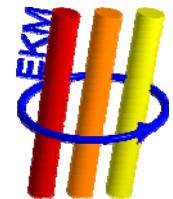




Center for Electronic Correlations and Magnetism  
University of Augsburg



# Superfluid Helium-3: From very low Temperatures to the Big Bang

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Dvořák Lecture  
Institute of Physics, Academy of Sciences of the Czech Republic, Praha  
June 8, 2011

## Contents:

- The quantum liquids  $^3\text{He}$  and  $^4\text{He}$
- Superfluid phases of  $^3\text{He}$
- Broken symmetries and long-range order
- Topologically stable defects
- Big Bang simulation in the low temperature lab

# Helium

Two stable Helium isotopes:

$^4\text{He}$ : air, oil wells, ...

Janssen/Lockyer (1868)



$$\frac{\text{He}}{\text{air}} \approx 5 \times 10^{-6}$$

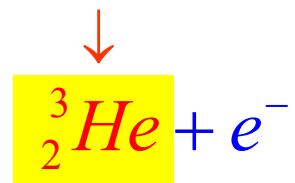
$$\left. \frac{{}^3\text{He}}{{}^4\text{He}} \right|_{\text{air}} \approx 1 \times 10^{-6}$$

Ramsay (1895)

Cleveit ( $\text{UO}_2$ )  
from Jáchymov



${}^3\text{He}$ :  ${}^6_3\text{Li} + {}^1_0\text{n} \rightarrow {}^3_1\text{H} + \alpha$  (1939)



Research on macroscopic samples of  ${}^3\text{He}$  since 1947

# Helium

Atoms: spherical, hard core diameter  $\sim 2.5 \text{ \AA}$

Interaction:

- hard sphere repulsion
- van der Waals dipole/multipole attraction

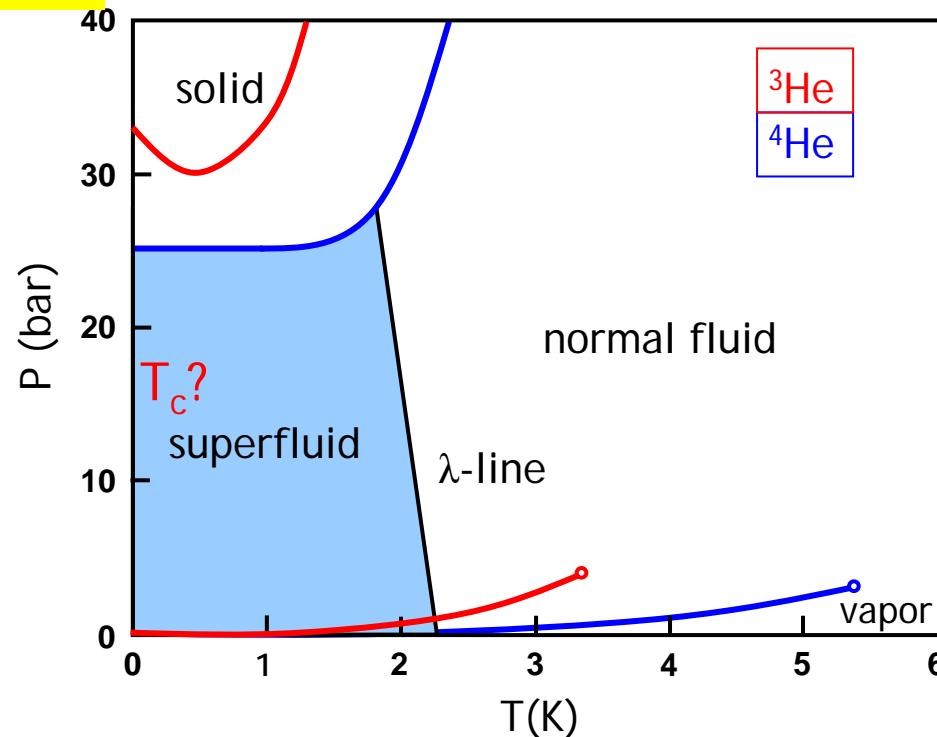
Boiling point: 4.2 K,  ${}^4\text{He}$  Kamerlingh Onnes (1908)

3.2 K,  ${}^3\text{He}$  Sydoriak *et al.* (1949)

Dense, simple liquid

$\left. \begin{array}{l} \text{isotropic} \\ \text{short-range interactions} \\ \text{extremely pure} \end{array} \right\}$

# Helium

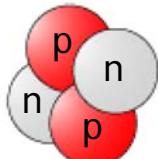
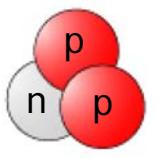


- Atoms:
- spherical shape → weak attraction
  - low mass → strong zero-point motion

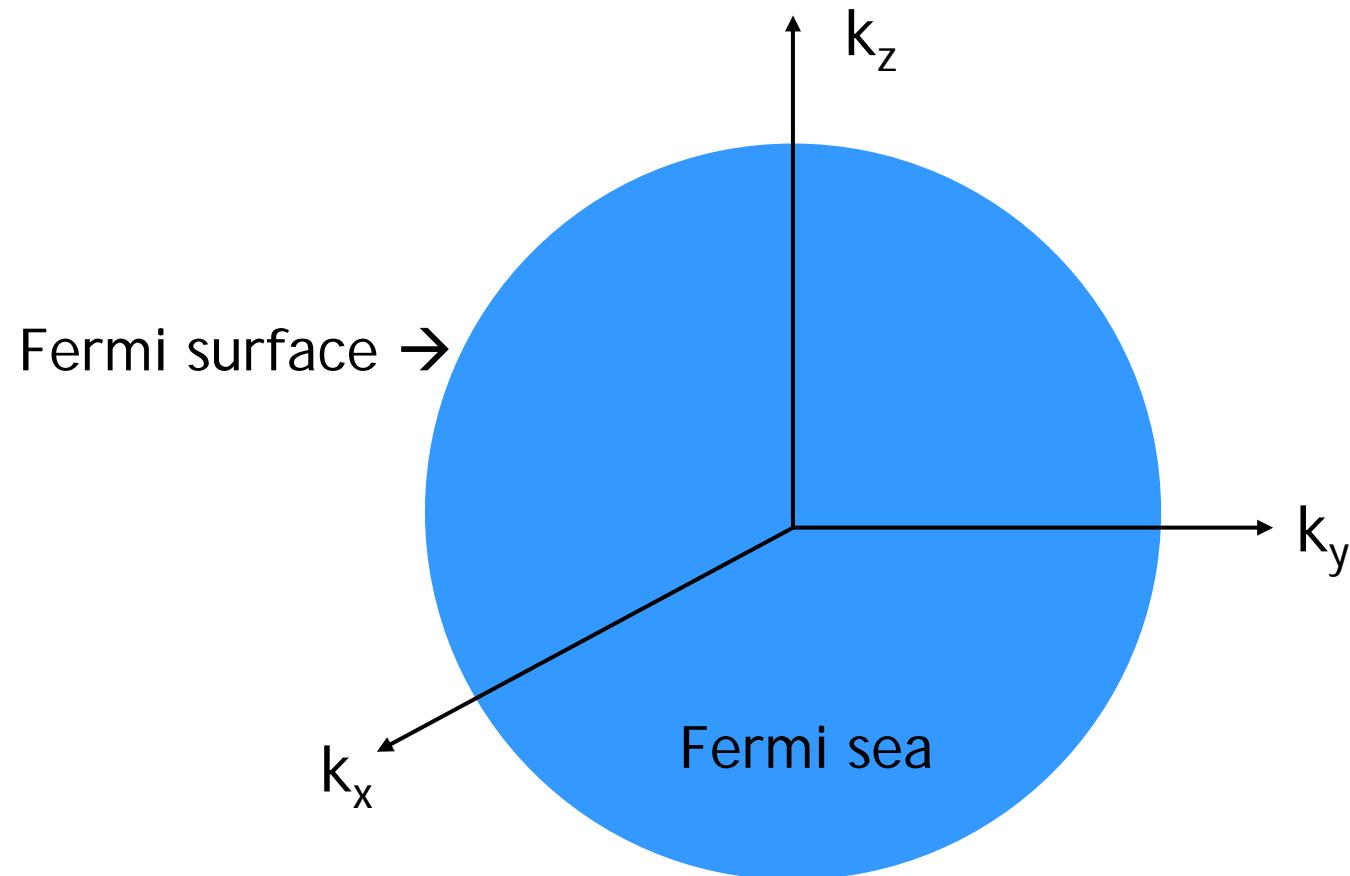
$T \rightarrow 0, P \lesssim 30$  bar: Helium remains liquid

$$\lambda \propto \frac{\hbar}{\sqrt{k_B T}} \xrightarrow{T \rightarrow 0} \text{Macroscopic quantum phenomena}$$

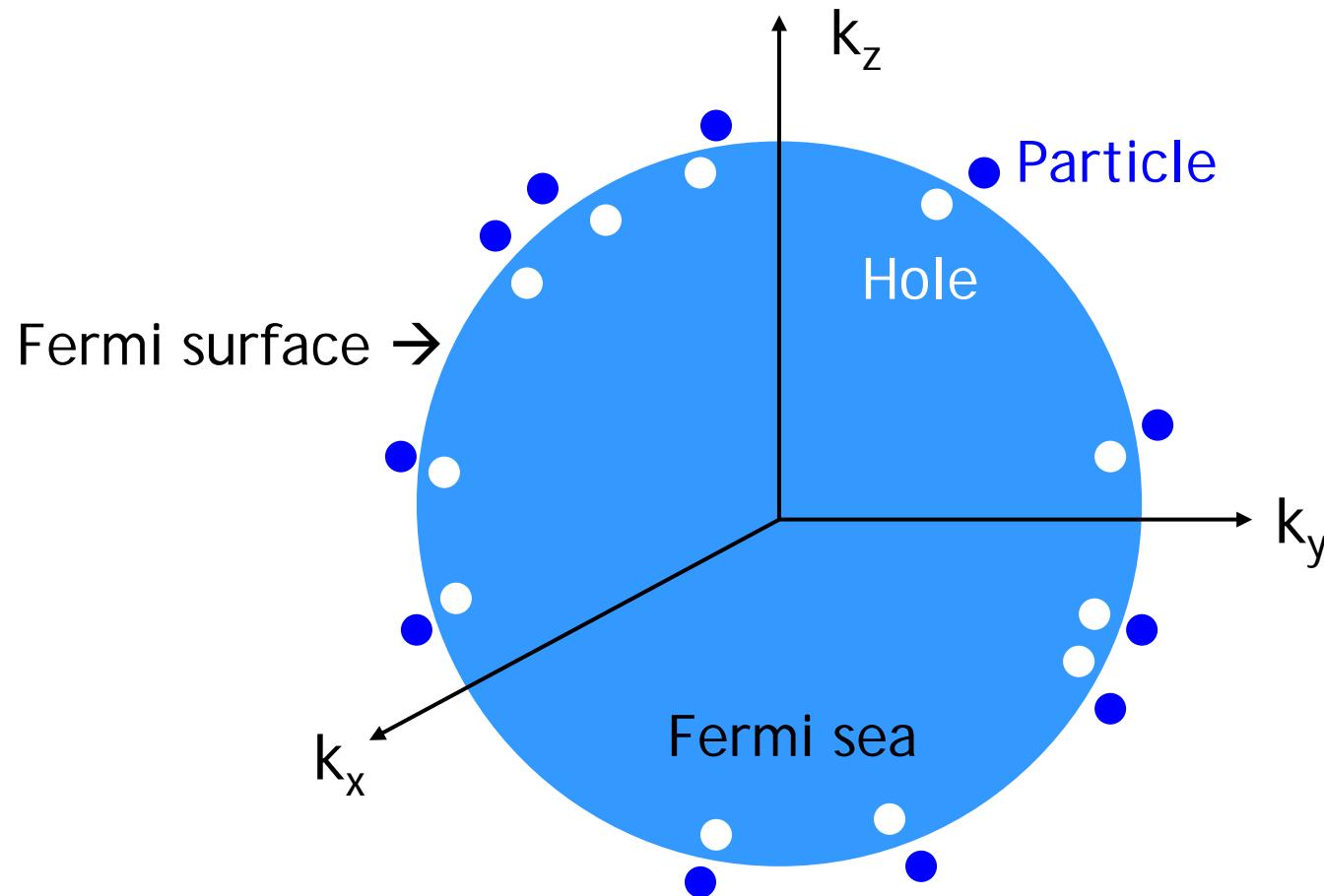
# Helium

	$^4\text{He}$	$^3\text{He}$	
Electron shell:	$2 \text{ e}^-$ , $S = 0$		
Nucleus:	 $S = 0$	 $S = \frac{1}{2}\hbar$	
Atom(!) is a	Boson	Fermion	Quantum liquids
Phase transition	$T_\lambda = 2.2 \text{ K}$ ("BEC")	$T_c = ???$	Fermi liquid theory

## Fermi gas: Ground state



## Fermi gas: Excited states ( $T>0$ )



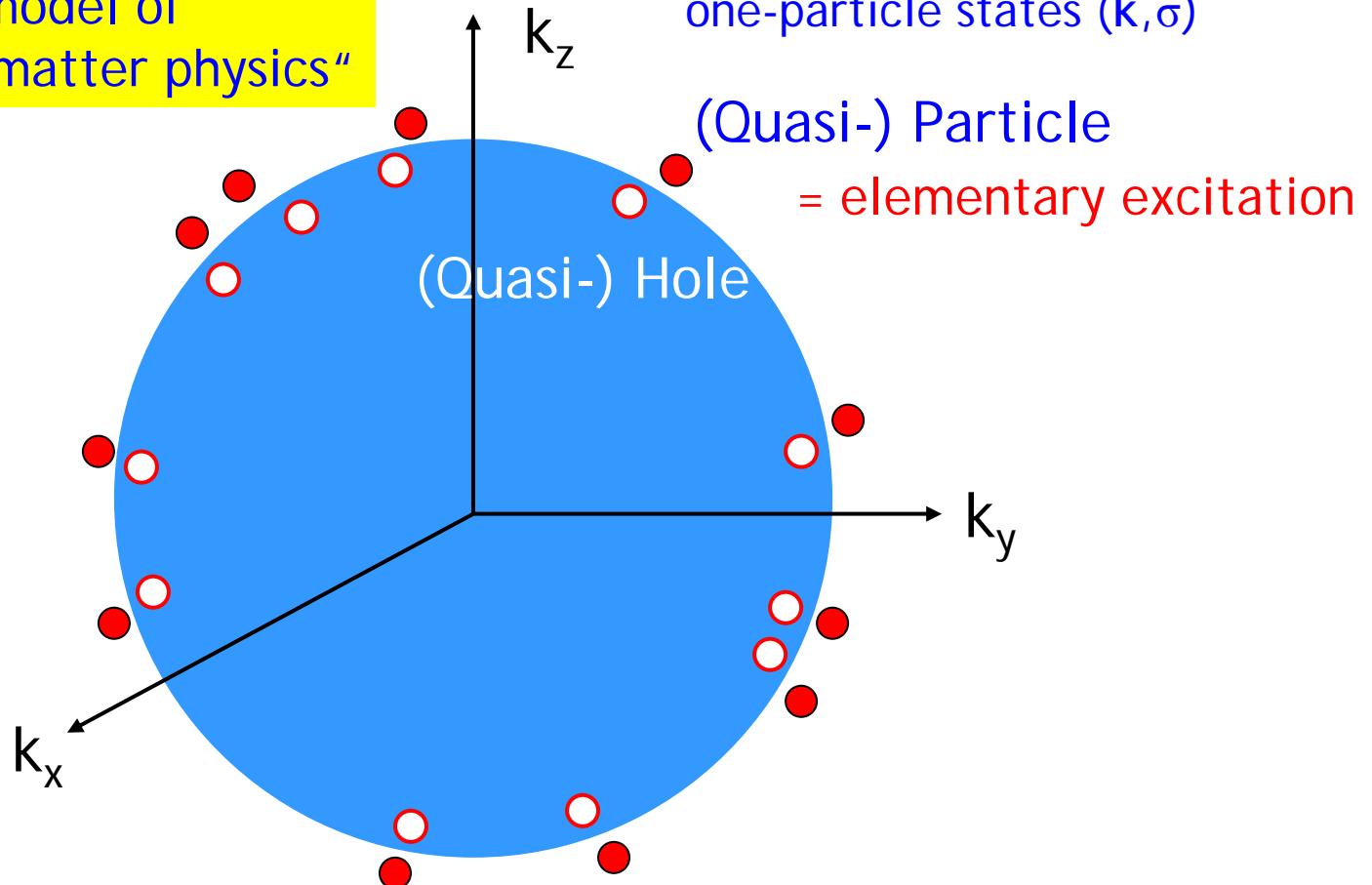
Exact  $k$ -states ('particles'): **infinite** life time

Switch on interaction adiabatically ( $d=3$ )

# Landau Fermi liquid

Landau (1956/58)

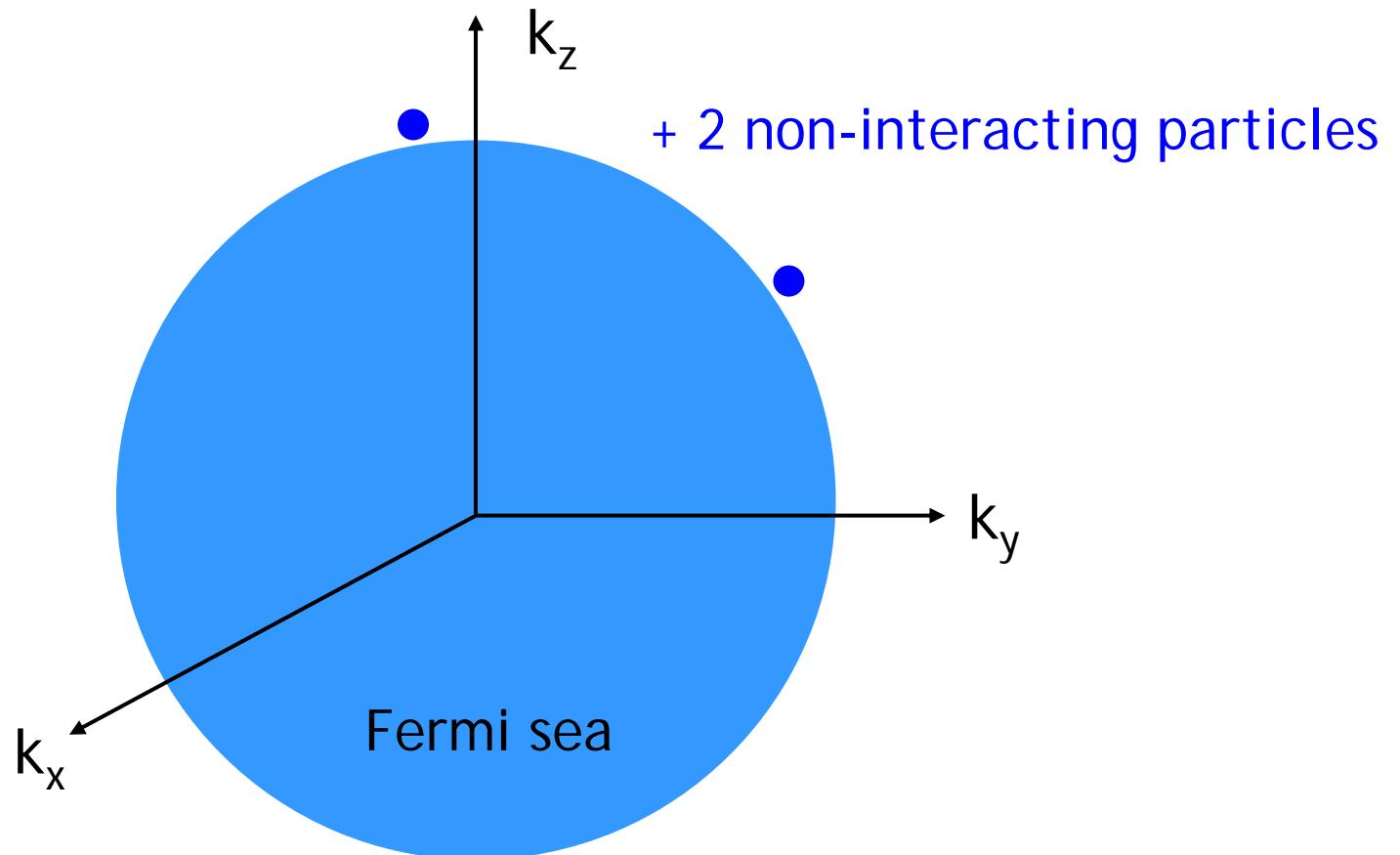
"Standard model of  
condensed matter physics"



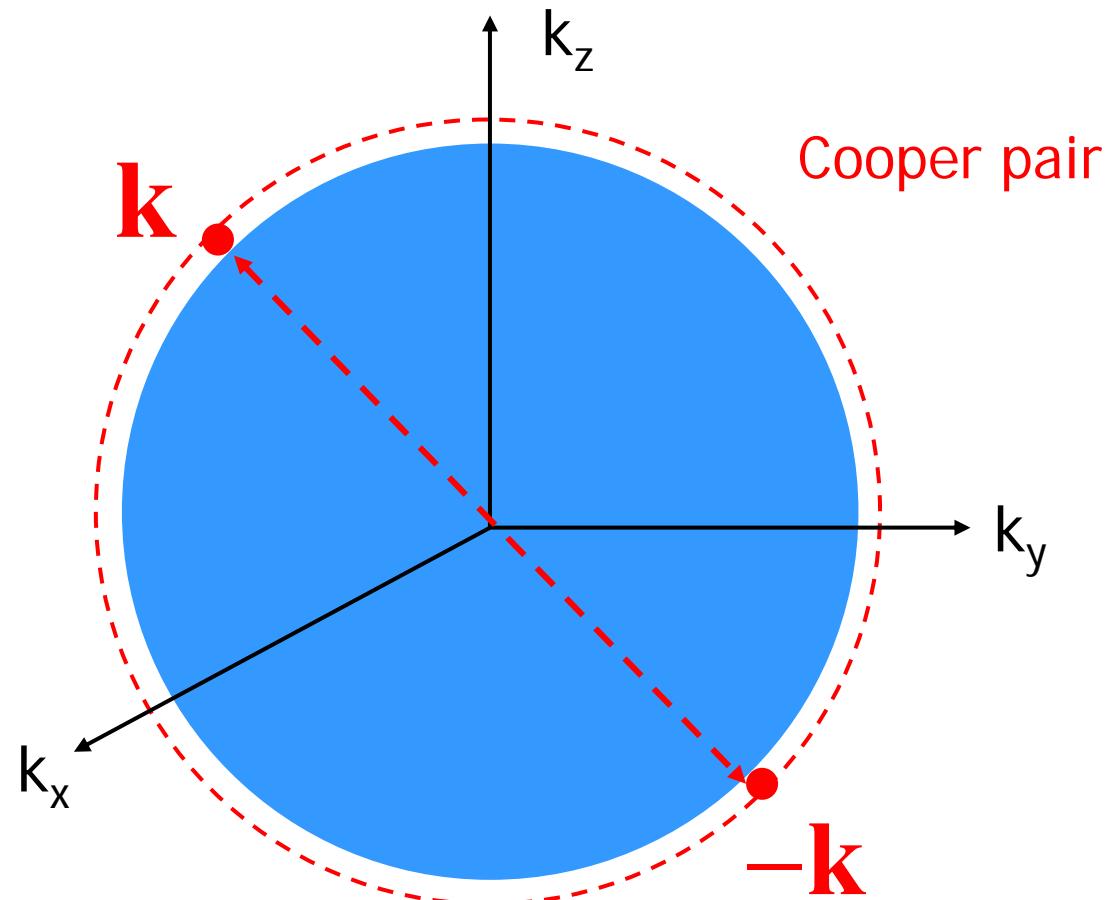
Prototype: Helium-3

- Large effective mass
- Strongly enhanced spin susceptibility
- Strongly reduced compressibility

## Instability of Landau Fermi liquid

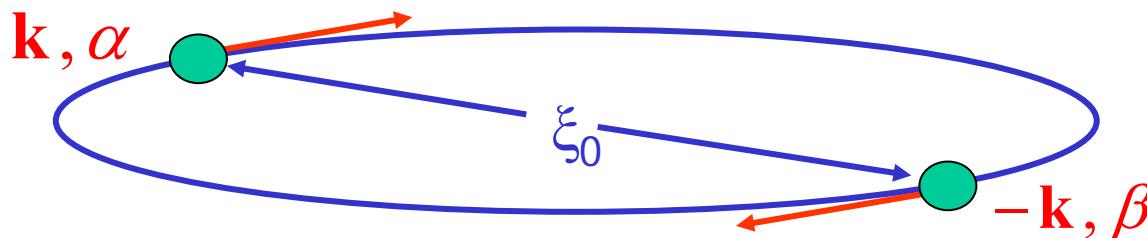


Arbitrarily weak attraction  $\Rightarrow$  Cooper instability



Universal fermionic property

Arbitrarily weak attraction  $\Rightarrow$  Cooper pair  $(\mathbf{k}, \alpha; -\mathbf{k}, \beta)$



$$\Psi_{L=0,2,4,\dots} = \psi(\mathbf{r}) | \uparrow\downarrow - \downarrow\uparrow \rangle$$

S=0 (singlet)

$$\begin{aligned} \Psi_{L=1,3,5,\dots} = & \psi_+(\mathbf{r}) | \uparrow\uparrow \rangle \\ & + \psi_0(\mathbf{r}) | \uparrow\downarrow + \downarrow\uparrow \rangle \\ & + \psi_-(\mathbf{r}) | \downarrow\downarrow \rangle \end{aligned}$$

S=1 (triplet)

L = 0: isotropic wave function

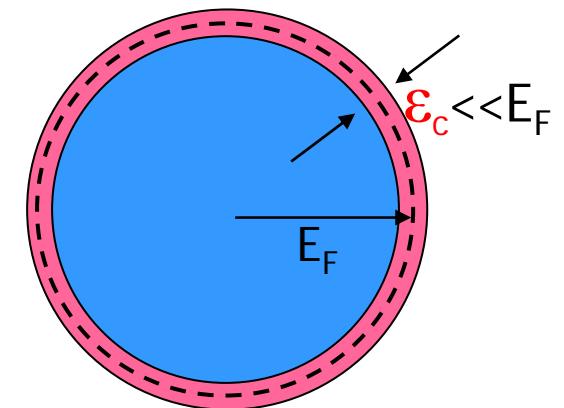
L > 0: anisotropic wave function

Helium-3: Strongly repulsive interaction  $\rightarrow L > 0$  expected

## BCS theory

Bardeen, Cooper, Schrieffer (1957)

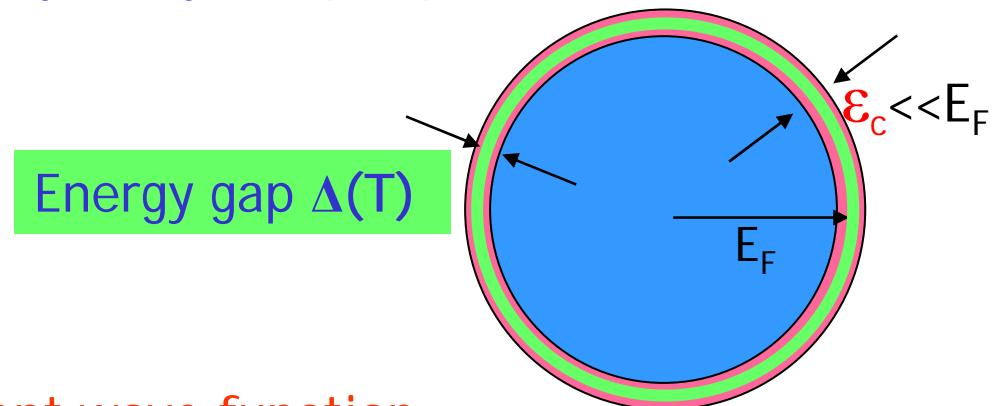
Generalization to macroscopically many Cooper pairs



# BCS theory

Bardeen, Cooper, Schrieffer (1957)

Generalization to macroscopically many Cooper pairs



→ "Pair condensate"  
with macroscopically coherent wave function

Transition temperature

$$T_c = 1.13 \varepsilon_c \exp(-1/N(0)|V_L|)$$

"weak coupling theory"

$\varepsilon_c, V_L$ : Magnitude ? Origin ? →  $T_c$  ?

Thanksgiving 1971: Transition in  ${}^3\text{He}$  at  $T_c = 0.0026 \text{ K}$

Osheroff, Richardson, Lee (1972)

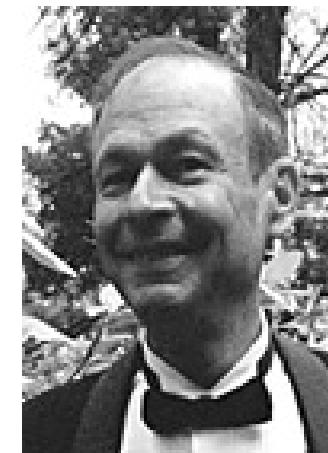
**The Nobel Prize in Physics 1996**  
"for their discovery of superfluidity in helium-3"



**David M. Lee**  
Cornell (USA)



**Douglas D. Osheroff**  
Stanford (USA)



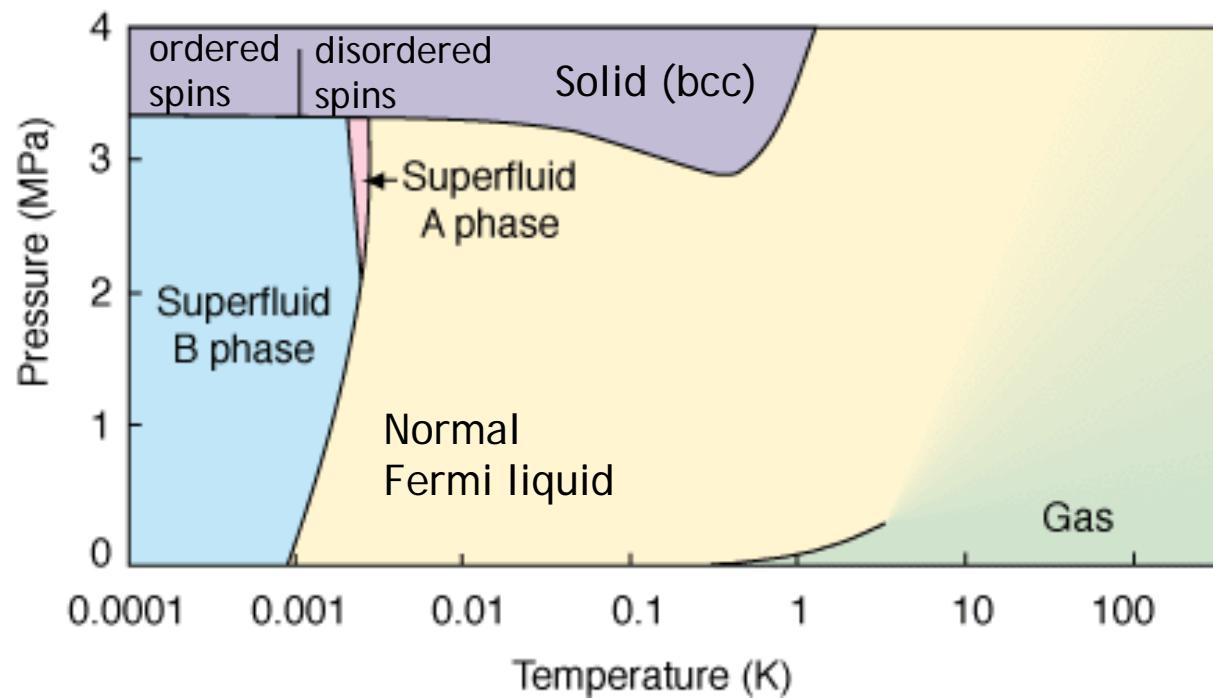
**Robert C. Richardson**  
Cornell (USA)

# Phase diagram of Helium-3

## P-T phase diagram

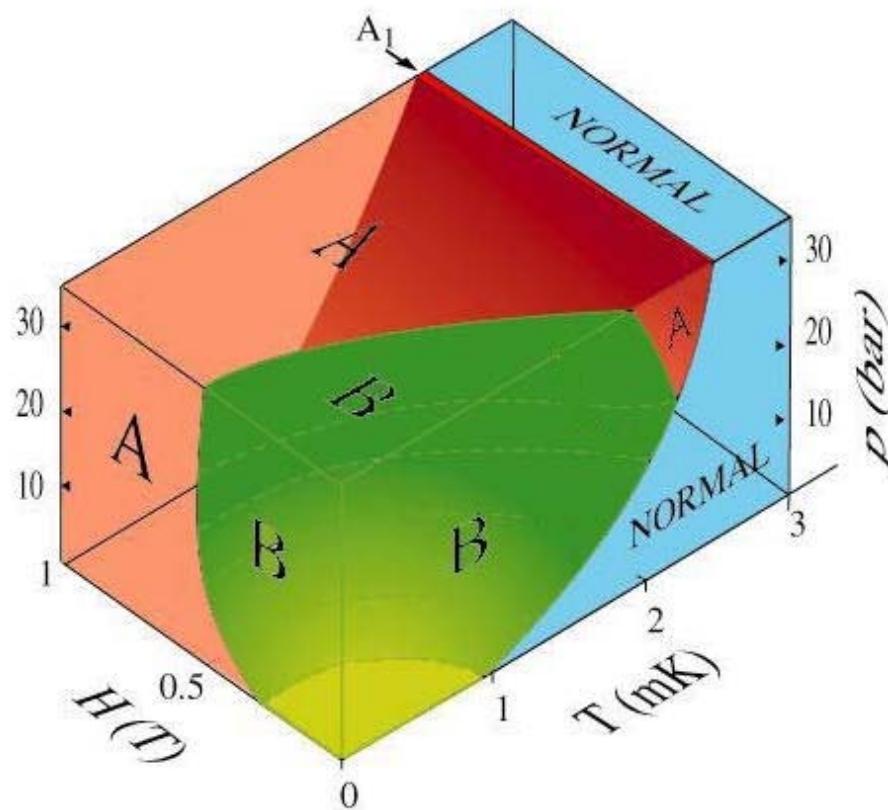
Dense, simple liquid

{ isotropic  
short-range interactions  
extremely pure  
nuclear spin  $S=1/2$



# Phase diagram of Helium-3

P-T-H phase diagram



“Very low temperatures”:  $T \ll T_{\text{boiling}}$  ~ 3-4 K  
 $\ll T_{\text{backgr. rad.}}$  ~ 3 K

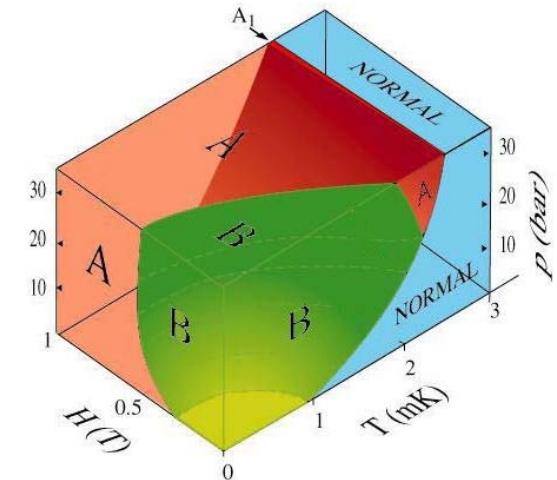
## Superfluid phases of $^3\text{He}$

Theory + experiment: L=1, S=1 in all phases

Leggett

Wölfle

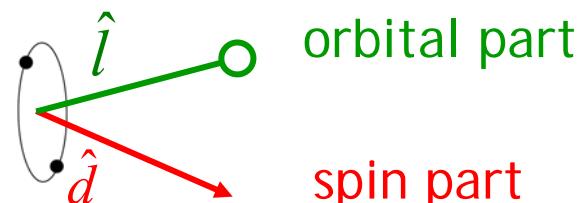
Mermin, ...



Attraction due to spin fluctuations

Anderson, Brinkman (1973)

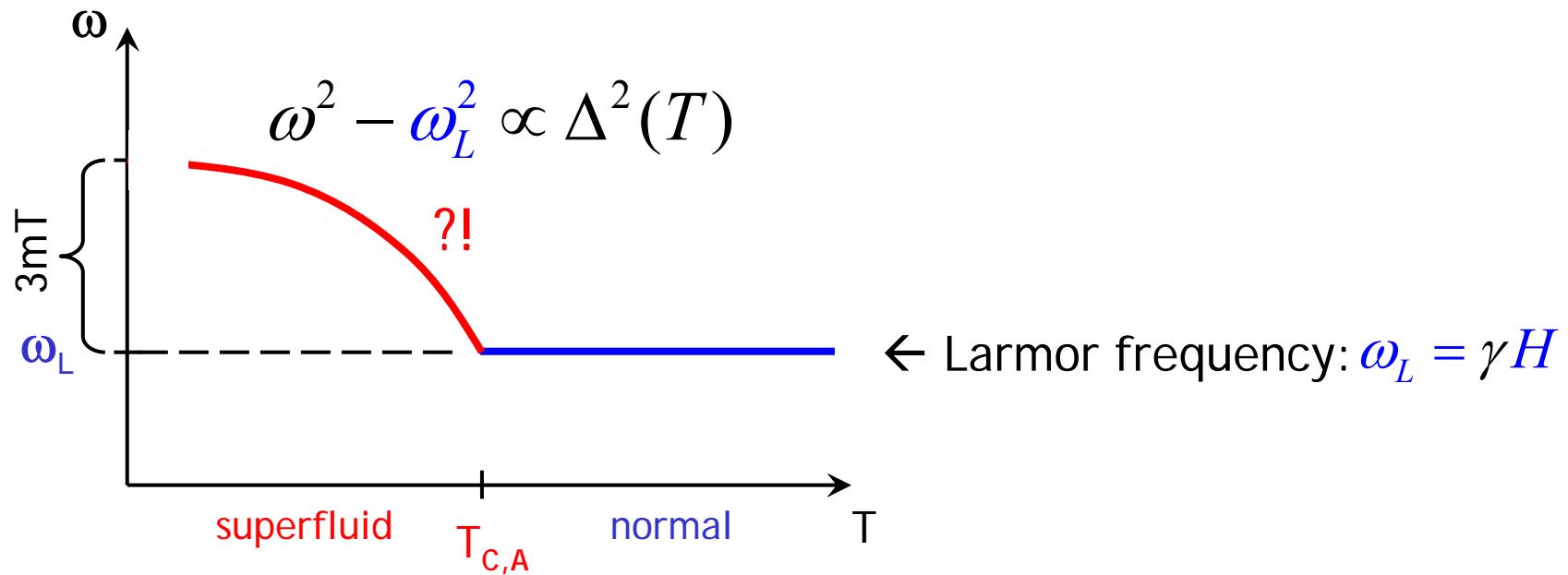
→ anisotropy directions  
in a  $^3\text{He}$  Cooper pair



... and a mystery!

NMR experiment on nuclear spins  $I=\frac{1}{2}\hbar$

Osheroff *et al.* (1972)

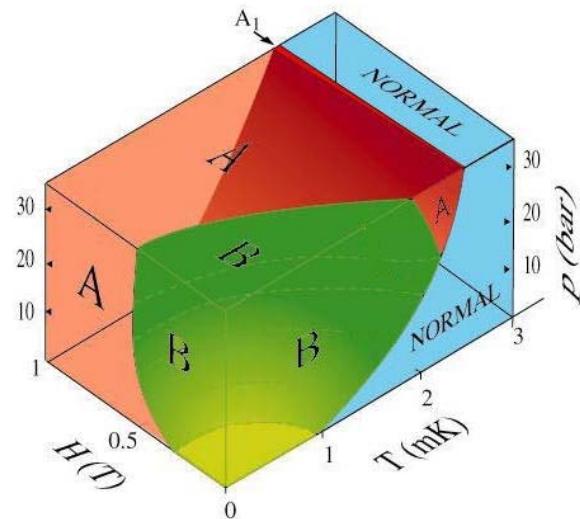


Shift of  $\omega_L$   $\Leftrightarrow$  spin-nonconserving interactions  
→ nuclear dipole interaction  $g_D \sim 10^{-7} K \ll T_c$

Origin of frequency shift ?!

Leggett (1973)

# The superfluid phases of ${}^3\text{He}$

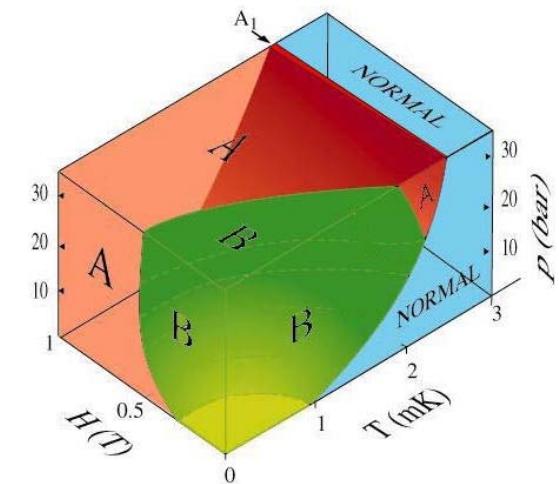
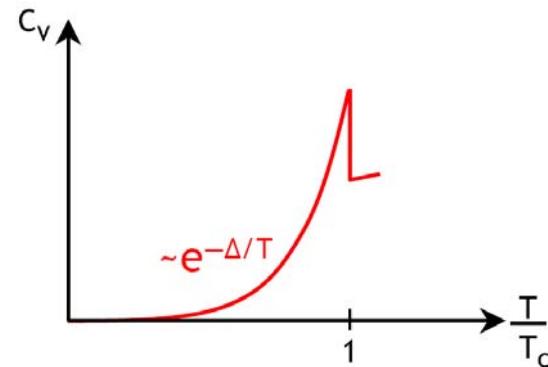
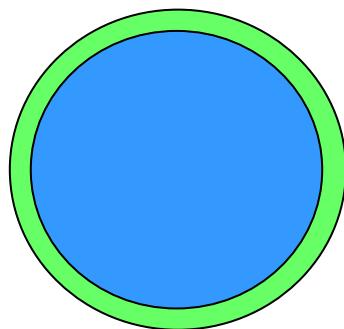


## B-phase

$$\Psi = |\uparrow\uparrow\rangle + |\uparrow\downarrow + \downarrow\uparrow\rangle + |\downarrow\downarrow\rangle$$

$$\Delta(\mathbf{k}) = \Delta_0$$

Balian, Werthamer (1963)  
Vdovin (1963)



(pseudo-) isotropic state  $\leftrightarrow$  s-wave superconductor

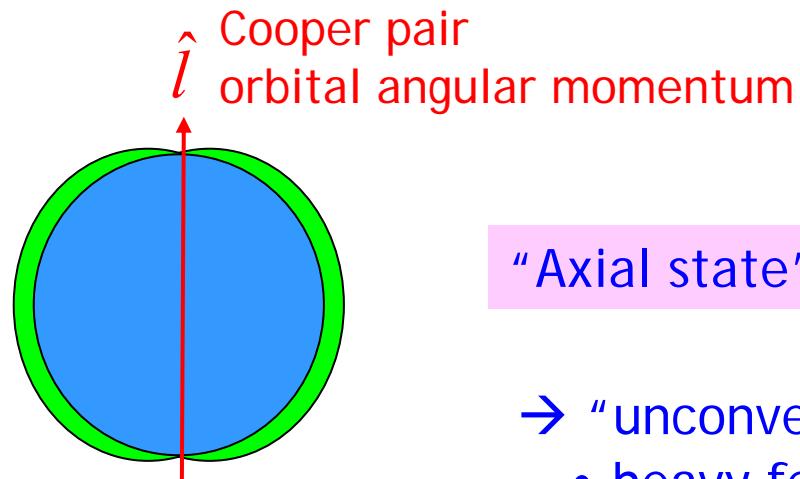
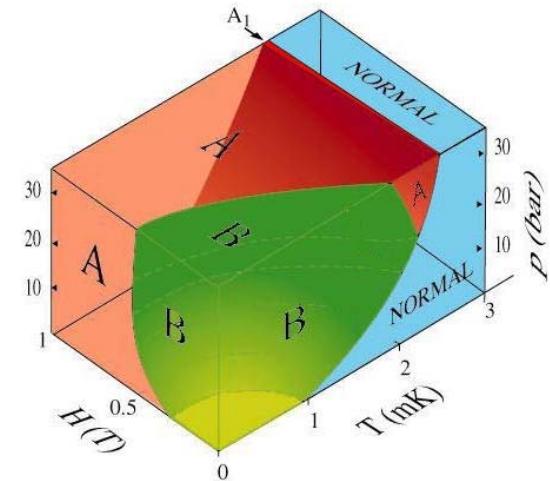
Weak-coupling theory: stable for all  $T < T_c$

## A-phase

$$\Psi = |\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle \rightarrow \text{strong anisotropy}$$

$$\Delta(\hat{k}) = \Delta_0 \sin(\hat{k}, \hat{l})$$

Anderson, Morel (1961)



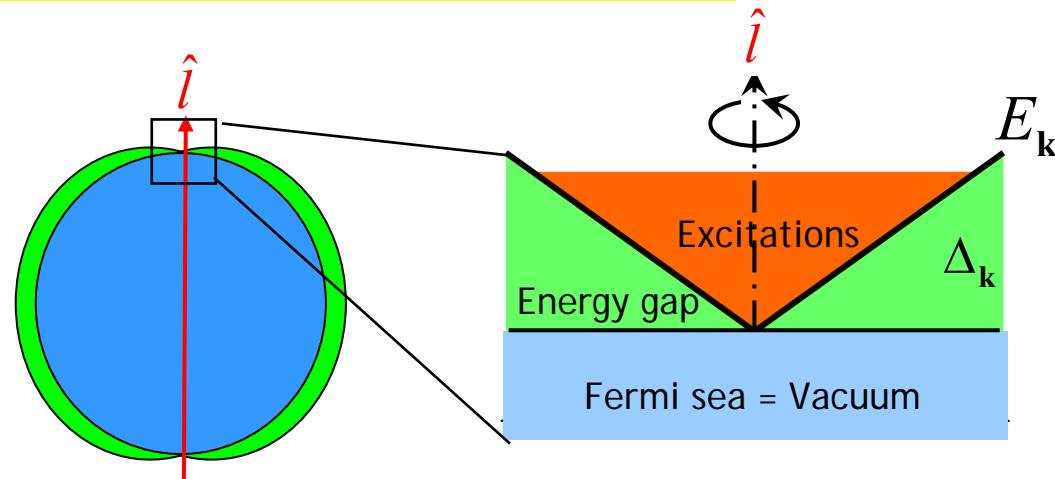
"Axial state" has point nodes

- "unconventional" pairing in
- heavy fermion/high- $T_c$  superconductors
  - $\text{Sr}_2\text{RuO}_4$

Strong-coupling effect

## $^3\text{He}-\text{A}$ : Spectrum near poles

Volovik (1987)



$$E_{\mathbf{k}}^2 = v_F^2 (k - k_F)^2 + \Delta_0^2 \sin^2(\hat{k}, \hat{l})$$

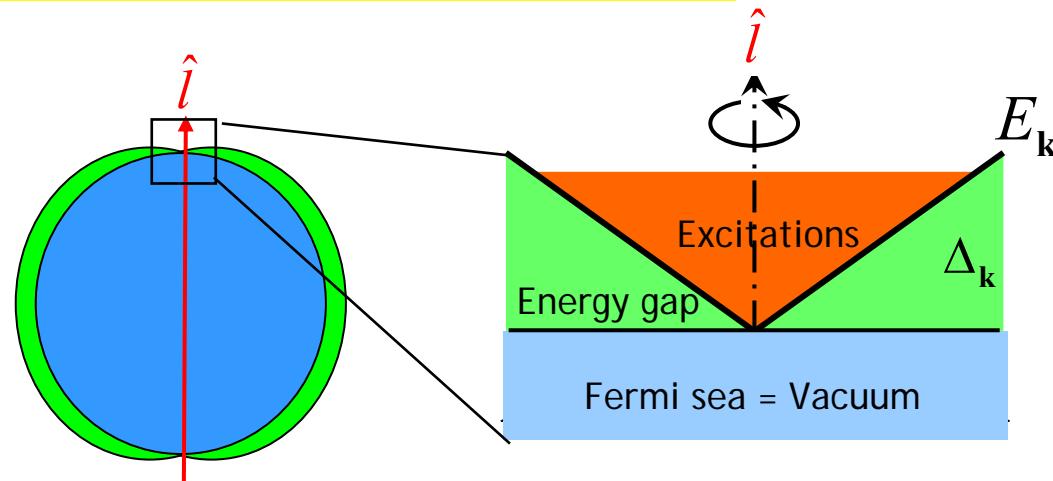
$$e = \begin{cases} +1 & \hat{k} \parallel +\hat{l} \\ -1 & \hat{k} \parallel -\hat{l} \end{cases} \quad \text{2 chiralities}$$

$$\mathbf{A} = k_F \hat{l}$$

$$\mathbf{p} = \mathbf{k} - e\mathbf{A}$$

## $^3\text{He}-\text{A}$ : Spectrum near poles

Volovik (1987)



$$E_{\mathbf{k}}^2 = v_F^2 (k - k_F)^2 + \Delta_0^2 \sin^2(\hat{\mathbf{k}}, \hat{\mathbf{l}}) = g^{ij} p_i p_j$$

$$e = \begin{cases} +1 & \hat{\mathbf{k}} \parallel +\hat{\mathbf{l}} \\ -1 & \hat{\mathbf{k}} \parallel -\hat{\mathbf{l}} \end{cases} \quad 2 \text{ chiralities}$$

$$g^{ij} = v_F^2 l_i l_j + \left( \frac{\Delta}{k_F} \right)^2 (\delta_{ij} - l_i l_j)$$

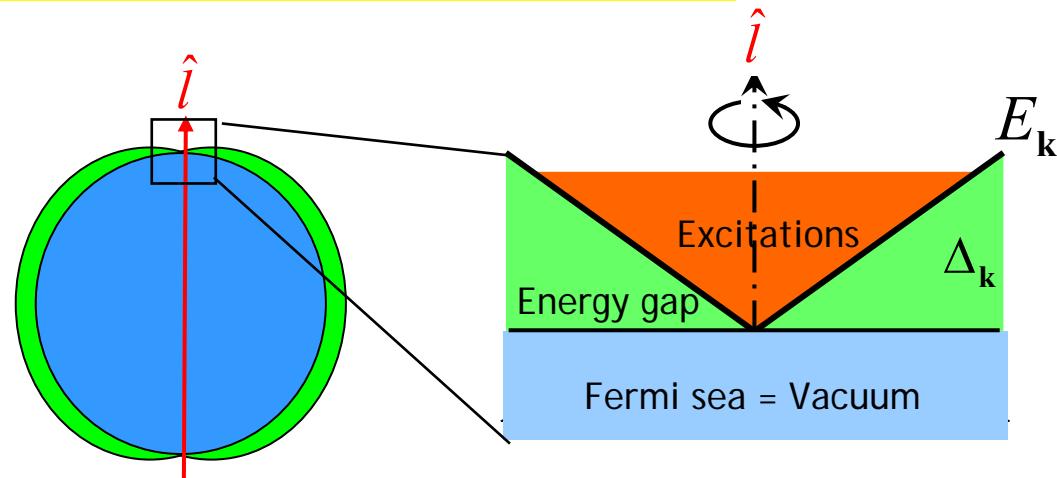
$$\mathbf{A} = k_F \hat{\mathbf{l}}$$

$$\mathbf{p} = \mathbf{k} - e\mathbf{A}$$

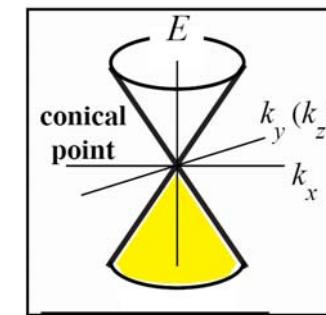
Lorentz invariance:  
Symmetry enhancement  
at low energies

## $^3\text{He-A}$ : Spectrum near poles

Volovik (1987)



$\Leftrightarrow$



Fermi point:  
spectral flow

$$E_{\mathbf{k}}^2 = v_F^2 (k - k_F)^2 + \Delta_0^2 \sin^2(\hat{k}, \hat{l}) = g^{ij} p_i p_j$$

$$e = \begin{cases} +1 & \hat{k} \parallel +\hat{l} \\ -1 & \hat{k} \parallel -\hat{l} \end{cases} \quad 2 \text{ chiralities}$$

$$g^{ij} = v_F^2 l_i l_j + \left( \frac{\Delta}{k_F} \right)^2 (\delta_{ij} - l_i l_j)$$

$\Leftrightarrow$  Massless, chiral leptons, e.g., neutrino  $E(\mathbf{p}) = cp$

→ Chiral anomaly of standard model

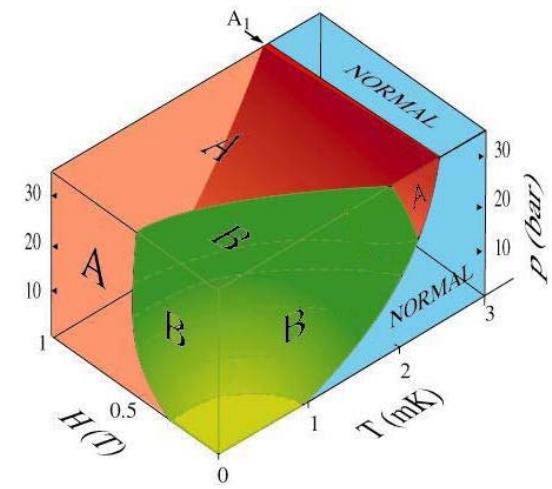
*The Universe in a Helium Droplet*,  
Volovik (2003)

$A_1$ -phase

$$\Psi = |\uparrow\uparrow\rangle$$

Long-range ordered magnetic liquid

finite magnetic field



# Broken Symmetries, Long Range Order

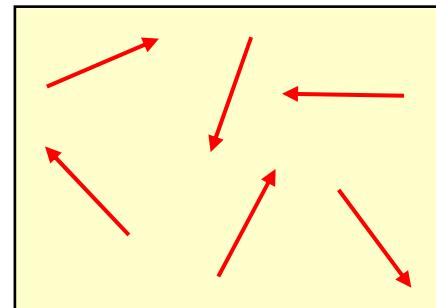


# Broken Symmetries, Long Range Order

Normal  ${}^3\text{He} \leftrightarrow {}^3\text{He-A}, {}^3\text{He-B}$ :  
2. order phase transition

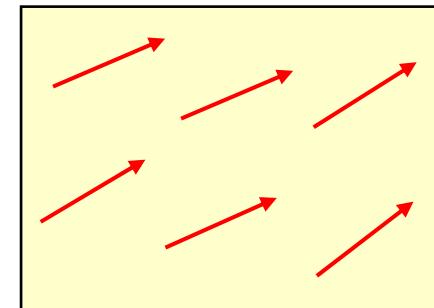
$T < T_c$ : higher order, lower symmetry of ground state

## I. Ferromagnet



$$T > T_c$$

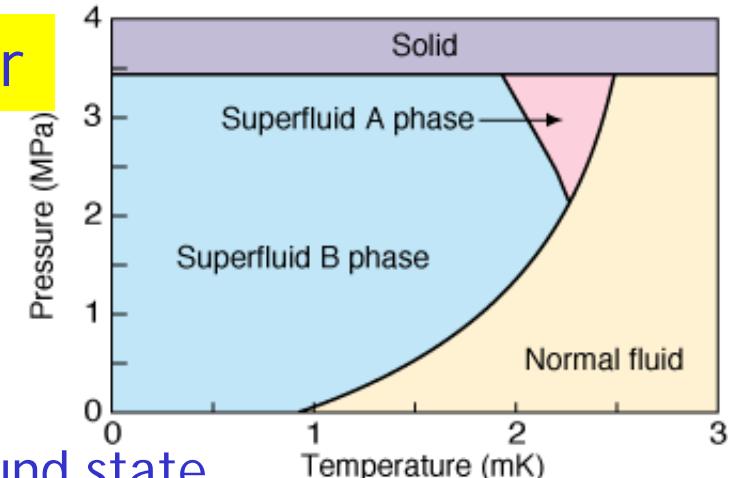
Average magnetization:  $\langle \mathbf{M} \rangle = 0$   
Symmetry group:  $\text{SO}(3)$



$$T < T_c$$

$\langle \mathbf{M} \rangle \neq 0$  Order parameter  
 $\text{U}(1) \subset \text{SO}(3)$

$T < T_c$ :  $\text{SO}(3)$  rotation symmetry in spin space spontaneously broken

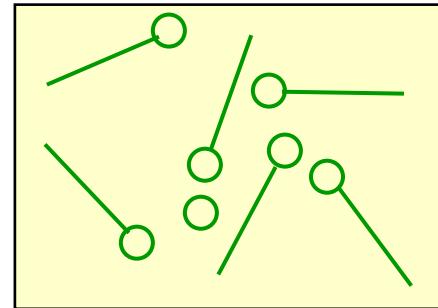


# Broken Symmetries, Long Range Order

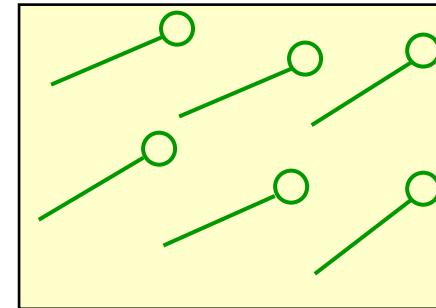
## 2. order phase transition

$T < T_c$ : higher order, lower symmetry of ground state

### II. Liquid crystal



$$T > T_c$$



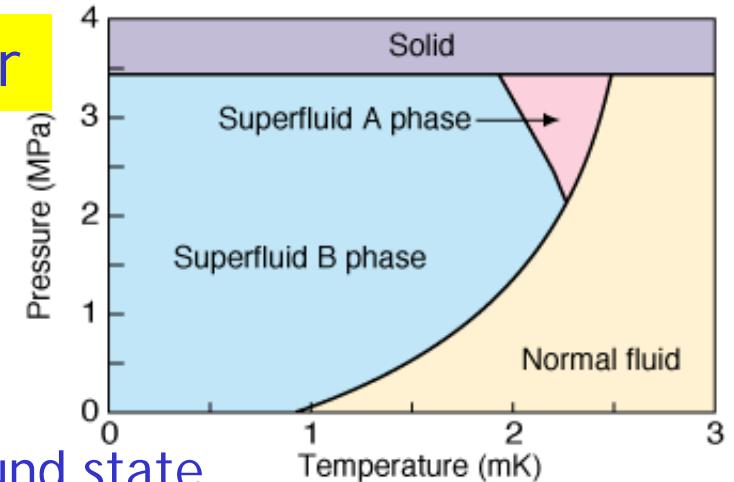
$$T < T_c$$

Symmetry group:

$SO(3)$

$U(1) \subset SO(3)$

$T < T_c$ :  $SO(3)$  rotation symmetry in real space spontaneously broken

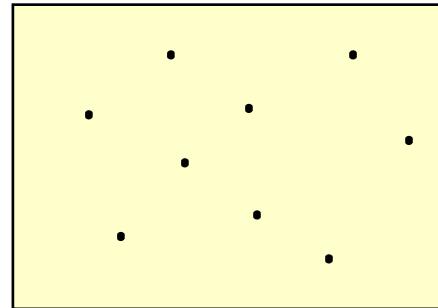
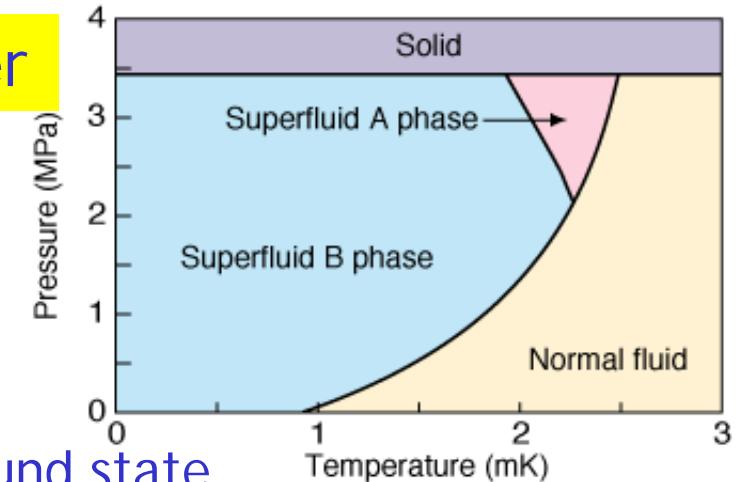


# Broken Symmetries, Long Range Order

## 2. order phase transition

$T < T_c$ : higher order, lower symmetry of ground state

## III. Conventional superconductor



$T > T_c$

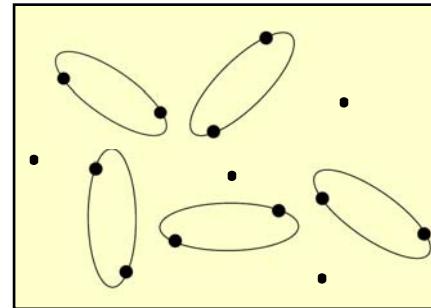
Pair amplitude  $\langle c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger \rangle$

0

Gauge transf.  $c_{\mathbf{k}\sigma}^\dagger \rightarrow c_{\mathbf{k}\sigma}^\dagger e^{i\varphi}$  : gauge invariant

Symmetry group

U(1)



$T < T_c$

$\Delta e^{i\phi}$  "Order parameter"

not gauge invariant

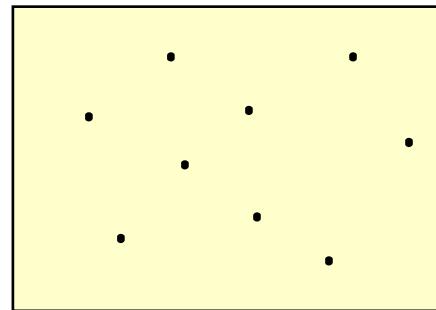
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## Broken Symmetries, Long Range Order

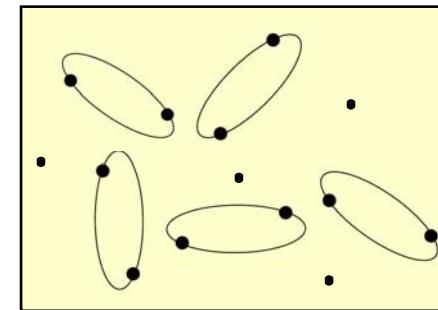
2. order phase transition

$T < T_c$ : higher order, lower symmetry of ground state

III. Conventional superconductor



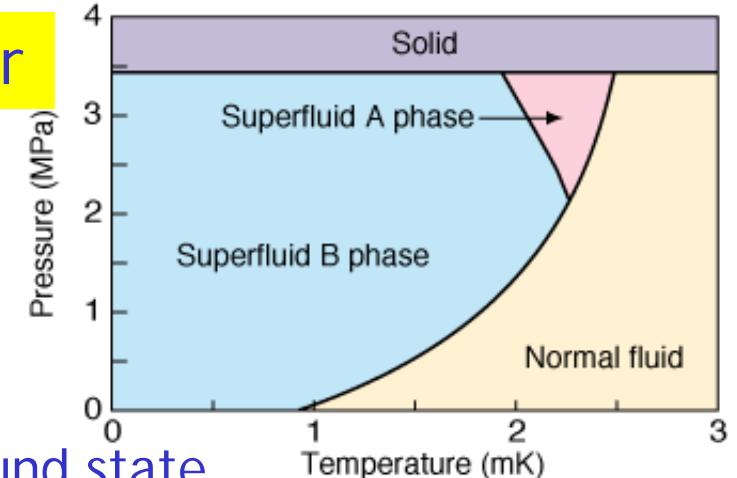
$T > T_c$



$T < T_c$

$T < T_c$ : U(1) “gauge symmetry” spontaneously broken

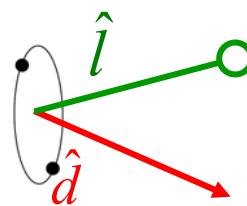
→ U(1) gauge symmetry also broken in BEC



## Broken symmetries in superfluid $^3\text{He}$

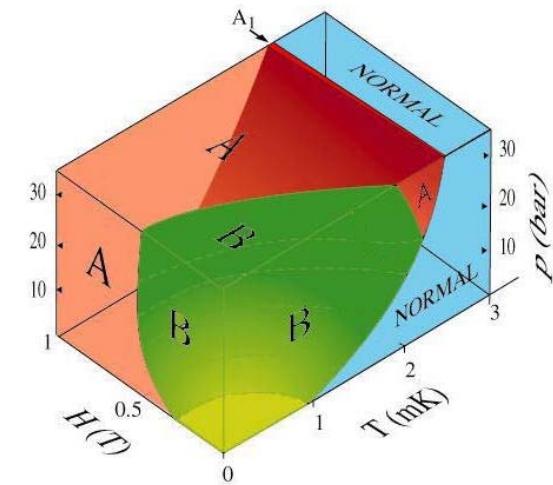
$S=1$ ,  $L=1$  in all phases

Cooper pair:



The diagram shows a Cooper pair represented by two black dots. A green arrow labeled  $\hat{l}$  connects them, representing the orbital part. A red arrow labeled  $\hat{d}$  points from one dot to the other, representing the spin part.

orbital part  
spin part



Quantum coherence in

$\left\{ \begin{array}{l} \text{anisotropy direction for spin} \\ \text{anisotropy direction in real space} \\ \text{phase} \end{array} \right.$

magnetic  
liquid crystal  
superfluid

Characterized by  $(2S + 1) \times (2L + 1) \times 2 = 18$  real numbers

3x3 order parameter matrix  $A_{ij\mu}$

$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)_\phi$  symmetry spontaneously broken Leggett (1975)

## Broken symmetries in superfluid $^3\text{He}$

Mineev (1980)  
Bruder, DV (1986)

3He-B

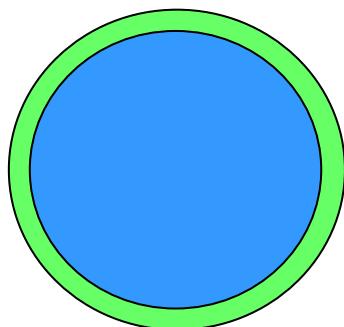
$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)_\phi$  symmetry broken



$\text{SO}(3)_{S+L}$

-

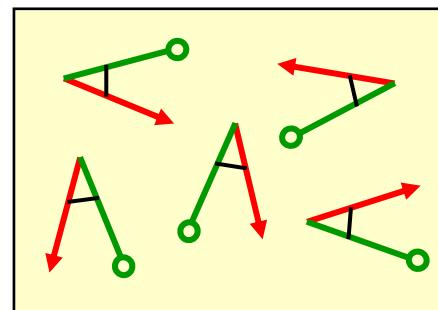
"Unconventional" superfluidity



Spontaneously broken spin-orbit symmetry

Leggett (1972)

Cooper pairs



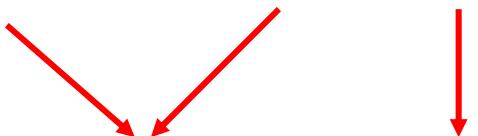
Fixed relative orientation

# Broken symmetries in superfluid $^3\text{He}$

Mineev (1980)  
Bruder, DV (1986)

3He-B

$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)_\phi$  symmetry broken



$\text{SO}(3)_{S+L}$

"Unconventional" superfluidity

## Relation to high energy physics

Isodoublet

$\begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_R$  chiral invariance

Global symmetry

$\text{SU}(2)_L \times \text{SU}(2)_R$   
 $q\bar{q}$  condensation ("Cooper pair")  
 $\text{SU}(2)_{L+R}$

Goldstone excitations (bosons)

3 pions

# Broken symmetries in superfluid $^3\text{He}$

Mineev (1980)  
Bruder, DV (1986)

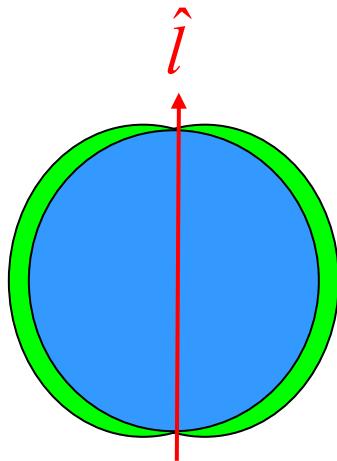
$^3\text{He-A}$

$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)_\varphi$  symmetry broken

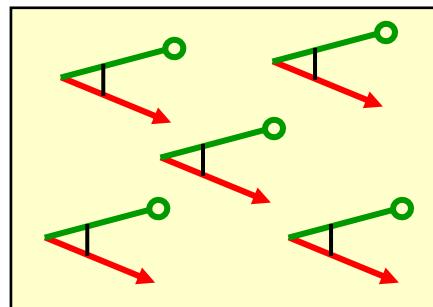


$\text{U}(1)_{S_z} \times \text{U}(1)_{L_z - \varphi}$

"Unconventional" pairing

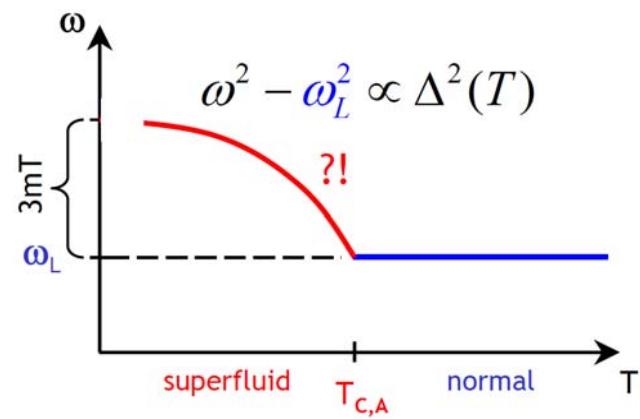


Cooper pairs



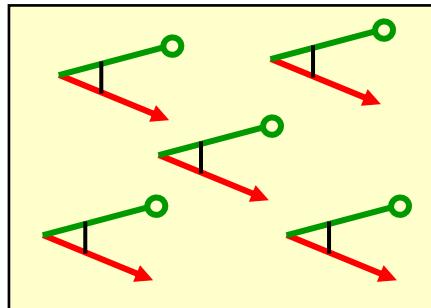
Fixed absolute orientation

## Resolution of the NMR puzzle



## Superfluid ${}^3\text{He}$ - a quantum amplifier

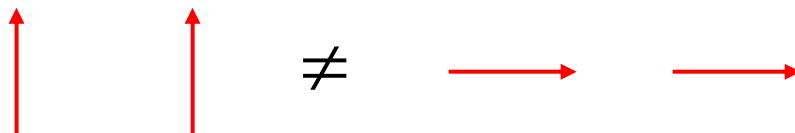
Cooper pairs in  ${}^3\text{He}-\text{A}$



Fixed absolute orientation

What determines the **actual** relative orientation of  $\hat{d}, \hat{l}$ ?

→ Anisotropic spin-orbit interaction of nuclear dipoles:

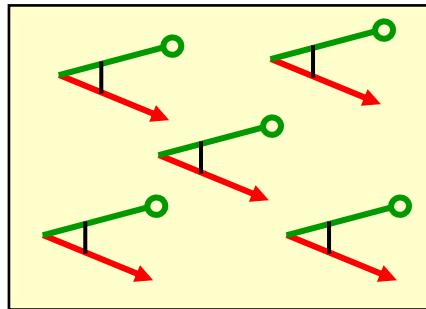


Dipole-dipole coupling of  ${}^3\text{He}$  nuclei:  $g_D \sim 10^{-7} K \ll T_c$

Unimportant ?!

## Superfluid ${}^3\text{He}$ - a quantum amplifier

Cooper pairs in  ${}^3\text{He-A}$



Fixed absolute orientation

- Long-range order in  $\hat{d}, \hat{l}$  due to Cooper pairing
- $g_D \sim 10^{-7} K$ : tiny (but lifts degeneracy of relative orientation)

Quantum coherence

$\hat{d}, \hat{l}$  locked in all Cooper pairs in the same way

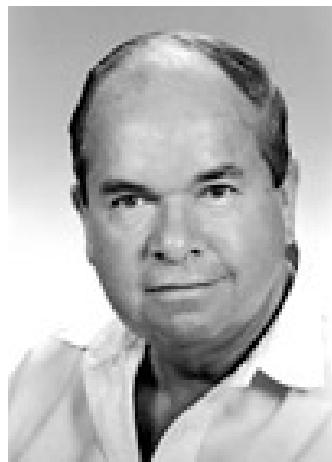


NMR frequency increases:  $\omega^2 = (\gamma H)^2 + g_D \Delta^2(T)$  Leggett (1973)

→ Nuclear dipole interaction macroscopically measurable

# The Nobel Prize in Physics 2003

"for pioneering contributions to the theory of superconductors  
and superfluids"



**Alexei A. Abrikosov**  
USA and Russia

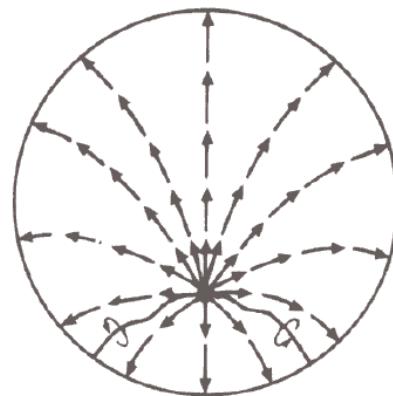


**Vitaly L. Ginzburg**  
Russia



**Anthony J. Leggett**  
UK and USA

## Order parameter textures and topological defects

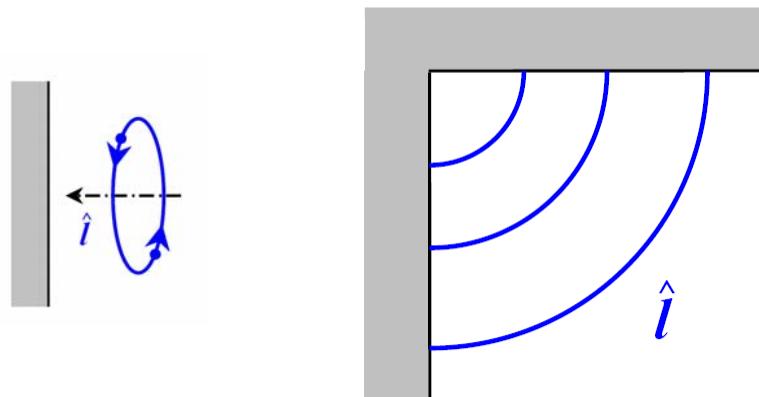


## Order parameter textures

Orientation of anisotropy directions  $\hat{d}, \hat{l}$  in  $^3\text{He-A}$  ?

Magnetic field  $\rightarrow \hat{d}$

Walls  $\rightarrow \hat{l}$

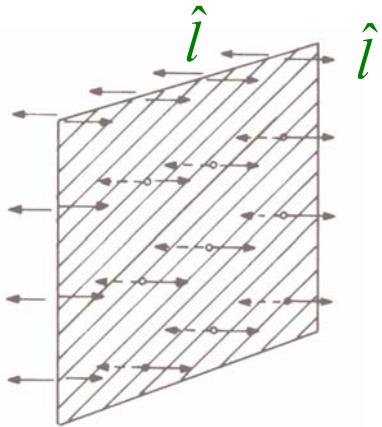


$\rightarrow$  Textures in  $\hat{d}, \hat{l} \leftrightarrow$  liquid crystals

$\rightarrow$  Topologically stable defects

## Order parameter textures and topological defects

D=2: domain walls in  $\hat{d}$  or  $\hat{l}$



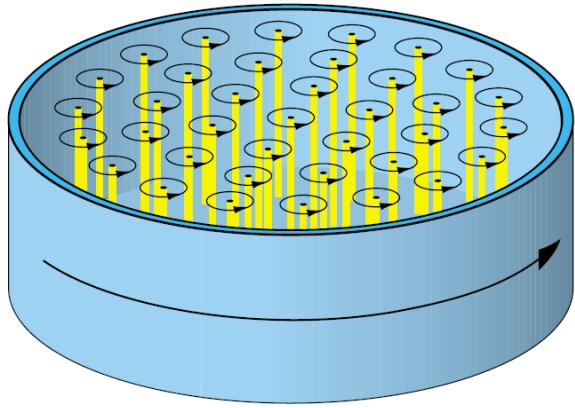
Single domain wall



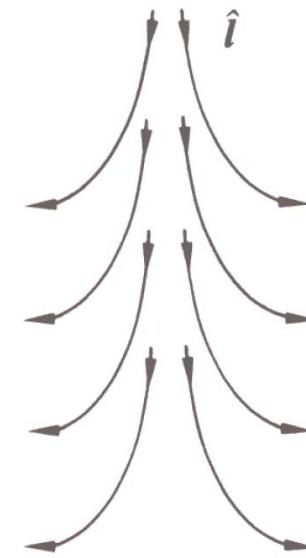
Domain wall lattice

# Order parameter textures and topological defects

D=1: Vortices



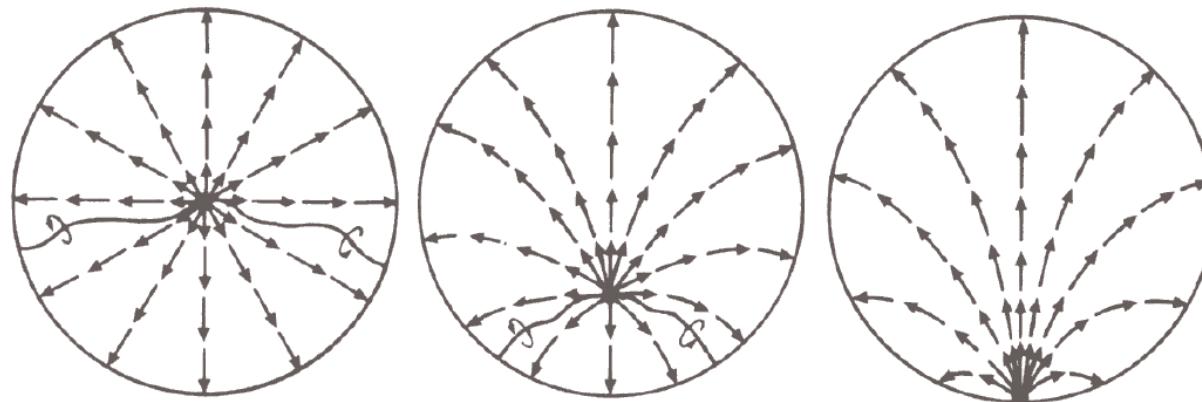
Vortex formation  
(rotation experiments)



e.g., Mermin-Ho vortex  
(non-singular)

# Order parameter textures and topological defects

D=0: Monopoles



"Boojum" in  $\hat{l}$ -texture of  ${}^3\text{He-A}$   
(geometric constraint)

Defect formation by, e.g.,

- rotation
- geometric constraints
- rapid crossing through phase transition

Big bang simulation  
in the low temperature lab



# Universality in continuous phase transitions



High symmetry,  
short-range order

$T > T_c$



Spins:  
para-  
magnetic

Helium:  
normal  
liquid

Universe:  
Unified forces  
and fields

$T = T_c$

Phase transition

Broken symmetry,  
long-range order

ferromagnetic      superfluid

elementary  
particles,  
fundamental  
interactions

Defects: domain  
walls

vortices,  
etc.

cosmic strings,  
etc. Kibble (1976)

$T < T_c$

nucleation of galaxies?



## Rapid thermal quench through 2. order phase transition

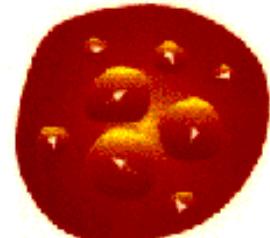
Kibble (1976)

1. Local temperature  $T \gg T_c$



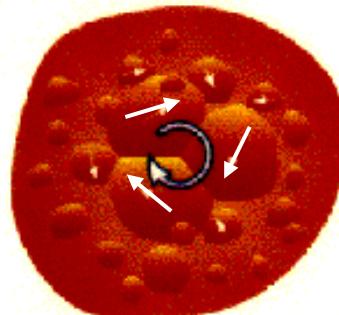
Expansion + rapid cooling

2. Nucleation of independently ordered regions

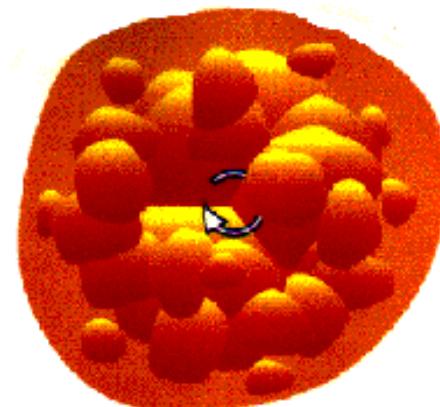


Clustering of ordered regions

→ Defects

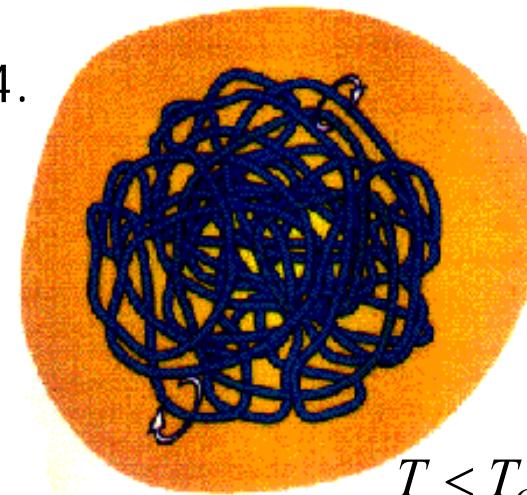


- 3.



Defects overlap

- 4.



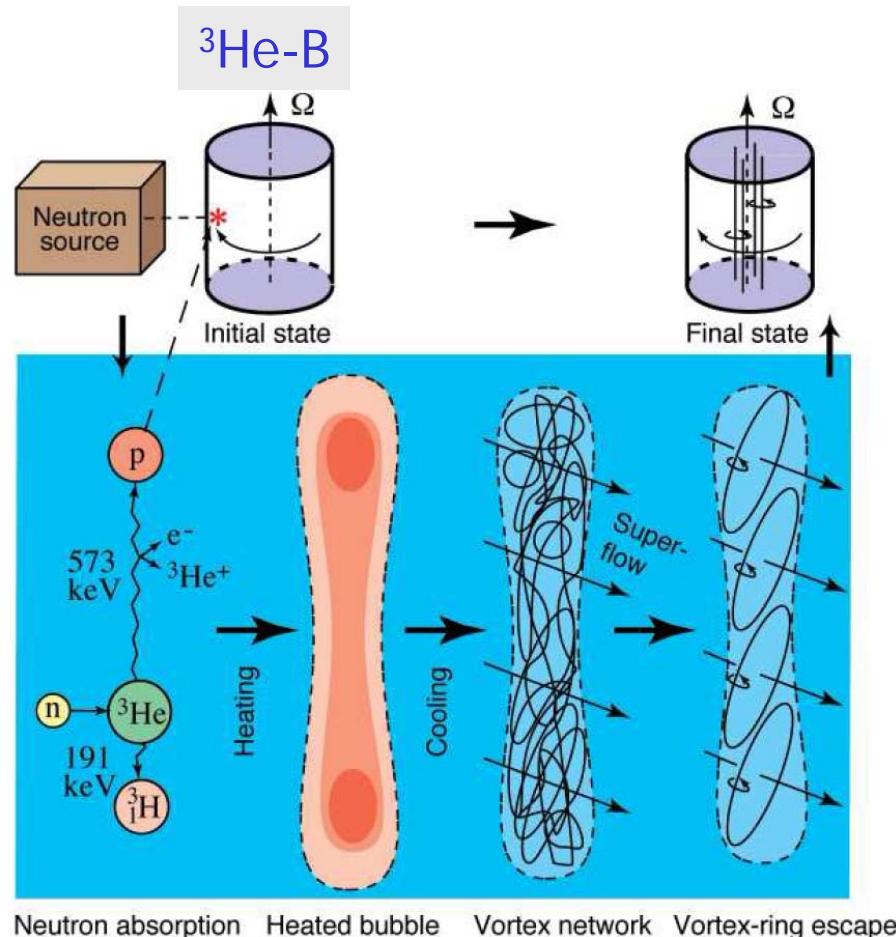
$T < T_c$  : Vortex tangle

Estimate of density of defects Zurek (1985)

"Kibble-Zurek mechanism": How to test?

# Big bang simulation in the low temperature laboratory

Grenoble: Bäuerle *et al.* (1996), Helsinki: Ruutu *et al.* (1996)



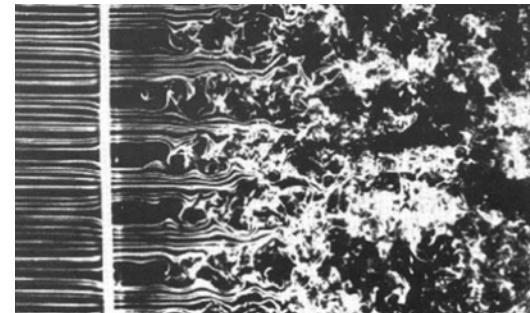
Measured vortex tangle density:  
Quantitative support for Kibble-Zurek mechanism

# Present research on superfluid $^3\text{He}$ : Quantum Turbulence

## Classical Turbulence



Leonardo da Vinci (1452-1519)



Flow through grid

Quantum Turbulence = Turbulence in the absence of viscous dissipation (superfluid at  $T \rightarrow 0$ )

- What provides dissipation in the absence of friction?
- Why are quantum and classical turbulence so similar?

Test system:  $^3\text{He-B}$

Vinen, Donnelly: Physics Today (April, 2007)

# Conclusion

## Superfluid Helium-3:

- Anisotropic superfluid
  - 3 different bulk phases
  - Cooper pairs with internal structure
- Large symmetry group broken
  - Close connections to particle theory
  - Zoo of topological defects
  - Kibble-Zurek mechanism quantitatively verified

