p. 36-41)

Attenuated total reflectance (ATR) spectroscopy with diamond-coated ATR prism



(Z. Remeš et al., Using diamond-coated ATR prism for FTIR spectroscopy of functionalized diamond nanoparticles, Diam. Relat. Mater., submitted)

Izak T. et al., Study of nucleation and growth of diamond thin films

18

49

## Thank you for your attention!

This work was supported by the grant P08/12/G108 (GAČR Excellence Center) and it was carried out in frame of the LNSM infrastructure. We would like to gratefully appreciate to K. Hruska, M. Michalka for SEM measurements and J. Potmesil and O. Rezek for technical support.

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*Institute of Physics ASCR, Šítkovská 10, CZ-16253 Praha 6, Czech Republic*

# Perspectives in Diamond Thin Film Technology

Alexander Kromka et al.

*Institute of Physics, Academy of Sciences of the Czech Republic, v.v.i.  
Cukrovarnická 10, Praha 6, 162 00, Czech Republic, [kromka@fzu.cz](mailto:kromka@fzu.cz)*

Synthetic diamond thin films are routinely grown over world not only for basic research but also for industrial uses. At the Institute of Physics (IoP), diamond thin films are grown since 2002. The first installed deposition system is base on microwave plasma enhanced chemical vapor deposition (CVD) in hydrogen reach gas mixture (<2% methane diluted in hydrogen). This system is well suitable for fast growth of high quality diamond films up to 5 cm in diameter. The main advance of the system is cavity like resonator which allows running stable CVD process for several days at relatively high pressures (>200 mbar). Later on, in year 2008 was installed the linear antenna microwave plasma CVD system which work at much lower pressures (<10 mbar). The main advantage of this system is deposition over large area and stable plasma for various gas mixtures (i.e. argon reach, high methane content, etc.). Both these CVD techniques can be classified as complementary processes which opens new field for basic research. For example, diamond films are first grown by linear antenna microwave plasma CVD process and after then they are hydrogenated in ellipsoidal cavity microwave CVD system. Similarly, experiments work well also in opposite direction. Additional advantage of linear antenna microwave plasma CVD process is so called cold plasma due to low pressure. It means that thermal overloading of the substrates from plasma is minimized. In close cooperation of research groups at IoP, especially Rezek's group and Remes's group, we have optimized the CVD process for low temperature (aluminum, glass) and mechanically soft substrates (thin gold layer, germanium, etc.). In specific cases, novel diamond nucleation and seeding processes have been developed to satisfy need for growth of ultra-thin diamond film over soft substrates. These substrate-treatment process include polymer-based composites and nanofibers. Within the presented lecture, technological steps as nucleation/seeding process, the CVD growth and plasma structuring are reviewed with respect to experimental activities at the IoP-Cukrovarnicka. Joint activities are formally under the umbrella of CABIOM centre which is the virtual centre on Carbon-based Biomaterials and Biointerfaces (<http://cabiom.fzu.cz/>). Mission of the center is addressing scientific and technological challenges of interfacing human cells and organic molecules with advanced carbon-based materials for bio-electronic and bio-sensor applications in health care, environment, security, and more. Selected activities running at IoP-Cukrovarnicka are included in the present lecture. Technological progress in the large area growth of diamond films and carbon nanotubes by the modified linear antenna microwave plasma CVD system are pointed out too. A challenging part, diamond overcoated mirrors or ATR prisms, are shown as multifunction optical elements suitable for detection of adsorbed or grafted molecules. Furthermore, combination of pulsed microwave plasma with radiofrequency substrate biasing results in growth of oriented CNTs over large area is mentioned too.

**Acknowledgement:** This work was supported by the grants IAAX00100902 (GAAV) and P108/12/G108 (GACR Excellence Center). We would like to gratefully appreciate to many researchers from the IoP, to K. Hruska and J. Libertinova for SEM measurements, to O. Rezek and J. Potmesil for technical support and to Z. Polackova for wet chemical treatment. There were also scientific contributions from undergraduate and PhD. students. This work was carried out in frame of the LNSM infrastructure.



## Perspectives in Diamond Thin Film Technology (Review on scientific activities at FZU Cukrovarnicka 2008-2011)



Alexander Kromka  
<http://www.fzu.cz/~kromka/>  
Rokytnice 2012



### Acknowledgment to people

#### n Department 27 – core technology

- n Technical support:  
*Zdeřka Poláčková, Jiří Potměšil, Ondřej Rezek,*
- n PostDoc:  
*Zdeněk Remeš, Halyna Kozák, Štěpán Potocký*
- n PhD students:  
*Marina Davydova, Neda Neykova, Oleg Babchenko, Tibor Ižák*
- n Bc. student:  
*Mária Domenkos*
- n several semester works (5 students)



**CABIOM** [cabiom.fzu.cz](http://cabiom.fzu.cz)  
Carbon-based Biomaterials and Biointerfaces  
Virtual Research Center of the Institute of Physics ASCR, v.v.i.

#### n Cross-departmental

- n **Bohuslav Rezek** and his group: *Egor Ukrainstev, Marie Krátká, Martin Ledinský, Jan Čermák, Lukáš Ondič*
- n *Vlastimil Jurka, Karel Hruška (SEM, EBL)*
- n *Pavel Hubík, Jiří J. Mareš (electrical characterization, Hall meas.)*
- n *J. Zemek (XPS)*
- n *etc.*

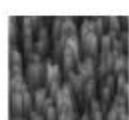
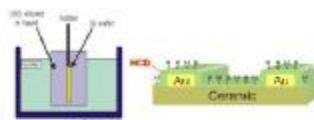
2

## Acknowledgment to people

### Laboratory of carbon technology (Dr. Kromka)

<http://www.fzu.cz/~kromka>

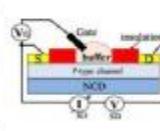
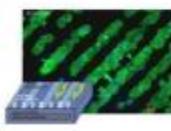
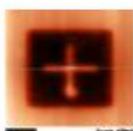
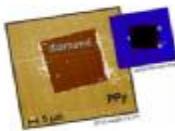
- focus on all purpose diamond and CNT technology
- PhD students (4), postdocs (2), senior scientists (1)



### Laboratory of functional nano-interfaces (Dr. Rezek)

<http://www.fzu.cz/~rezek>

- main (overlapping) directions: 1) opto-electronics, 2) bio-electronics
- focus on study and control of interfaces and junctions at nanoscale
- merging diamond, silicon, organics
- PhD students (3), postdocs (2), senior scientists (1)

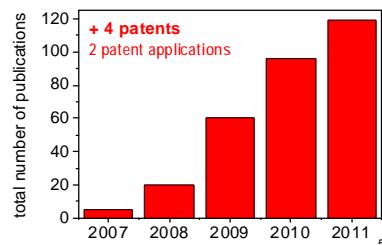


## Acknowledgment to people

- 1<sup>st</sup> Faculty of Medicine, Charles University, Prague
  - Marie Kalbáčová, Antonín Brož, Lenka Nosková, Hana Hartmanová
- Institute of Physiology ASCR, Prague
  - Lucie Bačáková, Lubica Grausová
- Charles university
  - Peter Malý, Jana Preclíková, Braňo Dzurnák
- Czech Technical University
  - Václav Prajzler, Pavel Kulha, Pavla Nekvindova
- and others: VUT, ...
- R&D with industry
  - MPO: Modular SEM microscope (fi. Tescan)
  - TACR: Advanced plasma sources (fi. SVCS)
  - others (Zdenek Remes – fi. Solartec)
  - and others.

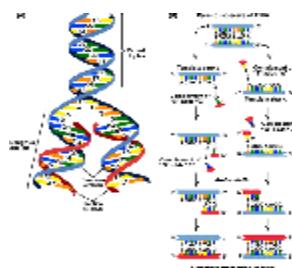
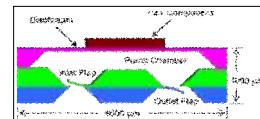
## Funding of Diamond-Related Activities

- CABIOM center
  - <http://cabiom.fzu.cz>
- Funding (national projects within CABIOM team)
  - IAAX00100902 (GAAV), KAN400100701 (AVČR), LC510 (MŠMT),
  - P108/12/G108 (2012 - 2018) – Centre of Excellence (GAČR)
  - P108/12/0996 (BIOSCAF-GAČR), P108/12/0910 (FaST-DiAS, GAČR)
  - P108/11/0794 (COLAGEN-GAČR), P205/12/0908 (Linear plasma, GAČR)
  - Fellowship J.E. Purkyně (AVČR)
- Bilateral projects
  - France: CEA Sacley, CNRS Grenoble
  - Sweden: University Uppsala
  - Japan: AIST, UTokyo
  - Taiwan & Russia (nanoparticles)
  - Slovakia



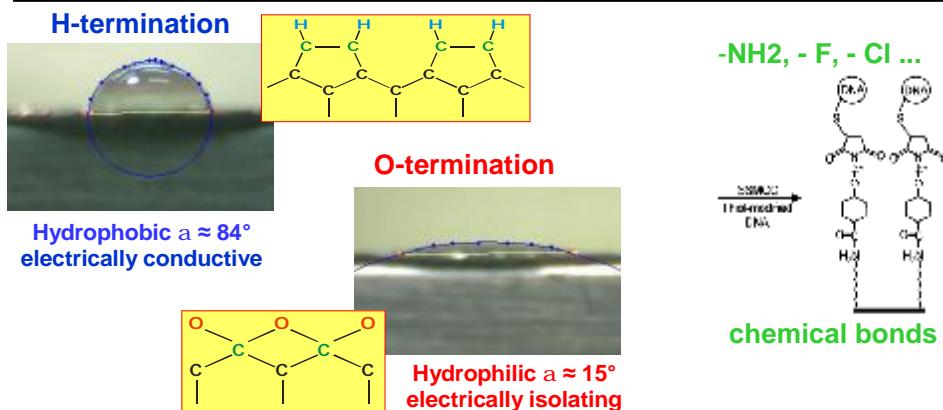
## Why new materials? – not only NANO/BIO

- Excellent intrinsic properties
  - transmittance, conductivity, toughness/elasticity ...
- Long-Time Stability
- Sensitivity & Selectivity
- Stability to Environment
  - mechanical / chemical / thermal / radiation ...
- Compatibility to Environment
  - biological!
- Economical Availability
  - large area deposition (=mass production)

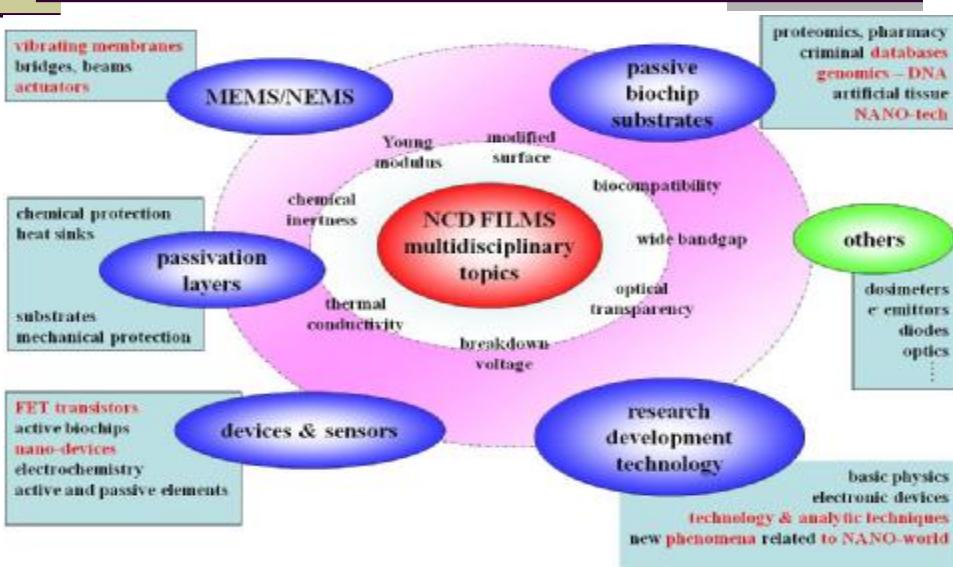


## Why Diamond? – defined surface

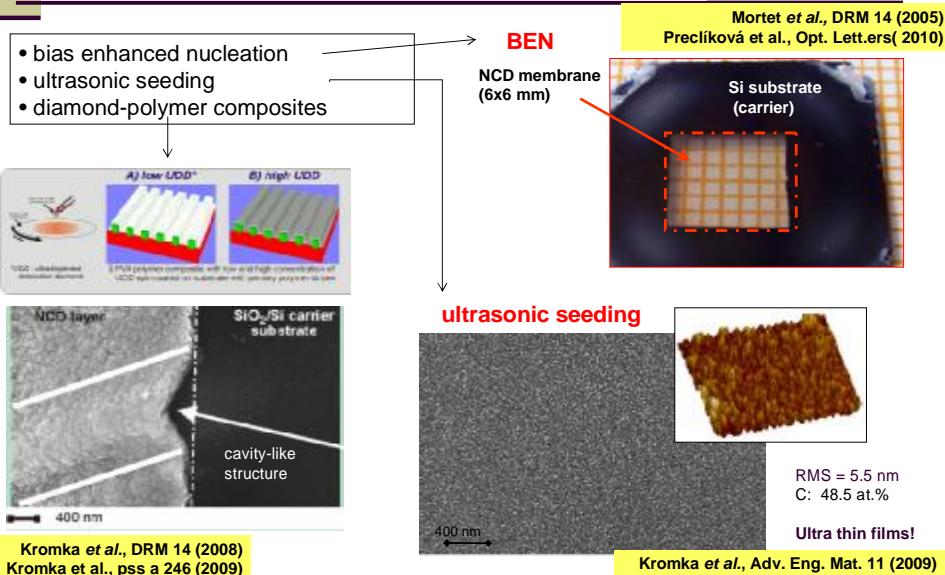
Property	Diamond	Comment ...
Surface termination ++++++	- H - O - NH <sub>2</sub> , - F, - Cl ...	hydrophobic, conductive hydrophilic, non-conductive welcomed: molecule binding



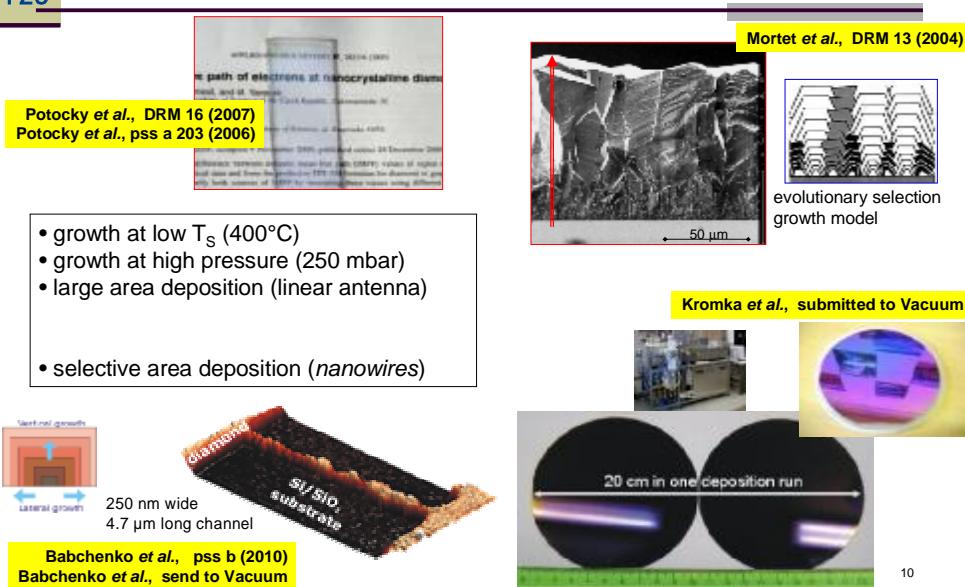
## Why Diamond? – extraordinary properties



## Nucleation and seeding



## Diamond CVD growth



## Diamond microwave CVD reactors

- from **methane** ( $\text{CH}_4$ ) employing „chemical vapor deposition“ (CVD) **in microwave plasma** discharge **on arbitrary substrate**

focused plasma reactor



[Kromka et al., CVD 14 (2008) 181]

large area (linear plasma) reactor



[Kromka et al., Vacuum (2010), in press]

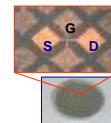
11

## Diamond microwave CVD reactors

focused plasma reactor



20 – 250 mbar

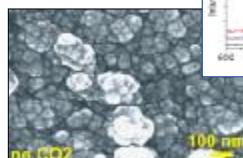
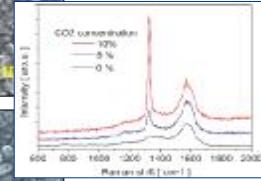
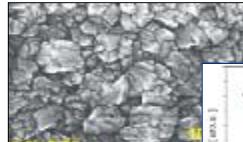
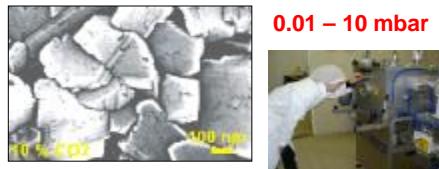


- Slow growth
- Nano-sized grains
- Ultra-thin layers

- Fast growth
- Rough surface
- Thick layers

large area (linear plasma) reactor

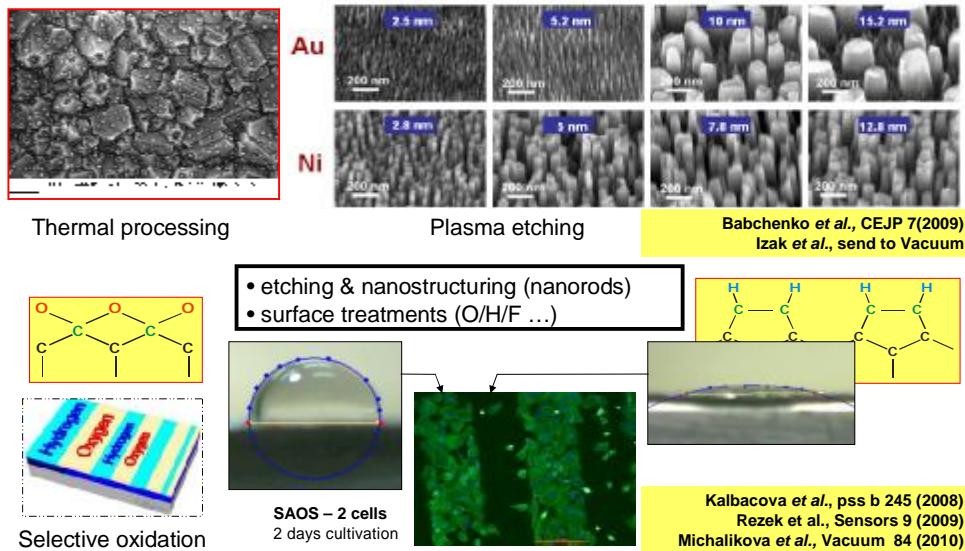
0.01 – 10 mbar



12



## Plasma processing



## Diamond-related activities at FZU

### Nucleation/seeding

- bias enhanced nucleation
- ultrasonic seeding
- diamond-polymer composites

### Diamond microwave plasma CVD

- growth at low  $T_S$  ( $400^\circ\text{C}$ )
- growth at high pressure (250 mbar)
- large area deposition (linear antenna)
- selective area deposition (*nanowires*)

### Plasma processing

- etching & nanostructuring (nanorods)
- surface treatments (O/H/F ...)

### Advanced Analytic Techniques

- probe microscopes (AFM/KFM)
- optical techniques (FTIR/PDS)
- microRaman spectroscopy

### Inter-disciplinary oriented R&D

- surface & interface phenomena
- surface/bulk defects
- optical phenomena (femto-spectr.)

- molecules at diamond
- cell & tissue engineering

### Novel Devices & Demonstrators

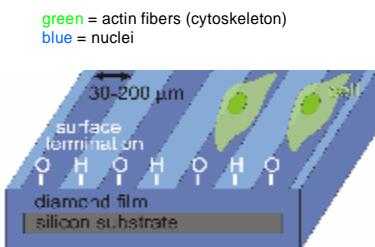
- piezoresistors as MEMS
- ISFETs as biosensors
- IDTs as chemical or gas sensors
- passivation layer (Al/graphite/quartz)
- multilayer optical composites (BAR)
- heat spreaders
- Silicon-on-Diamond (SOD)
- waveguides & photonic crystals
- others

14

## “Phenomena” at diamond surface

- Osteoblastic cells plated on H/O-diamond micropatterns

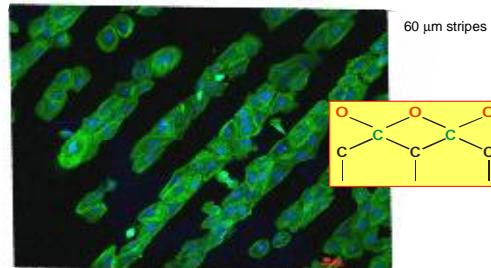
Rezek et al., Sensors 9 (2009)



Cells strongly prefer diamond surfaces with O-termination (hydrophilic) compared to H-termination (hydrophobic).

### Inter-disciplinary oriented R&D

- surface & interface phenomena
- surface/bulk defects
- optical phenomena (femto-spectr.)
- molecules at diamond
- cell & tissue engineering



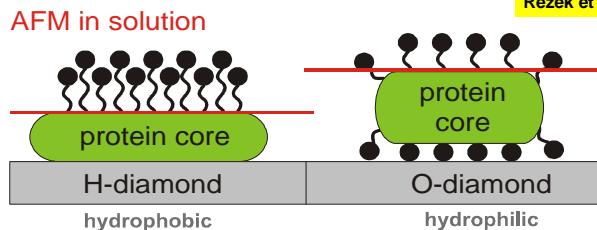
⇒ preferential arrangement of cells into micro-arrays on O-diamond

15

## Phenomena at diamond surface

- model in agreement with general effect of hydrophobic/-philic surfaces on proteins
- cell assembly independent of diamond conductivity (B-doping)

Rezek et al., DRM 18 (2009)  
Rezek et al., Sensors 9 (2009)



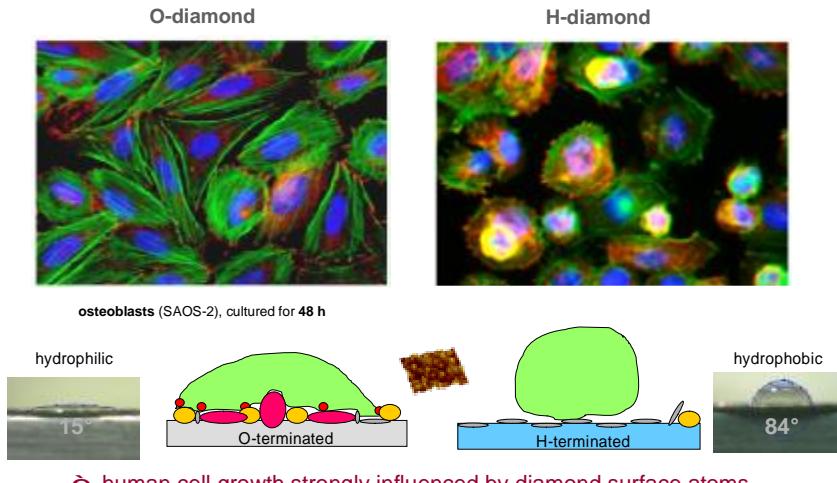
⇒ proteins in different conformation due to different wetting properties, those can be locally tailored by diamond surface atoms

**Details on AFM measurements:** Dr. Egor Ukraintsev

16



## Phenomena at diamond surface

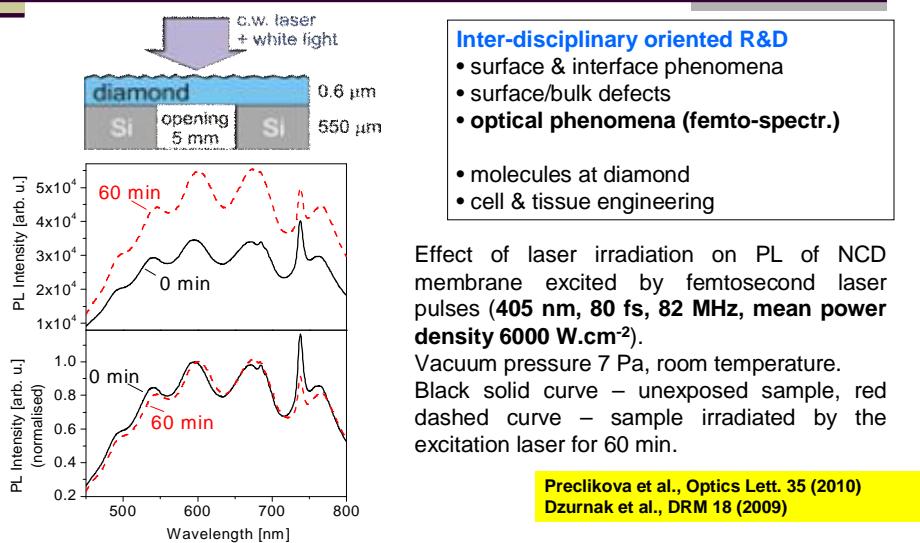


Kalbacova et al., pss b 244 (2007)

17



Phenomena at diamond grains – Prof. Maly

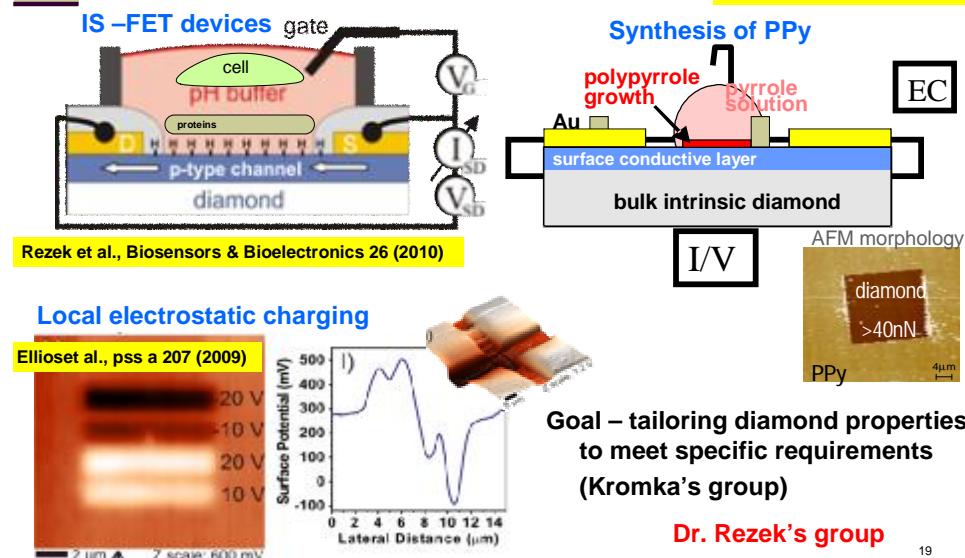


Shift in interferences => change in thickness or in **refractive index**

18

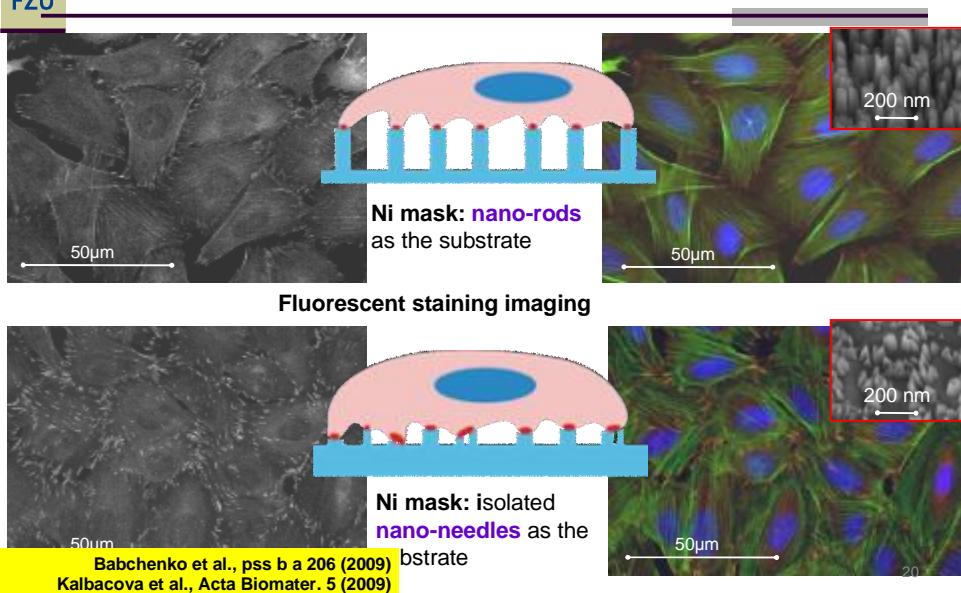
## “Surfaces” studies - Dr. Rezek’s group

J. Cermak et al., DRM 19 (2010)



19

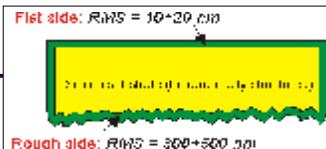
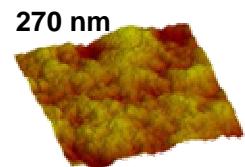
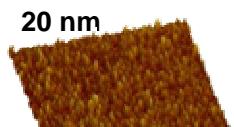
## Tissue engineering



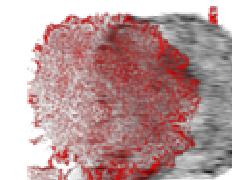
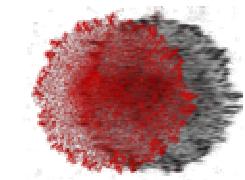
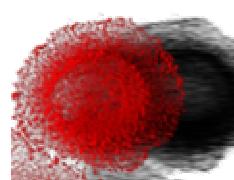
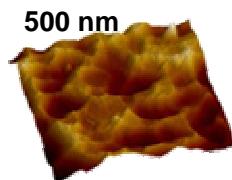
## Tissue engineering

§ growth on FLAT or ROUGH surface  
(defined NANO-topography over MICRO-flatness)

Si: RMS=<1 nm



Si: RMS=500 nm

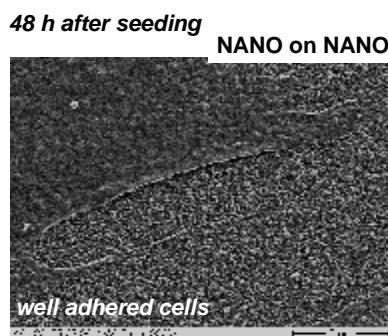


Fluorescent images of vinculin (red) present in SAOS-2 cells cultivated for 1 h

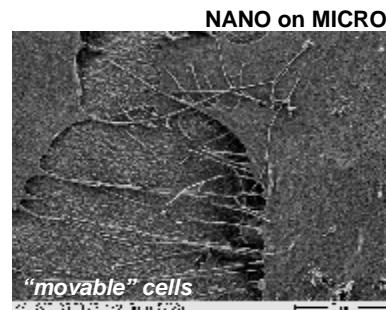
21

## Tissue engineering

Si: RMS=<1 nm



Si: RMS=500 nm



What about order of proteins?

many extensions and in all directions (filopodia/lamellipodia on the surface and protuberances and "nanotubes" on the top of the cell)

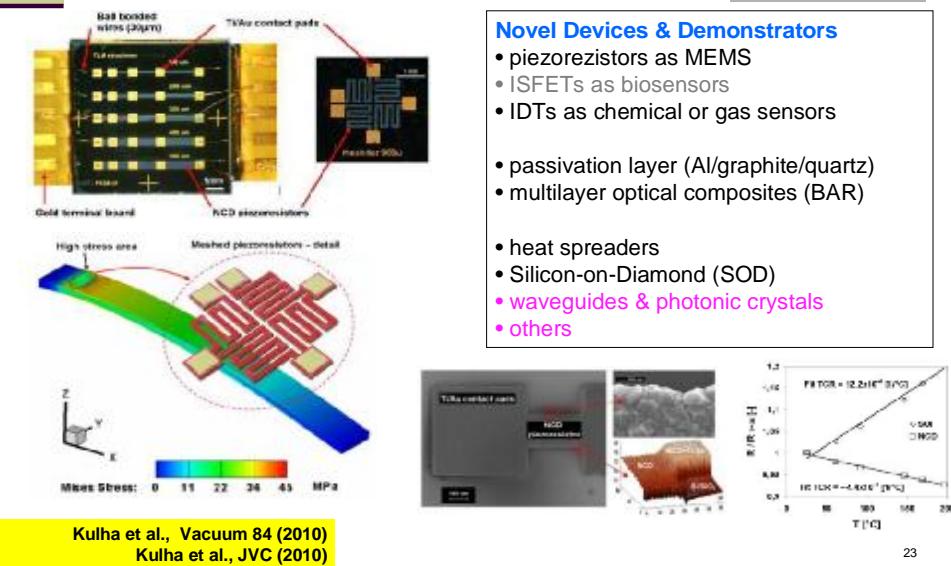
Kromka et al., Adv. Eng. Mater. 11 (2009)  
Kalbacova et al., Acta Biomater. 5 (2009)

22

62



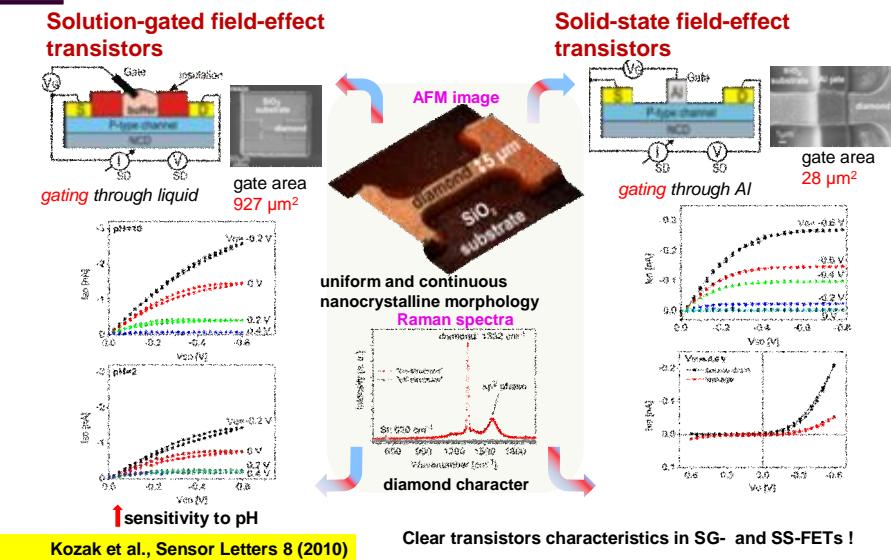
## Novel Diamond Devices



22

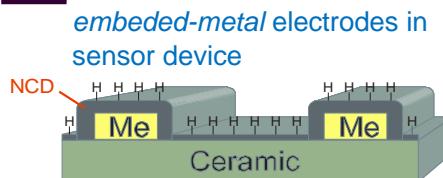


## Novel Diamond Devices - FETs



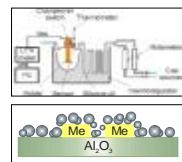
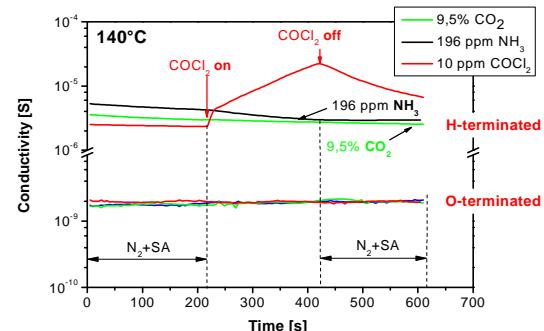
24

## Novel Diamond Devices – chemical sensor



- Sensor realized on NCD
- Low surface conductivity

Advanced:  
-surface area & sensor size  
-others (simplicity...)



Largest  $\Delta SC$   
for  
Phosgene !

Why?

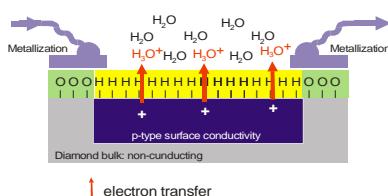
Davydova et al., pss a 206 (2009)  
Kromka et al., DRM 19 (2010)

## Novel Diamond Devices – chemical sensor

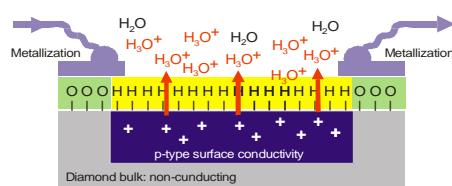
### Presence of phosgene

- phosgene dissolves in water  
 $COCl_2 + H_2O \rightarrow CO_2 + 2HCl$
- $CO_2$  becomes an acid when it is mixed with  $H_2O$   
 $CO_2 + 2H_2O \rightarrow H_2CO_3 + H_2O \rightarrow H_3O^+ + HCO_3^-$
- $HCl$  is a strong acid and dissociates completely in  $H_2O$   
 $HCl + 2H_2O \rightarrow H_3O^+ + Cl^-$

### In Air



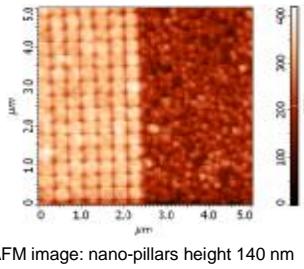
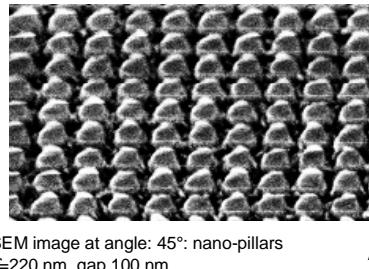
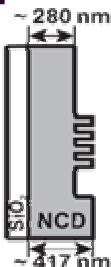
### In Air including Phosgene



Davydova et al., JVC (2010)  
Davydova et al., Vacuum (2012)

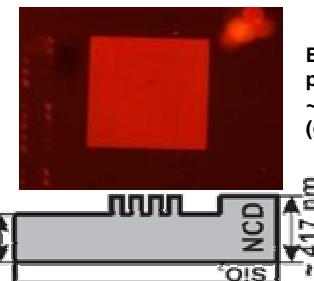
26

## Novel Diamond Devices – Photonic Crystals



### Complex nano-technology:

- CVD growth on quartz
- EBL at nano "scale"
- Plasma etching
- Metal evaporation
- etc.



**Enhanced photoluminescence:**  
~10x  
(green  $\Delta$  red)

Ondic et al., NanoACS (2010)

27



## Diamond-related activities at FZU

### Nucleation/seeding

- bias enhanced nucleation
- ultrasonic seeding
- diamond-polymer composites

### Diamond microwave plasma CVD

- growth at low  $T_s$  (400°C)
- growth at high pressure (250 mbar)
- large area deposition (linear antenna)
- selective area deposition (*nanowires*)

### Plasma processing

- etching & nanostructuring (nanorods)
- surface treatments (O/H/F ...)

### Advanced Analytic Techniques

- probe microscopes (AFM/KFM)
- optical techniques (FTIR/PDS)
- microRaman spectroscopy

### Inter-disciplinary oriented R&D

- surface & interface phenomena
- surface/bulk defects
- optical phenomena (femto-spectr.)

- molecules at diamond
- cell & tissue engineering

### Novel Devices & Demonstrators

- piezoresistors as MEMS
- ISFETs as biosensors
- IDTs as chemical or gas sensors
- passivation layer (Al/graphite/quartz)
- multilayer optical composites (BAR)
- heat spreaders
- Silicon-on-Diamond (SOD)
- waveguides & photonic crystals
- others



## Acknowledgement to the “CABIOM” team

### Laboratory of Diamond and Carbon Nano-Structures

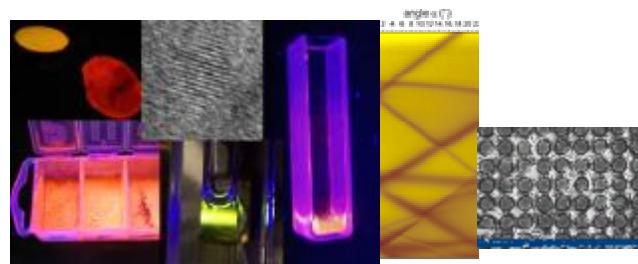
*Your partner in the carbon nano-world*



# Luminiscenční spektroskopie (Si nanokrystalů)

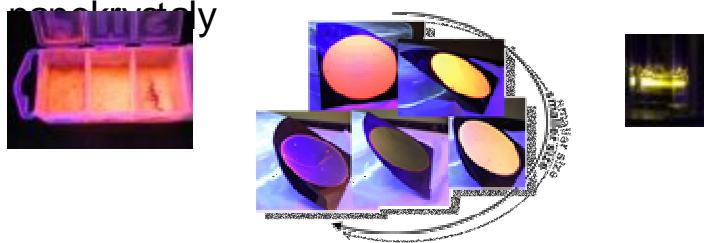
Kateřina Kůsová

Institute of Physics, Academy of Sciences of the Czech  
Republic, Prague, Czech Republic



## Luminiscence Si nanokrystalů

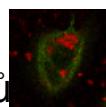
- laser "na" křemíku: nahrazení (části) elektronických spojů optickými -> Si nanokrystaly



- další možnost použití Si nanokrystalů  
luminiscenční zobrazování v bioaplikacích

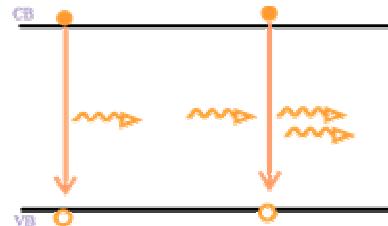
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74



## Proč laser?

- Light Amplification through Stimulated Emission of Radiation
- stimulovaná emise: populační inverze



- laserové světlo: „jedna“ vlnová délka, nízká divergence svazku, vysoká koherence

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75

## Laser



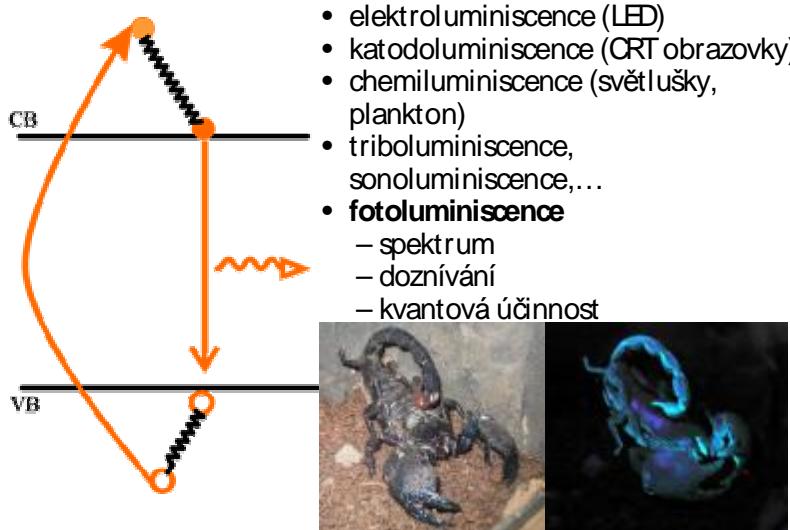
- aktivní prostředí:
    - silná luminiscence
    - malé ztráty (např. rozptylem)
    - populační inverze
  - rezonátor
    - malé ztráty
    - rezonátor pro konkrétní vlnovou délku
    - „vhodné“ vyvázání světla
- optický zisk  
(VSL/ SES)**

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76

68

## Luminiscence



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77

## Zářivé přechody

- Fermiho zlaté pravidlo

$$P_{ij} = \frac{2\pi}{\hbar} |\langle \phi_i | \mu | \phi_j \rangle|^2 \delta(E_i - E_j + \hbar\omega)$$

### Molekuly:

- HOMO + LUMO
- hladiny + pravděpodobnosti přechodu

### Krystalické látky:

- valenční a vodivostní pásmo
  - elektrony (díry) jsou rovinné vlny
- $$\phi_r(r)\phi_{angl}(\varphi, \vartheta)$$
- Fourierova transformace

reciproký (k) prostor, pásová struktura

nanokrystaly ???

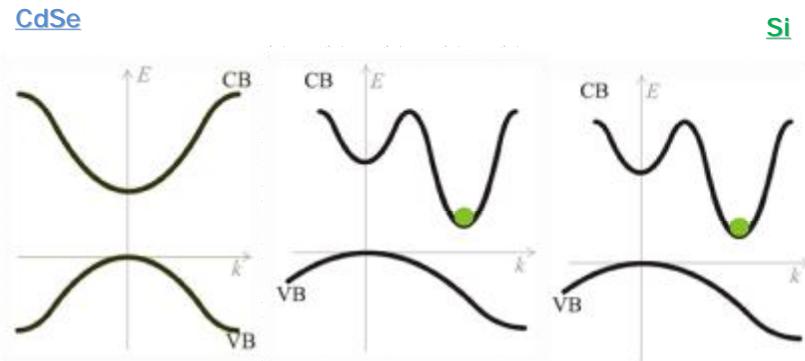
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78

69

## Zářivé a nezářivé přechody

CdSe		Si
1.5 ns	$\tau_{\text{rad}}$	500 $\mu\text{s}$
> 1,000 ns	$\tau_{\text{Aug}}$	3 $\mu\text{s}$



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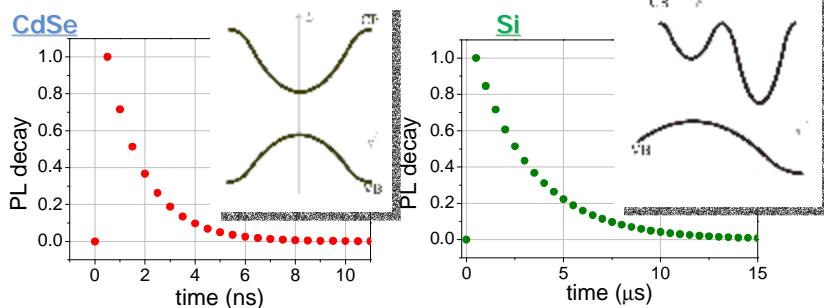
79

## Zářivé a nezářivé přechody

CdSe		Si
1.5 ns	$\tau_{\text{rad}}$	500 $\mu\text{s}$
> 1,000 ns	$\tau_{\text{Aug}}$	3 $\mu\text{s}$
1.498 ns	$\tau$	2.98 $\mu\text{s}$
1	$\eta^{\text{ideal}}$	$10^{-3}$
0.6	$\eta^{\text{real}}$	$10^{-6}$

$$\frac{I_1}{\tau} = \frac{I_1}{\tau_{\text{rad}}} + \frac{I_1}{\tau_{\text{non-rad}}}$$

$$\eta_i = \frac{I_{\text{rad}}}{I_{\text{rad}} + I_{\text{nonrad}}} = \frac{\tau}{\tau_{\text{rad}}}$$



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80

70

## Si nanokrystaly

qluminiscence Si nanokrystalů:

1991

qkvantová účinnost 1-5 % (10 000x víc než v objemovém křemíku)

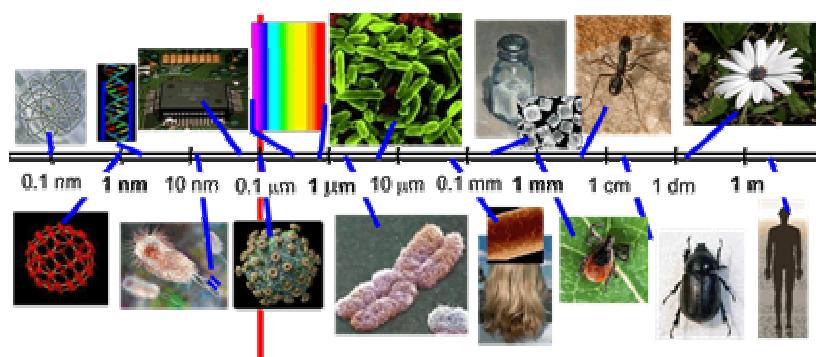
qpotačení nezářivých



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81

## Co jsou nanokrystaly?



- nanočástice, nanokrystaly, kvantové tečky
- průměr: 2.5–3 nm
  - 600 Si atoms, 6 mřížkových konstant
- Bohrův poloměr excitonu: objemový křemík 5 nm

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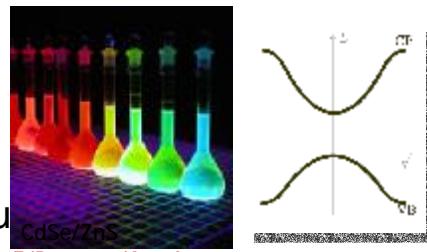
82

## Kvantové omezení

- kvantové jevy „mění“ chování



- spektrum (vlnová délka, barva)  
luminiscence je dána velikostí nanokrystalu

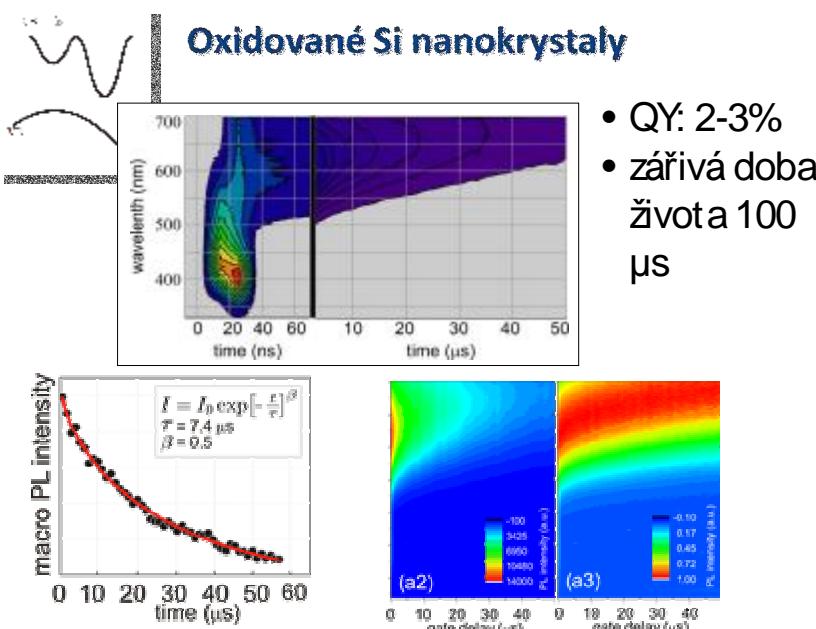


EviDots: [www.evidenttech.com](http://www.evidenttech.com)

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83

## Oxidované Si nanokrystaly



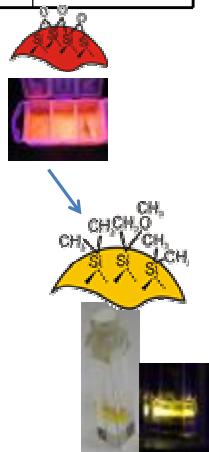
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84

## Vliv povrchu

	1x1x1 cm <sup>3</sup>	20 nm průměr	3 nm průměr
poměr povrch/objem (m <sup>2</sup> /cm <sup>3</sup> )	10 <sup>-3</sup>	300	2000

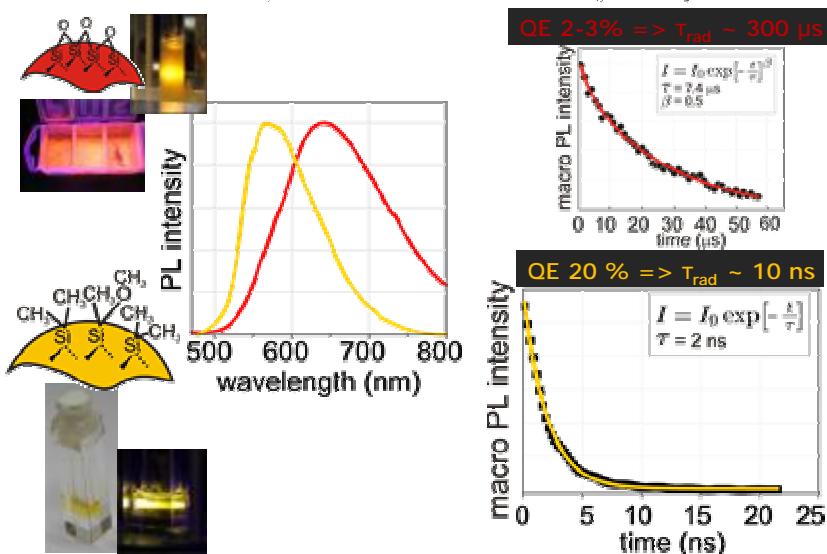
- z oxidovaných nanokrystalů připravujeme nanokrystaly pasivované methylovými skupinami (-CH<sub>3</sub>)
- forma: koloidní disperze
- výrazná změna luminiscenčních vlastností



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85

## Methylované Si nanokrystaly

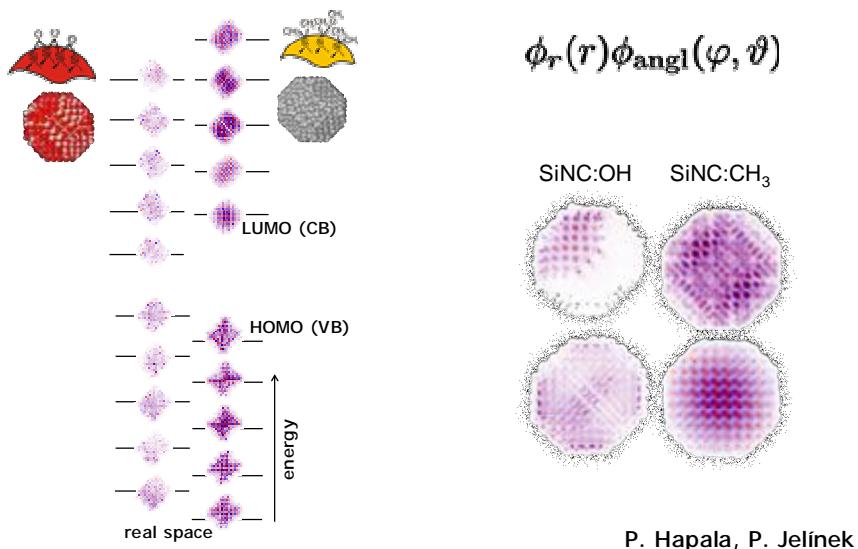


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86

73

## Pásová struktura a optické přechody

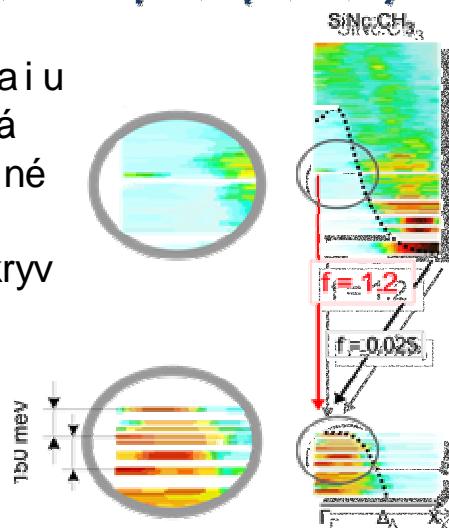


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87

## Pásová struktura a optické přechody

- pásová struktura i u nanokrystalů má smysl, ale je nutné brát v úvahu i prostorový překryv
- začíná být „rozmazaná“

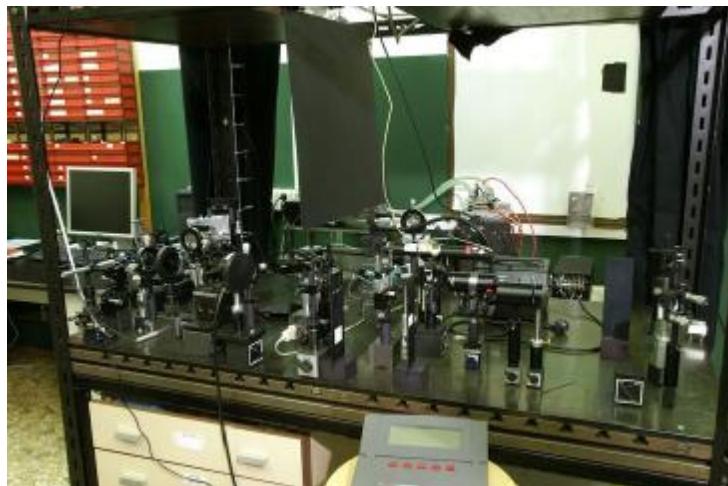


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88

74

## Závěr

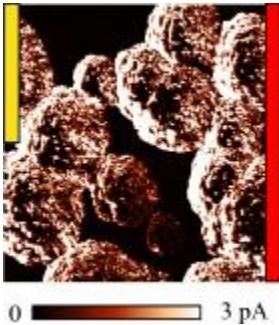


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89

75

# Local photo conductivity mapping of mixed phase silicon thin films



M. Ledinský, A. Fejfar, A. Vetrushka,  
J. Stuchlík, B. Rezek and J. Kočka

Institute of Physics, Academy of Sciences of the Czech Republic,  
Cukrovarnická 10, Prague 6, Czech Republic

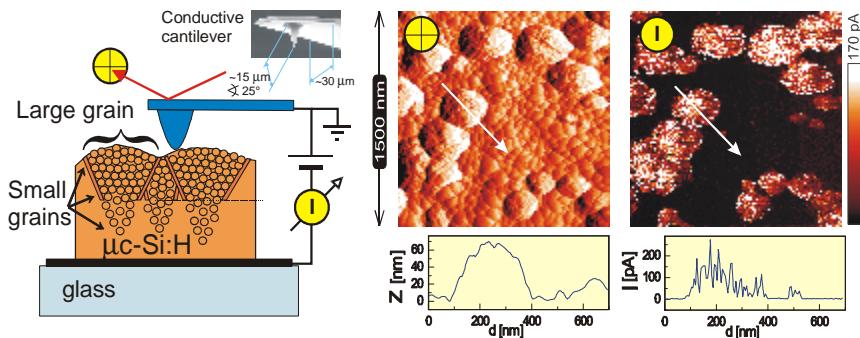


## Outline:

1. Local conductivity mapping by AFM
2. Photo response of  $\mu$ c-Si:H at nm scale
3. Local photoconductivity excited by 442 nm laser

## 1) C-AFM

## Conductive AFM in UHV



All results were measured  
on  $2 \times 2 \mu\text{m}$  at -2 V  
on the sample contact

B. Rezek et al.: Appl. Phys. Lett. 74, 1475-1477 (1999)

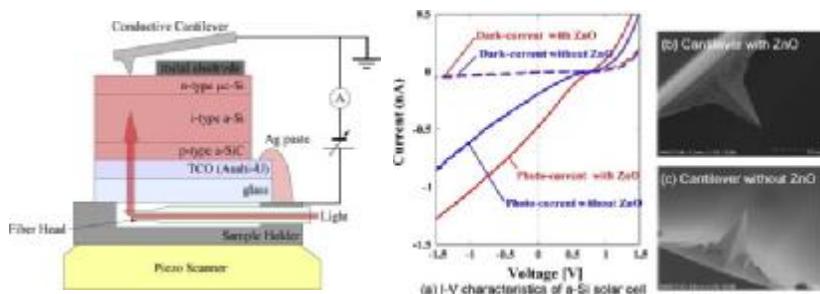
Open questions remain -

## Photoconductivity

ICANS 24 Nara M.Ledinský et al. Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM 3/16

## first photo-response results

Measured on PIN diode (on the top  $\mu\text{c-Si:H}$  n-type layer)



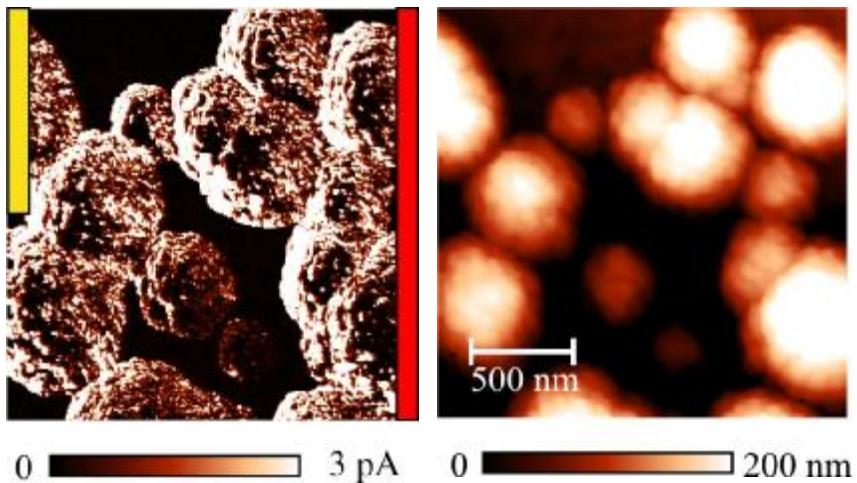
Gifu U.: M. Kawai et al.: Current Applied Physics 10, S392-S394 (2010)

- excitation from the bottom side is in practice not optimal
- this is not the first photo-conductive AFM measurement on  $\mu\text{c-Si:H}$

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4/16

## standard C-AFM



Why there is no photo-response?

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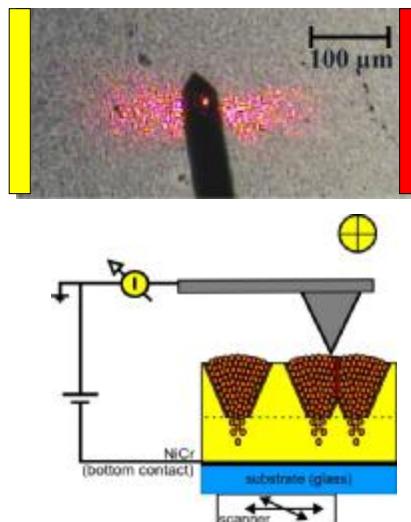
Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

5/16

## C-AFM

1. Cantilever effectively shadows the sample – dark current is measured
2. AFM detection diode illuminates the sample and the white light intensity is negligible in comparison with red AFM diode

measurement in constant height mode –  
the diode may be switched off but **no topography**

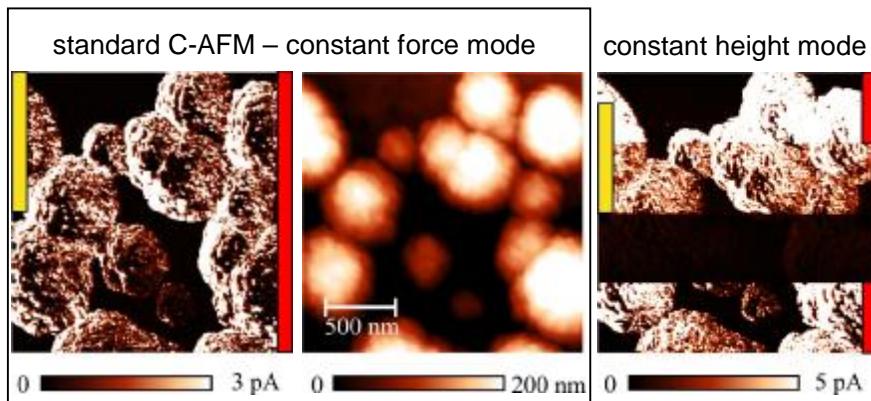


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Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

6/16

## C-AFM



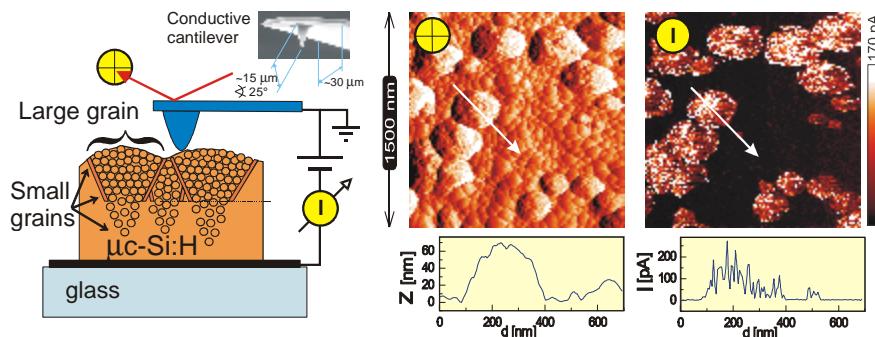
- response on white light and AFM diode illumination
- when the AFM diode is on, there is no response on white light
- all standard C-AFM results = Photoconductive local current maps

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Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

7/16

## Conductive AFM in UHV



B. Rezek et al.: Appl. Phys. Lett. 74, 1475-1477 (1999)

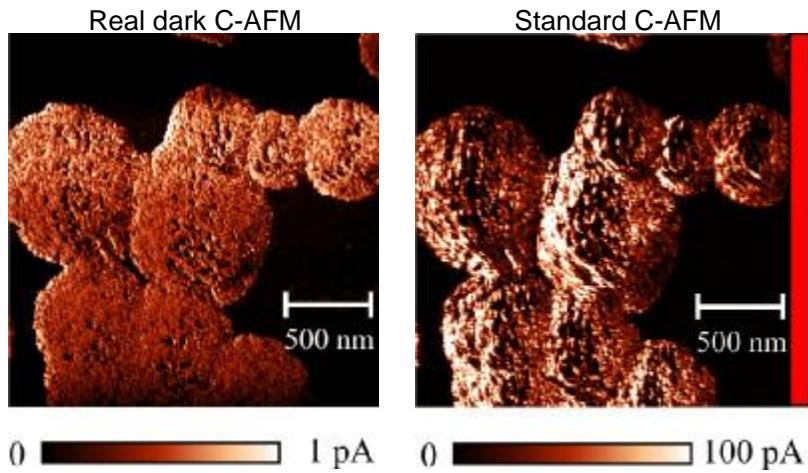
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Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

8/16

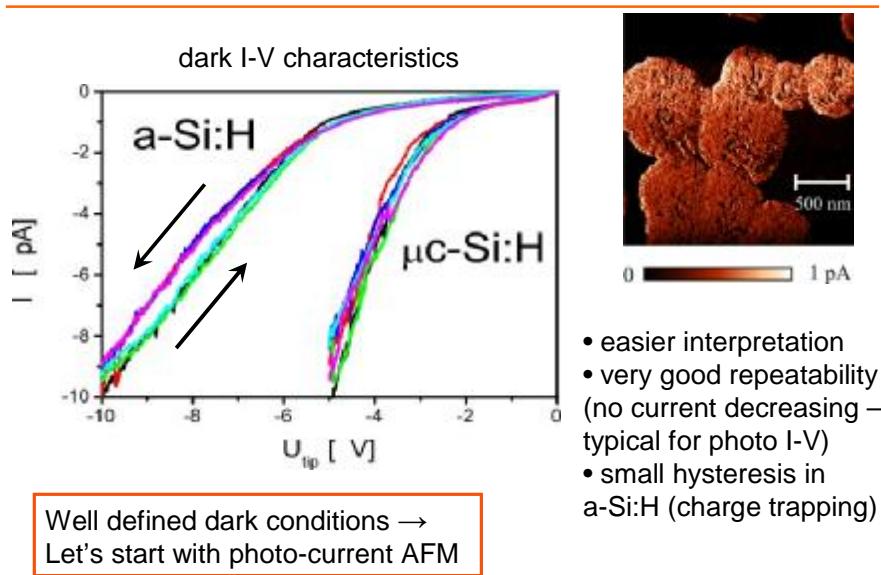
## 2) dark C-AFM

### dark C-AFM



- ~100 x difference in photo/dark currents
- current through the grains in dark is homogenous

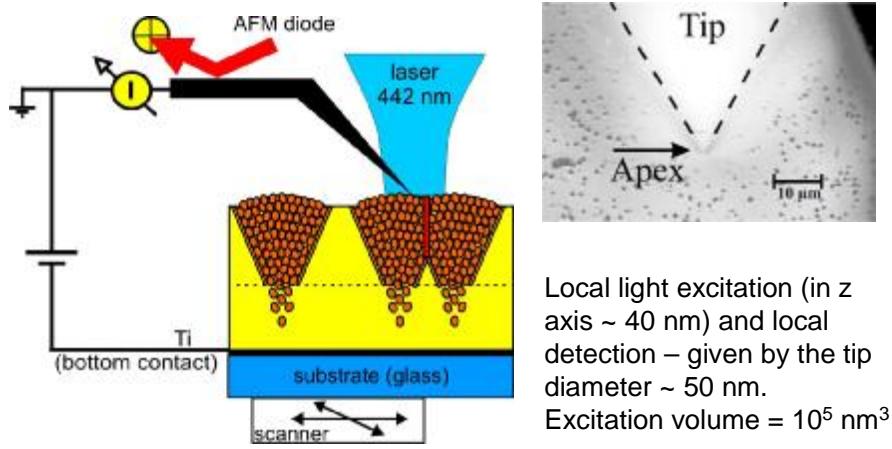
## dark C-AFM



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11/16

## photo C-AFM



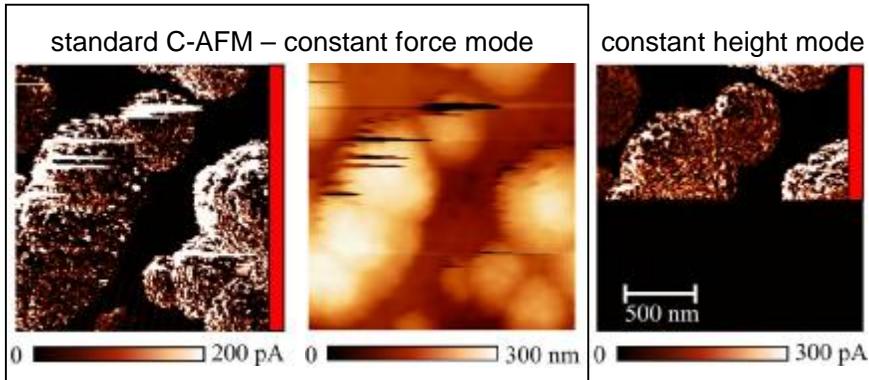
Typical defect density in silicon thin film  $10^{17} \text{ cm}^{-3}$ ,  
then in excitation volume  $\sim 10$  defects only!!!

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13/16

## photo C-AFM

Nose type cantilever – tip may be blocked at the grain boundary – line artifacts in local current maps and topo



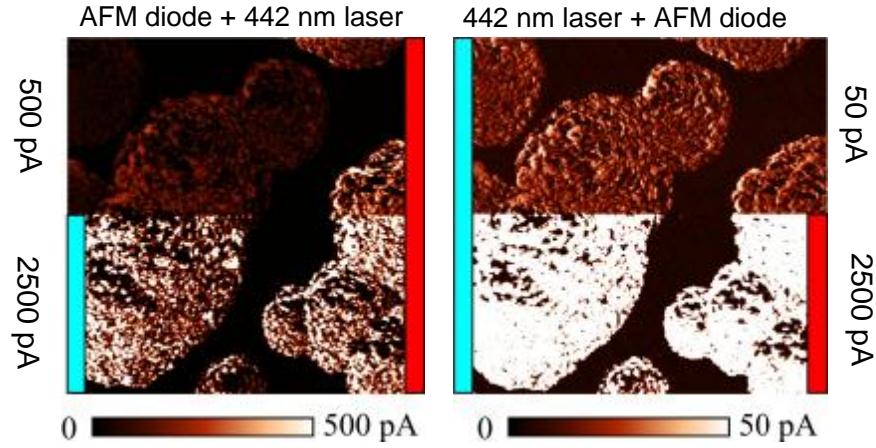
Very good agreement with results measured by standard cantilever.

ICANS 24 Nara M.Ledinský et al.

Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

14/16

## photo C-AFM



- clear photo-response with AFM diode on
- low photocurrent for illumination by laser only – high absorption
- $I(\text{laser+diode})/I(\text{laser})$  – diffusion length rough estimation – 300 nm

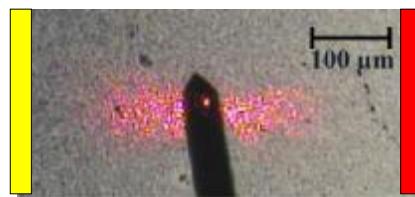
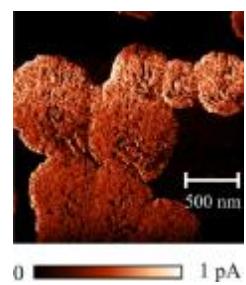
ICANS 24 Nara M.Ledinský et al.

Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM

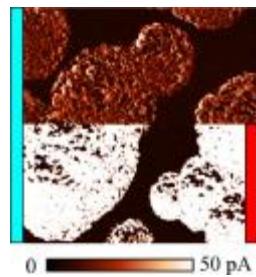
15/16

# Summary

1. Results of standard C-AFM measurement is always local photocurrent map.

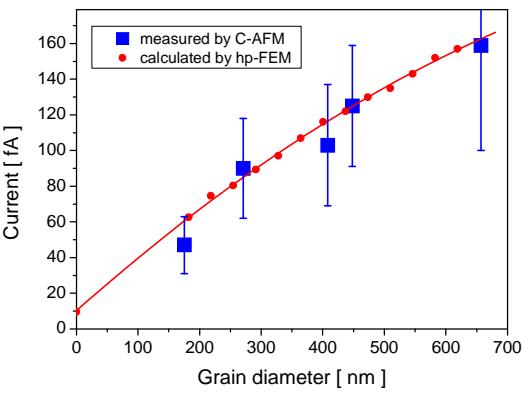
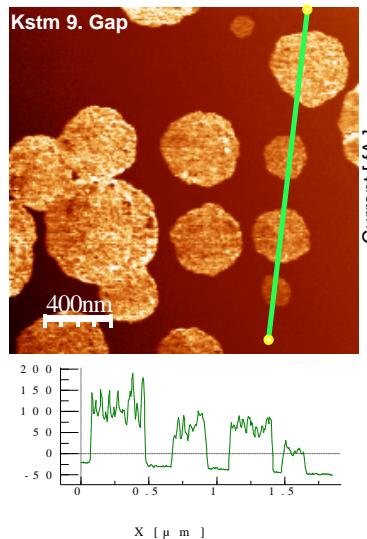


2. Dark currents maps show the same  $\mu$ c-Si:H / a-Si:H contrast. Dark I-V characteristics are well reproducible.



3. Local current is determined by absorption depth for 442nm HeCd laser illumination. Charge carriers diffusion length was estimated to ~ 300 nm.

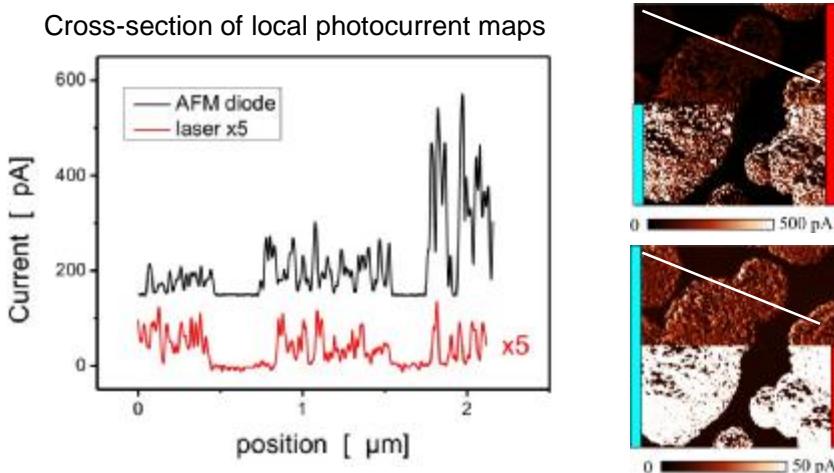
## Current as a function of grain size



thickness = 900 nm,  
grain apex half angle = 20°  
tip radius = 20 nm  
Conductivities:  
a-Si:  $10^{-9}$  S/cm,  $\mu$ c-Si:  $10^{-5}$  S/cm,  
Surface layer: 1nm,  $3 \times 10^{-9}$  S/cm

A. Feijar et al., Phys. Status Solidi (a) 207, 582-586 (2010).

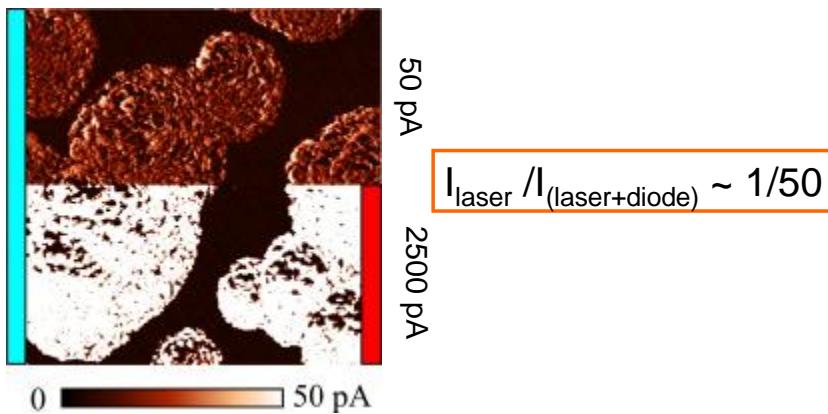
## photo C-AFM



For 442 nm illumination – no change in the current level from grain to grain –  
- highly conductive layer on the top of the layer – parallel resistance of all grains

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## Diffusion length estimation



$$I_{\text{laser}} / I_{(\text{laser}+\text{diode})} \sim I_{\text{DIFF}} / I_0 = I_0 * e^{-d/L} / I_0 \sim 1/50 \sim e^{-4}$$

$$L = d/4 \sim 300 \text{ nm}$$

ICANS 24 Nara M.Ledinský et al. Local dark and photo conductivity mapping of mixed phase silicon thin films by AFM



## SEM and AFM at FZU AVCR, v.v.i.

3<sup>rd</sup> Winter Educational Seminar

Rokytnice nad Jizerou 2012, February 1 - 4

Jitka Libertínová

Štěpán Stehlík

Bohuslav Rezek

### Scanning Electron Microscopy (SEM)



The combination of high magnification, large depth of focus, high resolution, and ease of sample observation makes the

SEM one of the most heavily used instruments in research areas today.

### Atomic Force Microscopy (AFM)

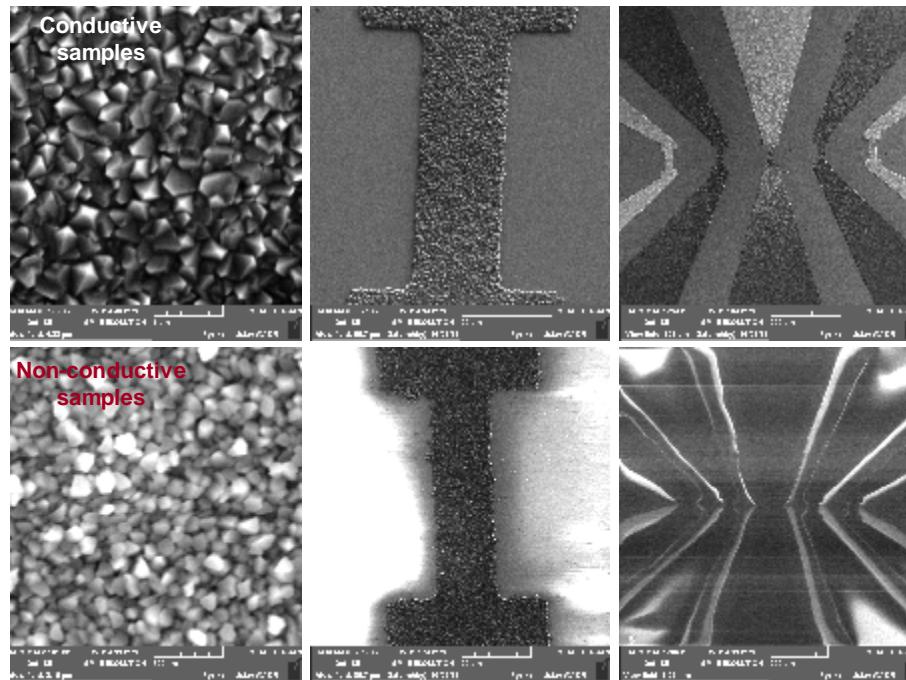


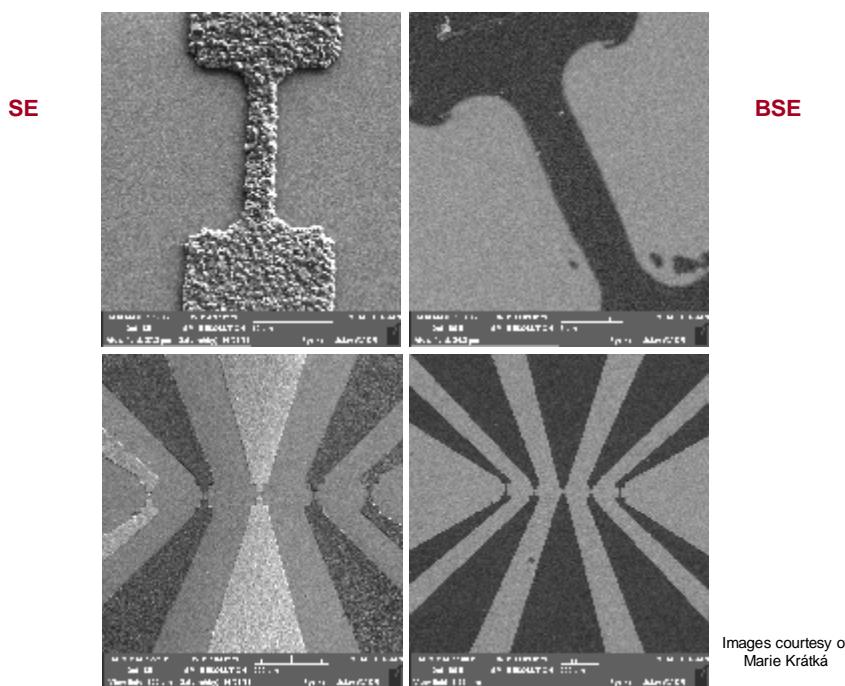
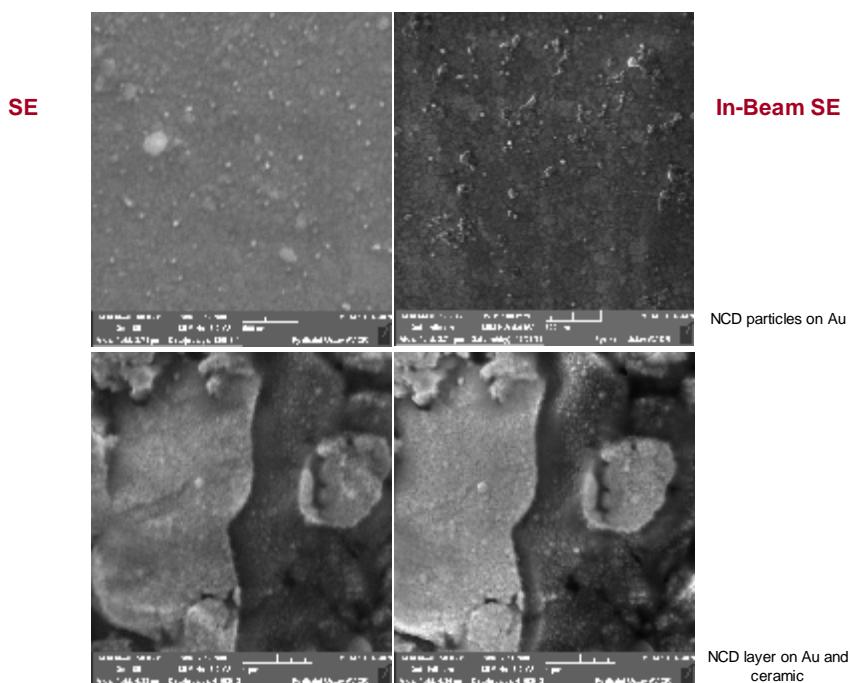
Scanning Probe Microscopy gives an opportunity to carry out studies of spatial, physical and chemical properties of objects with the typical dimensions of less than a few nanometers. Owing to its multifunctionality, availability and simplicity, AFM has become one of the most prevailing "tools for nanotechnology" nowadays.

The MIRA3 LMH FEG-SEM by TESCAN is a third generation of MIRA series of high resolution scanning electron microscopes equipped with a high brightness Schottky Field Emission gun.

**Specification of selected parameters:**

Electron Gun	High brightness Schottky Emitter		
Resolution (In-Beam SE)	1 nm at 30 kV	2 nm at 3 kV	
Resolution (SE-ET) at 30kV (LaB6) nm at 3 kV	1.2 nm at 30kV 8 nm at 3kV (W)	3 nm at 30kV (W) 5 nm at 30kV (LaB6)	2 nm 2.5
Resolution (BSE)	2.0 nm at 30 kV		
Magnification	3.5x to 1,000,000x		
Accelerating Voltage	200 V to 30 kV		
Probe Current	2 pA to 100 nA		
High vacuum mode	< 9 × 10 <sup>-3</sup> Pa		





AFM NTEGRA Prima by NT-MDT is a multifunctional device for performing the most typical tasks in the field of Scanning Probe Microscopy.

Air, liquids and controlled environment (low vacuum, nitrogen, controlled humidity, heating stage)

**In air & liquid** : AFM (contact + semi-contact + non-contact) / Lateral Force Microscopy / Phase Imaging/ Force Modulation/ Adhesion Force Imaging/ Lithography

**In air only**: STM/ Magnetic Force Microscopy/ Electrostatic Force Microscopy/ Scanning Capacitance Microscopy/ Kelvin Probe Microscopy/ Spreading Resistance Imaging/ Lithography

Scanning by the sample, scanning by the probe and dual-scanning

**Scanning by probe**

100x100x10 um

**Scanning by sample**

100x100x10 um

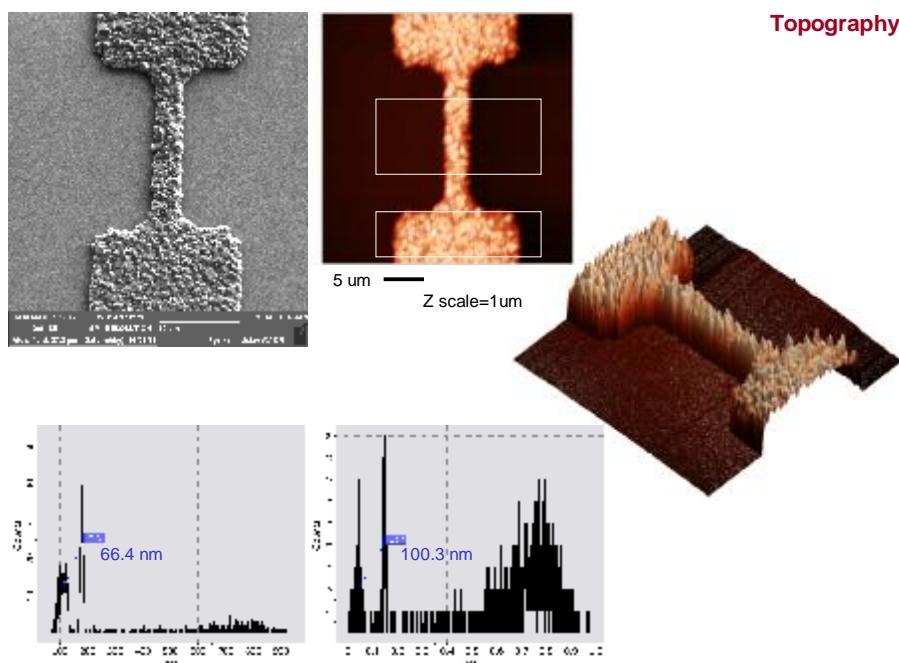
Less than 1x1x1um

Up to 200x200x20 um (DualScanTM mode)

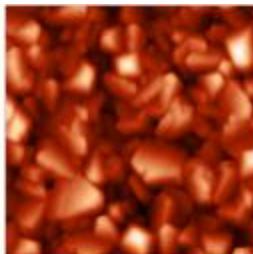
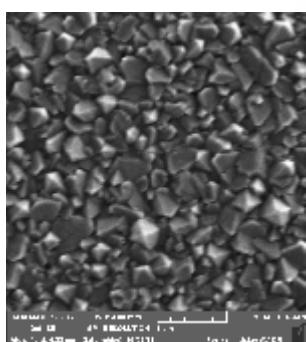
Low noise

Low thermal drift

Modular concept



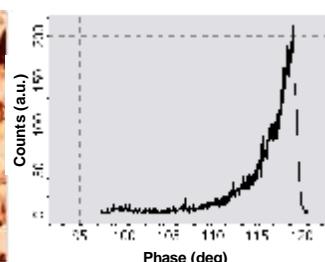
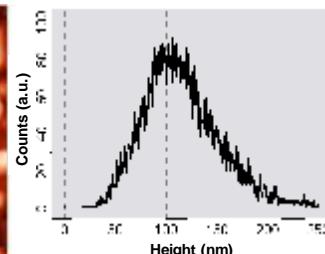
### Topography



0.5 um — Z scale=250nm  
Lz = RMS roughness =  $39 \pm 2$  nm  
Lx = 96 nm

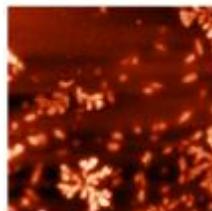


0.5 um — Z scale=20deg  
RMS phase =  $4.3 \pm 0.2^\circ$

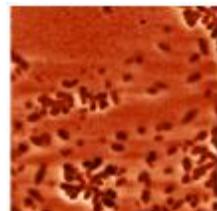


### Kelvin Probe Microscopy

UDD-H nanoparticles on Au, Si tip (example)

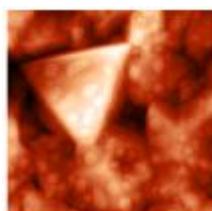


0.5 um — Z scale=60nm

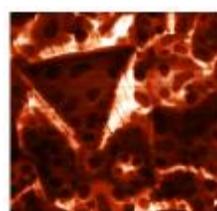


0.5 um — Z scale=80mV

Au droplets on H-terminated NCD



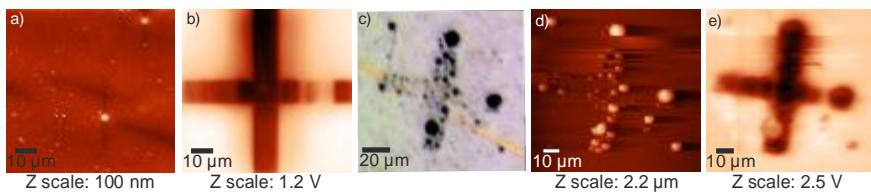
0.5 um — Z scale=500nm



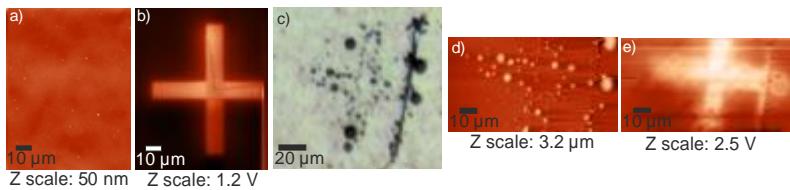
0.5 um — Z scale=300mV

Images courtesy of  
Štěpán Stehlík

## Lithography



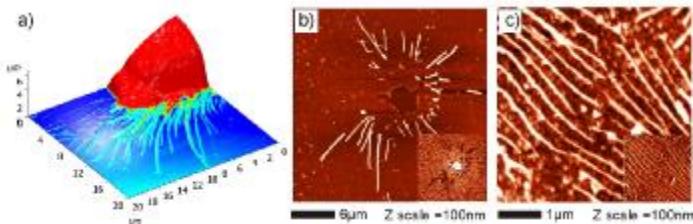
AFM topography on negatively charged areas (a) before and (d) after nanoparticle assembly. (b,e) Corresponding KFM potential maps. (c) Optical microscope image of the charged cross after assembly.



AFM topography on positively charged areas (a) before and (d) after nanoparticle assembly. (b,e) Corresponding KFM potential maps. (c) Optical microscope image of the charged cross after assembly.

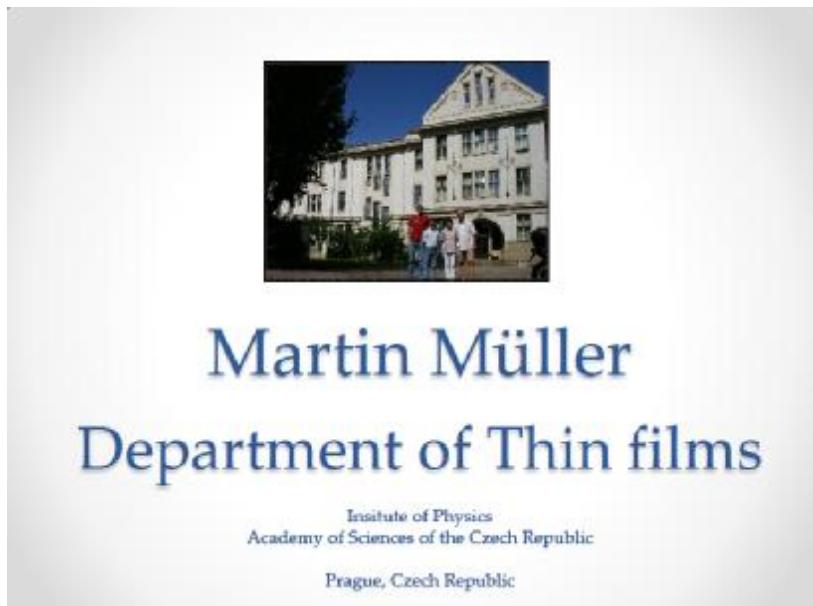
Images courtesy of  
Elisseos Verveniotis

## In liquid (PBS solution)



AFM morphologies of human cell on linear-antenna NCD: a) cell with filopodia extensions on H-NCD, b) area after the cell removal on H-NCD, c) detail on the filopodia on O-NCD with higher cell density. The inset images show AFM phase.

Images courtesy of  
Egor Ukrainstev



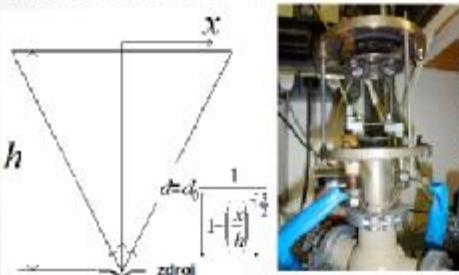
## Příprava podložek

- \* Křemík monokrystalický (i, n<sup>+</sup>, p<sup>+</sup>)
  - o Čištění povrchu křemíku
    - Základní čištění: lh. deionizovaná voda
    - Odstranění povrchové oksidové vrstvy: 30 s v 30% HF
- \* Corning C7059
  - o Čištění povrchu corningu
    - Základní čištění: aceton, metanol, lh. deionizovaná voda
    - Čištění povrchu od nečistot: 30-60 minut ve směsi 3:1 H<sub>2</sub>SO<sub>4</sub>:30% H<sub>2</sub>O<sub>2</sub> při 80-100 °C (-piranha)
    - Výprava povrchu na hydrofilní (naleď odstranění prachových a kovových částic): 30-60 minut ve směsi 5:1 H<sub>2</sub>O<sub>2</sub>:NH<sub>4</sub>OH : 30% H<sub>2</sub>O<sub>2</sub> v ultrazvuku

The left image shows a close-up of a textured surface, likely a substrate, with many small, dark, vertical features. The right image shows a laboratory bench with various pieces of equipment, including a fume hood, a sink, and several bottles and containers, used for the chemical processing of substrates.

## Vakuové napařování

- Příprava kovových kontaktů Al, Ti a Au (Ag, Cr, Ni, ..)
- Litograficky vyrobené kovové plázele → masky pro napařování kontaktů určitých tvarů
- Vakuum:  $p < 10^{-3}$  Pa  
turbomolekulární turbina + předčerpání
- Zahřívání W lodičky (drátu) průchodem el. proudu.  
vypařování atomů kovu, přímočarý pohyb atomů kovu od W lodičky, kondenzace na povrchu vzorku
- Tloušťka napařené vrstvy  $\sim 1/r^2$



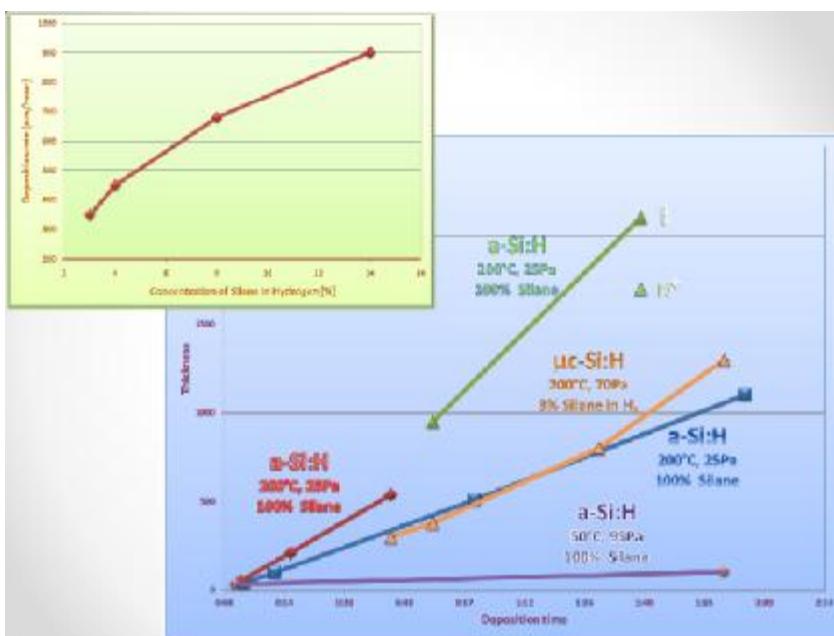
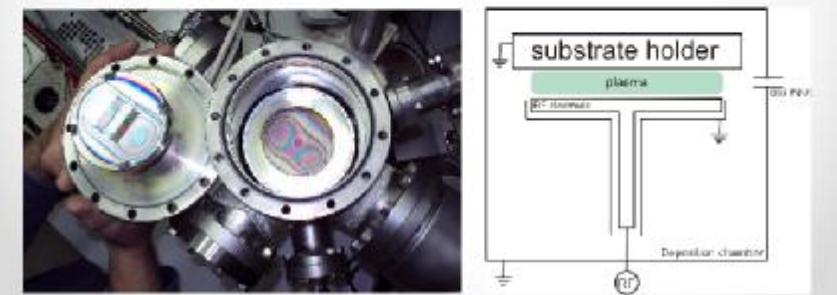
## Vakuové naprašování

- Příprava vrstev slitin kovů nebo směsí více látek se stejným stechiometrickým složením jako zdrojového materiálu (ITO)
- Příprava tenkých vrstev kovů s vysokou teplotou tání (Pt, Pd)
- Zbytkové vakuovum  $p < 10^{-3}$  Pa, inertní plyn [Ar]  $\rightarrow \sim 1$  Pa
- Ionty argonu jsou v elektrickém poli urychleny směrem k povrchu terče, z něhož vyrážejí atomy, které se následně dostanou na povrch naprašovaného vzorku, kde vytvářejí tenkou vrstvu.
- Reaktivní naprašování – reakce atomů kovu s kyslíkem ( $Zn \rightarrow ZnO$ )
- Vyšší energie atomů kovu (~1–10 eV) než při napařování (~0,1 eV)  $\rightarrow$  lepší přilnavost atomů k podložce



# PECVD Laboratory

- Process chamber: two parallel electrodes, one connected to RF generator, second with substrate holder, substrate temperature 180 – 250 °C,  $f = 13.56$  MHz
- Decomposition of  $\text{SiH}_4$ ,  $\text{B}_2\text{H}_6$  and  $\text{PH}_3$  in a glow discharge  $\rightarrow$  chemisorption of Si, B and P on surface of sample
- Plasma: source gas molecules, radicals  $\text{Si}_x\text{H}_y$ , hydrogen radicals, ions...
- Adsorption of radicals at substrate surface, hydrogen removing, cross linking with neighboring silicon atoms  $\rightarrow$  film growth



# Influence of Gold Catalytic Layer on Growth of Silicon Nanowires

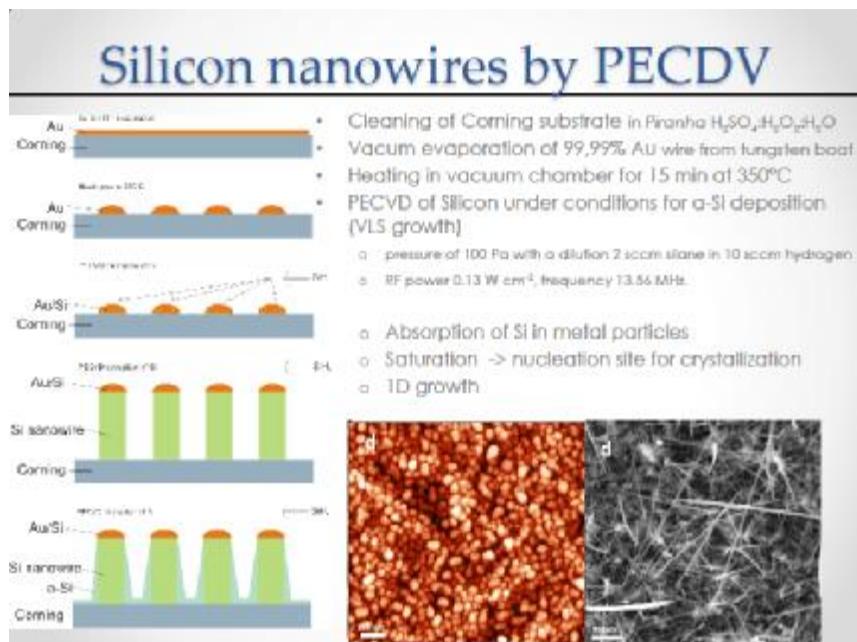


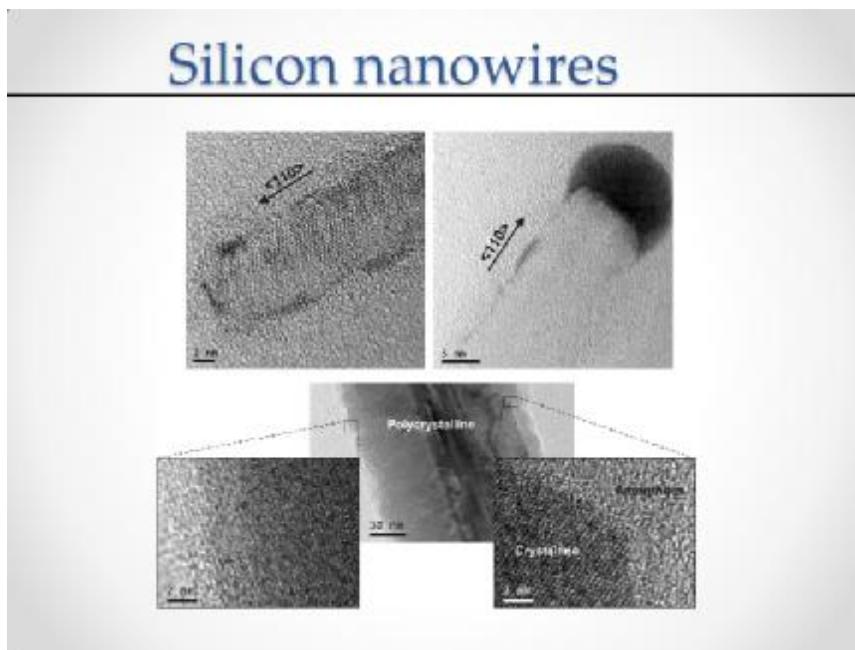
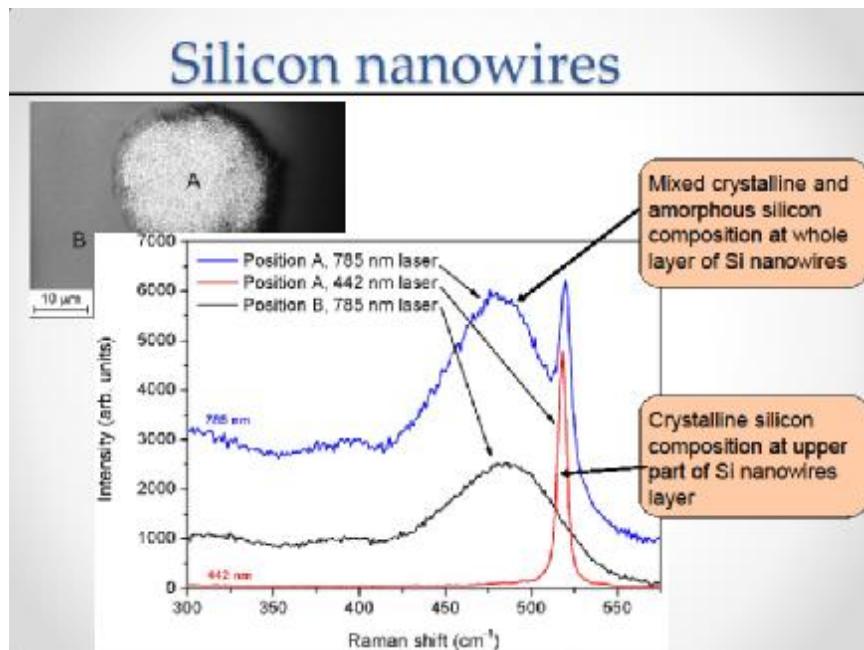
Martin Muller<sup>1</sup>, Dat Phuoc Duong<sup>2</sup>, The Ha Stuchlikova<sup>1</sup>, Jiri Stuchlik<sup>1</sup>, Martin Ledinsky<sup>1</sup>, Antonin Fejfar<sup>1</sup>, Jan Kocka<sup>1</sup>, J. Cervenka<sup>1</sup>, S. Bakardjieva<sup>1</sup>

<sup>1</sup>Institute of Physics, Academy of Sciences, Prague, Czech Republic



<sup>2</sup> Institute of Chemical Technology, Vietnam Academy of Science and Technology, HCM City, Viet Nam





## Conclusion

- Increase in the size of gold particles with increasing thin film thickness
- Decrease in the diameter of nanowires at their base with increasing thin film thickness
  - 2.1 nm gold thin film -> 70 nm average diameter of nanowires
  - 3.9 nm gold layer -> 54 nm average diameter of nanowires
  - 4.9 nm and 8.2 nm gold layer -> 45 nm average diameter
- The layer of Si nanowires has a mixed crystalline and amorphous silicon composition

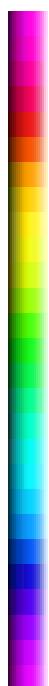


# **SUNS-V<sub>OC</sub> METHOD**

of IV Curve Measurement  
of Photovoltaic Cell

Vlastimil Pic, Petr Pikna

1/2012



## **IV characteristic measurement**



- FV cell sample
- IV characteristic of FV cell
- Current measurement method of IV
- SUNS-V<sub>OC</sub> method

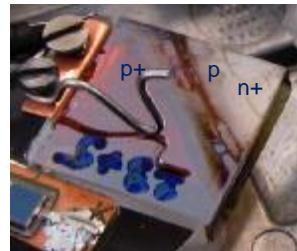
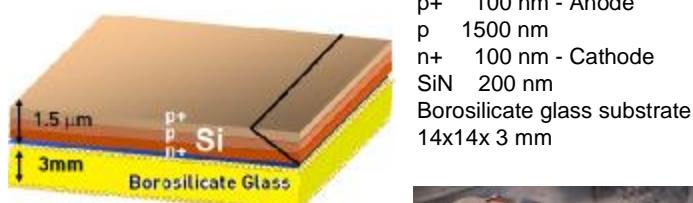
24/10/2012

2

97

# FV cell sample

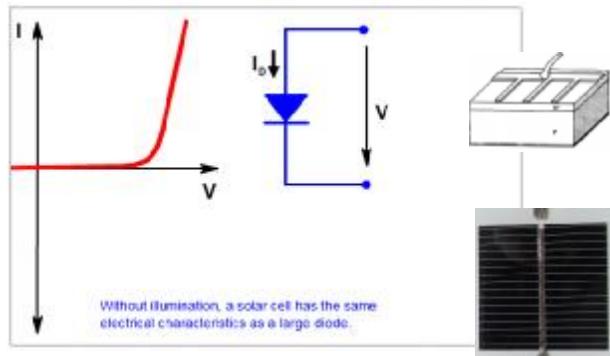
Poly-Si thin film solar cell



24/10/2012

3

## Diode in the Dark



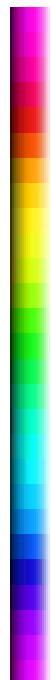
$$I = I_0 \left[ \exp \left( \frac{qV}{nkT} \right) - 1 \right]$$

24/10/2012

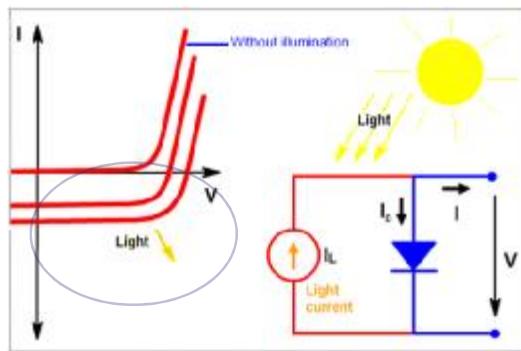
4

98

# Diode under Illumination



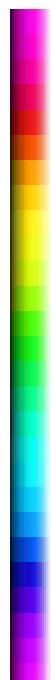
FV cell is a source



$$I = \left| I_0 \left[ \exp \left( \frac{qV}{nkT} \right) - 1 \right] - I_L \right|$$

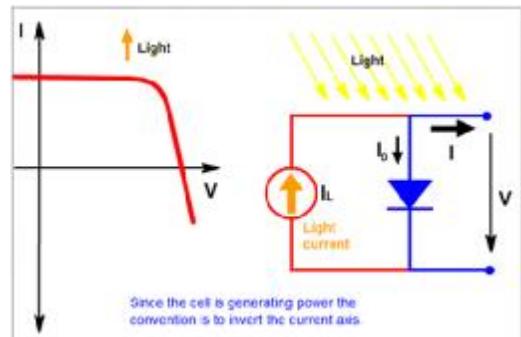
24/10/2012

5



# Diode – Source

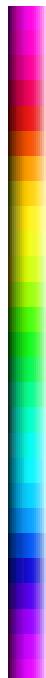
Since the cell is generating power the convention is to invert the current axis.



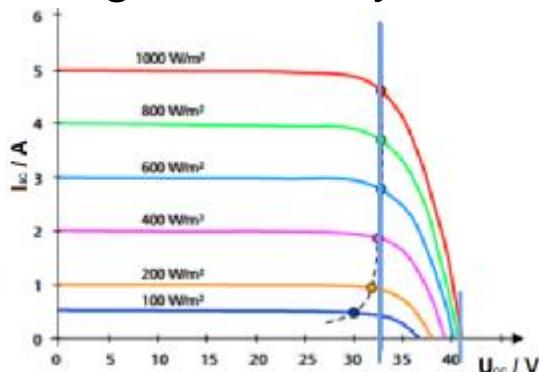
24/10/2012

6

99



## Light Intensity



$$I_{PV} = R \cdot P$$

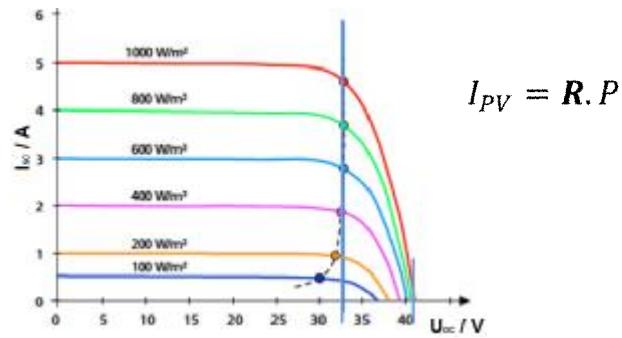
$$I_{PV} = R \cdot P$$



24/10/2012

7

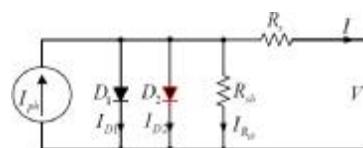
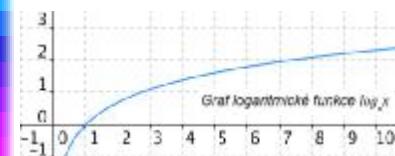
## Voltage = f(Light)



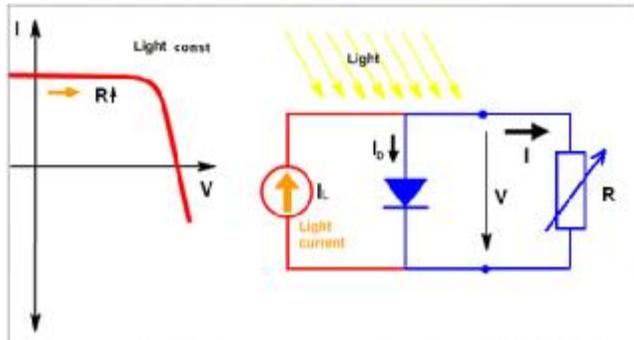
$$I_{PV} = R \cdot P$$

$$I_{PV} \gg I_{D1} + I_{D2} \quad \longrightarrow$$

$$V_{OC} \approx \frac{kT}{q} \ln \frac{I_{PV}}{I_{D1}}$$



# Current-Voltage Method



Direct measurement of voltage and current (V and I)

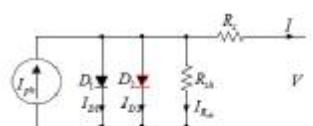
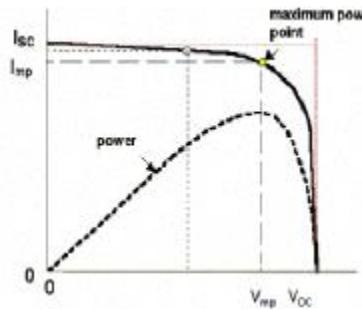
Standard Testing Conditions: 25°C, **1000 W.m<sup>-2</sup>**, AM = 1.5

$$1000 \text{ W.m}^{-2} = 1 \text{ SUN}$$

24/10/2012

9

# Current-Voltage Method



$$I = I_{ph} - I_{01} \left( e^{\frac{V+IR_s}{V_{t1}}} - 1 \right) - I_{02} \left( e^{\frac{V+IR_s}{V_{t2}}} - 1 \right) - \frac{V + IR_s}{R_{sh}}$$

$A_1, A_2$  - diode ideality factors,  $I_{01}, I_{02}$  - dark saturation currents  
 $R_s$  - series resistance,  $R_{sh}$  - shunt resistance

Direct measurement of voltage and current ( $V_{OC}$  and  $I_{SC}$ )

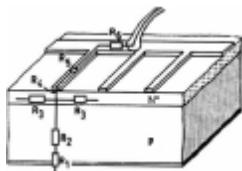
$$\text{Max. gained power} = I_{mp} \cdot V_{mp}$$

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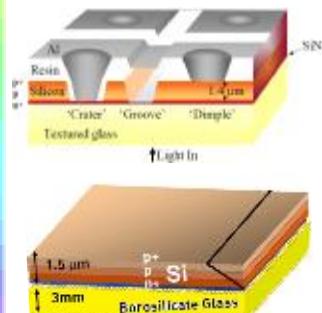
10

101

# IV Curve by Suns-V<sub>oc</sub> Method



Wafer-based solar cell – **metallized**, common Current IV measurement



Metallized thin film solar cell  
(CSG Solar sample)



Thin film solar cell (in production)  
**no metallization** →

- high series resistance of the contact
- current IV measurement brings error

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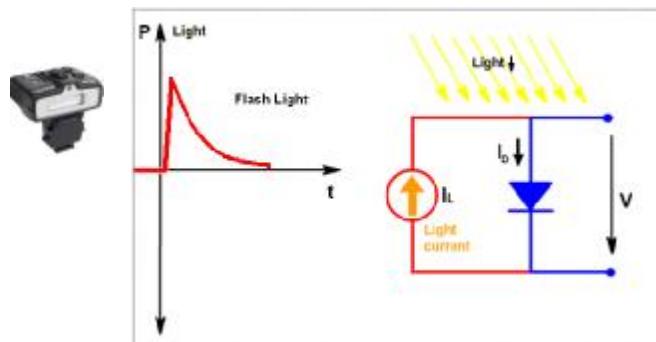
11

## Suns-V<sub>oc</sub> Method



**Current – Voltage method:** Direct measurement of V and I ( $R \uparrow$ )  
at const test conditions  $25^\circ\text{C}$ , **1000 W.m<sup>-2</sup>**, AM = 1.5

**SUNS-V<sub>oc</sub> method:** Direct measurement of V and Light ( $P \downarrow$ )  
at constant test conditions  $25^\circ\text{C}$ , AM = 1.5

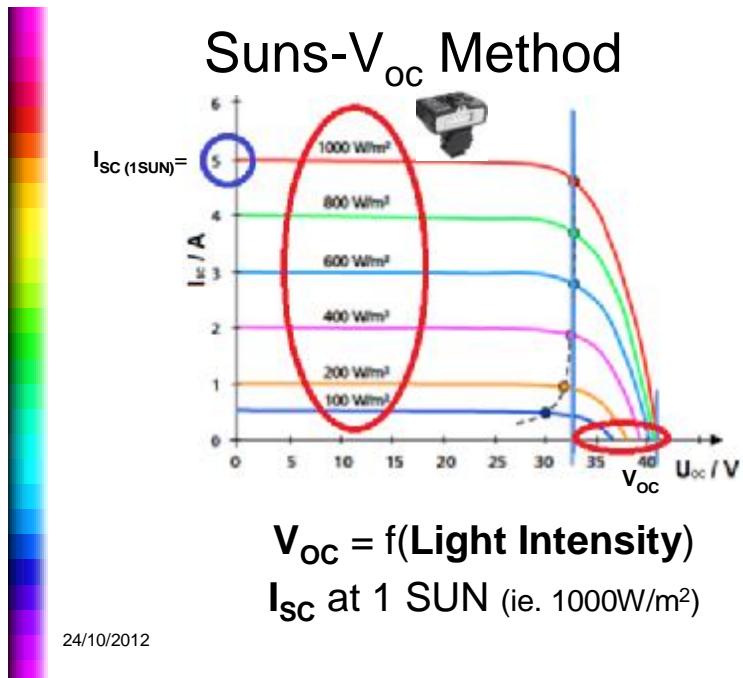


24/10/2012

12

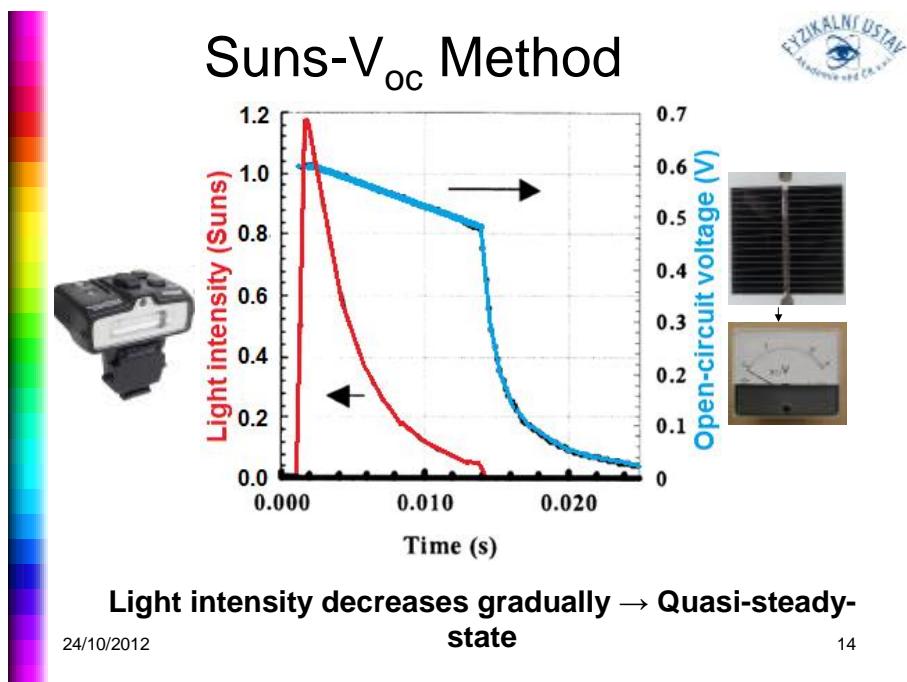
102

## Suns-V<sub>oc</sub> Method



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13



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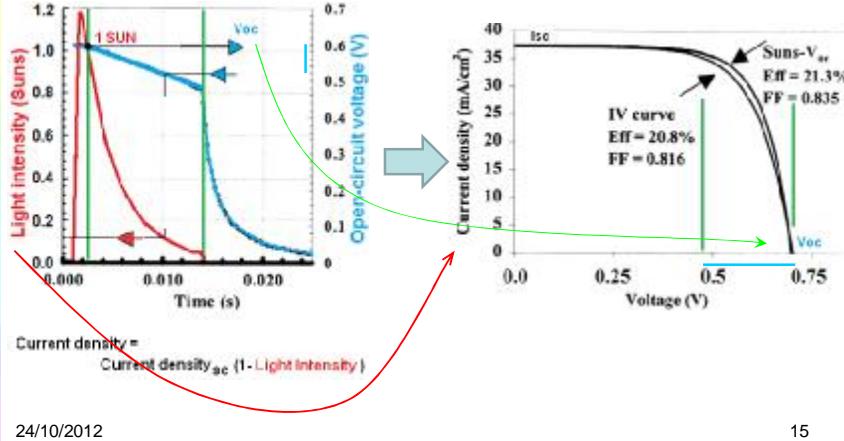
14

103

# Suns-V<sub>oc</sub> Method

$$I = I_{sc}(1 - \text{Light intensity})$$

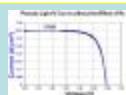
$I_{sc}$  .. short circuit current, input parameter measured by other method



# Suns-V<sub>oc</sub> Method

Searched IV characteristic

$$I = f(V, P=1000\text{W/m}^2)$$



Measured input values

$$P = f_1(t)$$

$$V = f_2(t) \rightarrow t = f_2^{-1}(V)$$

Math equation

$$I = I_{sc}(1 - P)$$

Only input parameter measured by other method

Substituting (1) and (2) into (3)

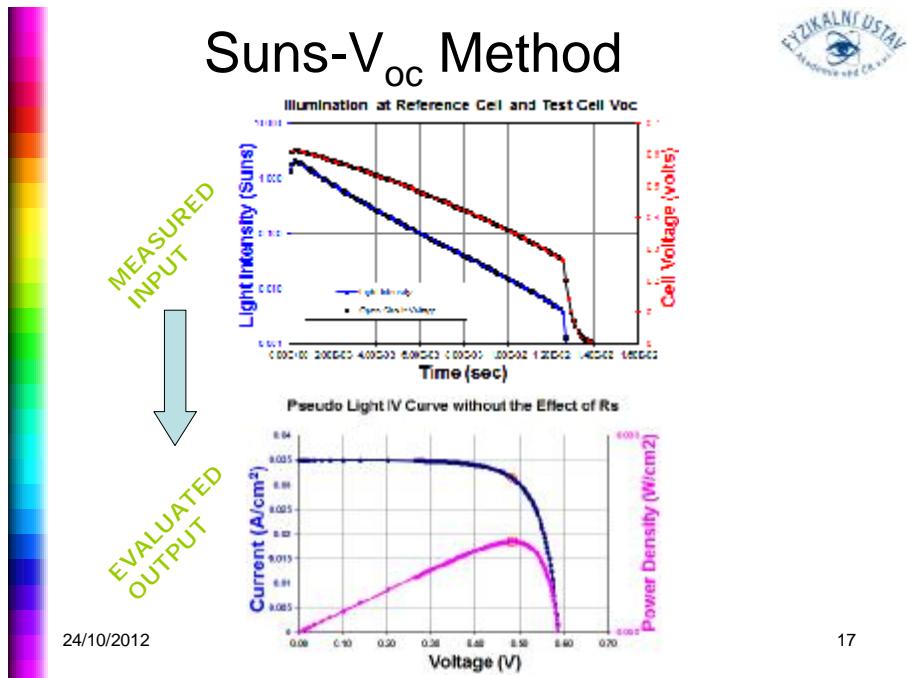
$$P = f_1(f_2^{-1}(V))$$

$$I = I_{sc}(1 - f_1(f_2^{-1}(V))) \rightarrow I = f_3(V, 1 \text{ SUN})$$

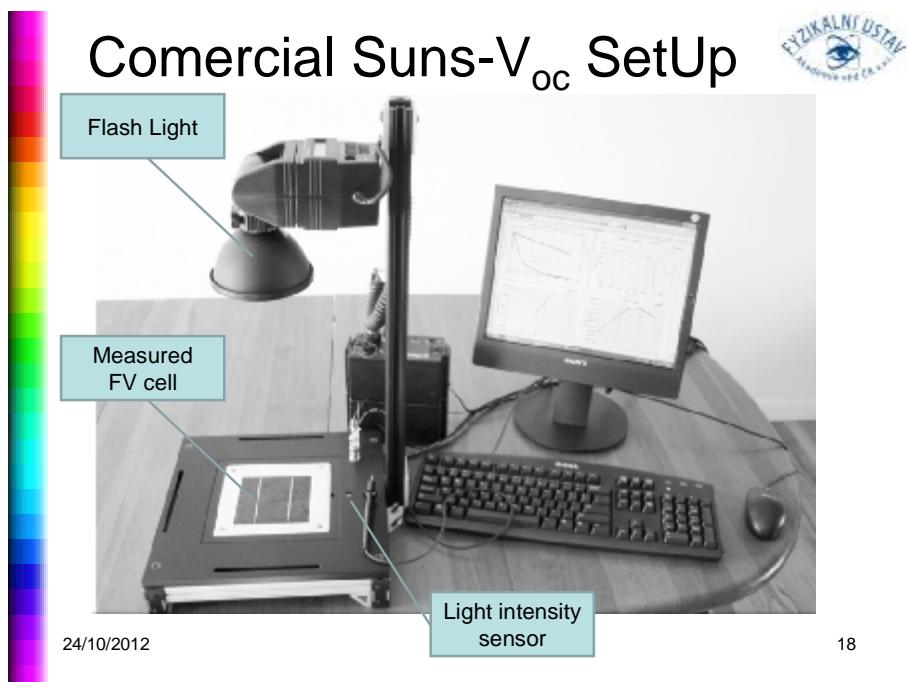
Eq.

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16

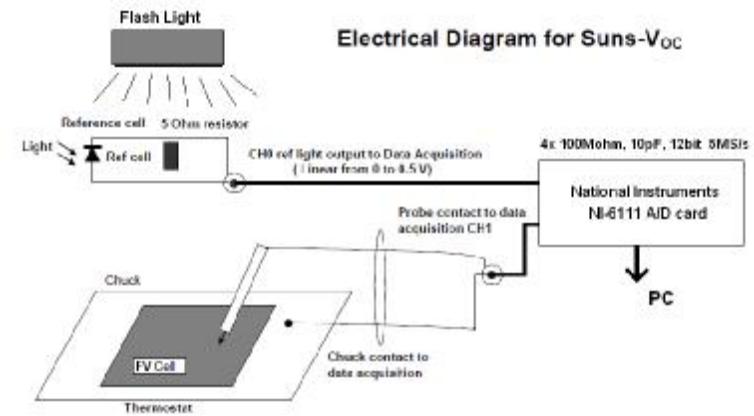


17



18

# Comercial Suns-V<sub>oc</sub> SetUp



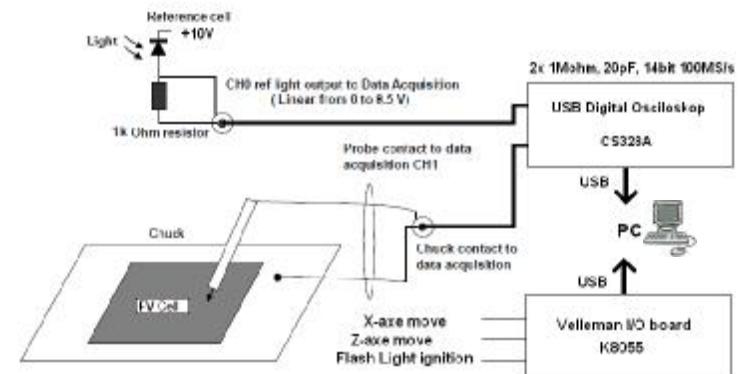
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19

# SUNS-Voc Experimental Setup



**Electrical Diagram for Suns-V<sub>oc</sub> at FzU**

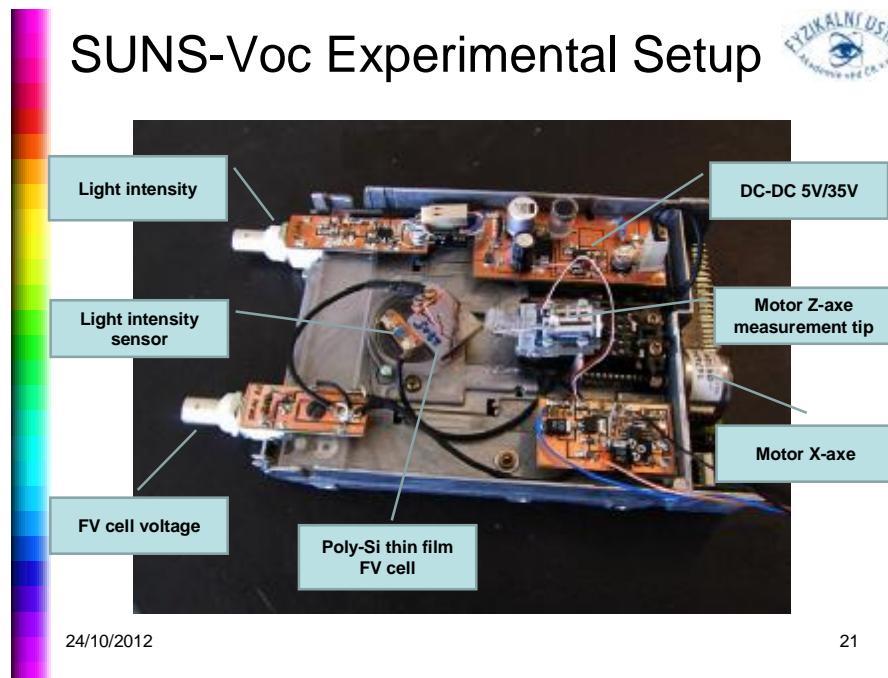


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20

106

# SUNS-Voc Experimental Setup



24/10/2012

21

## SUNS Voc at FzU - parameters



**Reference cell:** Light intensity ~0.005 - 7 SUNS (7000 W/m<sup>2</sup>)  
Output: 0-8.5V  
Supply: 12V-36V



The following can be controlled from Local control board and via PC

Flash light ignition  
Measurement tip motion: X-axe 16mm track, 184um step  
Z-axe 10mm track, analog control



24/10/2012

22



End

24/10/2012

23

108

# Potential for nanotechnology approaches in the production of crystalline and thin film silicon solar cells

Aleš Poruba, Radim Bařinka, Pavel Čech, Pavlína Bařinková, Jiří Hladík, Igor Mudroň and Jaromír Řehák

*Solartec, s.r.o., Televizní 2618, 756 61 Rožnov pod Radhoštěm*

Increasing the solar cell conversion efficiency together with reducing their production cost are the trends of the last years which are necessary for the near future grid parity of “the energy from the sun”. This paper deals mainly with the first part of trends, i.e., with enhanced cell efficiency while keeping the production cost nearly the same or even lower taking into account the potential for the implementation of nanotechnologies in both monocrystalline and thin film silicon devices.

**Continuous progress in decreasing the final price of the energy produced from photovoltaic is based on reduction of the production cost of solar cells, keeping the high production yield as well as on enhancing the cell efficiency. Standard c-Si cell production sequence with screen-printed metallization. Usually 5 or 6" mono or multi-crystalline silicon p-type wafers are used as the substrates.**

Individual steps can be realized as the batch or in-line processes, where in-line procedures are preferred for the multicrystalline silicon solar cell production. For the final product – photovoltaic (PV) module – solar cells are soldered into the strings (mostly serial interconnection) and are encapsulated into the sandwich structure glass - EVA foil – solar cell – EVA foil – Tedlar foil.

Contrary to “bulk silicon” PV structures thin film Si solar cells (based on either p-i-n single amorphous silicon cell or tandem structure amorphous – microcrystalline solar cells) are grown directly on glass (sometimes “plastic”) substrates covered with TCO - Transparent Conductive Oxide (ZnO or SnO<sub>2</sub>) making the electric contact to the thin p+ silicon layer. After the PE CVD deposition of all individual silicon layers (boron doped, undoped and phosphorus doped) back contact is usually formed as non-transparent by sputtering aluminium or silver (sometimes as the double layer thin TCO + metal). Subdivision of the whole active area into the individual cells and their material interconnection (to increase the generated voltage) is realized using various laser scribing steps before and after PE CVD processes.

## Approaches toward industrial cells with >20% efficiency (in Solartec)



Aleš Poruba, Radim Bařinka, Pavel Čech,  
Pavlina Bařinková, Jiří Hladík, Igor Mudroň and  
Jaromír Řehák



### Outline

- Production sequence of standard c-Si solar cells on p-type substrates
- Standard and advanced characterization of wafers and solar cell structures
- Modeling the cell performance by PC1D
- The way how to exceed the cell efficiency of 20%

### Standard production steps in c-Si technology

- saw damage etching
- surface texturing
- n-type (P) diffusion
- $(\text{SiO}_2^-)$  $\text{Si}_3\text{N}_4$  ARC
- Ag/Al(+Al) print BS
- Ag screenprint FS
- paste sintering
- edge isolation (if not done before)
- measuring and sorting



### Alkaline texturing (equipment)

- dose process (50-100 Si wafers)
- mixture of NaOH (KOH)  
+ isopropylalcohol
- temperature 70-80°C
- processing time ≈ 30min
- purity of the Si surface plays an important role for homogeneous nucleation of pyramids and their growth



### Phosphorus diffusion and ARC deposition



- dose processes (100-400 Si wafers)
- Si wafers on quartz boats (in a quartz tube)
- process time ≈ 60-90min
- clean room
- source of phosphorus =  $\text{POCl}_3$
- diffusion temperature 820-950°C
- source gasses  $\text{SiH}_2\text{Cl}_2$  and  $\text{NH}_3$  („dry“ pumping system)
- deposition temperature = 800°C

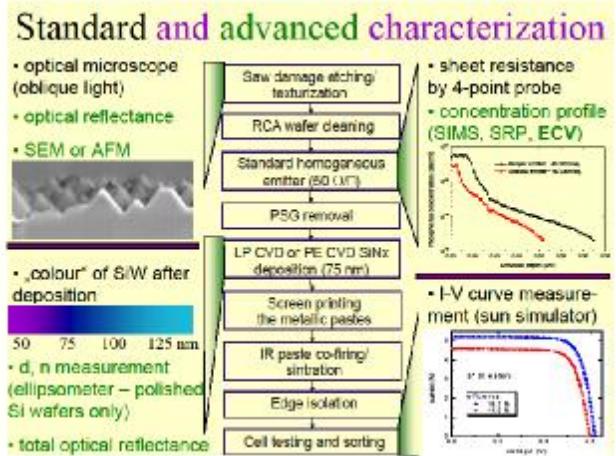
### Screenprinting the metallic pastes and sintering (paste co-firing)

Screenprinting semiautomatic machine from Baccini



Infrared belt furnace (6 independent zones)

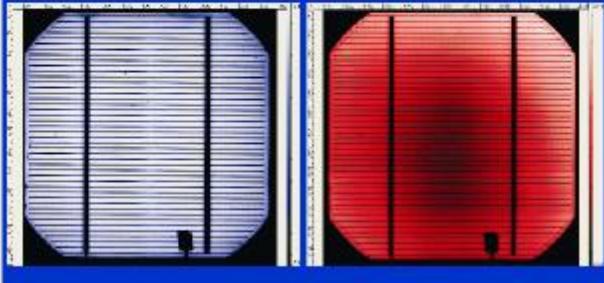




### Another types of solar cell characterization

- Dark I-V curve measurement (various temperatures)
- Quantum efficiency ( $J_{sc} = f(\lambda)$ )
- LBIC (Light Beam Induced Current)
- Photoluminescence
- Electroluminescence (microplasma)
- Noise diagnostics
- „Suns-Voc“ method (pseudo I-V curve measurement)

Example of LBIC results (405, 830nm)



Each characterization method contributes to the detection of “problems”

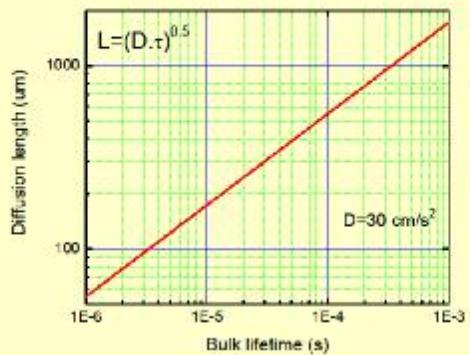
BUT

they are not able to identify the cause and origin



- necessity of „step by step“ monitoring of some „typical“ parameter
- (minority) carrier lifetime (or carrier diffusion length) is the most important parameters

### Diffusion length and carrier lifetime

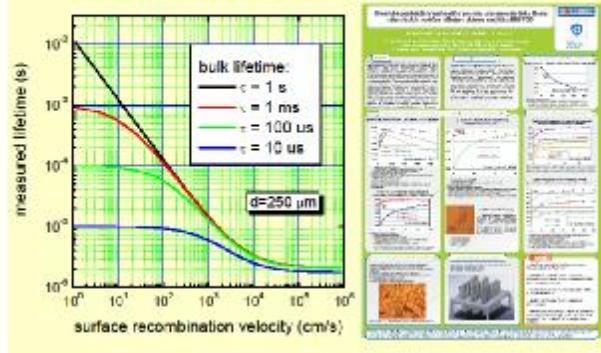


### Lifetime measurement by MW PCD and QSSPC

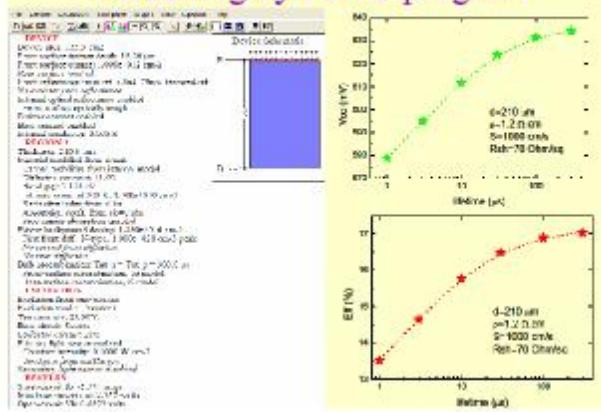


Principle of methods – measurement of carrier concentration decay (in time) after their pulse light generation

## Surface recombination as a specific problem for the bulk lifetime measurement



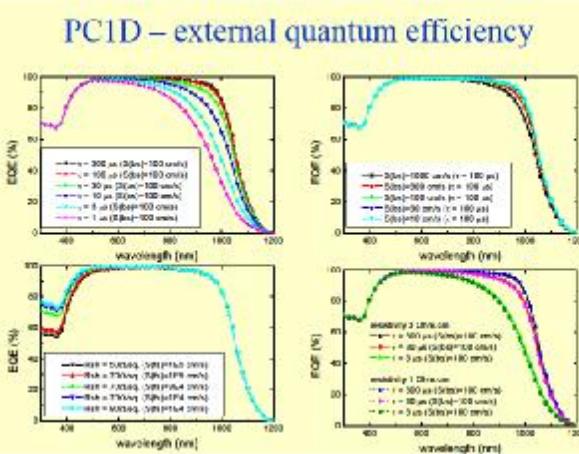
## Modelling by PC1D program



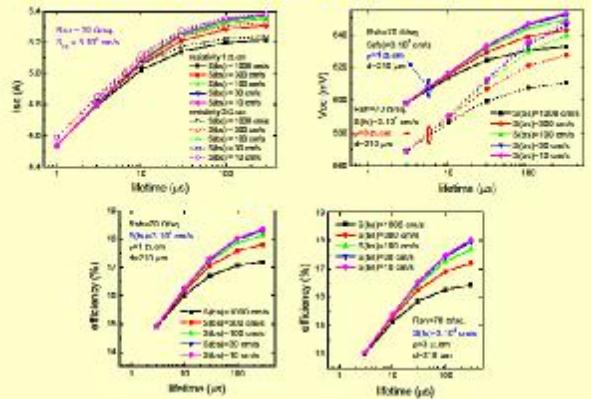
## Modeling the solar cell performance (PC1D)

*Parameters of solar cells and their impact on performance:*

- carrier lifetime (1-300  $\mu$ s)
- recombination velocity at the rear side (1000-10 cm/s)
- bulk resistivity of the silicon wafer (1 or 3  $\Omega$  cm)
- sheet resistivity of the n+ layer (50-90  $\Omega/\text{sq.}$ ) (depends on the surface concentration and depth of doped layer)
- recombination velocity at the front side ( $10^5$ - $10^4$  cm/s)
- optical reflectance (surface texturing and ARC coating)
- thickness of the silicon wafer (240-180  $\mu\text{m}$ )

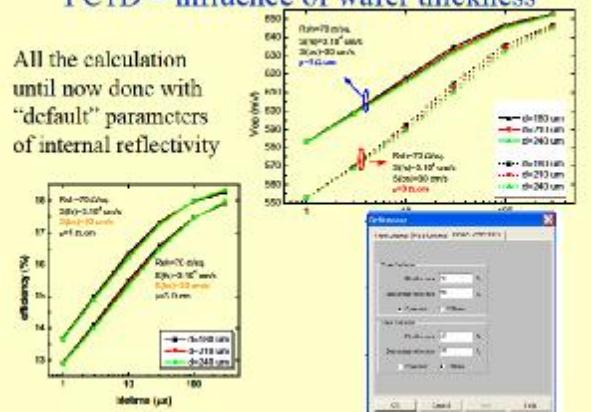


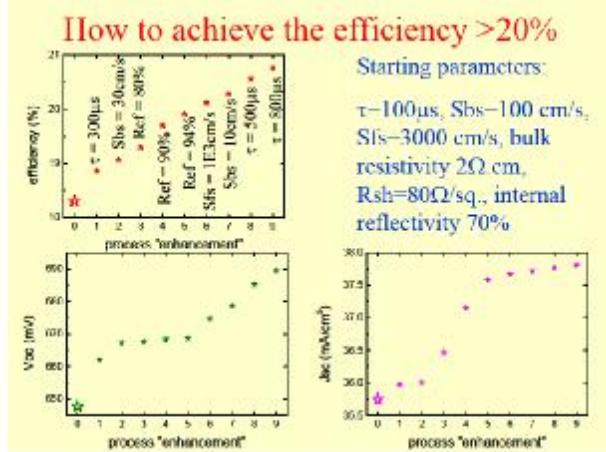
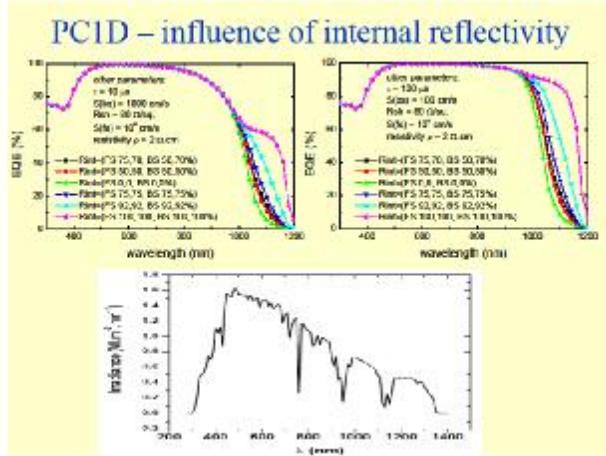
### Modeling the solar cell performance (PC1D)



### PC1D – influence of wafer thickness

All the calculation until now done with "default" parameters of internal reflectivity

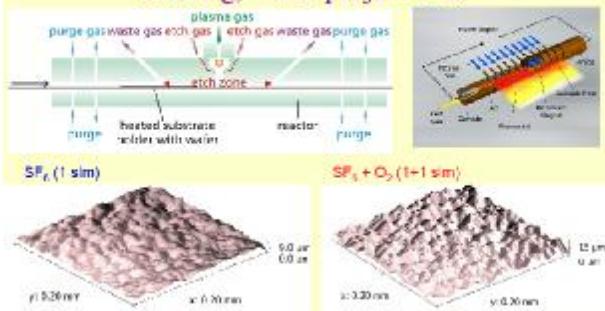




The most important parameters and how to achieve and keep their high quality values

1. Carrier lifetime (gettering techniques, clean rooms for the high temperature processes as well as for the etching/cleaning/drying lines)
2. Front surface recombination (structures with selective emitter + double layer ARC)
3. Back surface recombination and internal reflectance (rear surface morphology modification together with dielectric surface passivation and local point contacts - advanced light trapping structure)

Surface morphology modification by APPCE  
(Atmospheric Pressure Plasma Chemical Etching) – EU project N2P



Optimal pyramid angle at the rear surface 135 ° (optical modeling)

## Conclusion

- Standard solar cell structure with Al back contact - limitation of the cell performance to the value below 19% (due to limited quality of BSF formation as well as rather low internal reflectivity)

*The only way how to surpass the efficiency of 20% for p-type c-Si material:*

- Advanced light trapping scheme - the rear side with dielectric passivation (layer with fixed negative charge or BS boron diffusion)
- Selective emitter structure (as a single diffusion process) with double layer ARC ( $\text{SiO}_2 + \text{SiN}_x$ )

## Note

*PC1D modeling was done taken into account:*

- 10 % losses (4-6% for front side metallization shadowing effect and 4% reflectivity of the free glass interface after encapsulation)
- "Constant" reflectivity of the front side (structure of random pyramids with the single layer antireflective coating)



## Optical properties of thin NCD films

Zdeněk Remeš, Alexander Kromka  
Fyzikální ústav AVČR, v. v. i., Cukrovarnická 10, Praha 6, [remes@fzu.cz](mailto:remes@fzu.cz)

Institute of Physics (FZU) of the Academy of Sciences of the Czech Republic is a public research institute, oriented on the fundamental and applied research in physics. The Department of the Optical Materials has a long-term experience with characterization of the optical and opto-electrical properties of thin films started in 1983 with a M. Vanecák's paper *Density of the gap states in undoped and doped glow discharge a-Si:H*, Solar Energy Materials 8 (1983) 411. Today, the Department of Optical Materials focuses on the technology of preparation and processing of the bulk and thin film materials for optical applications using Bridgman method, MW plasma enhanced chemical vapor deposition, hydrothermal growth, reactive ion etching and plasma grafting. Among the investigated materials we mention for example scintillation crystals and glasses, ZnO nanorods and nanocolumns or nanocrystalline diamond (NCD) layers. These materials and other ones obtained in the framework of external collaborations are characterized by the radioluminescence, photoluminescence, time-resolved photoluminescence with ns resolution, photothermal deflection spectroscopy (PDS), photocurrent spectroscopy and Fourier transform infrared spectroscopy (FTIR). Other characterization methods available are electron paramagnetic resonance (EPR), electron spectroscopy and thermal analysis of properties of solids - conventional Differential Scanning Calorimetry (DSC) and the Temperature-Modulated DSC.

Mgr. Zdenek Remes, PhD. is a permanent contract researcher at the Department of Optical Materials of the Institute of Physics. He is experienced in optical properties of thin films with H-index 16, number of the published papers 61 and citations 589. Z. Remes defended his Ph.D. in 1999 in solid state physics and material science at the Faculty of Mathematics and Physics of the Charles University in Prague. As post-doc he had been working abroad in several internationally reckoned laboratories in diamond semiconductor research such as the Institute for materials research (IMO), Hasselt University, Belgium; the Solid State Institute, Technion - Israel Institute of Technology, Haifa and the CEA/Saclay France. He has been responsible recently for several national and international projects, including the cooperation with the Institut Néel - CNRS, Grenoble, France; Slovak Technical University, Bratislava, Slovakia; East China Normal University, Shanghai, P.R. of China, Institute of Semiconductor Physics Siberian Branch of Russian Academy of Sciences, Novosibirsk, Russia and the Institute of Automation and Control Processes of the Far Eastern Branch of Russian Academy of Sciences in Vladivostok.

In this presentation we summarized the selected optical characterization methods available at the Department of the Optical Materials which are suitable for characterization of the thin nanodiamond layers.

We acknowledge the project P108/11/0794 (GACR).

## Optical properties of thin NCD films

Zdeněk Remeš, Alexander Kromka

Fyzikální ústav AVČR, v.v.i., Praha

### Optical spectroscopy team

- Mgr. Zdeněk Remeš, PhD.
  - team leader, supervisor, project manager
- Mgr. Halyna Kozak, Ph.D.
  - ATR, GAR FTIR, DLS, SEM, AFM, sample preparation
- Mgr. Jakub Holovský, Ph.D.
  - FTPS, IR microscopy, PDS
- Ing. Lenka Hoďáková
  - FTIR spectroscopy, T&R spectroscopy, photoluminescence, interference refractometry

# Equipment

- Mid IR FTIR Nicolet Nexus 400-4000/cm, N2 purged
  - T&R, ATR, GAR, angle resolved R
- Near IR FTIR Nicolet Nexus 20000-2000/cm
  - FTPS, step scan FTPS
- Photothermal deflection spectroscopy (PDS)  
250-3000 nm

Zdeněk Remeš - 2012

# Laboratories

- D17: air conditioning
  - Photocurrent spectroscopy (CPM, FTPS)
  - el. measurements
  - FTIR
- D301: UV-NIR, ozone exhaust
  - Photo-thermal spectroscopy (PDS)
  - Steady state PL

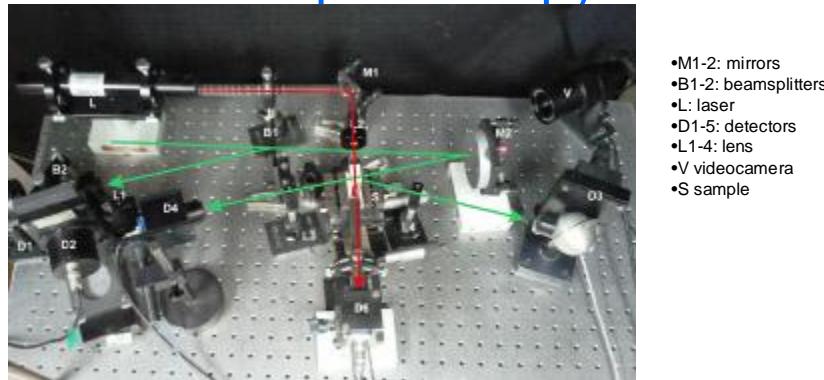
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# New Laboratory of Infrared Spectroscopy

- “Clean room”, air conditioning
- flow box (soft chemistry)
- N<sub>2</sub> purged FTIR 400-4000/cm : T&R, ATR, GAR
- IR microscope extended to visible
  - Magnification 10x
  - Space resolution Ø150, 100 and 50 µm

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## Photothermal deflection spectroscopy

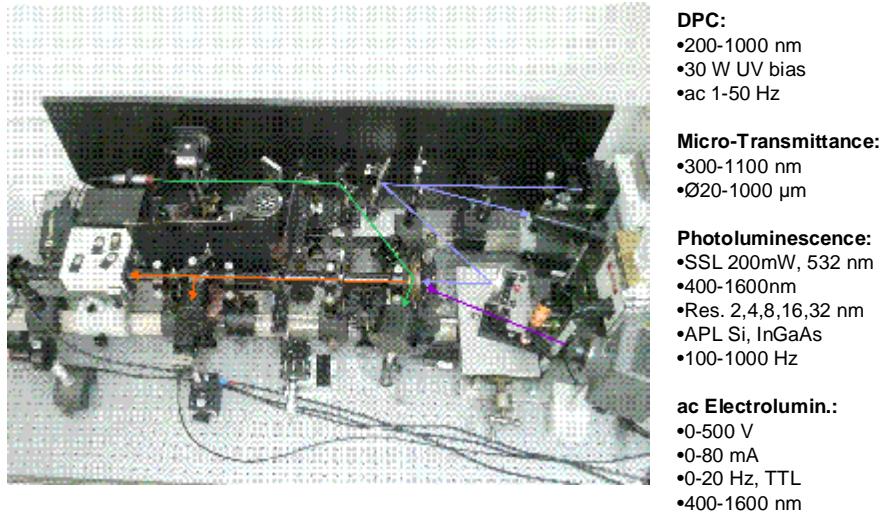


- 150W Xe or 150W halogen lamp
- Sample in transparent liquid
- Spectral range 250-3000 nm

- M1-2: mirrors
- B1-2: beamsplitters
- L: laser
- D1-5: detectors
- L1-4: lens
- V: videocamera
- S: sample

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## $\mu$ -Spectrometer & microscope



### DPC:

- 200-1000 nm
- 30 W UV bias
- ac 1-50 Hz

### Micro-Transmittance:

- 300-1100 nm
- Ø20-1000  $\mu$ m

### Photoluminescence:

- SSL 200mW, 532 nm
- 400-1600nm
- Res. 2,4,8,16,32 nm
- APL Si, InGaAs
- 100-1000 Hz

### ac Electrolumin.:

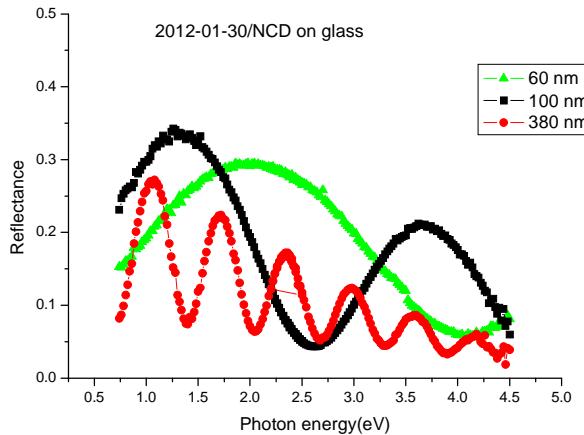
- 0-500 V
- 0-80 mA
- 0-20 Hz, TTL
- 400-1600 nm

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## Thin film optics - Motivation

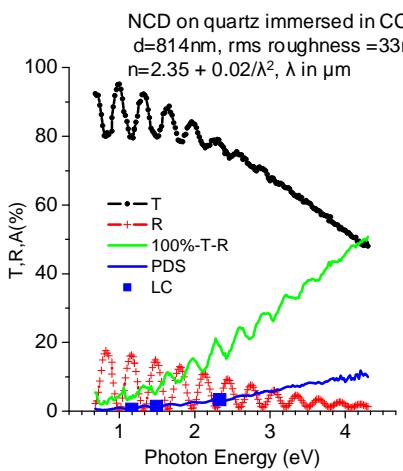
- We investigate
  - Optical quality = low optical absorption and low scattering in UV
  - Electronic quality = high mobility, long lifetime of photoexcited carriers
  - Color centers
- Achieved by: optimized growth conditions post-processing
- Defect characterization by Zdenek Remeš:
  - Transmittance & Reflectance spectra (T&R)
  - Photothermal Deflection Spectroscopy (PDS)
  - Dual beam photocurrent Spectroscopy (DBPS)
  - Fourier Transform infrared spectroscopy (FTIR)

# Reflectance interferometry

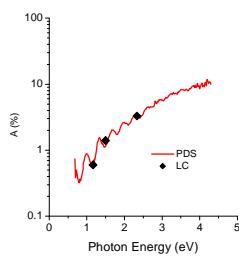


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## Optical absorptance and scattering spectra



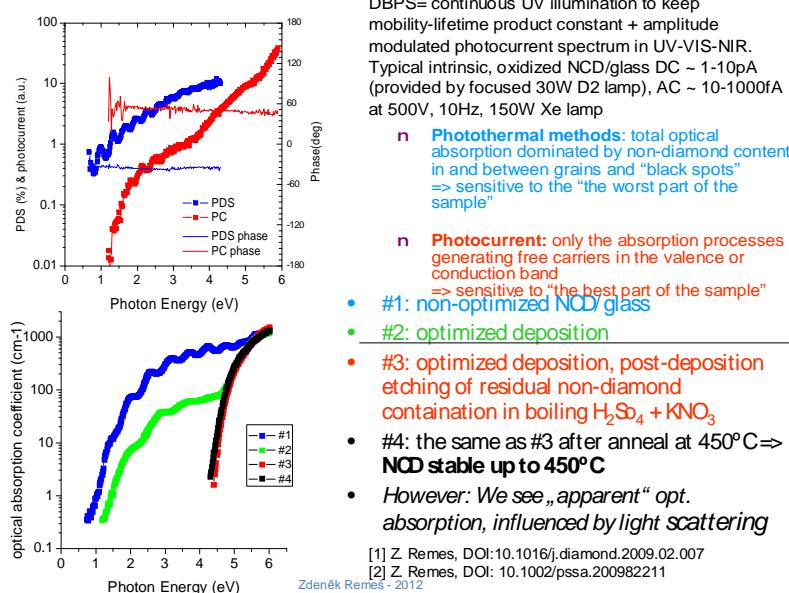
- NCD on glass in transparent liquid
- Multireflections and interferences  
=> film thickness
- Surface scattering => roughness
- Fitting T&R using scalar scattering theory, Exponential Cauchy:  
 $n=n_0 + n_1/\lambda^2$  &  $k=k_0 \exp(k_1/\lambda)$
- 100%-T-R is scattering not A
- Optical A from PDS normalized by LC



Z. Remeš, presented at Diamond 2009 - the 20th European Conference on Diamond, Diamond-Like Materials, Carbon Nanotubes, and Nitrides, 6-10 September 2009 in Athens, Greece

Zdeněk Remeš - 2012

## Dual Beam Photocurrent Spectroscopy (DBPS)



## CONCLUSIONS

- Interference fringes => the thickness of the thin layers
- Optical absorption in thin nanocrystalline layers
  - dominated by grain boundaries
  - Monitored by T&R, PDS
- Photosensitivity of thin nanocrystalline layers
  - Electronic quality
    - localized defect states inside grains
  - monitored by the DBP, CPM, FTPS

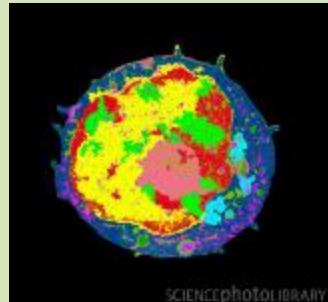
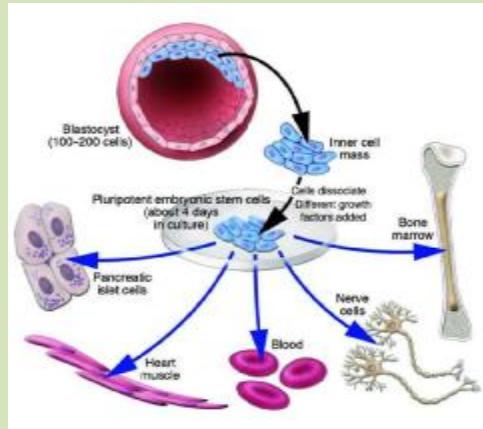
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# Stem cells – open opportunities

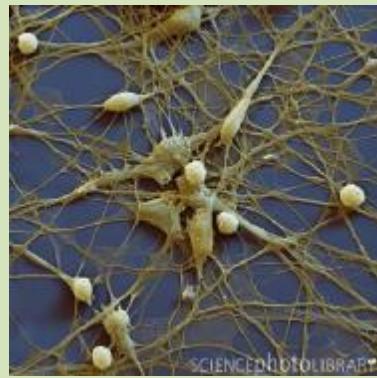
Institute of Experimental Medicine ASCR, v.v.i.

Nataliya Romanyuk PhD

February 3, 2012



- What stem cells are? Their main features
- What kind of stem cells we know?
- Methods to obtain stem cells
- Stem cells open opportunities for biomedicine and fundamental science
- Progress in stem cell therapy



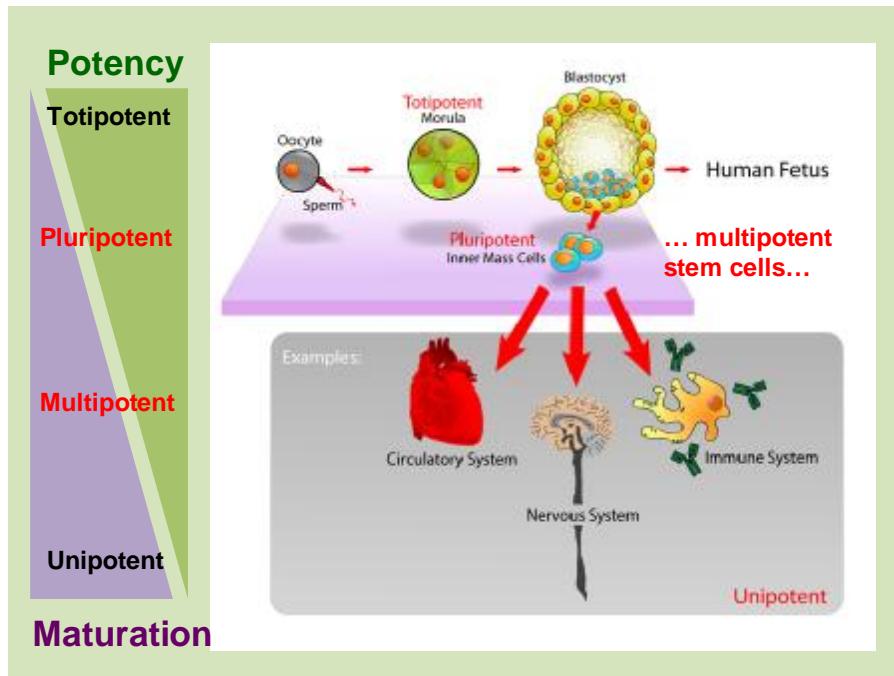
**Stem cells** are cells found in all multicellular organisms, that can differentiate into diverse specialized cell types (**potency**) and can **self-renew** to produce more stem cells (**clonality**)

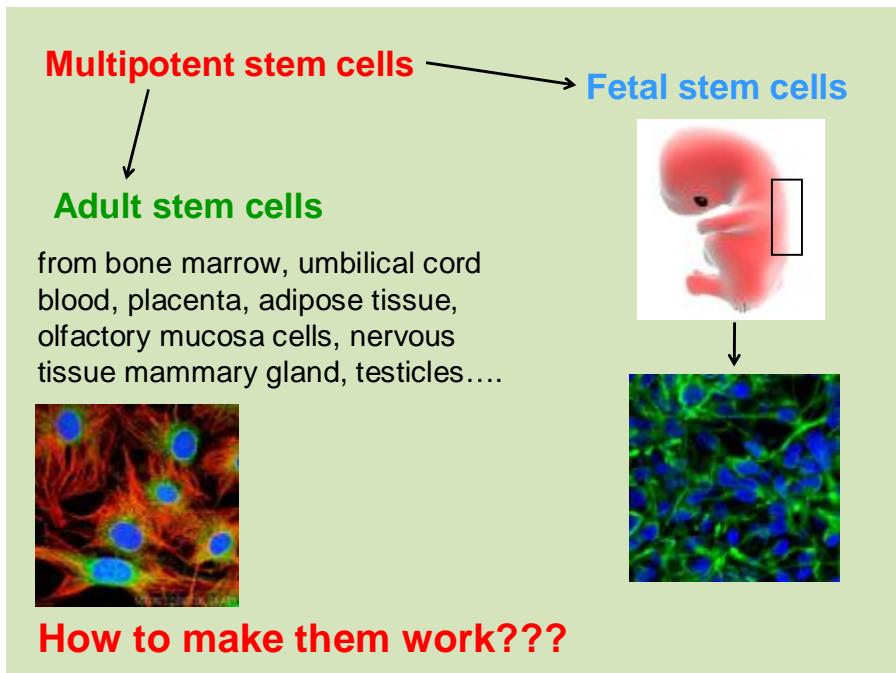
**Clonality** single cell possess the capacity to create more stem cells

**Self-renew** the ability to go through numerous cycles of cell division while maintaining the undifferentiated state (asymmetric division)

**Potency** the capacity to differentiate into specialized cell types

Research into stem cells grew out of findings by Ernest A. McCulloch and James E. Till at the University of Toronto in the 1960s





**How to make them work???**

<b>Multipotent stem cells</b>	
<b>Advantages</b>	<b>Disadvantages</b>
Some of them can be easily obtained, but....	...in small number
Safe from tumor formation	Difficult for cultivation, have a very short life time
No ethical issues	Lower proliferative and differentiative potential than pluripotent stem cells have
Possible obtaining and transplantation to the same person (autologous transplantation)	

**However, multipotent stem cells are broadly using in research and even in a few clinical trials**

Leukemia treatment

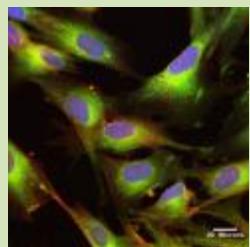
Big progress

Wound healing

Stroke

????

Spinal cord injury



Altogether 65 people took part in this study (35 with complete lesion)  
Some studies are already running longer than 5 years....

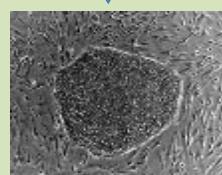
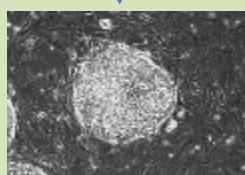
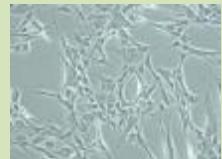
Cells not capable of growing into a whole organism but able to differentiate into any cell type in the body

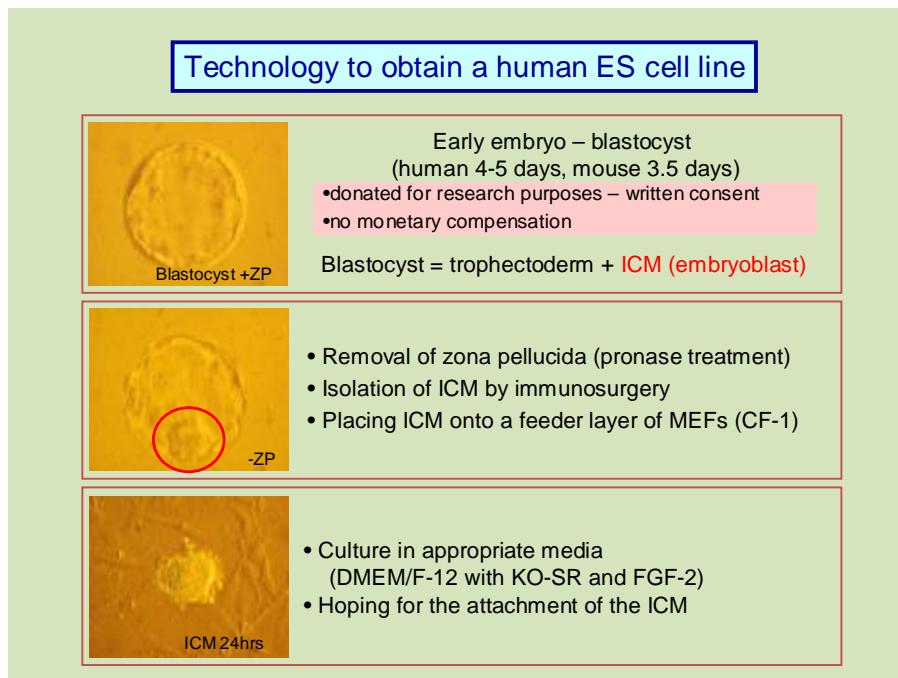
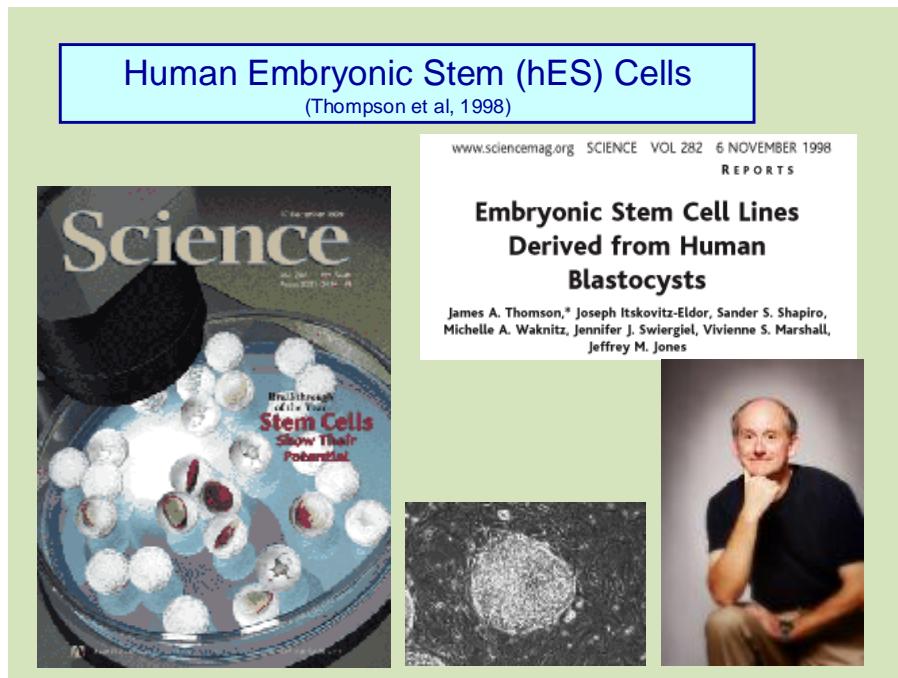
**Pluripotent stem cells**

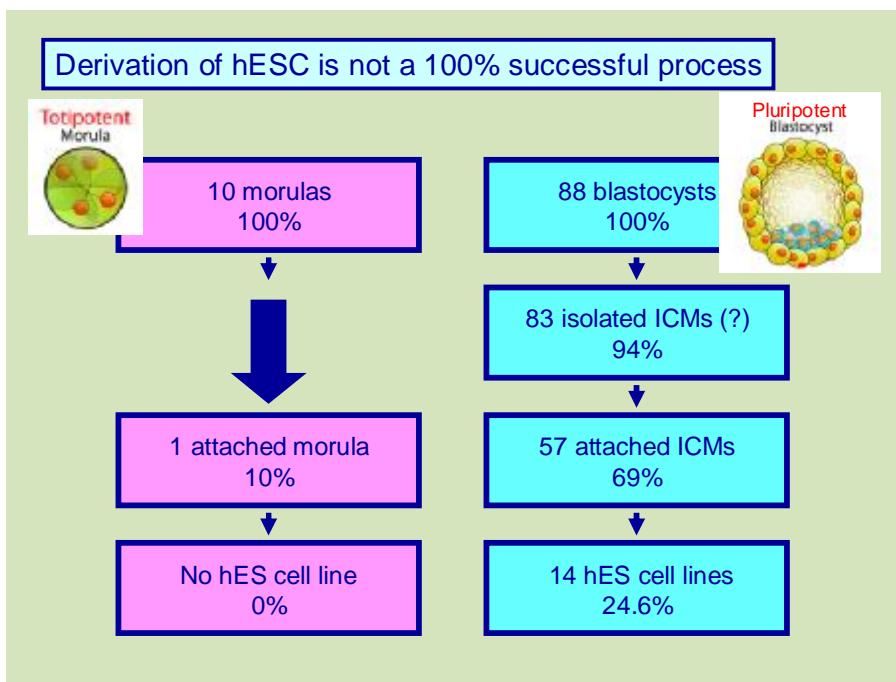
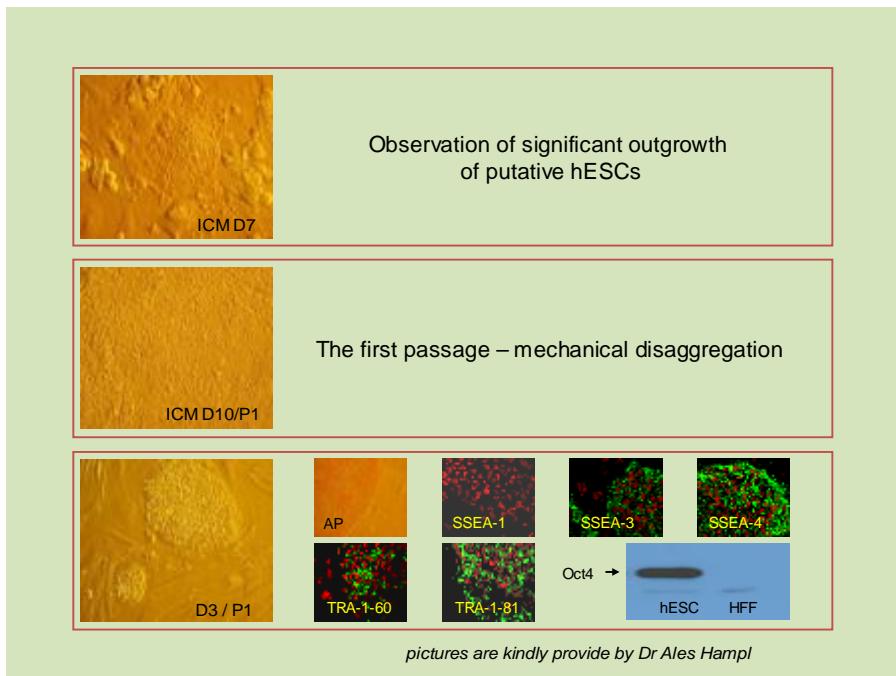
**Embryonic stem cells**

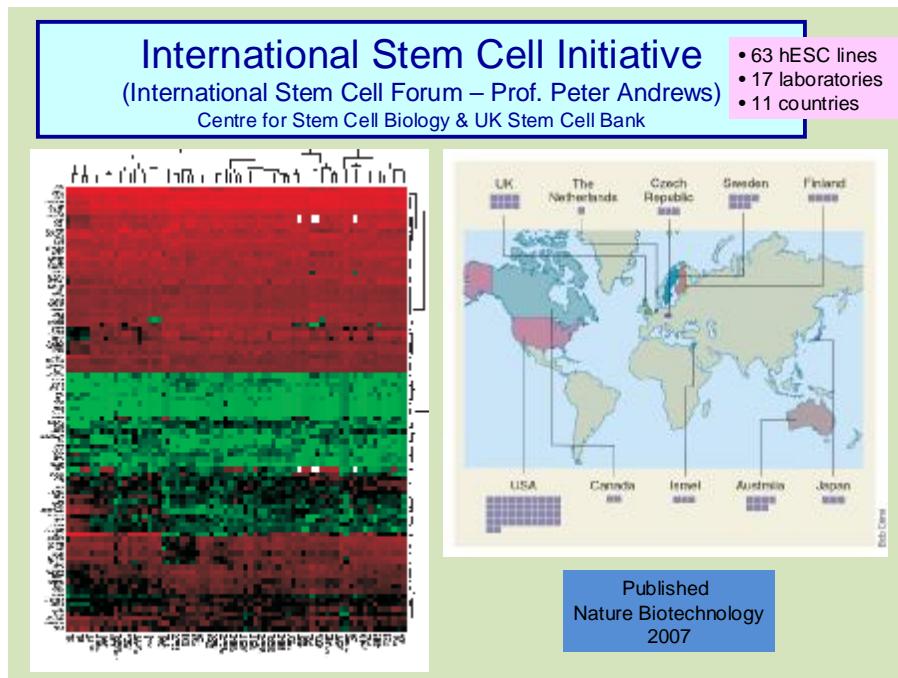


**Induced pluripotent stem cells**







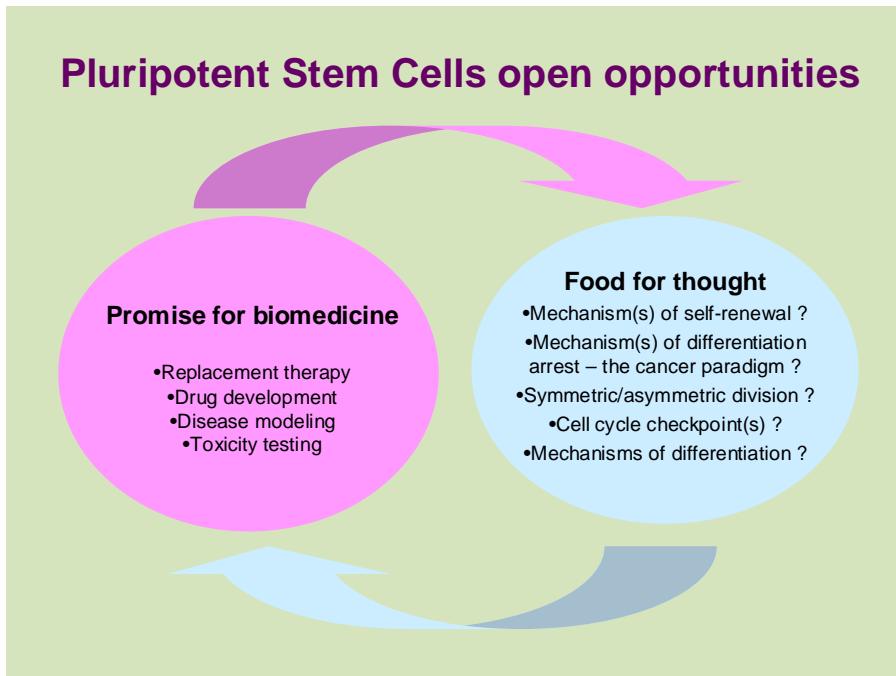
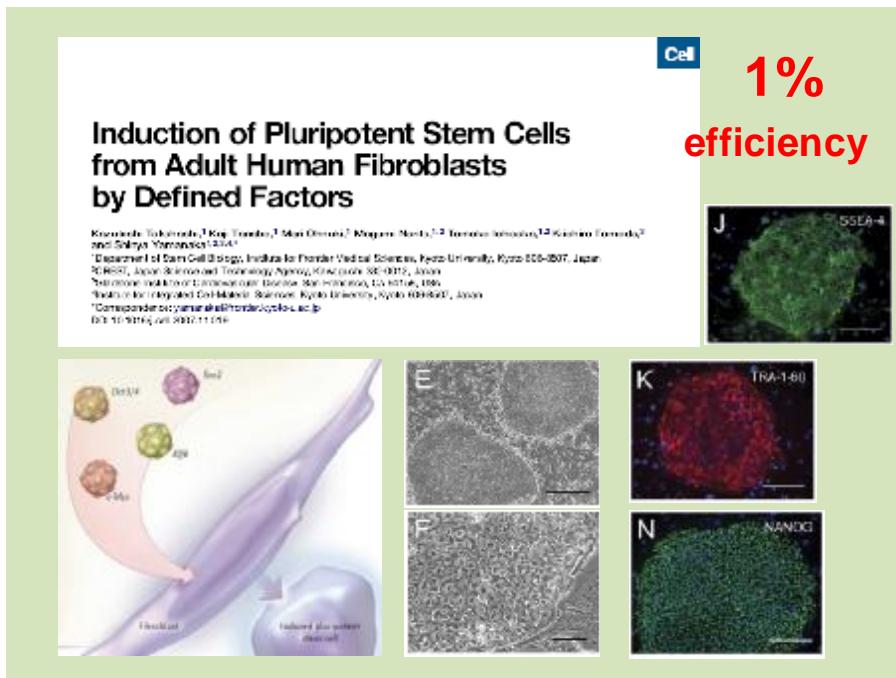


## Legislative

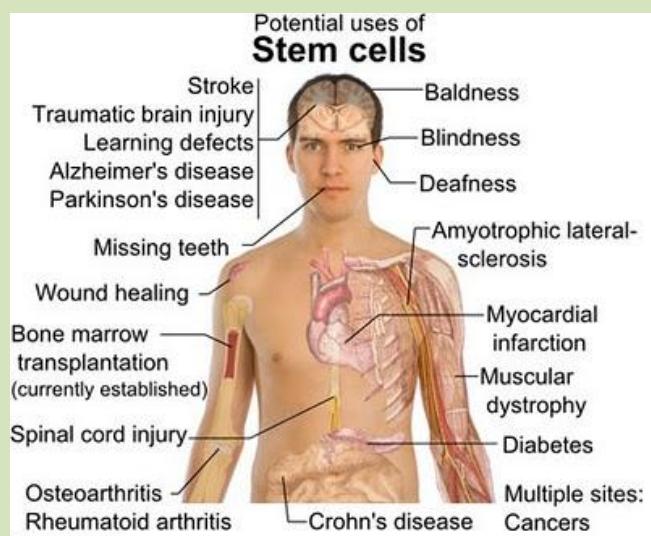
The International Society for Stem Cell Research (ISSCR) provides a survey on regulations regarding the therapeutic use of stem cells worldwide ([www.ISSCR.org](http://www.ISSCR.org)) and recently approved **Guidelines for the Clinical Translation of Stem Cells** (December 3, 2008).

In the European Union, the **Directive 2004/23/EC** of the European Parliament and of The Council (called 'Tissue and Cells Directive', from March 31, 2004) and Commissions Directives (i.e., 2006/17/EC and 2006/86/EC) regulate standards of quality and safety for the donation, procurement, testing, processing, preservation, storage and distribution of human tissues and cells.

In most countries, the derivation and use of human ES cells is controlled by specific guidelines or by law. For instance, in the Czech Republic, **1st legislative act about the use of ES (277/2006 Sb)** regulates the import and use of pluripotent human ES cells in basic research.



## Replacement therapy

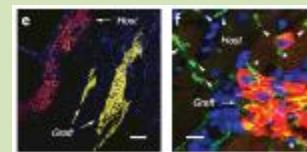
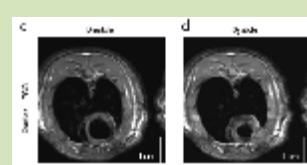
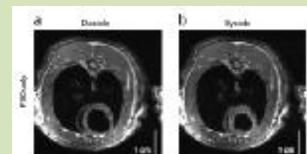


# Heart

180

## Cardiomyocytes derived from human embryonic stem cells in pro-survival factors enhance function of infarcted rat hearts

Contact: Dr. Christopher J. Kinsella, University of Alberta, Edmonton, Alberta T6G 2G2, Canada; Dr. Michael J. McLaughlin, University of Alberta, Edmonton, Alberta T6G 2G2, Canada; Dr. Michael J. McLaughlin, University of Alberta, Edmonton, Alberta T6G 2G2, Canada; Dr. Michael J. McLaughlin, University of Alberta, Edmonton, Alberta T6G 2G2, Canada.



**STEM CELLS**  
**Embryonic Stem Cells**

**Generation of Insulin-Producing Islet-Like Clusters from Human Embryonic Stem Cells**

JIANHE JIANG,<sup>1</sup>\* MELISSA AUA,<sup>2</sup> KUANGHU LIU,<sup>1</sup>\* ALANA BISHOPSON,<sup>3</sup> GREGORY KOMBUZ,<sup>1</sup>\* GREG FISCH,<sup>1</sup> ANDREW S. RAJAMANIK<sup>1</sup>

**Pancreas**

Expression of islet-specific hormones in hESC-derived budding islet-like clusters

**STEM CELLS**  
**Embryonic Stem Cells**

**Efficient Differentiation of Functional Hepatocytes from Human Embryonic Stem Cells**

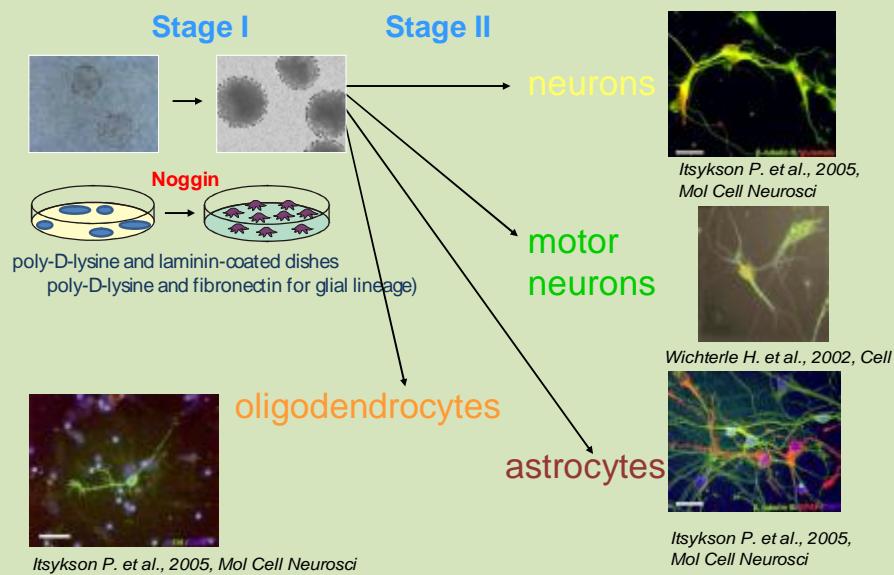
SAHARA AGARWAL, KATHERINE L. HOLTON, HOGERT LANGE

Time Point	NADH oxidation rate (μM/min)
T8	~0.8
T20	~2.2

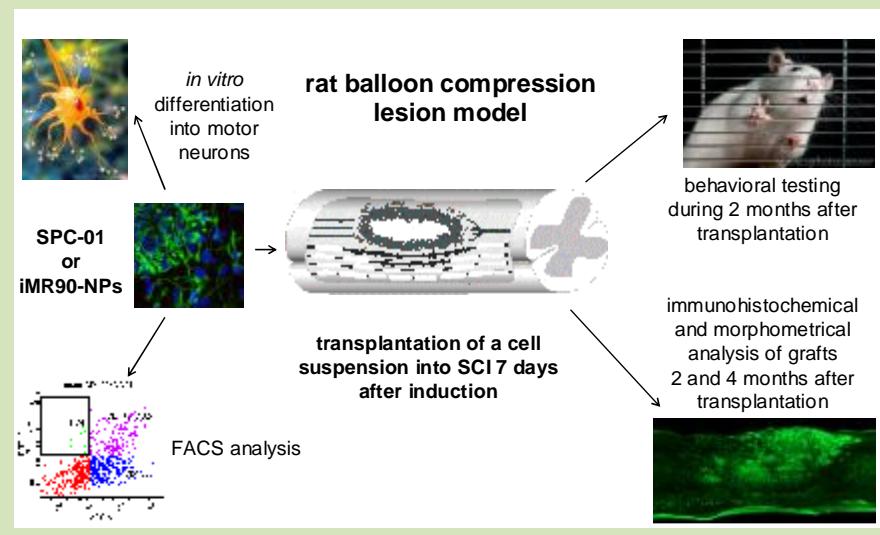
**Liver**

Human embryonic stem cell (hESC)-derived hepatic cells exhibit hepatocyte-like functions

## Neural differentiation: two main stages



## Spinal cord injury





**Thank you for your attention!**

# Stabilization Principles of III-V Semiconductor Surfaces

O. Romanyuk

Institute of Physics, Academy of Sciences of the Czech Republic, Prague

## Outline

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### III-V semiconductor reconstructions

- Surface reconstruction principles

### Surface structure of GaSb

- Temperature effects

### Surface structure analysis of GaN

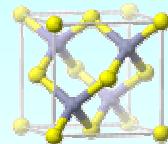
- GaN(000-1) (1x1) structure analysis by LEED I-V curves
- Optical properties by REELS and DFT calculations

### Biocompatibility of semiconductors

## III-V compound semiconductors

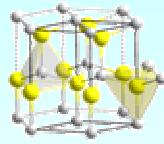
Periodic Table of the Elements																	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
H	He	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K
Li	Be	Li	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K

Zinc blende

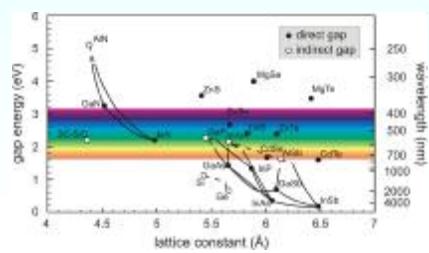


GaP, GaAs, GaSb...

Wurtzite



BN, AlN, GaN...

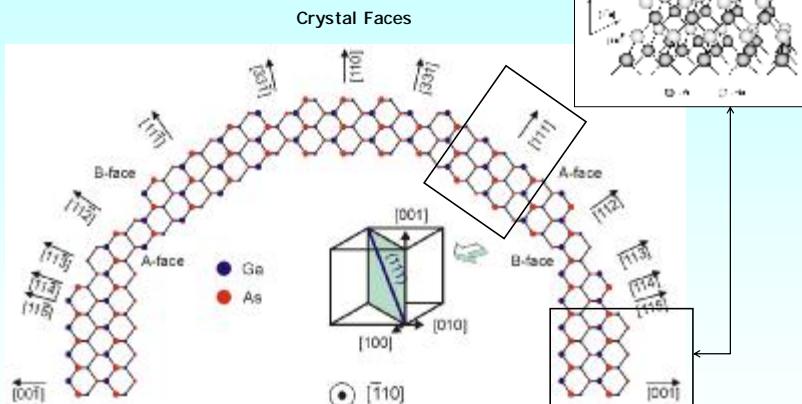


Lattice constants and optical properties vary for different III-Vs

III-V band gaps cover from infrared to ultraviolet wavelength

Variety of applications: LED, lasers, microelectronic devices, etc.

## Zinc blende surfaces

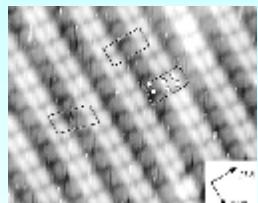


- Dangling bonds appear on truncated bulk crystal
- Surface energy is energy needed to cut bond to make a surface
- Surface energy is reduced by atomic rearrangement on a surface (re-bonding)

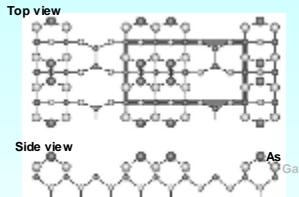
→ Atomic reconstruction has electronic nature

## STM images of GaAs surfaces

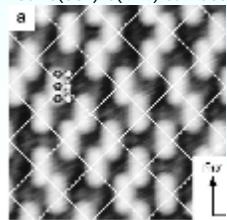
Scanning tunneling microscope image of  
GaAs(001)-(2x4) surface



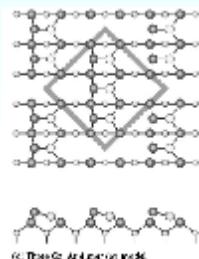
Structure model of GaAs(001)-(2x4) surface



GaAs(001)-c(4x4) surface



Structure model of GaAs(001)-c(4x4)- $\alpha$



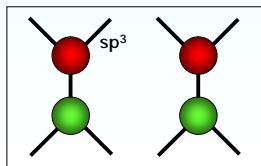
## Principles of surface reconstruction

Minimization of the surface free energy is a driving force of reconstruction

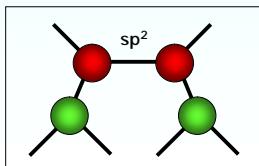
- The surface structure observed will be the lowest free-energy structure kinetically accessible under the preparation conditions.
  - III-V surfaces tend to be autocompensated: accumulate no charge on a surface
  - III-V surfaces tend to be semiconducting: to occupy VB states and empty CB states
- => Bonds do rehybridize and on a surface within a few atomic layers.

Surface motifs for (001) face

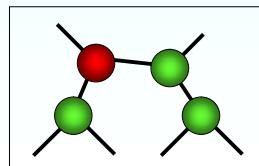
dangling bonds



homodimers

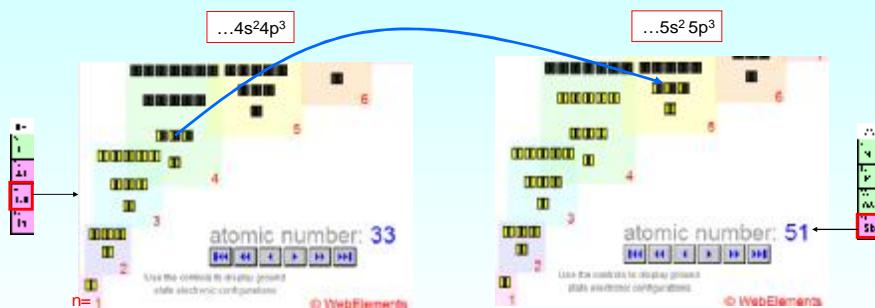


heterodimers



## Electron counting model (ECM)

### Electronic configuration of GaSb



III-V covalent bond forms by sharing valence electrons:

3 electrons (III) and 5 electrons (V)

## Electron counting

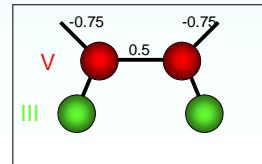
- There are 4 bonds per atom
- Group III elements – **cations**: 3/4 electrons per bond
- Group V elements – **anions**: 5/4 electrons per bond



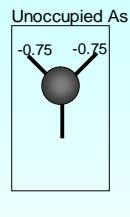
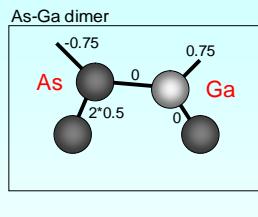
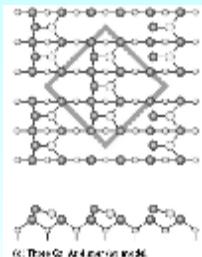
M.D. Pashley, PRB 40 (1989) 10418

**ECM states:**  
Within a surface unit cell  
Cation electronic states = anion states

	Partial Charge
Bond III-V	0
Bond III-III	-0.5
Bond V-V	0.5
DB III	0.75
DB V	-0.75



## Partial charge counting: GaAs(001)-c(4x4)



	Partial Charge
Bond III-V	0
Bond III-III	-0.5
Bond V-V	0.5
DB III	0.75
DB V	-0.75

Structure consist of

3 dimes:  $3 \times 1 = 3$   
2 unocc. As:  $2 \times (-1.5) = -3$

In total, 0 e

## Outline

### III-V semiconductor reconstructions

- Surface reconstruction principles

### Surface structure analysis of GaSb

- Temperature effects

## GaSb(111)A reconstructions

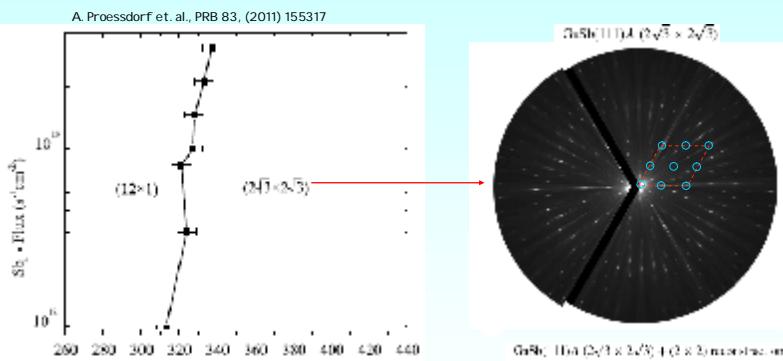
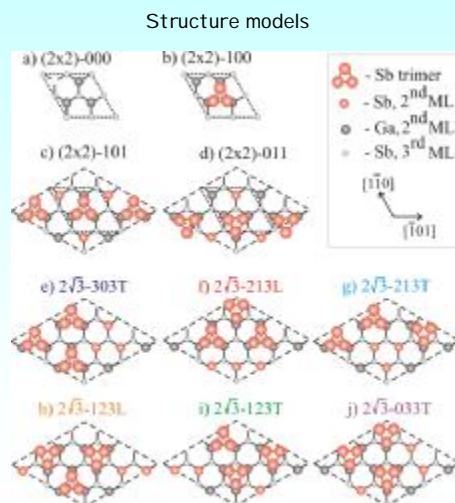


FIG. 5. Surface reconstruction phase diagram for the GaSb(111)A surface with Sb<sub>x</sub> flux plotted vs substrate temperature measured by a thermocouple.

## GaSb(111)A structure models



### Model building principles:

- $2\sqrt{3}$  unit cell size is fixed (from experiment).
- All models obey electron counting model (ECM)  
M.D. Pashley, PRB 40 (1989) 10418.
- Stoichiometry is varied: Sb-trimmers, Sb substitution sites, Ga-vacancies.

## Computational details

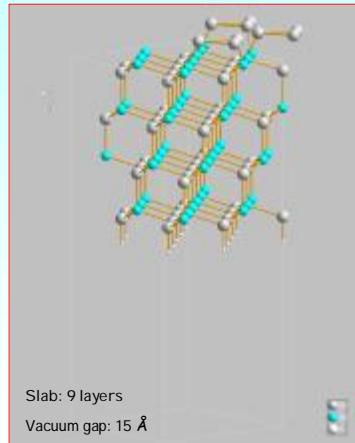
Total energy calculation within DFT  
 Local density approximation (LDA) for the exchange-correlation energy functional  
 Norm-conserving pseudopotentials, FHI-LDA  
 $2\sqrt{3}$  unit cell is used for all calculations  
 forces less than  $10^{-4}$  Ha/Bohr

Surface formation energy

$$\Delta \nabla A = E_{\text{tot}} - (n_{\text{Sb}} - n_{\text{Ga}})\Delta \mu_{\text{Sb}} - n_{\text{Sb}} \frac{\partial \mu_{\text{Sb}}}{\partial n_{\text{Sb}}}$$

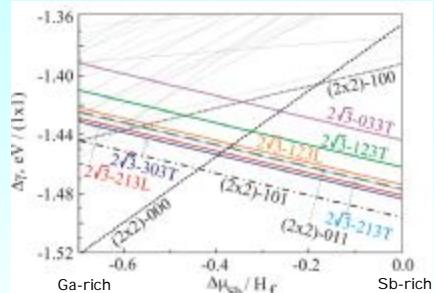
$$-H_f < \mu_{\text{Sb}} - \mu_{\text{Sb}}^{\text{bulk}} < 0$$

$E'_{\text{tot}}$  - total energy of slab  
 $n$  - number of atoms  
 $\mu$  - the chemical potential  
 $H_f$  - the heat of formation

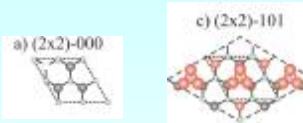


ABINIT code is used  
[www.abinit.org](http://www.abinit.org)

## Relative surface formation energy



Stable structures



- (2x2) models are stable in ground state
- Energy of the  $2\sqrt{3}$  structures is higher (>12 meV/1x1)

Why a  $2\sqrt{3}$  diffraction pattern is observed for GaSb(111)A ?

## Phase probability at elevated temperature

Partition function:

$$Z = \sum_i Z_i = \sum_i g_i \exp\left(-\frac{\Delta\gamma_i A}{k_B T}\right)$$

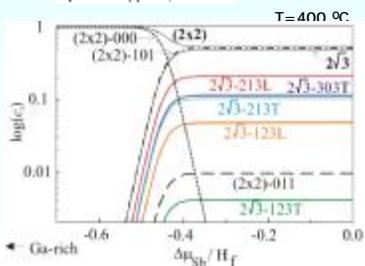
Degeneracy factor

$$g_i = f_s n_i m_i$$

$$f_s = \sigma_{(2x2)} / \sigma_r$$

Phase concentration:

$$c_i = Z_i / Z, i \in S$$



Total 203 concentration is about 30%

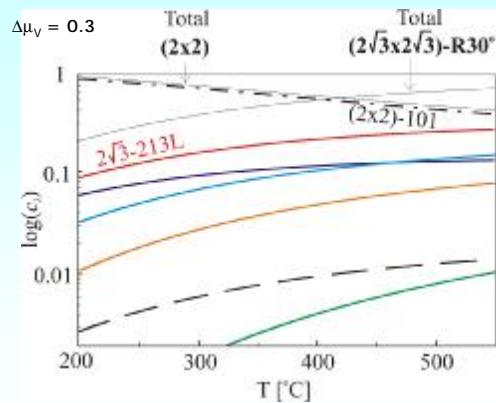
Table 1. Kinematical degeneracy, degeneracy factor  $g_i$ , and entropy of symmetry species  $\gamma_i$  and energy difference  $\Delta\gamma_i = \Delta\mu_{\text{Sb}} - \Delta\mu_{\text{As}}$  ( $\text{eV}^2 \times 10^3$ ) for an energy of  $400^\circ\text{C} \times 2 \times 10^{-4}$  eV  $\times 10^3$  and different ratios model. Model calculation made by software Lattice 1.15.

$\Delta\mu_{\text{Sb}}/\text{H}_f$	$\Delta\gamma_i$ ( $\text{eV}^2 \times 10^3$ )	$g_i$	$\ln(g_i)$	$\Delta\mu_{\text{As}}$ ( $\text{eV}^2 \times 10^3$ )
-0.6	14.36	1	0	8.79
-0.4	14.36	~10	~2.2	5.32
-0.2	14.36	~100	~4.2	3.17
0.0	15.20	~1000	~5.2	2.18

$g$  is higher for larger surface unit cells  
and with low symmetry

Romanyuk et al., PRB 82, (2010) 125315

## Phase probability at elevated temperature



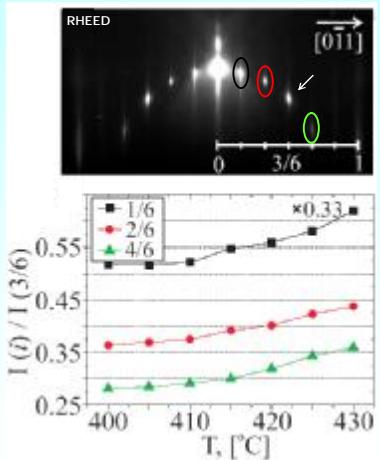
Romanyuk et al., PRB 82, (2010) 125315

## Experimental confirmation

- Temperature dependence of RHEED intensities is measured.
- cooling and heating with step  $0.05^\circ$  / sec at Sb-rich conditions
- $2\sqrt{3}$  rod:  $(1/6, 1/6)$ ,  $(2/6, 2/6)$ ,  $(4/6, 4/6)$
- $(2\times 2) + 2\sqrt{3}$  rod:  $(3/6, 3/6)$

I( $2\sqrt{3}$ ) / I( $2\times 2 + 2\sqrt{3}$ )

( $2\times 2$ ) phase concentration decreases in comparison with  $2\sqrt{3}$  phase concentration



Romanyuk et. al., PRB 82, (2010) 125315

## Entropic contributions

- Temperature effects

• GaSb(111)A  $2\sqrt{3}$  phases are stabilized by configurational entropy at elevated temperatures.

• Size and symmetry of the surface unit cell determine degeneracy factor  $g$

• The larger unit cell with a lower symmetry is favored by the configurational entropy.

## Outline

### III-V semiconductor reconstructions

- Surface reconstruction principles

### Surface structure analysis of GaSb

- Temperature effects

### Surface structure analysis of GaN

## Photoelectron spectroscopy laboratory

**ADES 400** – photoelectron spectrometer with hemispherical analyzer

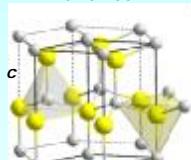


### Equipment

- X-ray lamp XR50 (SPECS), XPS
- UV lamp UVL-Hi (VG Microtech), UPS
- electron gun EGG-3101 (Kimball Physics), EPES, REELS, LEED
- Ion gun: AG2 (VG Scientific), IQE 12/38 (SPECS)
- mass spectrometer, Prisma (Pfeiffer-Balzers)
- manipulator. x,y,z, polar and azimuth angles
- evaporation cell EFM 3 (Omicron)

## GaN samples from Kyma

### Wurtzite



BN, AlN, GaN...

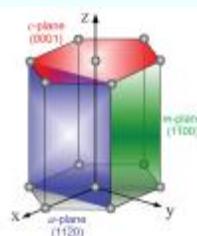
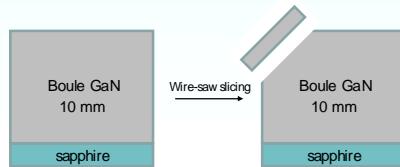
GaN is technologically important material for optoelectronics (LEDs, laser).

In-plane  $a$  and out-of-plane  $c$  lattice constants are different.

There is strong spontaneous polarization field due to different lengths of ionic covalent bonds. This decreases efficiency of the devices.

In zincblende crystals, all bond lengths and angles are similar -> total dipol moment vanishes.

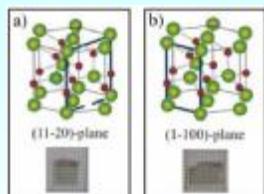
Polarization field could be reduced for non-polar and semipolar GaN faces



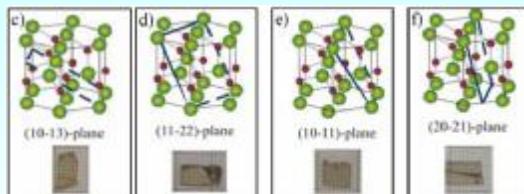
## GaN nonpolar and semi-polar surfaces

Collaboration with Kyma Tech. (USA) in 2010-2011

### Non-polar facets



### Semipolar facets



### Theoretical support

Angle-Resolving Electron Spectrometer (ADES-400)

Surface preparation: etching, ion sputtering, annealing

Surface analysis:  
Quantitative LEED, REELS, UPS, XPS, PED

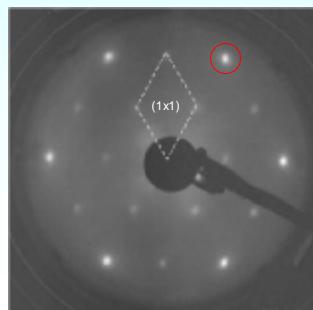
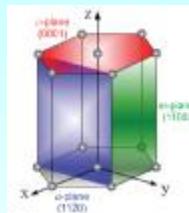
*Ab initio* DFT  
Band structures, DOS  
PED, LEED intensities

Optical response:  
dielectric function, optical constants

## Quantitative LEED analysis of GaN(000-1)

GaN(000-1) – polar surface

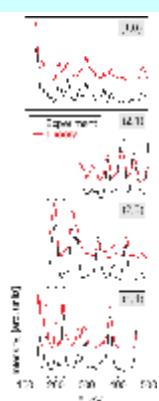
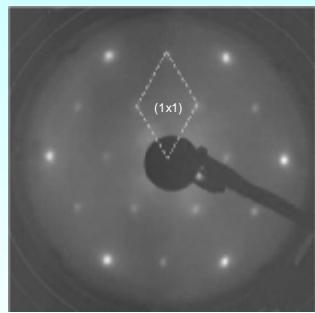
- Crystal was chemically cleaned
- Annealed in NH<sub>3</sub> at 1000 °C, 10<sup>-7</sup> Torr
- LEED intensity-voltage (I - V) curves were measured from 130 – 500 eV



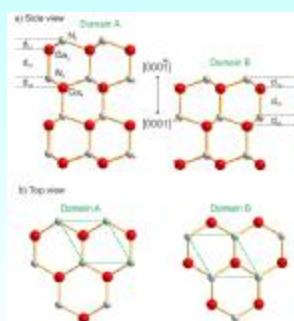
### Structure models:

- The bare GaN(0001), (000-1) models
- Adlayer of N (Ga) on top of (0001) or (000-1) surface

## Quantitative LEED analysis of GaN(000-1)



### Structure violates ECM



### R<sub>p</sub> reliability factors

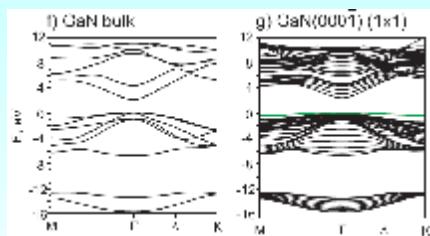
Zn(1)	Zn	Zn(2)	A <sub>p</sub>
24.11	1.21 ± 1.41	0.407	0.01 ± 0.01
1000121	3.05 ± 0.05	1000121	0.65 ± 0.11
1000125	1.9 ± 1.12	0.00 ± 0.00	0.51 ± 0.04

### Interlayer distances

Zn(1)	Zn(2)	Zn(3)	A <sub>p</sub>
1.5	0.52 ± 0.11 [0.37]	5.8	0.52 ± 0.11
2.8	1.59 ± 0.36 [2.34]	7.6	2.24 ± 0.36
3.1	1.44 ± 0.47 [0.92]	5.9	2.1 ± 0.46
		5.9	2.1 ± 0.46

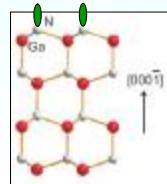
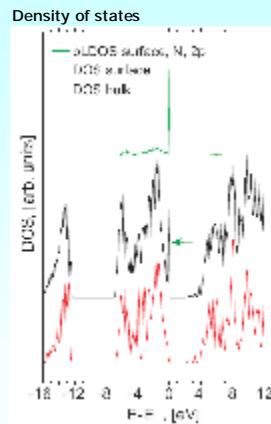
O. Romanyuk, et al., Surf.Sci. (2012)

## Electronic band structure of GaN(000-1) (1x1)



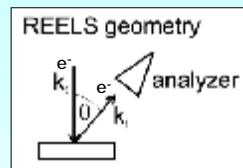
Surface state on a top of valence band is formed by N dangling bond

- DB occupation  $\frac{3}{4}$  electrons / bond
- 2p orbital may be saturated by H
- LEED I-V is not sensitive to detect H
- To fulfill ECM,  $2 \times 2$  cell is needed (3 H atoms and 1 N dangling bond)

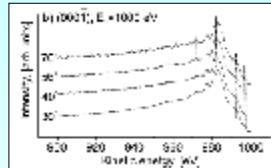


## Optical properties measurements

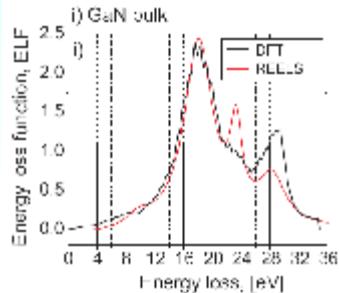
REELS measurements



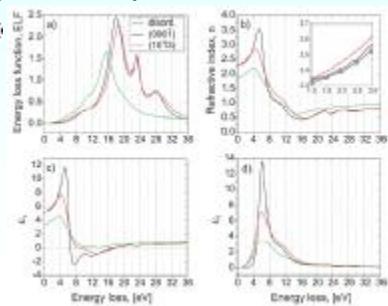
REELS spectra from GaN(000-1) (1x1)



Dielectric function by DFT



Optical constants by REELS: (000-1), (10-13), disord. GaN



O. Romanyuk, et al., J.Appl.Phys. 110 (2011) 043507

## Outline

### III-V semiconductor reconstructions

- Surface reconstruction principles

### Surface structure analysis of GaSb

- Temperature effects

### Surface structure analysis of GaN

## Biocompatibility of semiconductors

### Biocompatibility of semiconductors. Literature review

#### Goals:

- Control over physiological activities in the body by chips
- To find biocompatible materials with a potential to interact with biological systems on molecular scale
- Biocompatible electrodes are required
- Biocompatibility -> long term implants
- To implement signal transmission between microelectronics and biological systems

#### Analogies with biological systems:

- Electrical circuit in material world -> neural network in biological systems
- Neural cells are electroactive -> potentially could be interacted and governed by chips

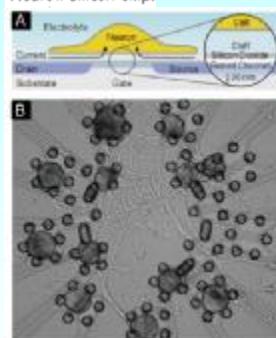
## Biocompatibility of semiconductors. Literature review

Neuronal transistor in gloved hand



This chip contains the first two-way communication link to be established between a living nerve cell and a silicon chip. The breakthrough, made by German biophysicist Peter Fromherz (Max Planck Ins. of Biochem.), in 1995. No electric current flows across the junction. Instead, the chip induces a charge inside the cell, which makes it "fire".

Neuron silicon chip.



Y. Cui, et.al, Science 2001, 293, 1289

Adv. Mater. 2009, 21, 3970–4004

## Si wafers Literature review

Si is toxic for biological systems and surfaces need to be biofunctionalized to promote a cell adhesion

Coating the surface with bioactive molecules such as polylysine or laminin, plasma treatment.

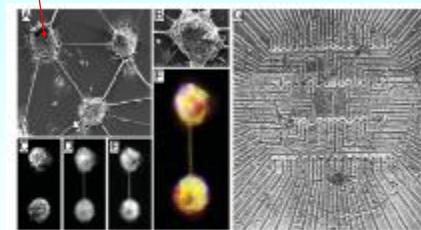
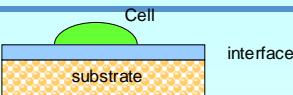


Figure 5. A) A SEM image of an organoid-derived neural network. The dashed circles mark the periyavine islands used to define the network. B) Each of the cell clusters contains hundreds of cells and interdigitating 1 and 2 layer bundles. C-F) Multiple staining of two interconnected clusters. C) DiIPL cell nuclei. D) PIP-kt, the bundle connecting them is composed of axons and dendrites. E) MAP2, neurons. F) red amine green, dendrite-free, cell body. The yellow color arises from co-localization of green and red fluorescence (from [51]). G) Neuronal network made from 76 neurons growing on the mesh of standard 64-electrodes. Adapted with permission from [37b]. Copyright 1994, Academic Press.

Adv. Mater. 2009, 21, 3970–4004

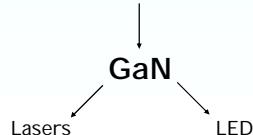


### Types of interaction with cells:

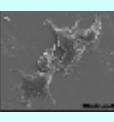
- Electrical: current, contacts
- Optical: photostimulation by light

DOI: 10.1002/adma.200901213  
Published online in Wiley Online Library (wileyonlinelibrary.com) © 2009 Wiley Periodicals, Inc.

### Light-induced action potentials



## GaN wafers Literature review

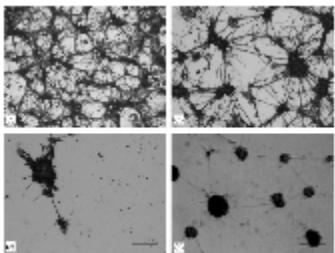
Gallium nitride is biocompatible and non-toxic before and after functionalization with peptides

Scott A. Jewett<sup>a,1</sup>, Matthew S. Makowski<sup>a,b,1</sup>, Benjamin Andrews<sup>c</sup>, Michael J. Manfra<sup>d</sup>, Alibena Ivanisevic<sup>a,\*</sup>

**Biomaterials**  Assessment of GaN chips for culturing cerebellar granule neurons

Tai-Hsiung Yenng<sup>a</sup>, Chi-Rui Chen<sup>b</sup>

*Biomater 27 (2006) 3261–3267*

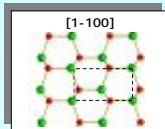
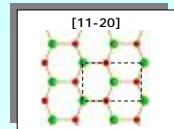


Neurons networks were found more dense on GaN than on Si substrates

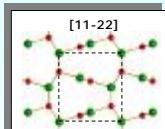
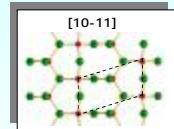
GaN substrate is more suitable for cell growth than Si

DOI: 10.1016/j.biomater.2006.03.030 © 2006 Elsevier Ltd. All rights reserved.

## Variety of the GaN samples on a desk

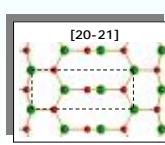
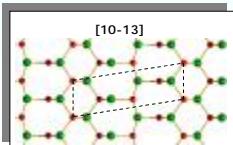


- Surface atomic structure study
- Electronic structure study



Potential substrates for optoelectronic devices with high efficiency

- Surface functionalization mechanism study on a microscopic level
- Absorption O, H, NH<sub>x</sub> molecules
- Absorption organic molecules (glycin: C<sub>2</sub>H<sub>5</sub>NO<sub>2</sub>) as a part of biocompatibility research



## Conclusions

- The surface structure observed will be the lowest free-energy structure kinetically accessible under the preparation conditions.
- Surface structure of III-V semiconductors (zincblende) obey ECM: number of anion electronic states have to be equal to the number of cation electronic states.
- Configurational entropy play a crucial role especially for the phases with a small energy difference but with different unit cell size. The larger unit cell with a lower symmetry is favored by the configurational entropy.
- Polar GaN(000-1) was studied by LEED I-V curves technique and DFT calculations. We determined a surface polarity, atomic structure and optical properties of the GaN surface.
- GaN is perspective semiconductor for biological applications. Non-polar and semipolar surfaces provide variety of structural properties that have to be analyzed in the nearest future.

## Acknowledgments

**P. Jiříček, J. Zemek**

Institute of Physics, ASCR, Prague

**F. Grosse, A. Proessdorf, V. Kaganer, W. Braun**

Paul-Drude-Institut für Festkörperelektronik, Berlin, Germany

**T. Paskova**

The North Carolina Univ., USA



# Measurement of the magnetic hysteresis and Barkhausen noise at controlable magnetic conditions

Oleksandr Stupakov

*Institute of Physics ASCR, Na Slovance 2,  
Prague, Czech republic*

## Magnetic measurements

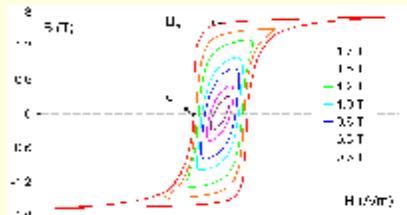
$$B/M = f(H)$$

Inductive method –  $dH/dt \neq 0$

Faraday's law:

$$U_{ind} = -n \cdot d\Phi/dt = -nS \cdot dB/dt$$

$n$  is number of induction windings,  
 $\Phi$  is magnetic flux,  
 $S$  is sample cross-section,  
 $B$  is magnetic induction



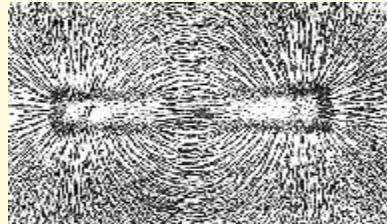
## Magnetic field determination

Technical way –  
current field method

$$H_i = NI/l_m$$

$N$  is number of magnetizing windings,  
 $I$  is magnetization current,  
 $l_m$  is effective magnetic path

- demagnetization factor  $\times M$



## Electrical steels

are the most important magnetic materials produced today (efficient flux multipliers).

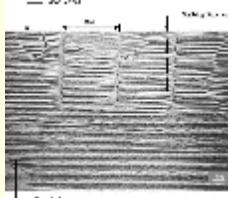
• **Grain oriented (GO) steels** - 3% Si-Fe alloys with grain orientation and very low power loss and high permeability in rolling direction (high anisotropy – transformers).

• **Non-oriented (NO) steels** - have similar magnetic properties in all directions (motors, generators, alternators, ballasts, small transformers)



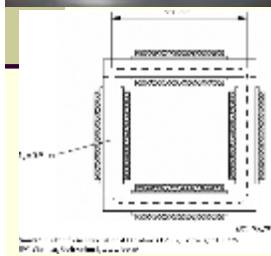
## Electrical steels

- **Norman P. Goss** (1906–77), inventor and researcher from Ohio, USA, in 1935 he patented a heat treatment method to obtain grain-oriented electrical steel ⇒ protected technology
- **Lamination coating** ⇒ to increase electrical resistance between laminations, reducing eddy currents, to provide resistance to corrosion ⇒ introduced stress improves the magnetic properties.
- **Laser scribing, amorphous/nanocrystalline ribbon**
- **50 Hz sinusoidal magnetization, hysteresis loss**



## Standard techniques

### Epstein



- 1) Epstein frame: > century old, high accuracy ⇔ expensive, time consuming
- 2) Single Sheet Tester: EU initiative to simplify the technique ⇔ no exact coincidence but good linear correlation with Epstein data ⇒ different “design” sources of field determination error

### Single Sheet Tester (SST)

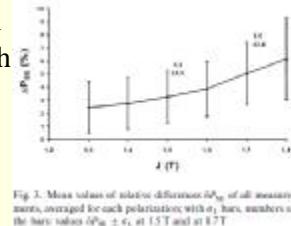
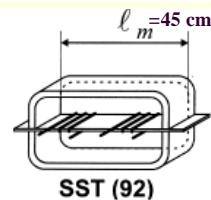
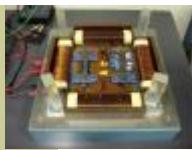


Fig. 3. Mean values of relative difference  $\Delta P_M$  of all measurements, averaged for each polarization, with  $\sigma_1$  bars, numbers of the bars: values  $\Delta P_M \leq \epsilon_1$  at 1.5 T and at 1.7 T



## Standard techniques



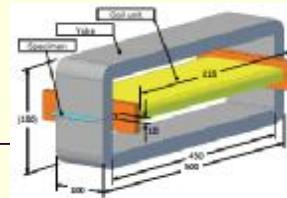
Cumbersome quasi-closed circuits of both standard instruments are required for high repeatability of the results (measurement error is 2–3%, bad contact can lead to 5-10% error): they keep

- the induction waveform of the sinusoidal shape in accordance with standard requirement and application conditions
- the current field method valid (field is proportional to current)

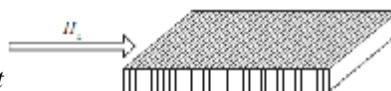
### Problems:

- Different “design” sources of field determination error  $\Rightarrow$  No exact match between Epstein and SST data  $\Rightarrow$  slow replacement of the outdated Epstein with SST in practice.
- Standard procedures cannot be adapted for continuous online testing on a production line.

## SST with H-coil

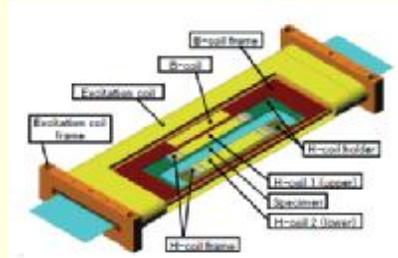


### Tangential field sensor (flat H-coil sensor)



$$U_{ind} = -n \cdot d\Phi / dt = -nSm_0 \cdot dH / dt$$

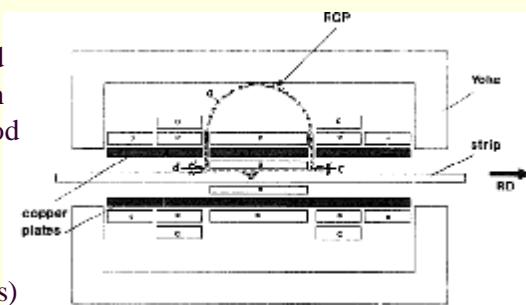
- $0.5 \times 25 \times 25$  mm, 400 turns of  $\varnothing 0.05$  mm wire  $\Rightarrow$  sensitivity of  $\sim 3 \mu\text{V} (\text{A/m})^{-1}$
- complexity of preparation and calibration
- large size
- accurate integration of a weak induced voltage



## Compensation field method

Rogowski–Chattcock potentiometer evaluates a magnitude of the magnetization imperfection ⇒ An analog feedback loop supplies a correction current to additional magnetization coils in order to minimize the potentiometer signal ⇒ It adjusts the magnetization process to the ideal condition of the closed magnetic circuit, when the current field method can be applied

(Czech Technical University, Prof. V.Havlíček, end of 80s)



## Portable SST



## Rotational SST

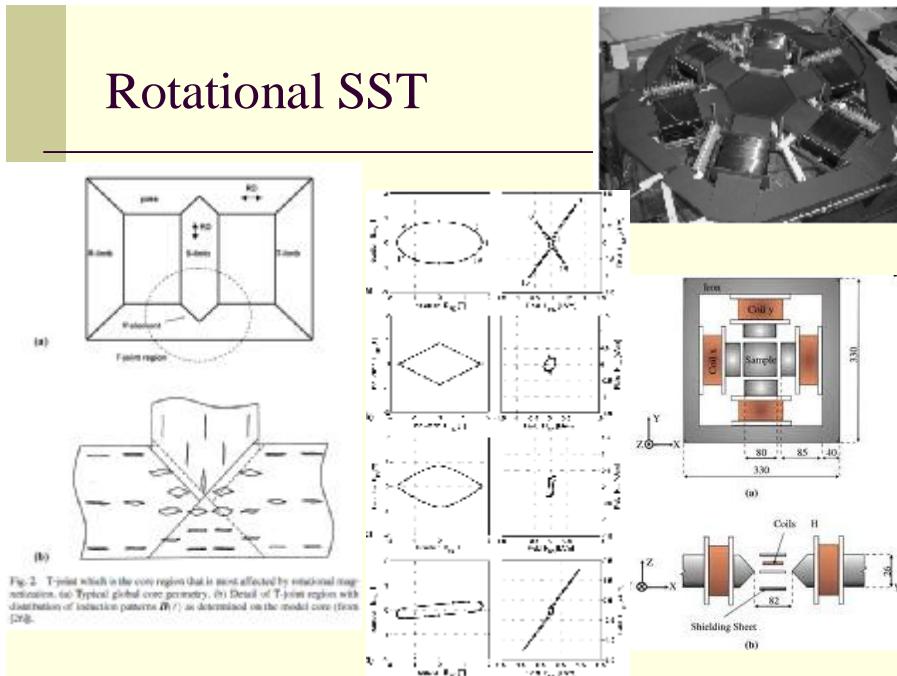
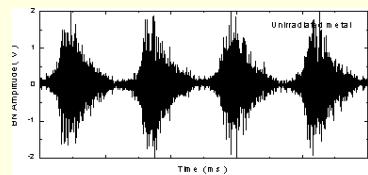
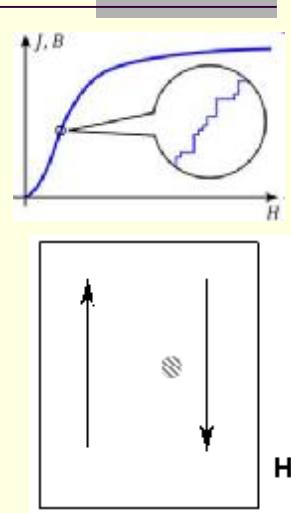


Fig. 2: T-joint which is the core region that is most affected by rotational magnetization. (a) Typical global core geometry, (b) Detail of T-joint region with distribution of induction patterns ( $B_z$ ) as determined on the model core (from [20]).

## Barkhausen noise (BN)

Discovered by German physicist Heinrich Barkhausen in 1919  $\Rightarrow$  loudspeaker connected to the induction coil showed acoustic noise  $\Rightarrow$  first experimental verification of ferromagnetic domains postulated theoretically (discrete irreversible changes of magnetic moments)



## Introduction: drawbacks of BN



Ø Main problem is high instability of BN technique with respect to experimental conditions / parameters and sensor unit design.

À this problem is usually solved in a technical way: the measurement system is adapted to a certain industrial task to provide relatively stable results for a specific experimental configuration.

À There is a lack of general understanding and interpretation of the BN effect  $\Rightarrow$  physical research should be done?

**µScan 600**  
Micro-computer based UST® Barkhausen Noise Analyzer

An advanced multi-parameter Barkhausen Noise Analyzer

- Develop and optimize new BNA applications
- Analyze depth using frequency filtering
- Evaluate stress and microstructure changes using several functions and parameters
- Switch freely between real-time (Roflscan) and off-line (µSCAN) modes
- Learn more about Barkhausen Noise Phenomenon

## Introduction: nature of BN

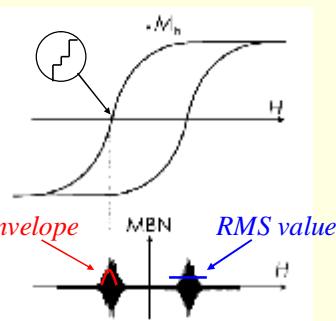
Ø BN is assumed to provide a complex *surface* micro-magnetic response

Ø and to contain more information than macroscopic hysteresis data

À the challenge is to read and to interpret the micro-magnetic BN data  
 $\Rightarrow$  BN is of stochastic nature so only the average properties are reproducible

$\Rightarrow$  BN provides qualitatively similar response to magnetic hysteresis measurements, but level of the BN signal is not normalized to any physically based value, such as a magnetic induction.

Hysteresis measurements

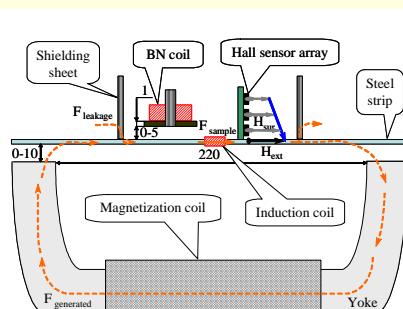


Barkhausen noise measurements

# Experiment

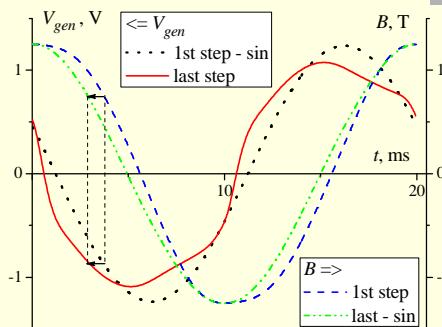


- Ø Surface field measurement – extrapolation of tangential field profile ( $H_i \sim I$ ,  $H_{sur}$ ,  $H_{ext}$ : field shielding)
- Ø Magnetization condition control (induction waveform for hysteresis testing, surface field – BN)
- Ø Three stage control:  $B_{max}$  amplitude,  $H_{max}$  symmetry and the  $B(t)/H(t)$  waveform.
- Ø BN surface pancake coil



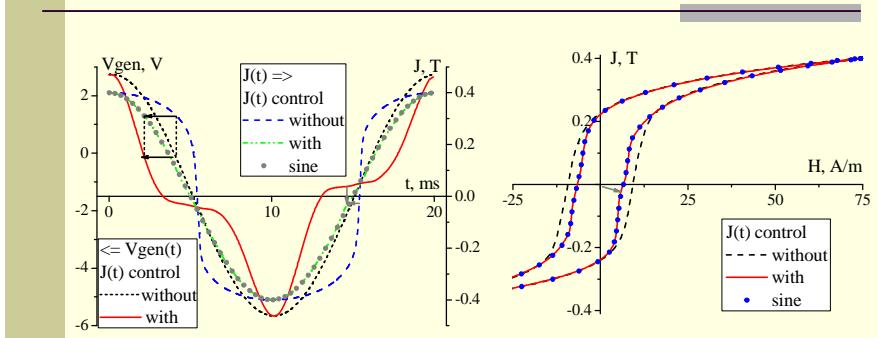
*Scheme of measurement setup  
(shown sizes are in mm).*

## Digital feedback for $B(t)$ control



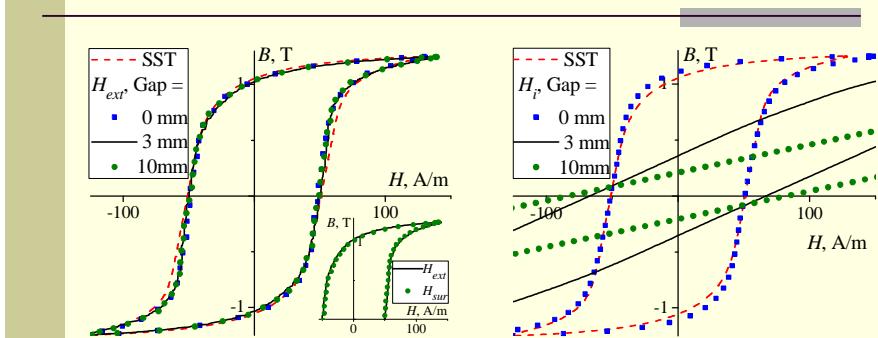
*Magnetization voltages  $V_{gen}$  (left axis) and measured magnetic inductions  $B$  (right axis) at the first and the last iteration steps of the digital feedback algorithm, respectively. Principle of the  $V_{gen}$  re-sampling is illustrated by arrows (electrical steel).*

## Digital feedback for $B(t)$ control



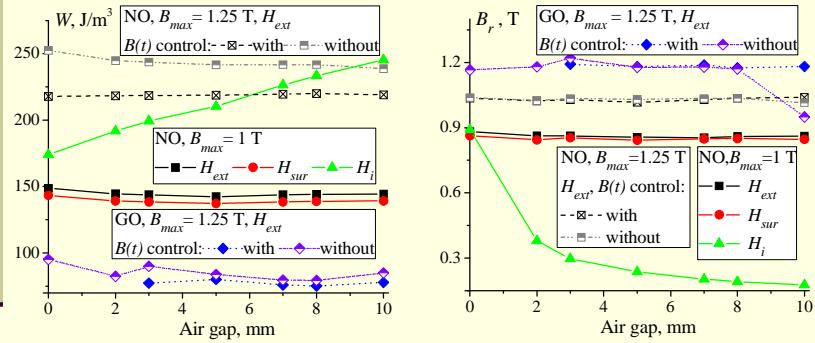
(Left) Same waveforms of  $V_{gen}(t)$  (left axis) and magnetization  $J(t)$  (right axis) for the measurements of amorphous ribbon in solenoid. (Right) The corresponding hysteresis loops measured with and without the  $J(t)$  waveform control.

## Stability with air gap



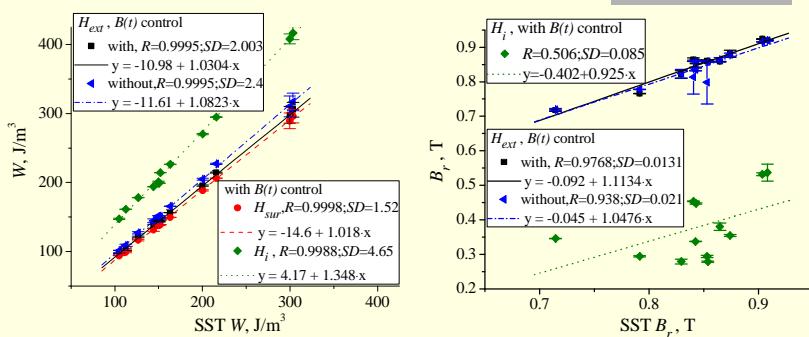
Typical hysteresis loops of a NO steel measured by the standard SST and the single-yoke setup with different air gaps as functions of the extrapolated  $H_{ext}$  (left figure) and the current  $H_i$  (right figure) fields. The inset shows the  $B(H_{ext})$  and  $B(H_{sur})$  loops.

## Stability with air gap



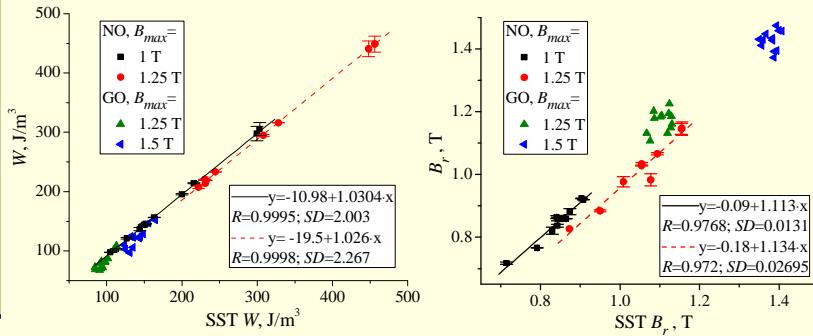
*Stability of the hysteresis loss  $W$  (left figure) and the remanent induction  $B_r$  (right figure) with the air gap for typical NO and GO steels and different experimental conditions.*

## Correlations with SST



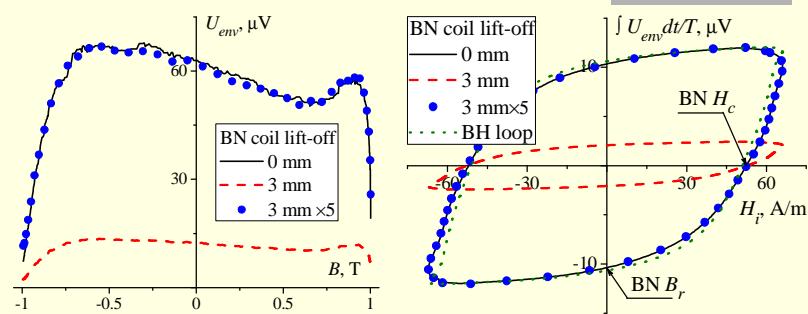
*Relations of the hysteresis loss  $W$  (left figure) and the remanent induction  $B_r$  (right figure) with the correspondent SST parameters for the NO steels and  $B_{max} = 1$  T. The error bars are evaluated as the standard error of two identical tests from the opposite sample sides.*

## Correlations with SST



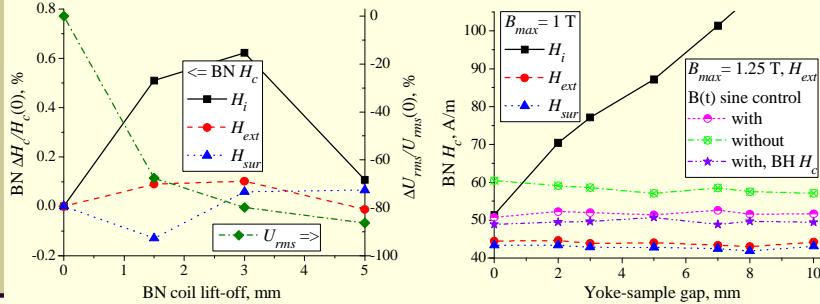
Relations of the hysteresis loss  $W$  (left figure) and the remanent induction  $B_r$  (right figure) with the corresponding SST parameters for both steel series and induction amplitudes  $B_{max}$ . The curves are shown for the  $H_{ext}$  field method with the  $B(t)$  waveform control.

## BN coil lift-off



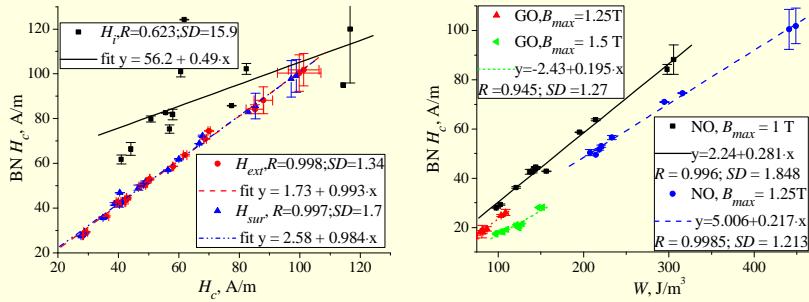
Left: Typical BN envelopes of a NO steel versus the magnetic induction  $B$ . The circular symbols present the data for the 3 mm lift-off scaled up to 5 times. Right: The corresponding BN loops (time integrals of the BN envelope) versus  $H_r$ . The hysteresis loop with normalized induction axis is also shown for comparison.

## BN coil lift-off, yoke gap



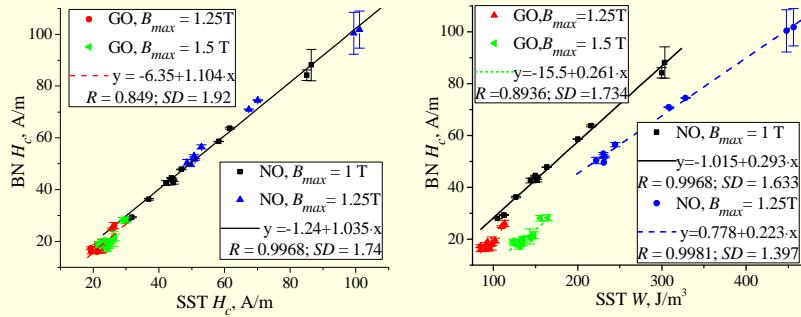
Left: Normalized relative changes of BN  $H_c$  (left scale) and BN rms values,  $U_{rms}$ , (right scale) with BN coil lift-off. Right: Variations of BN  $H_c$  with the gap between the yoke and the sample.

## BN $H_c \hat{\cup}$ BH $H_c$ -W correlations



Relations of BN  $H_c$  with the hysteresis coercivity  $H_c$  (left fig.) and losses  $W$  (right fig.) measured in the single yoke configuration. In the left fig. the data for the NO steels and three field methods are shown; in the right fig. the  $H_{ext}$  data are shown for the both steels and  $B_{max}$ .

## BN $H_c$ $\hat{\cup}$ BH $H_c$ -W correlations



Relations of BN  $H_c$  with the SST coercive force  $H_c$  (left figure) and the SST hysteresis losses  $W$  (right figure). The  $H_{ext}$  field data are shown for the NO and the GO steels measured at the different  $B_{max}$ .

## Conclusions

- ⦿ The physically based measurements with control of the induction waveform and simultaneous direct determination of the magnetic field provide stable results with an acceptable linear correlation to the standard SST data.
- ⦿ Simultaneous *local* measurements of surface field and BN signal stabilize the BN coercivity. It shows high stability to variations of the experimental conditions and strong linear correlations to the hysteresis coercive force and losses.
- ⦿ Practical implementation requires a low-noise field sensor with the sensitive area up to several cm in size.
- ⦿ The linear correlation with SST data is non perfect: the slopes are close to unit, but the offsets are non-zero and dependent on the tested magnetic parameter and the induction amplitude.

## Acknowledgments

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*Thank You for Your attention*

*The author appreciates the financial support of the Czech  
Science Foundation (GACR) under the postdoctoral project  
no. 102/09/P108.*

*Contact: [stupak@fzu.cz](mailto:stupak@fzu.cz), <http://www.fzu.cz/~stupak>*

# PHOTONIC STRUCTURES AND THEIR PREPARATION

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Czech Republic*

## Abstract

Photonic crystals are periodically structured electromagnetic media, generally possessing photonic band gaps: ranges of frequency in which light cannot propagate through the structure. This periodicity, which length scale is proportional to the wavelength of light in the band gap, is the electromagnetic analogue of a crystalline atomic lattice, where the latter acts on the electron wavefunction to produce the familiar band gaps of semiconductors, and so on, in solid-state physics [1]. Several examples of organisms and structures possessing photonic crystals and iridescence are visible all around us (*Morpho didius*, *Pavo cristatus*, *Chrysochroa vittata*, *Chrysina resplendens*, ...) [2].

Photonic crystals are attractive optical materials for controlling and manipulating the flow of light. One dimensional photonic crystals are already in widespread use in the form of thin film optics with applications ranging from low and high reflection coatings on lenses and mirrors to color changing paints and inks. Higher dimensional photonic crystals are of great interest for both fundamental and applied research, and the two dimensional ones are beginning to find commercial applications. The first commercial products involving two-dimensionally periodic photonic crystals are already available in the form of photonic crystal fibers, which use a microscale structure to confine light with radically different characteristics compared to conventional optical fiber for applications in nonlinear devices and guiding exotic wavelengths. The three-dimensional counterparts are still far from commercialization but offer additional features possibly leading to new device concepts (e.g. optical computers), when some technological aspects such as manufacturability and principal difficulties such as disorder are under control [3]. In 1996, Thomas Krauss made the first demonstration of a two-dimensional photonic crystal at optical wavelengths (800–900 nm) [4].

Present contribution deals with the preparation of two-dimensional photonic crystal (2D-PhC) on a nanocrystalline diamond film [5]. Diamond is a material with excellent optical properties and therefore its applicability in photonics can be expected [6]. In addition diamond-based materials exhibit an unique combination of physical properties, such as extreme hardness, high acoustic velocity, high breakdown field, high thermal conductivity, and many others [7]. Hence diamond can support high optical power and high electrical current and can serve as a matrix for various light sources, such as quantum dots. The photoluminescence efficiency of the embedded light source can be enhanced by periodical nanopatterning of diamond layer if the PhC dimensions are carefully modeled.

Modeling of the photonic crystals dimensions was done by RSoft DiffractMOD software. The NCD films were grown by microwave plasma-assisted chemical vapor deposition (CVD) using an ellipsoidal cavity resonator (Aixtron P6, GmbH). Before the CVD growth, high quality quartz substrates (UQG, Ultrasil, 10x10x1 mm<sup>3</sup>) were cleaned in isopropyl alcohol and dried by a nitrogen gun. Then, they were seeded in a liquid suspension of ultradispersed detonation diamond (UDD) powder with an average size of *ca.* 5-10 nm in diameter (NanoAmundo, New Metals and Chemicals Corp. Ltd., Kyobashi) using an ultrasonic treatment procedure for 40 min. The NCD films were grown in hydrogen (99%) and methane (1%) based gas mixture. The CVD process parameters were as follows:

microwave power 2.5 kW, total gas pressure 50 mbar and the substrate temperature 570 °C. 2D-PhC was fabricated as follows: the NCD films were coated with electron sensitive polymer (PMMA, 100-120 nm in thickness). The PMMA polymer was nanopatterned by electron beam lithography (EBL) using “e-LiNE system” (Raith GmbH) forming the base matrix with regularly repeated openings ordered into a square lattice with a various lattice constants. Then, Au layer of 70 nm thickness was evaporated and processed by lift-off strategy to form a masking matrix. Plasma etching by using capacitively coupled RF-plasma in a CF<sub>4</sub>/O<sub>2</sub> gas mixture (Phantom LT RIE System, Trion Technology) led to formation of geometrically ordered nanopillars (PhC structure) with the surface area of 1x1 mm<sup>2</sup>.

The diamond character of films was investigated by Renishaw InVia Reflex Raman spectrometer with the excitation wavelength 442 nm. The surface morphology and grain size of deposited diamond films were investigated by scanning electron microscopy (SEM, e\_LiNE writer, Raith GmbH). Atomic force microscopy (AFM) images were taken in tapping mode using silicon tip Multi75Al. The film thickness was evaluated from the interference fringes in the reflectance spectra measured in visible and near infrared region using the IR-Plan Advantage Spectra-Tech microscope (space resolution 100µm) equipped with 20W tungsten halogen lamp as a light source, BWTEK BTC112E TE Cooled CCD array spectrometer (spectral range 400 – 1000 nm) and a commercial software for modeling the optical properties of thin films (FilmWizard).

Photonic properties of the PhC sample were probed by illuminating the PhC along the  $\Gamma$ -X direction with the collimated white light incident at the angle varying from 0 to 25° where 0 is the angle normal to the sample plane. The transmitted light was then collected by an optical fiber. The incident light interacts with the periodicity and in case the phase-matching condition is fulfilled it can couple into the leaky modes of the PhC which is revealed in the transmission spectra by the deep minima at the spectral positions of leaky modes. The photonic band diagrams of leaky modes shown for the S- and P-polarized light were created by converting the angle-resolved transmission spectra into a 2D map of transmission efficiency. Photonic bands can be clearly recognized originating at the  $\Gamma$ -point at different wavelengths which depends on the dimensions of the PhC. The agreement of the experiment with a simulation was verified.

In summary we described the preparation of diamond-based PhC structures and showed that by engineering dimensions of the PhC the spectral position of the resonances can be tuned.

**Acknowledgement:** This work was supported by the grants P108/11/0794, GAAV (Grant No. KJB100100903), MSMT LH12236 and Purkyne Fellowship. This work was carried out in frame of the LNSM infrastructure.

- [1] <http://ab-initio.mit.edu/photons/tutorial/photonic-intro.pdf>
- [2] [http://www.viewsfromscience.com/documents/webpages/natural\\_photonics\\_p1.html](http://www.viewsfromscience.com/documents/webpages/natural_photonics_p1.html)
- [3] [http://en.wikipedia.org/wiki/Photonic\\_crystal](http://en.wikipedia.org/wiki/Photonic_crystal)
- [4] T. F. Krauss, R. M. DeLaRue, S. Brand, *Nature* 383 (6602), (1996), pp. 699–702
- [5] L. Ondič, K. Dohnalová, M. Ledinský, A. Kromka, O. Babchenko, B. Rezek, *ACS Nano* 5, (2011), pp. 346-350
- [6] I. Aharonovich, A.D. Greentree, S. Prawer, *Nature Photonics* 5, (2011), pp. 397–405
- [7] C. E. Nebel, *Nat. Mater.* 2, (2003), pp. 431–432

## Photonic structures and their preparation

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<sup>2</sup>Institute of Electronics and Photonics, FEI STU, Ilkovičova 3, SK-812 19 Bratislava

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1

## Content

### 1. Introduction

- photonic structures in nature
- photonic structures in technology
- requirements for photonic crystals

### 2. Experimental part

- diamond film deposition
- sample characterization
- sample preparation - Electron Beam Lithography
  - metal evaporation – masking matrix
  - plasma etching process

### 3. Results

- PhC transmission

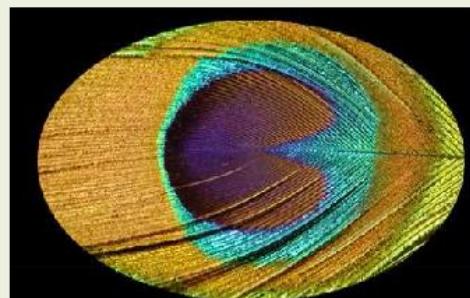
### 4. Summary

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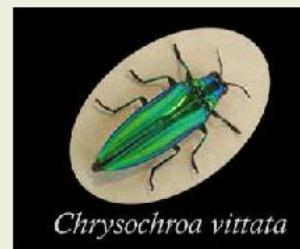
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2

## Photonic structures in nature



*Pavo cristatus (feather)*



*Chrysochroa vittata*



*Chrysina resplendens*



*Morpho didius*

[http://www.viewsfromscience.com/documents/webpages/natural\\_photonics\\_p1.html](http://www.viewsfromscience.com/documents/webpages/natural_photonics_p1.html)

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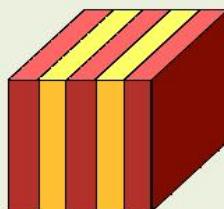
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3

1. Introduction

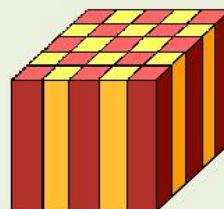
## Photonic structures in technology

1-D



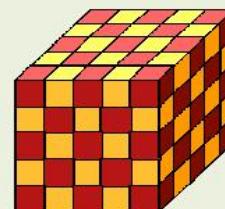
Periodic in one direction

2-D

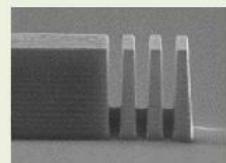


Periodic in two directions

3-D



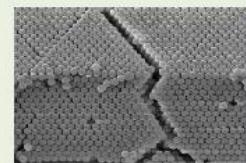
Periodic in three directions



Bragg reflectors



2D photonic crystals & Ph. Crystal fibres



Silica crystals

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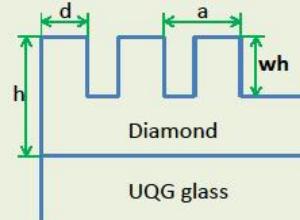
4

1. Introduction

## Requirements for photonic crystals

### SET 1

	A	B	C
h (nm)	137	137	137
d (nm)	206	206	206
a (nm)	343	343	343
wh (nm)	40	60	120



### SET 2

	A	B	C
h (nm)	165	165	155
d (nm)	200	200	200
a (nm)	390	330	345
wh (nm)	100	100	55

h – diamond layer thickness  
 a - lattice constant  
 d - diameter of nanopillar  
 wh – nanopillar height

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5

1. Introduction

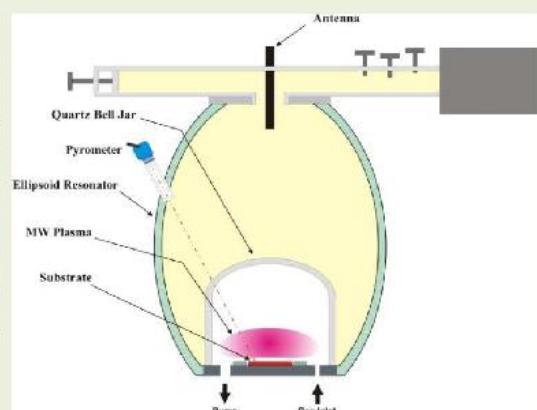
## Diamond film deposition

### Deposition conditions – AIXTRON P6

Substrate	Time (min)	Pressure (mbar)	H <sub>2</sub> (sccm)	CH <sub>4</sub> (sccm)	Ratio H <sub>2</sub> :CH <sub>4</sub> (%)	Power (W)	Temperature (°C)
UQG	80	50	300	3	1	2500	570



Photo image of Aixtron P6



Schematic view of MWCVD ellipsoidal cavity reactor Aixtron P6

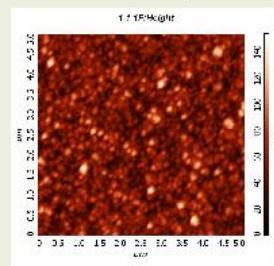
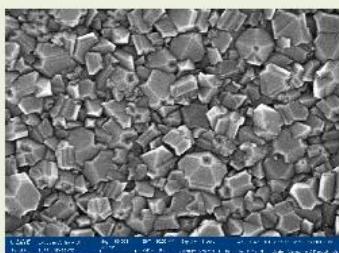
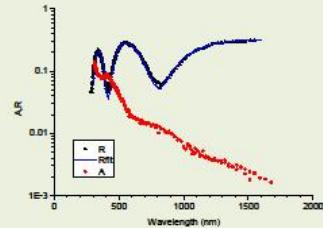
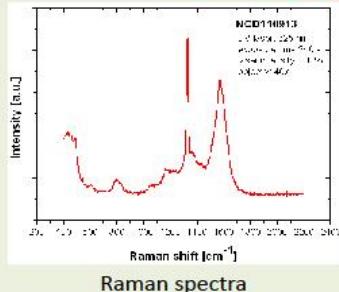
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6

2. Experimental part

## Sample characterization



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7

2. Experimental part

## Electron Beam Lithography



- NCD film coated with electron sensitive polymer (PMMA – circa 110 nm in thickness)
- forming the base matrix with regularly repeated openings
- ordering into a square lattice with a lattice constant based on requirements
- EBL processing – not easy to estimate process parameters for achieving required diameters (mainly depends on layer roughness and lattice constant)

### SET 1

	A	B	C
Required - d (nm)	206	206	206
EBL (pAs/dot)	0,05	0,06	0,07
EBL - d (nm)	165	200	230

### SET 2

	A	B	C
Required - d (nm)	200	200	200
EBL (pAs/dot)	0,1	0,085	0,09
EBL - d (nm)	215	220	210

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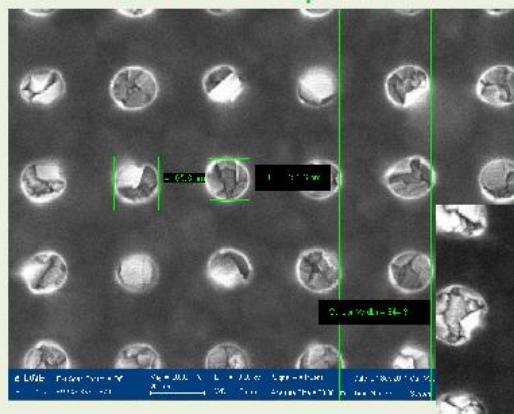
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8

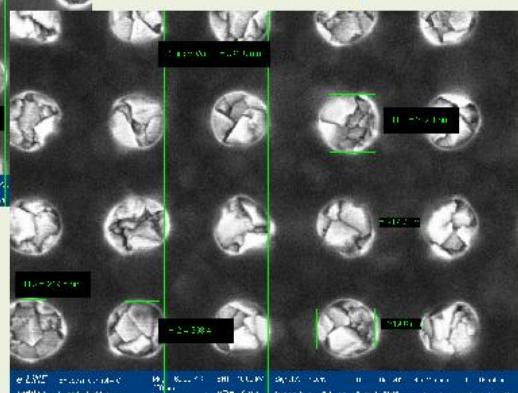
2. Experimental part

## Electron Beam Lithography examples

### SET 1 – sample A



### SET 2 – sample A



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9

2. Experimental part

## Masking – metal evaporation – lift off

- gold layer of 70 nm thickness was evaporated and processed by lift-off strategy to form a masking matrix

### SET 1

	A	B	C
Required - d (nm)	206	206	206
EBL - d (nm)	165	200	230
Au mask - d (nm)	100	130	130

### SET 2

	A	B	C
Required - d (nm)	200	200	200
EBL - d (nm)	215	220	210
Au mask - d (nm)	130	150	130

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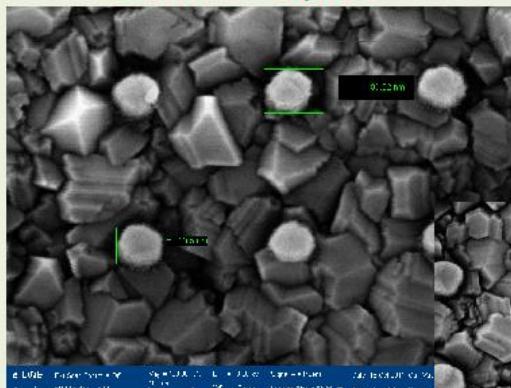
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10

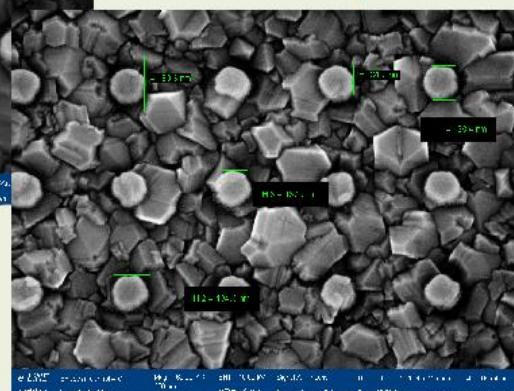
2. Experimental part

## Au masking matrix

### SET 1 – sample A



### SET 2 – sample A



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11

2. Experimental part

## Etching process

- plasma etching by using capacitively coupled RF-plasma in a  $\text{CF}_4/\text{O}_2$  gas mixture led to formation of geometrically ordered nanopillars
- Phantom LT RIE System, Trion Technology

### SET 1

	A	B	C
Required - wh (nm)	40	60	120
Etched - wh (nm)	45	60	120
Required - d (nm)	206	206	206
EBL - d (nm)	165	200	230
Au mask - d (nm)	100	130	130
Etched - d (nm)	150	160	190

### SET 2

	A	B	C
Required - wh (nm)	100	100	55
Etched - wh (nm)	100	130	55
Required - d (nm)	200	200	200
EBL - d (nm)	215	220	210
Au mask - d (nm)	130	150	130
Etched - d (nm)	165	175	155

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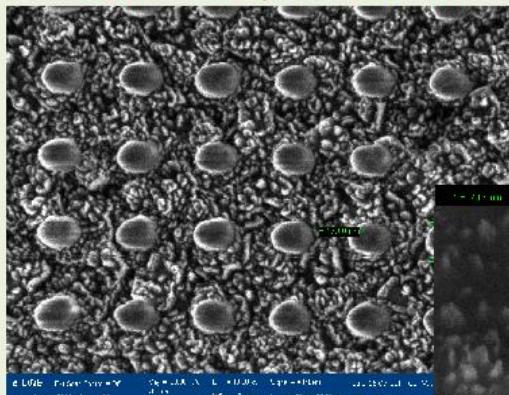
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12

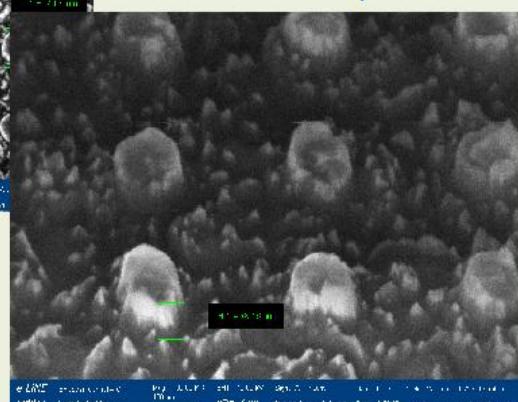
2. Experimental part

## After etching process

SET 1 – sample C with Au



SET 2 – sample A



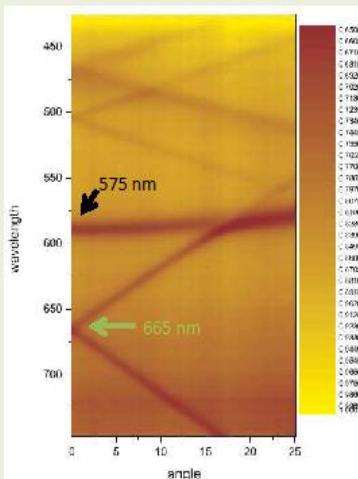
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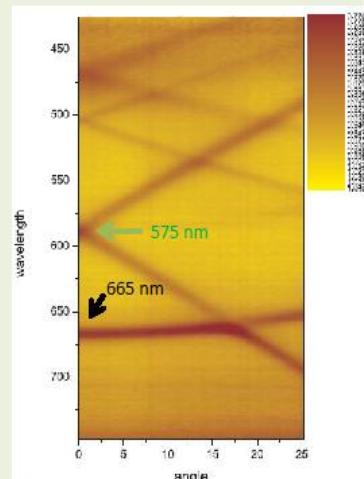
13

2. Experimental part

## PhC transmission - SET 1 sample A



S polarization, GX



P polarization, GX

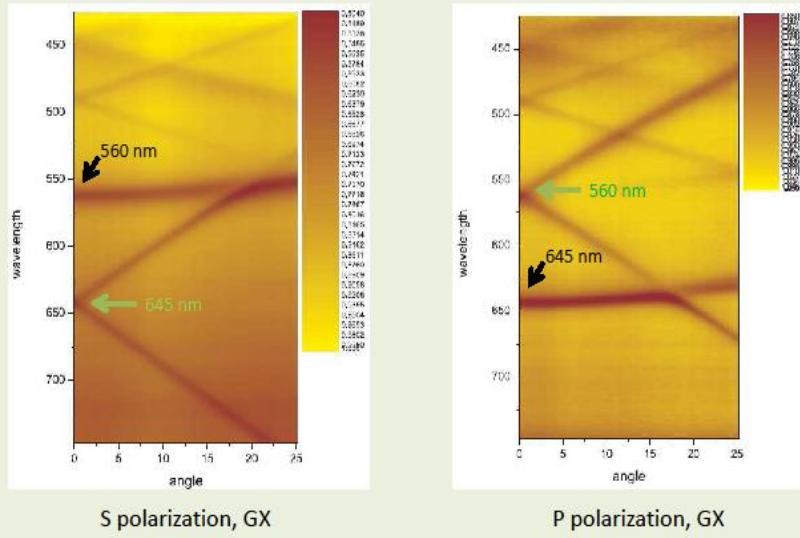
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14

3. Results

## PhC transmission - SET 1 sample B



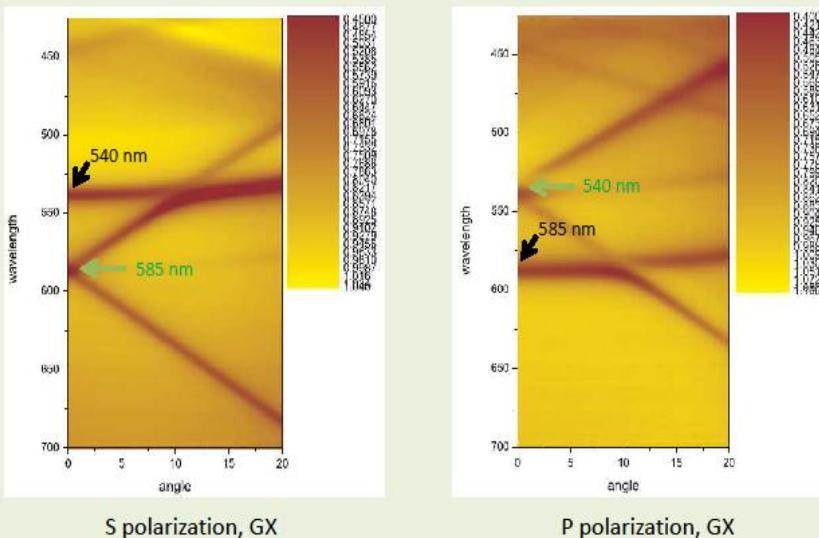
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15

3. Results

## PhC transmission - SET 1 sample C



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16

3. Results

## Summary

- relatively good optimized diamond growth rate (requirement of film thickness)
- satisfactory diamond quality (film analysis)
- problems with EBL estimation (required diameter)
- metal evaporation and RIE etching – different diameter of the columns
- RIE etching should be improved (roughness)
- after all the samples were fine and work (PhC transmission)
- various diameter and height of diamond columns shift the active light wavelength
- reflectance measurements show optical losses in diamond

**Thermoelectric conversion of heat to electricity - principles,  
materials, characterization and applications.**

**Jiří Hejtmánek, Karel Knižek,...**

**Physical properties & Technology of materials  
Characterization & Thermoelectric modules**



**Institute of Physics of  
the ASCR, v.v.i.**

*Department of Magnetics  
and Superconductors  
[www.fzu.cz](http://www.fzu.cz)*

**Physical properties & Technology of materials  
Characterization & Thermoelectric modules**

**OUTLINE**

**Introduction-motivation**

**Thermoelectricity**

-Thermoelectric conversion of energy – principles & characteristics

**Measuring techniques and characterization**

- Thermoelectric materials- measuring techniques and analysis  
-Characterization of modules

**Materials**

-Classical semiconductors  
- Oxides  
-Novel approaches

**Thermoelectric Modules**

-Principles  
-State of art situation

**Applications and energy consideration**

**Thermoelectricity for Energy Generation**

**Division 3**

- material research of oxide ceramic materials with thermoelectric prospects
- large Seebeck coefficient  $\alpha$ , low electrical resistivity  $\rho$  and thermal conductivity  $\lambda$ ,
- maximize Figure of merit ZT

**TE power generation**

**Seebeck effect**  $\Delta V = \alpha \Delta T$

**Electrical resistivity**  $\sigma = ne^2 \tau / m^*$

**Thermal conductivity**  $\lambda_{total} = \lambda_{electr} + \lambda_{heat}$

$\lambda = \frac{1}{3} C_p \sigma V \alpha$

$\lambda_e = \alpha L T, L = 2.45 \times 10^{-4} (\Omega \text{K} / \text{deg}^2)$

**Figure of Merit**  $ZT = \frac{\alpha^2 T}{\rho \lambda}$

**Material Science Physics & Chemistry**

**Links:**

- Division of Solid State Physics
- Department Semiconductors
- Surfaces and Interfaces
- Stress Analysis
- Degradation and Stabilization
- Thin films
- Computing Center
- High-Performance Computing Services
- Scientific Library Communication
- Electrical Engineering Communication
- Lithography and Micro-Optical Services

**Motivation- Complex material research- technology,characterization & physics**

- electrically conductive oxides & thermoelectric materials for high temperature applications

**XRD, ND, ...**

**synthesis**

**EMPA, DSC, ...**

**structure**

**composition**

**equilibrium**

**WIEN 2K**

**electronic structure**

**physical properties**

**S,  $\rho$ ,  $\lambda$ ,  $C_p$ , M, ...**

**applications**

automotive, solar, waste heat..

- development of thermoelectric cells and batteries
  - efficiency optimization – overall design (geometry)
    - electric contacts and leads
    - heat contacts and stability
- goal  $T_{HOT} \sim 800-900 \text{ K}$ ,  $\eta_{CARNOT} \sim 65\%$ ,  $\eta_{TOTAL} \sim 10\%$

## Readiness and Facilities for Technology and Characterization of Thermoelectrics

- transport characterization
  - Electrical resistivity (~1200K)
  - Seebeck coefficient (~1200K)
  - Hall coefficient (~300K)
  - Thermal conductivity (~300K)
  - Magnetic properties (~800K)
    - magnetization, ac-  $\chi$
  - structure (~1200K)
    - XRD, neutron diffraction
  - low temperature  $C_p$  (~300K)
  - electronic structure

- material synthesis and fabrication
  - ceramic processing, contacts , ...
  - microscopy, analysis , crystal structure....



## Thermoelectricity

-Thermoelectric conversion of energy – principles & characteristics

$$ZT = \frac{S^2 T}{\rho \lambda}$$

Diagram illustrating the formula for the figure of merit (ZT) of a thermoelectric material. The formula is  $ZT = \frac{S^2 T}{\rho \lambda}$ . Arrows point from the variables to their respective definitions: S (Seebeck Coefficient), T (Temperature),  $\rho$  (El. resistivity), and  $\lambda$  (Thermal Conductivity).

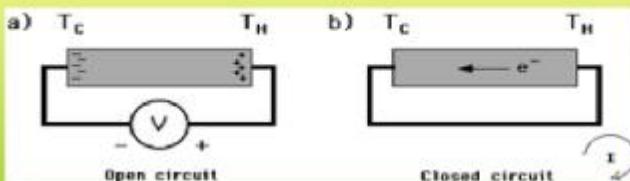
## Thermoelectric Effects

- Electrical energy may be reversibly converted into thermal energy and vice versa by three thermoelectric effects.
- Thermoelectric effects, i.e., the Seebeck effect, Peltier effect and Thomson effect are named after the person who first observed or predicted them.
- Chronology.
  - 1821: Seebeck observes compass needle deflect near loop formed by dissimilar conductors.
  - 1835-1838: Peltier discovers Peltier effect and Lenz utilizes it to reversibly freeze water & melt ice.
  - 1839-1850: Very little interest in thermoelectricity during the "era of electromagnetism."
  - 1851: Thomson predicts the existence of the Thomson effect.



## **Seebeck Effect (Thermopower)**

- In 1821, Thomas Seebeck found that an electric current would flow continuously in a closed circuit made up of two dissimilar metals, if the junctions of the metals were maintained at two different temperatures.



$$S = dV / dT;$$

S is the Seebeck Coefficient with units of Volts per Kelvin

S is positive when the direction of electric current is same as the direction of thermal current.

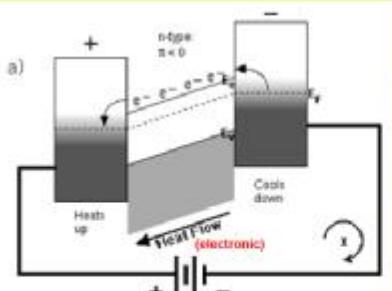
## Peltier Effect

- In 1834, a French watchmaker and part time physicist, Jean Peltier found that an electrical current would produce a temperature gradient at the junction of two dissimilar metals.

$\Pi < 0$  ; Negative Peltier coefficient

High energy electrons move from right to left.

Thermal current and electric current flow in opposite directions.



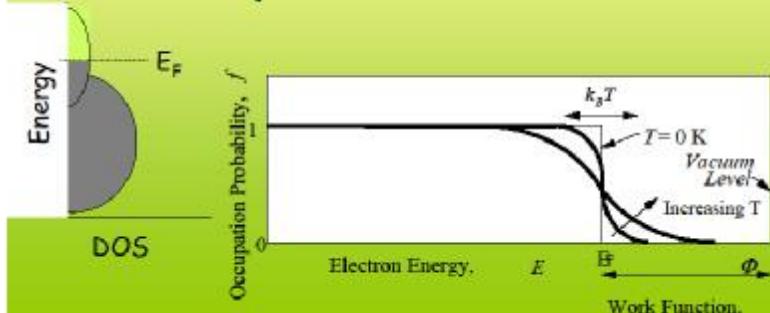
## Explanation of Thermopower in metals

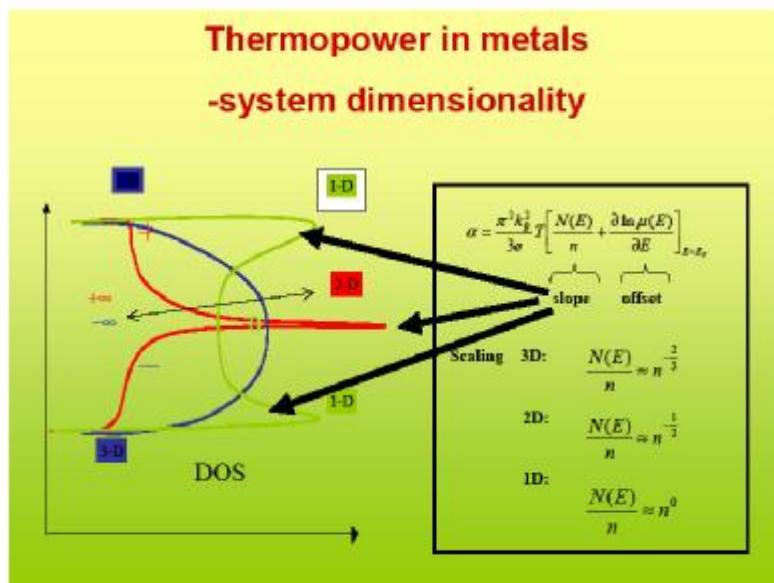
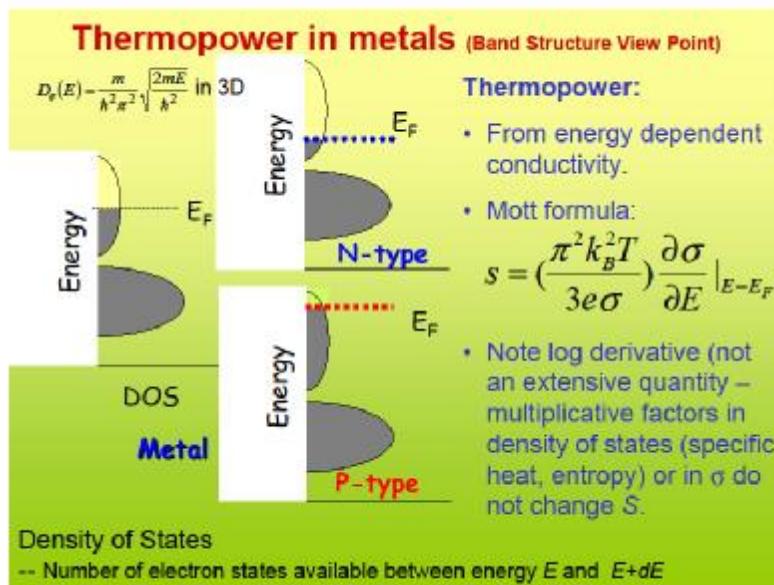
(Band Structure View Point)

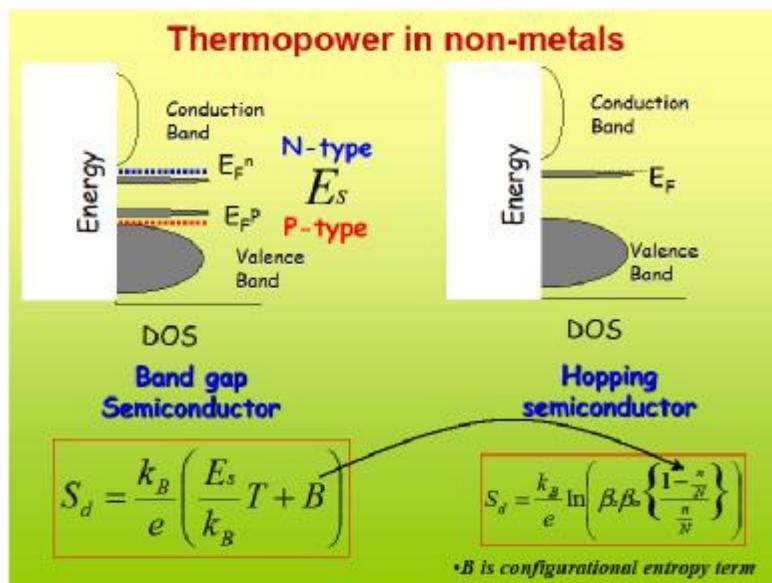
Key role of Fermi-Dirac equilibrium distribution  
for the probability of electron occupation of energy level  $E$  at temperature  $T$

$$f(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{k_B T}\right)}$$

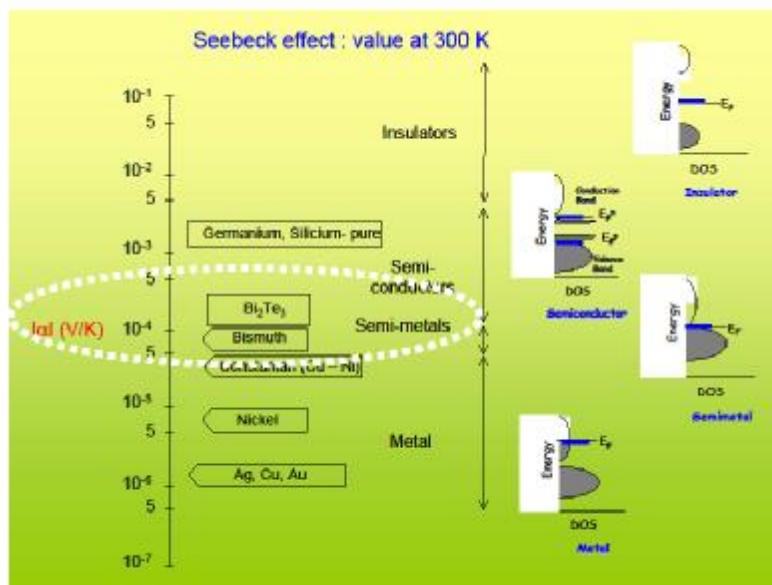
### Effect of Temperature

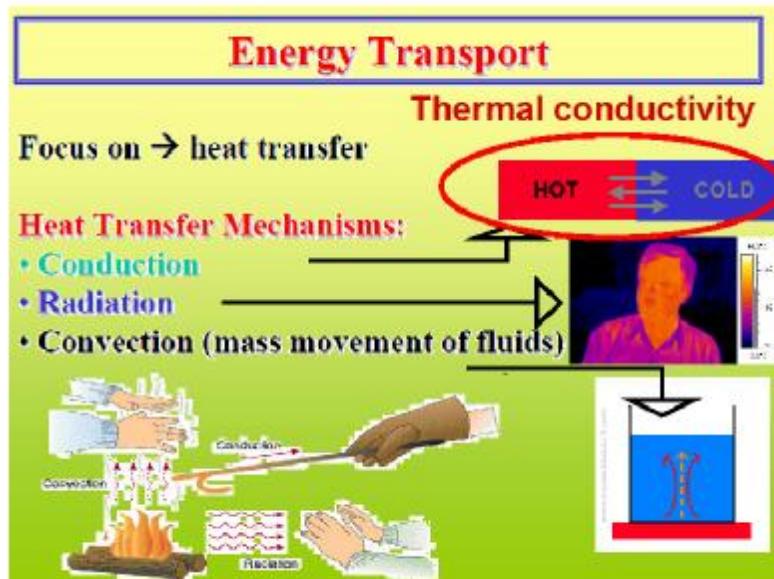
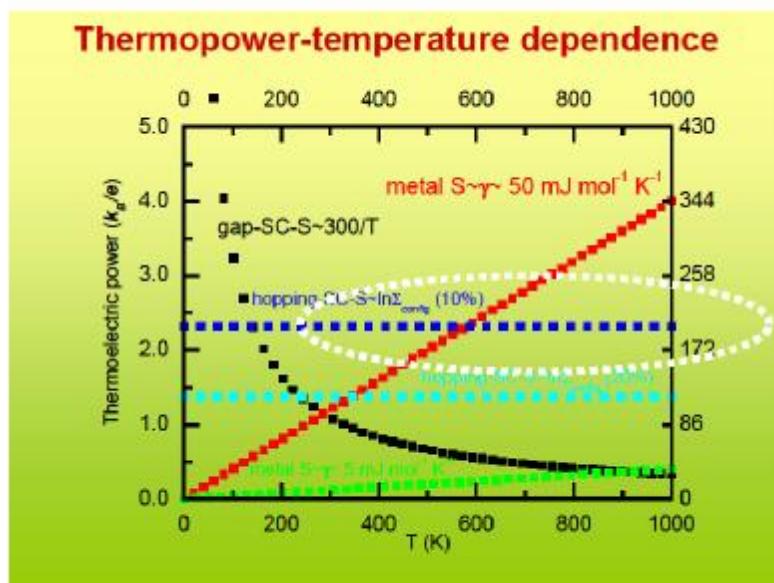






7





## Thermal Conductivity of Solids

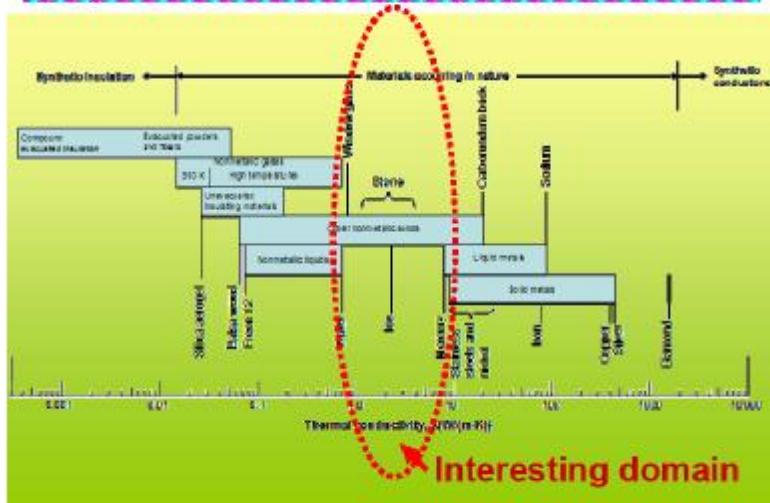
**Solids transmit thermal energy by two modes:**

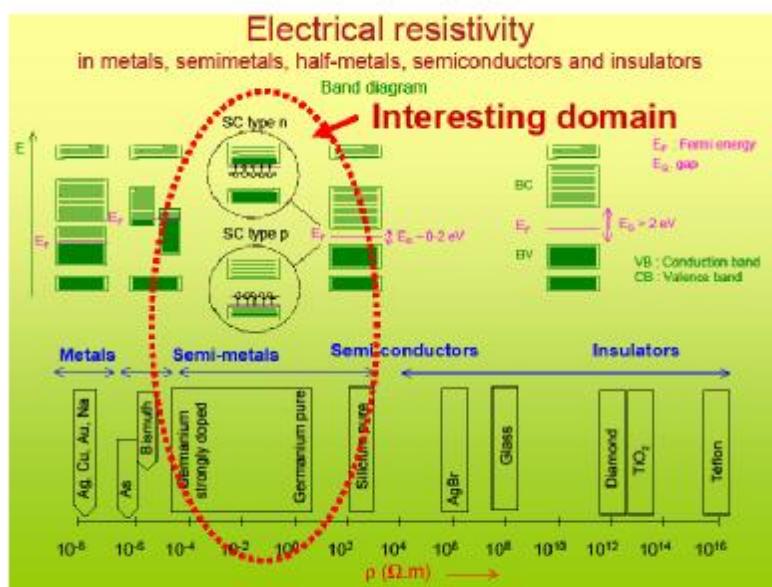
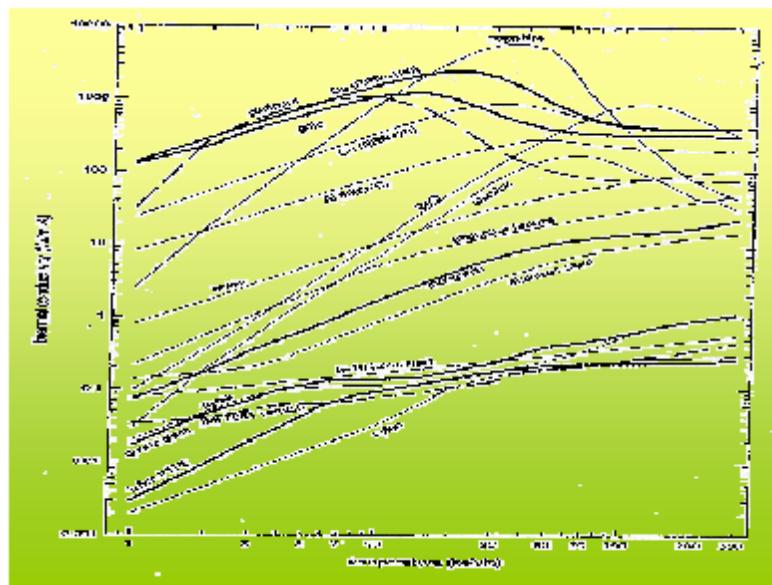
- elastic vibrations of the lattice moving through the crystal in the form of waves
  - free electrons moving through the lattice also carry energy similar to the case in gases (this is observed in metals)

$$\lambda_{\text{total}} = \lambda_{\text{phon}} + \lambda_e$$

Thermal conductivity:  $\lambda = \frac{1}{3} C v l$

## Thermal Conductivity of Solids



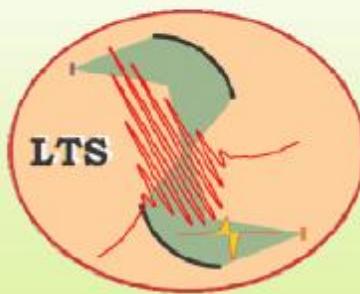


**Many thanks for your attention....**

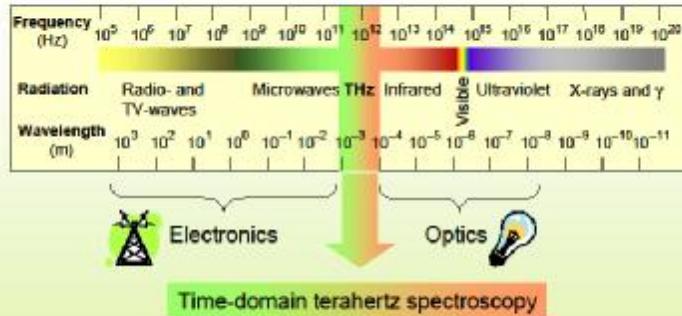
**Do not hesitate to ask any questions...**

**END**

## Terahertzová spektroskopia

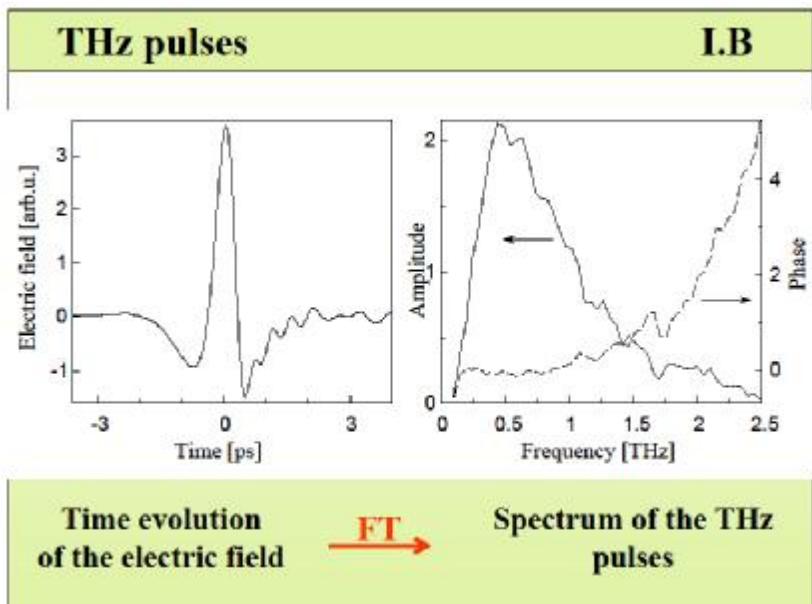


### Terahertz range



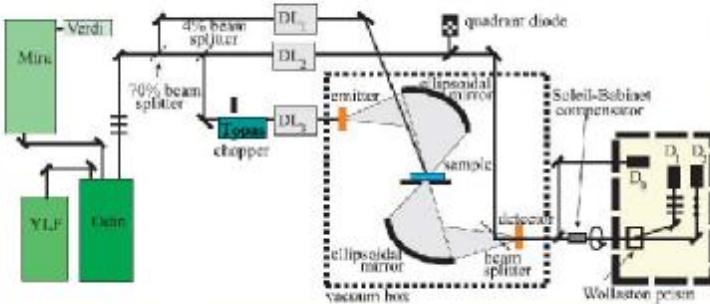
$1 \text{ THz} \leftrightarrow 1 \text{ ps} \leftrightarrow 33 \text{ cm}^{-1} \leftrightarrow 0.3 \text{ mm} \leftrightarrow 48 \text{ K} \leftrightarrow 4.1 \text{ meV}$

Possible detection of the electric field AMPLITUDE => complex N,  $\sigma$ ,  $\epsilon$



<b>Metody v THz oblasti: 100 GHz – 3 THz</b>				
Metoda	Výkon	Frekvenčná oblasť	Meranie	Fázová citlosť
Synchrotron, Lineárny trichlovač, FEL	Primerný - 10W Maximálny - 1 MW	0.1 – 30 THz	Pulzné, frekvenčná oblasť, Širokopásmová	možná, ale nepoužíva sa
Backward wave oscillator spectroscopy	300 mW - 1mW	0.03 – 1.2 THz 1 – 40 cm <sup>-1</sup> 200 GHz rozlíš.	frekvenčná oblasť, CW Monochr.	Interferometricky
Time-domain THz spectroscopy	Primerný - 0,7 μW Maximálny - 2,3 kW	0.1 – 3 THz 3 – 100 cm <sup>-1</sup> 4GHz rozlíš.	časová doména, pulzové diarene, širokopásmová	laboračná
Fourier transform infrared spectroscopy	1 μW	0.7 – 300 THz 20 – 10000 cm <sup>-1</sup> 4GHz rozlíš.	časová doména, kontinuálne diarene, širokopásmová	možná, ale nepoužíva sa
QCL	CW: 0.3μW (10K) Pulz.: Max. – 300μW CW: 1.5W (300K)	1-65 THz 200 GHz rozlíšenie	Pulzné, CW, Monochr., frekvenčná oblasť	—

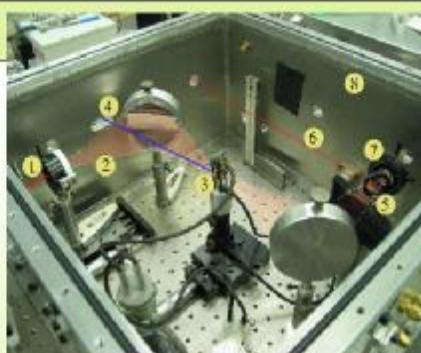
## Experimental setup



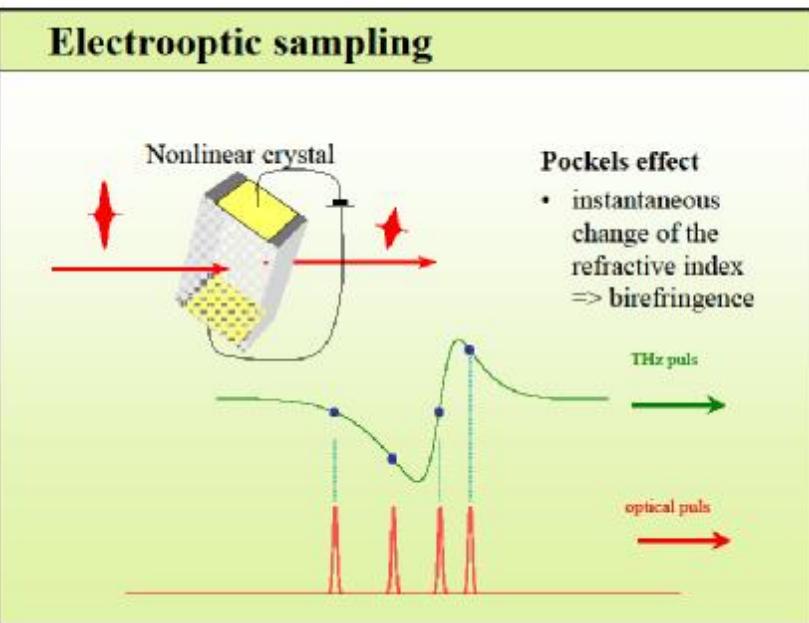
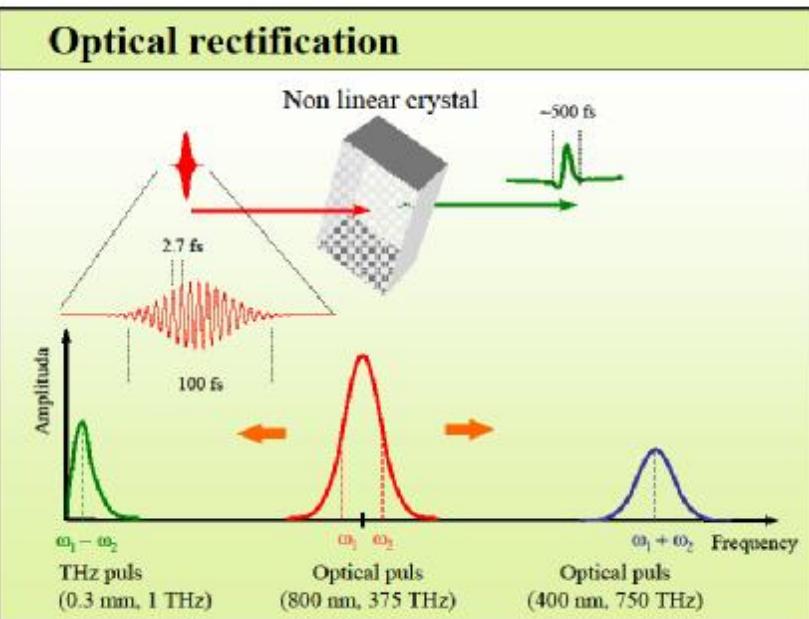
- MIRA: 800 nm, 50 – 80 fs, Spectral bandwidth 15 – 40 nm, Repetition rate 76 MHz, Energy per pulse 8 nJ, Photons per pulse  $\sim 3.5 \times 10^{10}$ , Average power 650 mW, Pulse peak power 140 kW
- ODIN: Pump laser 527-DP Nd:YLF 12 W, 800 nm, 40 fs, Spectral bandwidth 15 – 30 nm, Repetition rate 1 kHz, Energy per pulse 1 mJ, Photons per pulse  $\sim 4 \times 10^{15}$ , Average power 1 W, Pulse peak power 25 GW
- TOPAS: travelling-wave optical parametric amplifier of super-fluorescence, Tuning range 240 – 3000 nm, Pulse length 50 fs, Energy per pulse 1 – 100  $\mu$ J (depending on the output wavelength)

## Experimental setup

- ZnTe Emitor
- THz puls
- Sample
- Optical excitation puls
- Transmited THz puls
- Sampling optical puls
- ZnTe Elektrooptic detektor
- Vacuum box

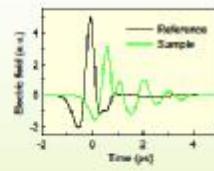
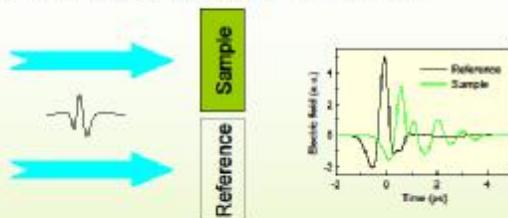


- Cryostat: temperature range 5 – 300 K
- High temperature cell (furnace): temperature range 300 – 1000 K
- Subpicosecond resolution
- 700 ps pump-probe delay maximum range
- Excitation range 240 – 3000 nm

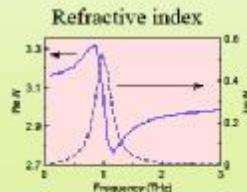
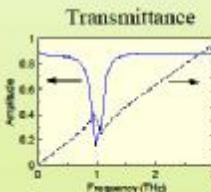
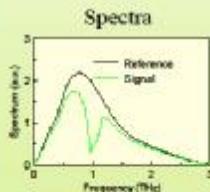


## Terahertz spectroscopy

- Steady-state spectroscopy – experiment

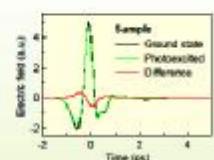
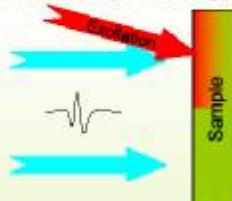


- Steady-state spectroscopy – data analysis

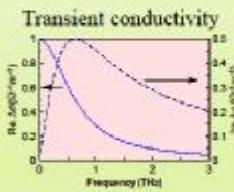
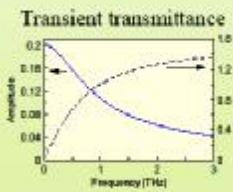
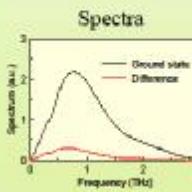


## Terahertz spectroscopy

- Transient spectroscopy – experiment



- Transient spectroscopy – data analysis



## Basic terms

Conductivity

$$\hat{\sigma}(\omega)$$

=

$$ne_0\hat{\mu}(\omega)$$

Charge density  $\times$  mobility

Susceptibility

$$\hat{\chi}(\omega)$$

=

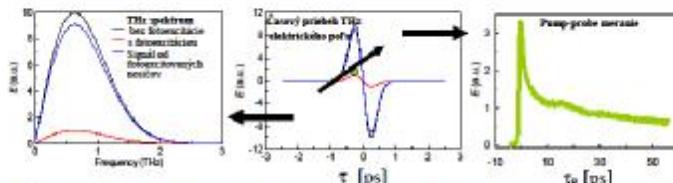
$$n\hat{\alpha}(\omega)$$

Carrier density  $\times$  polarizability

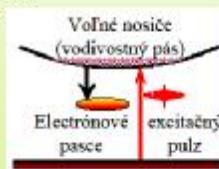
Refractive index  $N$ : describes propagation of electromagnetic waves in matters

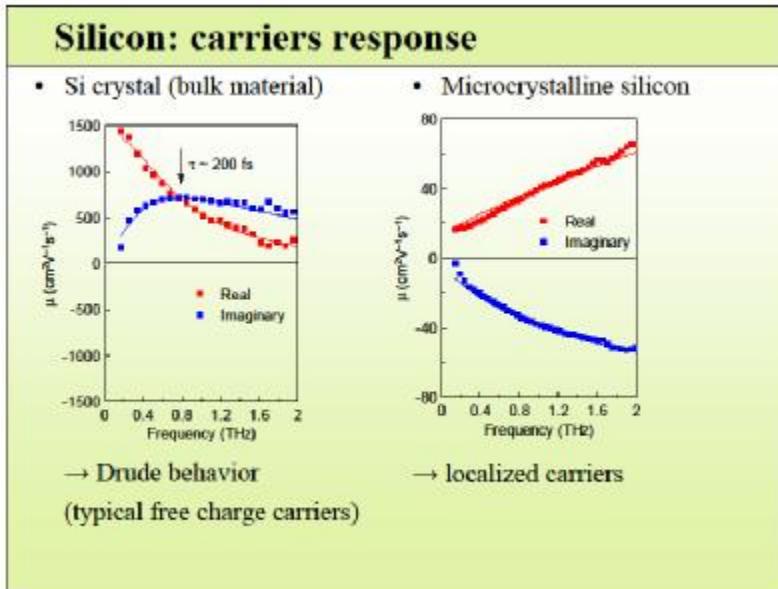
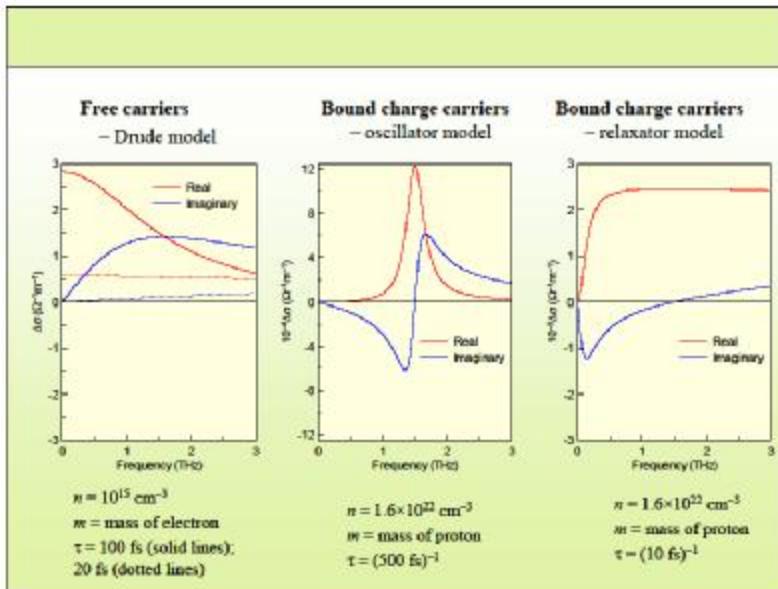
$$\hat{N}(\omega) = \sqrt{\hat{\epsilon}} = \sqrt{1 + \hat{\chi}} = \sqrt{1 - \hat{\sigma}/(i\omega\epsilon_0)}$$

## Optical-pump THz-probe experiments I.B



- Časový priebeh THz elektrického poľa  $\rightarrow$  (FT)  $\rightarrow$  komplexné THz spektrum
- Neexcitonový (nedopovaný) polovodič – príehľadný
- Fotoexcitonový polovodič – voľné/lokálizované nosiče
  - komplexná spektrálna odzov –  $\Delta N(\omega)$ ,  $\Delta \epsilon(\omega)$ ,  $\Delta \sigma(\omega)$
  - pokles intenzity – doba života nosičov ( $\tau_p$ )
  - $\Delta \sigma = -i\omega\epsilon_0\Delta\epsilon$  ( $\Delta\epsilon$  - Re - disperzia systému)  
( $\Delta\sigma$  - Re = straty - at 0 = DC vodivosť)
  - Dynamika  $\Delta\sigma(\tau_p)$ ,  $\Delta\epsilon(\tau_p)$
- 2D data v  $(\omega, \omega_p)$  priestore pre ultrarýchlu (<1ps) dynamiku



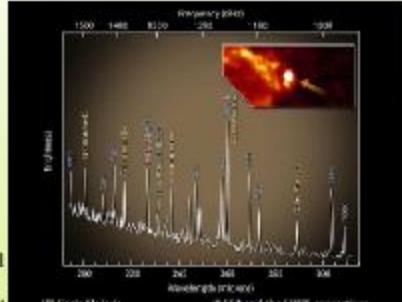


## THz in astronomy

- Generally, in millimeter and submillimeter region, molecules like OH, O<sub>3</sub>, HCl, ClO, HOCl, BrO, HNO<sub>3</sub>, N<sub>2</sub>O, HCN, CH<sub>3</sub>CN, volcanic SO, CO, CH<sub>3</sub>OH, H<sub>3</sub>O<sup>+</sup>, charged molecule composed of carbon and fluorine - the CF<sup>+</sup> ion, hydrogen and deuterium (H<sub>2</sub>D<sup>+</sup>), water molecule and many others have their fingerprints and can be traced. Besides spectroscopic research of the universe the detected THz radiation is used to study formation of new stars from clumps in molecular clouds or other objects like galaxies at approximately -250 degrees Celsius temperature.



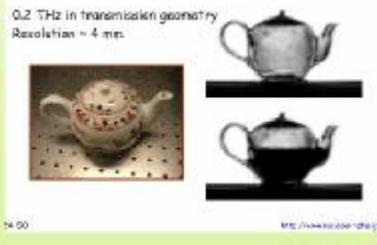
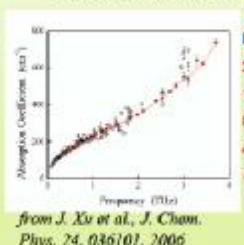
Tarantula Nebula. Herschel telescope: red at 250  $\mu\text{m}$  and green at 100 and 160  $\mu\text{m}$ . The warmest spots appear in blue, 24- and 70-  $\mu\text{m}$  from Spitzer.



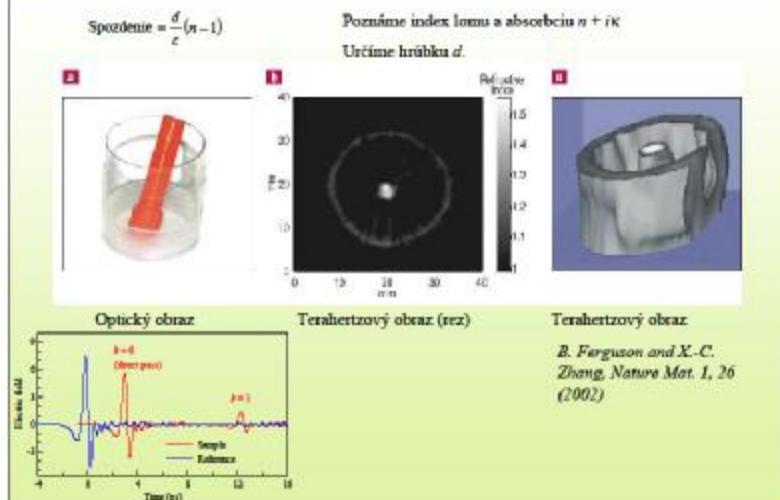
Canis Majoris, Herschel Telescope

## THz tomografia a zobrazovanie

- Materiály neabsorbujúci THz záření
  - plastické hmoty
  - keramiky
  - skla
- Materiály silne absorbujúci THz záření
  - kovy
  - voda
- Vznik kontrastu: rozdiel THz indexu lomu
- Neškodnosť THz záření



## THz tomografia a zobrazovanie

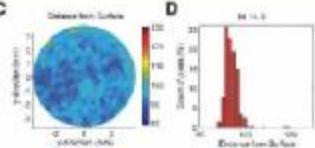


## THz tomografia a zobrazovanie



## THz tomografia a zobrazovanie

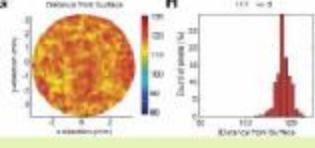
Trojrozmerné terahertzové zobrazovanie povrchov a vnútra tabletov



**C**  
Dense Rich Surface  
Thickness (nm)  
Distance from Surface



**D**  
Thickness (nm)  
Histogram  
Distance from Surface



**G**  
Dense Rich Surface  
Thickness (nm)  
Distance from Surface



**H**  
Thickness (nm)  
Histogram  
Distance from Surface



## THz tomografia a zobrazovanie

Optický a terahertzový obraz vodoznaku bankovek

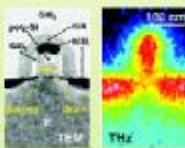




Terahertzový a optický obraz polovodičového integrovaného obvodu v pouzdre



*B. B. Hu and M. C. Nuss, Opt. Lett. 20, 1716 (1995)*

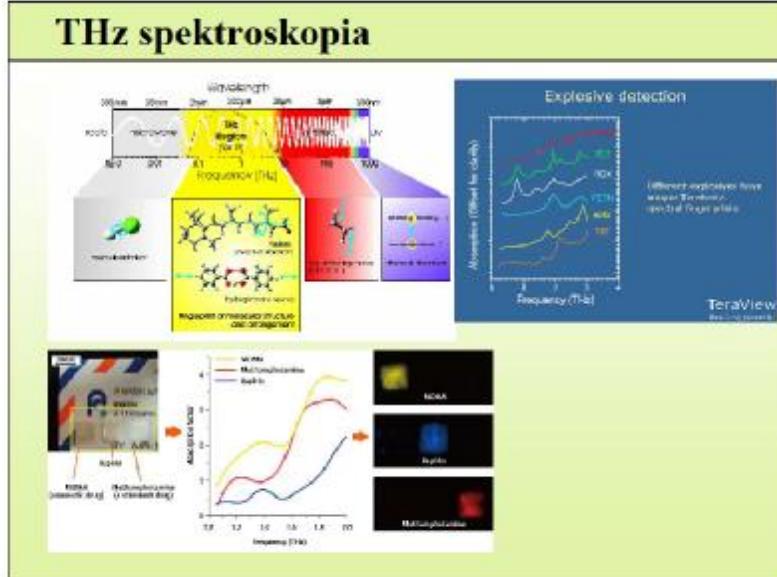


mapa koncentrácie nosičov, silná koncentrácia THz poľa AFM hrotom 40 nm ( $\lambda/3000$ )  
priestorové rozlíšenie na 2.54 THz,

*J.A. Hubert, Nano Lett., 2008, 8 (11), pp 3766–3770*

*Q. Chen and X.-C. Zhang, Appl. Phys. Lett. 74, 3435 (1999)*

## THz spektroskopia



## Diskusia

VI.

! ? ! ?

## Ďakujem za pozornosť

? ! ?