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**Surjective homomorphisms from  
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spaces are automatically injective**

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# SURJECTIVE HOMOMORPHISMS FROM ALGEBRAS OF OPERATORS ON LONG SEQUENCE SPACES ARE AUTOMATICALLY INJECTIVE

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ABSTRACT. We study automatic injectivity of surjective algebra homomorphisms from  $\mathcal{B}(X)$ , the algebra of (bounded, linear) operators on  $X$ , to  $\mathcal{B}(Y)$ , where  $X$  is one of the following long sequence spaces:  $c_0(\lambda)$ ,  $\ell_\infty^c(\lambda)$ , and  $\ell_p(\lambda)$  ( $1 \leq p < \infty$ ) and  $Y$  is arbitrary. *En route* to the proof that these spaces do indeed enjoy such a property, we classify two-sided ideals of the algebra of operators of any of the aforementioned Banach spaces that are closed with respect to the ‘sequential strong operator topology’.

## 1. INTRODUCTION AND KNOWN RESULTS

Algebras of operators on Banach spaces are quite rigid as illustrated by Eidelheit’s Theorem (see [3, Theorem 2.5.7]), which asserts that for two Banach spaces  $X$  and  $Y$ , the algebras  $\mathcal{B}(X)$  and  $\mathcal{B}(Y)$  of operators on the respective spaces are isomorphic as rings/Banach algebras precisely when  $X$  and  $Y$  are isomorphic as Banach spaces. Thus, in a sense, lots of isomorphic Banach space theory may be translated to algebraic problems concerning the algebras  $\mathcal{B}(X)$  and *vice versa*.

As observed by the first-named author in [12], for many Banach spaces  $X$  such as  $c_0$  or  $\ell_p$  ( $p \in [1, \infty]$ ), a stronger rigidity phenomenon is available: for every non-zero Banach space  $Y$ , every surjective algebra homomorphism  $\varphi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$  is automatically injective, that is, it is an algebra isomorphism. In the said paper, such spaces have been termed to have the SHAI property after *surjective homomorphisms are injective*, and we continue using this terminology. Interestingly, not every Banach space enjoys such a property; spaces  $X$  whose algebra  $\mathcal{B}(X)$  admits a character (a non-zero homomorphism into the scalar field) are obvious counter-examples (historically, the first two examples of such spaces are  $X = J_2$ , the James space ([7, paragraph 8]; see also [18, Theorem 4.16]), and  $X = C[0, \omega_1]$ , the space of continuous functions on the ordinal interval  $[0, \omega_1]$ ).

Let us list positive results concerning SHAI from [12]. The following Banach spaces have the SHAI property:

- (i)  $c_0$  and  $\ell_p$  for  $p \in [1, \infty]$ ;
- (ii) Hilbert spaces of arbitrary density, *e.g.*,  $\ell_2(\Gamma)$  for any set  $\Gamma$ ;
- (iii) complementably minimal spaces  $X$  that contain a complemented subspace isomorphic to  $X \oplus X$  (in particular, Schlumprecht’s arbitrarily distortable Banach space  $S$ );

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(iv)  $X = (\bigoplus_{n=1}^{\infty} \ell_2^n)_{c_0}$  and  $X = (\bigoplus_{n=1}^{\infty} \ell_2^n)_{\ell_1}$ .

Moreover, if both Banach spaces  $X_1$  and  $X_2$  have the SHAI property, then so has  $X = X_1 \oplus X_2$ .

In particular, it follows that direct sums such as  $c_0 \oplus \ell_p$  and  $\ell_p \oplus \ell_q$  have the SHAI property for every  $1 \leq p, q \leq \infty$ . The importance of this result is that  $\mathcal{B}(\ell_p \oplus \ell_q)$  has very complicated ideal structure (see [9, 8, 28]) and the study of automatic injectivity of surjective homomorphisms is intimately related with their kernels that are closed ideals of  $\mathcal{B}(X)$  themselves.

On the negative side, let us record the following results here for the sake of completeness, established by the first-named author in [12]. These are [12, Lemma 2.2] and [12, Theorem 1.7], respectively. Note that the first result we already invoked in the case where  $\dim Y = 1$ .

- (i) Let  $X$  be an infinite-dimensional Banach space such that  $\mathcal{B}(X)$  admits a finite-dimensional quotient. Then  $X$  does not have the SHAI property. In particular, the James space,  $C[0, \omega_1]$ , and hereditarily indecomposable Banach spaces and finite direct sums thereof fail to have SHAI.
- (ii) Let  $X$  be a non-zero, separable, reflexive Banach space, and consider the injective tensor product  $Y_X := C_0[0, \omega_1] \hat{\otimes}_{\varepsilon} X$ . There exist a non-injective contractive algebra homomorphism  $\Theta: \mathcal{B}(Y_X) \rightarrow \mathcal{B}(X)$  and a contractive algebra homomorphism  $\Lambda: \mathcal{B}(X) \rightarrow \mathcal{B}(Y_X)$  such that  $\Theta \circ \Lambda = \text{id}_{\mathcal{B}(X)}$ . In particular,  $\Theta$  is surjective.

In [12], a promise concerning establishing the SHAI property for Banach spaces of the form  $\ell_p(\Gamma)$  for any  $p \in [1, \infty)$  and every set  $\Gamma$  was made. The aim of this paper is to fulfil this promise actually for a larger class of Banach spaces that we collectively call *long*.

**Theorem A.** *The Banach spaces  $c_0(\lambda)$ ,  $\ell_{\infty}^c(\lambda)$ , and  $\ell_p(\lambda)$  have the SHAI property for every infinite cardinal  $\lambda$  and every  $p \in [1, \infty)$ .*

Along the way, we establish new results concerning the lattice of closed ideals of  $\mathcal{B}(\ell_p(\Gamma))$  for any set  $\Gamma$ , introduce and use a certain topology that we term  $\sigma$ -strong operator topology (denoted by  $\sigma_{\text{SOT}}$ ), which for long sequence spaces (that is, when  $\Gamma$  is uncountable), is intermediate between the strong operator topology and the norm topology.

Among other things, we prove the following result concerning the set  $\mathcal{S}_{E_{\kappa}}(X)$  of operators in  $\mathcal{B}(X)$  that do not preserve isomorphic copies of  $E_{\kappa}$ , where  $E_{\kappa}$  is one of the long sequence spaces considered in the present paper.

**Theorem B.** *Let  $X$  be a Banach space and let  $\kappa$  be a cardinal number with uncountable cofinality. Consider one of the following cases:*

- (1)  $E_{\kappa} := c_0(\kappa)$  and  $X$  has an  $M$ -basis;
- (2)  $E_{\kappa} := \ell_p(\kappa)$  and  $X := \ell_p(\lambda)$ , where  $\lambda \geq \kappa$  and  $p \in (1, \infty)$ ;
- (3)  $E_{\kappa} := \ell_1(\kappa)$ .

*Then the set  $\mathcal{S}_{E_{\kappa}}(X)$  is  $\sigma_{\text{SOT}}$ -closed in  $\mathcal{B}(X)$ .*

Furthermore, with the aid of a striking new result of Koszmider and Laustsen from [15], we prove in Proposition 3.25 results related to the three-space problem (for example, that SHAI is not a three space property of Banach spaces, even though it is preserved by finite direct sums). Some questions related to the SHAI property of certain Banach spaces are also left open.

## 2. PRELIMINARIES

For a set  $S$ , we denote by  $\mathcal{P}(S)$  the power-set of  $S$ . The symbol  $[S]^2$  stands for the subset of  $\mathcal{P}(S)$  whose elements consist of exactly two elements of  $S$ .

For a function  $f: X \rightarrow Y$ , we denote by  $\text{im } f$  the image of  $f$ . For a set  $\widehat{Y} \supseteq \text{im } f$ , we denote by  $f|_{\widehat{Y}}$  the corestriction of  $f$  to  $\widehat{Y}$ , that is, we consider it a map  $f: X \rightarrow \widehat{Y}$ . For a subset  $\widehat{X} \subseteq X$ , we denote by  $f|_{\widehat{X}}$  the restriction of  $f$  to  $\widehat{X}$ .

We use von Neumann's approach to ordinal and cardinal numbers; for example, we consider the latter initial ordinal numbers. If  $\kappa$  is a cardinal number then  $\kappa^+$  denotes its successor cardinal. *Cofinality* of a set of ordinal numbers  $\Lambda$ ,  $\text{cf}(\Lambda)$ , is the least cardinality of a cofinal subset of  $\Lambda$ . A cardinal number is *regular*, whenever it is equal to its cofinality. The following lemma is standard, see, e.g., [4, Lemma 3.2].

**Lemma 2.1.** *Let  $\kappa$  be a cardinal number with  $\text{cf}(\kappa) > \omega$ . Let  $(\Lambda_n)_{n=1}^\infty$  be a sequence of sets such that  $|\Lambda_n| < \kappa$  for all  $n \in \mathbb{N}$ . Then  $|\bigcup_{n=1}^\infty \Lambda_n| < \kappa$ .*

Let  $\mathbb{K}$  denote the field of real or complex numbers. Let  $\Gamma$  be a set and  $p \in [1, \infty]$ . When  $p < \infty$ , we denote by  $\ell_p(\Gamma)$  the space of all functions  $f: \Gamma \rightarrow \mathbb{K}$  with  $\sum_{\gamma \in \Gamma} |f(\gamma)|^p < \infty$  normed by the  $1/p^{\text{th}}$  power of this expression. When  $p = \infty$ ,  $\ell_\infty(\Gamma)$  stands for the space of all bounded functions  $f: \Gamma \rightarrow \mathbb{K}$  normed by the supremum norm. When  $\Gamma$  is uncountable,  $\ell_\infty^c(\Gamma)$  stands for the (closed) subspace of  $\ell_\infty(\Gamma)$  comprising functions for which the set  $\text{supp } f = \{\gamma \in \Gamma: f(\gamma) \neq 0\}$  is finite or countably infinite. The symbol  $c_0(\Gamma)$  denotes the space of all functions  $f: \Gamma \rightarrow \mathbb{K}$  such that the set  $\{\gamma \in \Gamma: |f(\gamma)| \geq \varepsilon\}$  is finite for every  $\varepsilon > 0$ . It is a standard fact that all the aforementioned spaces are complete. Whenever  $\Gamma$  is uncountable, we collectively call the spaces  $c_0(\Gamma)$ ,  $\ell_p(\Gamma)$  and  $\ell_\infty^c(\Gamma)$  *long sequence spaces*.

**2.0.1. Operator ideals.** Let  $X$  and  $Y$  be Banach spaces. We denote by  $\mathcal{B}(X, Y)$  the space of all (bounded, linear) operators from  $X$  to  $Y$ , which is a Banach space under the operator norm. In particular,  $\mathcal{B}(X) := \mathcal{B}(X, X)$  is a Banach algebra. We shall be primarily interested in surjective algebra homomorphisms  $\varphi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ , which are known to be automatically continuous due to the fundamental result of B. E. Johnson, see for example [3, Theorem 5.1.5].

For a Banach space  $X$ ,  $\mathcal{F}(X)$ ,  $\mathcal{A}(X)$ ,  $\mathcal{K}(X)$ ,  $\mathcal{W}(X)$  stand for the ideals of  $\mathcal{B}(X)$  comprising finite-rank operators, approximable operators (operators in the closure of  $\mathcal{F}(X)$ ), compact operators, and weakly compact operators, respectively. We denote by  $\mathcal{X}(X)$  the ideal of operators that have separable range and by  $\mathcal{E}(X)$  the ideal of inessential operators, that is, operators  $T \in \mathcal{B}(X)$  such that for any  $A \in \mathcal{B}(X)$  both operators  $I_X - AT, I_X - TA$  are Fredholm.

For fixed Banach spaces  $X, Y$ , and  $Z$  the symbol  $\mathcal{S}_Z(Y, X)$  denotes the subset of those operators in  $\mathcal{B}(Y, X)$  which are not bounded below on any subspace of  $Y$  isomorphic to  $Z$ . In other words, for  $T \in \mathcal{B}(Y, X)$  we have  $T \notin \mathcal{S}_Z(Y, X)$  if and only if there is a closed subspace  $W$  of  $Y$  such that  $W \cong Z$  and  $T|_W$  is bounded below, that is, there exists  $\gamma > 0$  such that  $\|Tw\| \geq \gamma\|w\|$  for all  $w \in W$ . We also make use of the abbreviation  $\mathcal{S}_Y(X) := \mathcal{S}_Y(X, X)$ . The elements of the set  $\mathcal{S}(Y, X)$ , defined as the intersection of all families  $\mathcal{S}_Z(Y, X)$ , where  $Z$  ranges through all infinite-dimensional subspaces of  $Y$ , are called *strictly singular operators*. It is well-known that for every Banach space  $X$  one has the inclusions

$$\mathcal{A}(X) \subseteq \mathcal{K}(X) \subseteq \mathcal{S}(X) \subseteq \mathcal{E}(X) \quad \text{and} \quad \mathcal{A}(X) \subseteq \mathcal{K}(X) \subseteq \mathcal{W}(X).$$

For Banach spaces  $X$  and  $Y$ , the set  $\mathcal{S}_Y(X)$  is closed under multiplication from the left and from the right by arbitrary operators in  $\mathcal{B}(X)$ . However,  $\mathcal{S}_Y(X)$  need not be closed under addition. To see this, let us consider, for example,  $X = \ell_p \oplus \ell_q$ , where  $1 \leq q < p < \infty$ , in which case the projection on the respective summands are in  $\mathcal{S}_Y(X)$  but their sum is not. It is also obvious that  $\mathcal{S}(X, X) =: \mathcal{S}(X) \subseteq \mathcal{S}_Y(X) \subseteq \mathcal{S}_Z(X)$  for infinite-dimensional Banach spaces  $X, Y$  and  $Z$  with  $Y \subseteq Z$ .

Lastly, if  $X$  and  $Y$  are Banach spaces with  $Y$  non-separable, then  $\mathcal{X}(X) \subseteq \mathcal{S}_Y(X)$ . Indeed, if  $T \in \mathcal{B}(X)$  is such that  $T \notin \mathcal{S}_Y(X)$  then there is a closed subspace  $Z$  of  $X$  with  $Z \cong Y$  such that  $T|_Z$  is bounded below. Hence

$$Y \cong Z \cong \text{im}(T|_Z) \subseteq \text{im}(T),$$

which shows that  $Y$  embeds into  $\text{im}(T)$ , thus it cannot be separable.

**2.0.2. Complementably homogenous Banach spaces.** An infinite-dimensional Banach space is *complementably homogenous*, whenever for every closed subspace  $Y$  of  $X$  with  $Y \cong X$  there exists a complemented subspace  $W$  of  $X$  with  $W \cong X$  and  $W \subseteq Y$ . The spaces  $c_0$  and  $\ell_p$  (where  $1 \leq p < \infty$ ) are well known to be complementably homogenous; this follows, for example, from [23, Lemma 2]. When  $\lambda$  is an uncountable cardinal, then  $c_0(\lambda)$  and  $\ell_p(\lambda)$  are also known to be complementably homogenous. These results follow for example, from [1, Proposition 2.8] and [14, Proposition 3.10], respectively. For every infinite cardinal number  $\lambda$ , the Banach space  $\ell_\infty^c(\lambda)$  is also complementably homogenous (see [14, Theorem 1.2]).

We would like to draw the reader's attention to the paper [25] of Rodríguez-Salinas, which seems to be a bit overlooked. The author had already shown in this paper that for an infinite cardinal number  $\lambda$ , every complemented subspace of  $\ell_p(\lambda)$  (for  $1 < p < \infty$ ) is isomorphic to  $\ell_p(\kappa)$  for some cardinal  $\kappa \leq \lambda$  (see [25, Theorem 4]).

The following lemma is a slight generalisation of Whitley's result [29, Theorem 6.2].

**Lemma 2.2.** *Suppose that  $X$  is a complementably homogenous Banach space. Let  $\mathcal{J}$  be a subset of  $\mathcal{B}(X)$  that is closed under multiplication from the left and from the right by arbitrary operators in  $\mathcal{B}(X)$ . If  $\mathcal{J}$  is a proper subset of  $\mathcal{B}(X)$ , then  $\mathcal{J} \subseteq \mathcal{S}_X(X)$ .*

*Proof.* Assume that  $\mathcal{J} \not\subseteq \mathcal{S}_X(X)$  and take  $T \in \mathcal{J}$  such that  $T \notin \mathcal{S}_X(X)$ . Then there exists a subspace  $W$  of  $X$  such that  $W \cong X$  and  $T|_W$  is bounded below. Set  $T_1 := T|_W^{\text{im}(T|_W)}$ , then  $T_1 \in \mathcal{B}(W, \text{im}(T|_W))$  is an isomorphism. In particular,  $\text{im}(T|_W) \cong W \cong X$ . Since  $X$  is complementably homogenous, there exists an idempotent  $P \in \mathcal{B}(X)$  with  $\text{im}(P) \cong X$  and  $\text{im}(P) \subseteq \text{im}(T|_W)$ . Let  $S \in \mathcal{B}(\text{im}(P), X)$  be an isomorphism and let  $\iota: W \rightarrow X$  denote the canonical embedding. Since  $\text{im}(P) \subseteq \text{im}(T|_W)$ , clearly  $T_1^{-1}|_{\text{im}(P)} \in \mathcal{B}(\text{im}(P), W)$ . It is therefore immediate that

$$(S \circ P|_{\text{im}(P)}) \circ T \circ (\iota \circ T_1^{-1}|_{\text{im}(P)} \circ S^{-1}) = S \circ P|_{\text{im}(P)} \circ P|_{\text{im}(P)} \circ S^{-1} = I_X. \quad (2.1)$$

Consequently, as  $T \in \mathcal{J}$ , it follows that  $I_X \in \mathcal{J}$ , equivalently,  $\mathcal{J} = \mathcal{B}(X)$ .  $\square$

**Corollary 2.3.** *Let  $X$  be a complementably homogenous Banach space. Then  $\mathcal{S}_X(X)$  is the unique maximal two-sided ideal of  $\mathcal{B}(X)$  if and only if  $\mathcal{S}_X(X)$  is closed under addition.*

Using Lemma 2.2, it is possible to give an alternative proof of the fact that the algebras of bounded operators on  $c_0$  and  $\ell_p$  ( $p \in [1, \infty)$ ) have only one non-trivial closed two-sided ideal, namely  $\mathcal{S}_X(X)$ . Even though the result is well-known, its proof is hard to find in the literature, so we take Lemma 2.2 as an excuse for presenting the proof here in full detail.

**Corollary 2.4.** *Let  $X := c_0$  or  $X := \ell_p$ , where  $1 \leq p < \infty$ . Then  $\mathcal{A}(X) = \mathcal{E}(X) = \mathcal{S}_X(X)$ . If  $X := \ell_\infty$ , then  $\mathcal{E}(X) = \mathcal{X}(X) = \mathcal{S}_X(X)$ . In either case,  $\mathcal{S}_X(X)$  is the unique maximal two-sided ideal of  $\mathcal{B}(X)$ .*

*Proof.* We have  $\mathcal{A}(X) \subseteq \mathcal{E}(X)$ . As  $X$  is complementably homogenous, by Lemma 2.2,  $\mathcal{E}(X) \subseteq \mathcal{S}_X(X)$ . Suppose first that  $X = c_0$  or  $X = \ell_p$ , where  $1 \leq p < \infty$ . Let  $T \in \mathcal{B}(X)$  be such that  $T \notin \mathcal{A}(X)$ . By [24, Section 5.1.1, Lemma 3], there exist  $R, S \in \mathcal{B}(X)$  with  $I_X = RTS$ . As  $R$  and  $T$  are non-zero, it is immediate that  $S$  is bounded below on  $X$  and thus  $Z := \text{im}(S) \cong X$ . We observe that  $T|_Z$  is bounded below. Indeed, let  $z \in Z$  be arbitrary and pick  $x \in X$  with  $z = Sx$ . Then, indeed,

$$\|z\| = \|Sx\| \leq \|S\|\|x\| = \|S\|\|RTSx\| = \|S\|\|RTz\| \leq \|S\|\|R\|\|Tz\|. \quad (2.2)$$

This together with  $Z \cong X$  yields  $T \notin \mathcal{S}_X(X)$ . Thus  $\mathcal{S}_X(X) \subseteq \mathcal{A}(X)$ . When  $X = \ell_\infty$ , this is explained in detail in [20, page 253].  $\square$

### 3. AUXILIARY RESULTS AND THE PROOFS OF THEOREM A & B

Let  $X$  be a Banach space. An indexed family  $(x_i, f_i)_{i \in J}$  in  $X \times X^*$  is a *biorthogonal system*, whenever  $\langle x_i, f_j \rangle = \delta_{i,j}$  for  $i, j \in J$ . A biorthogonal system  $(x_i, f_i)_{i \in J}$  is an M-basis, whenever  $\{x_i : i \in J\}$  is *fundamental* (linearly dense in  $X$ ) and  $\{f_i : i \in J\}$  is *total* (linearly weak\*-dense in  $X^*$ ). For a system  $\Phi := (f_j)_{j \in J}$  in  $X^*$ , the *support* of  $x \in X$  with respect to  $\Phi$  is defined as

$$\text{supp}_\Phi(x) := \{j \in J : \langle x, f_j \rangle \neq 0\},$$

however we usually drop the subscript  $\Phi$ , when the considered system is clear from the context (for example, when there is a fixed M-basis for  $X$ ).

In  $c_0(\Gamma)$  and  $\ell_p(\Gamma)$  for  $p \in [1, \infty]$ , by default, we consider the supports with respect to the evaluation functionals at points  $\gamma \in \Gamma$ ; the notion of support defined in this way rectifies the definition of the support introduced earlier. The functionals themselves are coordinate functionals corresponding to the standard unit vector basis  $(e_\alpha)_{\alpha \in \Gamma}$  of either space, apart from  $\ell_\infty(\Gamma)$ . When  $(x_i, f_i)_{i \in J}$  is an M-basis for  $X$ , the system  $\Phi := (f_j)_{j \in J}$  is *countably supporting*, that is, the set  $\text{supp}_\Phi(x)$  is countable for each  $x \in X$ .

**Proposition 3.1.** *Suppose that  $X$  is a Banach space and  $\kappa$  is an uncountable cardinal number. Let  $(x_\alpha)_{\alpha < \kappa}$  be a transfinite basic sequence in  $X$  equivalent to the standard unit vector basis of  $c_0(\kappa)$  or  $\ell_p(\kappa)$ , where  $p \in (1, \infty)$ . Let  $Y$  be a Banach space that has an M-basis. If  $T \in \mathcal{B}(X, Y)$  is non-zero, then there exists  $\Lambda \subseteq \kappa$  with  $|\Lambda| = \kappa$  such that  $(Tx_\alpha)_{\alpha \in \Lambda}$  consists of disjointly supported vectors.*

*Proof.* By [11, Theorem 5.13], we may assume without loss of generality that  $(b_j, f_j)_{j \in J}$  is an M-basis for  $Y$  such that  $\sup_{j \in J} \|f_j\| \leq K$  for some  $K > 0$ .

For  $\alpha < \kappa$ , set  $y_\alpha := Tx_\alpha$ . By the Kuratowski–Zorn Lemma we can take a set  $\Lambda \subseteq \kappa$  which is maximal with respect to the property that the vectors  $y_\alpha$  and  $y_\beta$  are disjointly supported for each distinct  $\alpha, \beta \in \Lambda$ . Assume towards a contradiction that  $|\Lambda| < \kappa$ . Let  $\Gamma := \bigcup_{\gamma \in \Lambda} \text{supp}(y_\gamma)$ , then  $\Gamma \subseteq J$  and  $|\Gamma| \leq |\Lambda| \cdot \omega < \kappa$  as  $\kappa$  is uncountable.

We *claim* that for every  $\alpha \in \kappa \setminus \Lambda$  there is  $j \in \Gamma$  such that  $\langle y_\alpha, f_j \rangle \neq 0$ . Indeed, otherwise there is  $\alpha_0 \in \kappa \setminus \Lambda$  such that for all  $j \in \Gamma$  we have  $\langle y_{\alpha_0}, f_j \rangle = 0$ . Let  $\beta \in \Lambda$ , and let  $j \in \text{supp}(y_\beta)$ . Then  $j \in \Gamma$  and hence we conclude from the above that  $\langle y_{\alpha_0}, f_j \rangle = 0$ , thus  $\text{supp}(y_{\alpha_0}) \cap \text{supp}(y_\beta) = \emptyset$ . Consequently,  $\Lambda \subsetneq \Lambda \cup \{\alpha_0\}$  and  $y_\alpha, y_\beta$  are disjointly supported for any distinct  $\alpha, \beta \in \Lambda \cup \{\alpha_0\}$ . This contradicts the maximality of  $\Lambda$ .

Combining the claim with  $|\Gamma| < \kappa$ , we obtain that there is  $j_0 \in \Gamma$  such that the set

$$S := \{\alpha \in \kappa \setminus \Lambda : \Re\langle y_\alpha, f_{j_0} \rangle > 0\}$$

is uncountable. We *claim* that there is  $\varepsilon \in (0, 1)$  such that the set

$$\{\alpha \in \kappa \setminus \Lambda : \Re\langle y_\alpha, f_{j_0} \rangle \geq \varepsilon\}$$

is uncountable. Indeed, let  $S_n := \{\alpha \in \kappa \setminus \Lambda : \Re\langle y_\alpha, f_{j_0} \rangle \geq 1/n\}$  for every  $n \in \mathbb{N}$ . Clearly  $S = \bigcup_{n=1}^{\infty} S_n$ , consequently there is  $n_0 \in \mathbb{N}$  such that  $S_{n_0}$  is uncountable.

Let  $(\alpha_n)_{n=1}^{\infty}$  be a sequence in  $S_{n_0}$ . Then for all  $N \in \mathbb{N}$ :

$$\begin{aligned} \varepsilon \cdot \ln(N+1) &\leq \sum_{n=1}^N n^{-1} \Re\langle y_{\alpha_n}, f_{j_0} \rangle = \Re \left( \sum_{n=1}^N n^{-1} \langle y_{\alpha_n}, f_{j_0} \rangle \right) \leq \left| \sum_{n=1}^N n^{-1} \langle y_{\alpha_n}, f_{j_0} \rangle \right| \\ &= \left| \left\langle T \left( \sum_{n=1}^N n^{-1} x_{\alpha_n} \right), f_{j_0} \right\rangle \right| \leq \|T\| \left\| \sum_{n=1}^N n^{-1} x_{\alpha_n} \right\| K. \end{aligned} \quad (3.1)$$

This contradicts the fact that  $(x_\alpha)_{\alpha < \kappa}$  is equivalent to the standard unit vector basis of  $c_0(\kappa)$  or  $\ell_p(\kappa)$ , where  $1 < p < \infty$ . Thus  $|\Lambda| = \kappa$  must hold.  $\square$

The following result is an analogue of Rosenthal's result [26, Remark 1 on p. 30]; it is stated in [14, Corollary 3.3] without a proof. For the convenience of the reader we present the details here.

**Corollary 3.2.** *Suppose that  $\lambda, \kappa$  are uncountable cardinals with  $\lambda \geq \kappa$  and  $p \in (1, \infty)$ . Let  $(x_\alpha)_{\alpha < \kappa}$  be a normalised, transfinite sequence in  $\ell_p(\lambda)$ , which is equivalent to the standard unit vector basis of  $\ell_p(\kappa)$ . For  $T \in \mathcal{B}(\ell_p(\lambda))$ , if  $\inf\{\|Tx_\alpha\| : \alpha < \kappa\} > 0$ , then  $T \notin \mathcal{S}_{\ell_p(\kappa)}(\ell_p(\lambda))$ .*

*Proof.* Applying Proposition 3.1 twice, we can take  $\Lambda \subseteq \kappa$  with  $|\Lambda| = \kappa$  such that both  $(x_\alpha)_{\alpha \in \Lambda}$  and  $(Tx_\alpha)_{\alpha \in \Lambda}$  consist of disjointly supported vectors. Let  $\varepsilon \in (0, 1)$  be such that  $\|Tx_\alpha\| \geq \varepsilon$  for each  $\alpha < \kappa$ . Let  $Z := \overline{\text{span}}\{x_\alpha : \alpha \in \Lambda\}$  and take  $y \in Z$  arbitrary. Then

$$\begin{aligned} \|Ty\|^p &= \left\| T \left( \sum_{\alpha \in \Lambda} y(\alpha) x_\alpha \right) \right\|^p = \left\| \sum_{\alpha \in \Lambda} y(\alpha) Tx_\alpha \right\|^p = \sum_{\alpha \in \Lambda} \|y(\alpha) Tx_\alpha\|^p \\ &= \sum_{\alpha \in \Lambda} |y(\alpha)|^p \|Tx_\alpha\|^p \geq \varepsilon^p \sum_{\alpha \in \Lambda} |y(\alpha)|^p = \varepsilon^p \|y\|^p, \end{aligned} \quad (3.2)$$

hence  $T|_Z$  is bounded below. As  $Z \cong \ell_p(\kappa)$ , the claim follows.  $\square$

**Lemma 3.3.** *Let  $\lambda, \kappa$  be cardinal numbers with  $\lambda \geq \kappa$  and  $\text{cf}(\kappa) > \omega$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in (1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := c_0(\kappa)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

*Then for any  $T \in \mathcal{S}_{E_\kappa}(E_\lambda)$ , the cardinality of the set comprising those  $\alpha < \lambda$  for which  $Te_\alpha \neq 0$  is strictly less than  $\kappa$ .*

*Proof.* Contrapositively, suppose that the set

$$S := \{\alpha < \lambda : \|Te_\alpha\| > 0\}$$



has cardinality at least  $\kappa$ . Set  $S_n := \{\alpha < \lambda: \|Te_\alpha\| \geq 1/n\}$  for every  $n \in \mathbb{N}$ . Then  $S = \bigcup_{n=1}^{\infty} S_n$ , thus by Lemma 2.1 there is  $m \in \mathbb{N}$  such that  $|S_m| \geq \kappa$ . We may assume without loss of generality that  $|S_m| = \kappa$ . Consequently  $\inf\{\|Te_\alpha\|: \alpha \in S_m\} > 0$ .

If  $E_\lambda = \ell_p(\lambda)$  and  $E_\kappa = \ell_p(\kappa)$  for  $p \in (0, 1)$ , then Corollary 3.2 implies  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ .

If  $E_\lambda = \ell_\infty^c(\lambda)$  and  $E_\kappa = c_0(\kappa)$ , or  $E_\lambda = c_0(\lambda)$  and  $E_\kappa = c_0(\kappa)$ , then by [26, Remark 1 on p. 30] there is a closed subspace  $F$  of  $E_\lambda$  such that  $F \cong c_0(\kappa)$  and  $T|_F$  is bounded below. This is equivalent to saying that  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ .  $\square$

We shall need the following result when dealing with the case  $p = 1$  case in the proof of Theorem 3.7 (*cf.* [14, first bullet point in the proof of Lemma 3.15]). Let us first introduce the following notation:

Let  $\lambda$  be an infinite cardinal and let  $E_\lambda := c_0(\lambda)$  or  $E_\lambda := \ell_\infty^c(\lambda)$  or  $E_\lambda := \ell_p(\lambda)$ , where  $p \in [1, \infty)$ . For  $\Lambda \subseteq \lambda$  we define

$$(P_\Lambda x)(\alpha) := \begin{cases} x(\alpha) & \text{if } \alpha \in \Lambda \\ 0 & \text{otherwise.} \end{cases} \quad (x \in E_\lambda) \quad (3.3)$$

Clearly  $P_\Lambda \in \mathcal{B}(E_\lambda)$  is an idempotent with  $\text{im}(P_\Lambda)$  isometrically isomorphic to  $E_{|\Lambda|}$ .

**Lemma 3.4.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ . Let  $S \in \mathcal{S}_{\ell_1(\kappa)}(\ell_1(\lambda))$ . Then for every  $\varepsilon \in (0, 1)$  there is  $\Gamma \subseteq \lambda$  with  $|\Gamma| < \kappa$  such that  $\|P_\Gamma S - S\| \leq \varepsilon$ .*

*Proof.* We prove the statement contrapositively. Assume that there is  $\varepsilon \in (0, 1)$  such that  $\|P_{\lambda \setminus \Gamma} S\| = \|P_\Gamma S - S\| > \varepsilon$  for every  $\Gamma \subseteq \lambda$  with  $|\Gamma| < \kappa$ . Let us define the sets

$$\begin{aligned} \mathcal{Z} &:= \{H \in \mathcal{P}(\ell_1(\lambda) \times \mathcal{P}(\lambda)): \forall (x, E) \in H: \|x\| = 1, |E| < \infty, \|Sx|_E\| \geq \varepsilon/2\} \\ \mathcal{Y} &:= \{H \in \mathcal{Z}: \forall (x, E), (y, F) \in H: (E \neq F \implies E \cap F = \emptyset)\}, \end{aligned} \quad (3.4)$$

and consider  $\mathcal{Y}$  with the ordering given by the set-theoretic containment. It is clear that every chain in  $\mathcal{Y}$  has an upper bound in  $\mathcal{Y}$ , hence by the Kuratowski–Zorn Lemma there is a maximal element  $M \in \mathcal{Y}$ . We *claim* that  $|M| \geq \kappa$ .

Assume towards a contradiction that  $|M| < \kappa$ . Let  $\Gamma := \bigcup_{(x, E) \in M} E$ . Then  $\Gamma \subseteq \lambda$  with  $|\Gamma| < \kappa$ . Indeed,  $E$  is a finite subset of  $\lambda$  for each  $(x, E) \in M$ , hence if  $\kappa = \omega$  then  $\Gamma$  is finite; if  $\kappa$  is uncountable then  $|\Gamma| \leq |M| \cdot \omega < \kappa$ . By the assumption  $\|P_{\lambda \setminus \Gamma} S\| > \varepsilon$ , thus there is  $y \in \ell_1(\lambda)$  with  $\|y\| = 1$  and  $\sum_{\alpha \in \lambda \setminus \Gamma} |(Sy)(\alpha)| = \|P_{\lambda \setminus \Gamma} Sy\| > \varepsilon$ . As  $\text{supp}(Sy)$  is countable, there is a finite set  $F \subseteq \text{supp}(Sy) \cap (\lambda \setminus \Gamma)$  such that  $\|Sy|_F\| = \sum_{\alpha \in F} |(Sy)(\alpha)| \geq \varepsilon/2$ . From  $F \subseteq \lambda \setminus \Gamma$  we see that  $F \cap E = \emptyset$  for each  $(x, E) \in M$ . Thus  $M \subsetneq M \cup \{(y, F)\} \in \mathcal{Y}$ , which contradicts the maximality of  $M$  in  $\mathcal{Y}$ . Hence  $|M| \geq \kappa$  must hold.

Let  $(x_\alpha, E_\alpha)_{\alpha \in \Lambda}$  be a family in  $M$  with  $\Lambda \subseteq \lambda$  and  $|\Lambda| = \kappa$ . As  $\|Sx_\alpha|_{E_\alpha}\| \geq \varepsilon/2$  for each  $\alpha \in \Lambda$ , it follows from [26, Propositions 3.2 and 3.1] that there is  $\Lambda' \subseteq \Lambda$  with  $|\Lambda'| = |\Lambda| = \kappa$  such that  $X := \overline{\text{span}}\{x_\alpha: \alpha \in \Lambda'\} \cong \ell_1(\kappa)$  and  $S|_X$  is bounded below. This yields  $S \notin \mathcal{S}_{\ell_1(\kappa)}(\ell_1(\lambda))$ , as required.  $\square$

We recall that it is shown in [14, Theorem 1.3, Proposition 3.9] and [1, Proposition 2.8] that for every uncountable cardinal  $\lambda$  the Banach spaces  $\ell_\infty^c(\lambda)$ ,  $\ell_p(\lambda)$  ( $1 \leq p < \infty$ ), and  $c_0(\lambda)$  are complementably homogenous. In fact, the following formally stronger results hold, *cf.* [14, Proposition 3.9 and Remark 3.11]:

**Proposition 3.5.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := \ell_\infty^c(\kappa)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

If  $Y$  is a closed subspace of  $E_\lambda$  with  $Y \cong E_\kappa$ , then there exists a complemented subspace  $X$  of  $E_\lambda$  with  $X \subseteq Y$  such that  $X \cong E_\kappa$ . In the latter case,  $Y$  is already complemented in  $E_\lambda$ .

*Proof.* We only need to show the statement for  $c_0(\lambda)$ , the other cases are covered in [14, Proposition 3.9 and Remark 3.11].

Let  $Y$  be a closed subspace of  $c_0(\lambda)$  such that  $Y \cong c_0(\kappa)$ . There is a set  $\Lambda \subseteq \lambda$  with  $|\Lambda| = \kappa$  such that  $Y \subseteq c_0(\Lambda)$ . As  $Y \cong c_0(\kappa) \cong c_0(\Lambda)$ , it follows from [1, Proposition 2.8] that  $Y$  is complemented in  $c_0(\Lambda)$ . As the latter space is complemented in  $c_0(\lambda)$ , the conclusion follows.  $\square$

The proposition above implies a convenient corollary. Before we state it, let us remind the reader that it is proved in [14, Theorem 3.14] that for infinite cardinals  $\lambda \geq \kappa$ , the ideals of  $\ell_\infty^c(\kappa)$ -singular and  $c_0(\kappa)$ -singular operators on  $\ell_\infty^c(\lambda)$  coincide. This is,

$$\mathcal{S}_{\ell_\infty^c(\kappa)}(\ell_\infty^c(\lambda)) = \mathcal{S}_{c_0(\kappa)}(\ell_\infty^c(\lambda)).$$

We shall implicitly use this fact in the subsequent sections.

**Corollary 3.6.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := \ell_\infty^c(\kappa)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

Let  $T \in \mathcal{B}(E_\lambda)$  be such that  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ . Then there is a closed subspace  $E$  of  $E_\lambda$  such that  $E \cong E_\kappa$ ,  $T|_E$  is bounded below, and  $\text{im}(T|_E)$  is complemented in  $E_\lambda$ .

*Proof.* By the hypothesis, there is a closed subspace  $E'$  of  $E_\lambda$  such that  $E' \cong E_\kappa$  with  $T|_{E'}$  bounded below. In particular,  $\text{im}(T|_{E'}) \cong E' \cong E_\kappa$ , thus Proposition 3.5 yields a complemented subspace  $E''$  of  $E_\lambda$  with  $E'' \subseteq \text{im}(T|_{E'})$  such that  $E'' \cong E_\kappa$ . Set  $E := E' \cap T^{-1}[E'']$ , which is clearly a closed subspace of  $E_\lambda$ . We claim that  $T|_E^{E''} \in \mathcal{B}(E, E'')$  is an isomorphism. Clearly  $T|_E^{E''}$  is injective, in fact it is bounded below, since  $E \subseteq E'$  and  $T|_{E'}$  is already bounded below. As  $E'' \subseteq \text{im}(T|_{E'})$ , the operator  $T|_E^{E''}$  is surjective. From the claim we conclude that  $\text{im}(T|_E) = \text{im}(T|_E^{E''}) = E''$  is complemented in  $E_\lambda$  and isomorphic to  $E_\kappa$ .  $\square$

The subsequent result is proved for spaces of the form  $\ell_\infty^c(\lambda)$  in [14, Lemma 3.17], however its counterpart for spaces of the form  $\ell_p(\lambda)$  neither is explicitly stated nor proved therein, even though it is certainly known to the authors as it is implicitly used in the proof of [14, Theorem 1.5]. For the sake of completeness we state and prove the following result.

**Theorem 3.7.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := \ell_\infty^c(\kappa)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

Let  $T \in \mathcal{B}(E_\lambda)$  be such that  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ . Then  $\mathcal{S}_{E_\kappa^+}(E_\lambda)$  is contained in the closed, two-sided ideal generated by  $T$ .

*Proof.* The case when  $E_\lambda = \ell_\infty^c(\lambda)$  and  $E_\kappa = \ell_\infty^c(\kappa)$  is [14, Lemma 3.17], so we may move on to the remaining cases (so far except the case  $p = 1$ , which will be treated separately). We split the proof into three parts.

(i) Let  $S \in \mathcal{S}_{E_{\kappa^+}}(E_\lambda)$ . Consider the set

$$\Lambda := \{\alpha < \lambda : Se_\alpha \neq 0\}.$$

As every successor cardinal number is regular, Lemma 3.3 implies  $|\Lambda| < \kappa^+$ . Let  $\Gamma := \bigcup_{\alpha \in \Lambda} \text{supp}(Se_\alpha)$ , clearly  $|\Gamma| \leq \kappa$ . As

$$\bigcup_{x \in E_\lambda} \text{supp}(Sx) = \bigcup_{\alpha \in \Lambda} \text{supp}(Se_\alpha),$$

it follows from the definition that  $P_\Gamma S = S$  and  $\text{im}(P_\Gamma) \cong E_{|\Gamma|}$ .

- (ii) Since  $|\Gamma| \leq \kappa$  and  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ , we have  $T \notin \mathcal{S}_{E_{|\Gamma|}}(E_\lambda)$ . By Corollary 3.6 we can take a closed subspace  $E$  of  $E_\lambda$  such that  $E \cong E_{|\Gamma|}$ ,  $T|_E$  is bounded below, and  $\text{im}(T|_E)$  is complemented in  $\ell_p(\lambda)$ . Clearly  $T_1 := T|_E^{\text{im}(T|_E)} \in \mathcal{B}(E, \text{im}(T|_E))$  is an isomorphism. Let  $Q \in \mathcal{B}(E_\lambda)$  be an idempotent such that  $\text{im}(Q) = \text{im}(T|_E)$  and let  $\iota \in \mathcal{B}(E, E_\lambda)$  be the inclusion operator.
- (iii) As we have  $\text{im}(P_\Gamma) \cong E_{|\Gamma|} \cong E \cong \text{im}(T|_E) = \text{im}(Q)$ , we may take an isomorphism  $V \in \mathcal{B}(\text{im}(P_\Gamma), \text{im}(Q))$ . It is clear that  $U := P_\Gamma|_{\text{im}(P_\Gamma)} \circ V^{-1} \circ Q|_{\text{im}(Q)} \in \mathcal{B}(E_\lambda)$ . To see that  $S$  is contained in the two-sided ideal generated by  $T$ , it is sufficient to observe that

$$\begin{aligned} U \circ T \circ \iota \circ T_1^{-1} \circ V \circ P_\Gamma|_{\text{im}(P_\Gamma)} \circ S &= U \circ Q|_{\text{im}(Q)} \circ V \circ P_\Gamma|_{\text{im}(P_\Gamma)} \circ S \\ &= P_\Gamma|_{\text{im}(P_\Gamma)} \circ V^{-1} \circ Q|_{\text{im}(Q)} \circ Q|_{\text{im}(Q)} \circ V \circ P_\Gamma|_{\text{im}(P_\Gamma)} \circ S \\ &= P_\Gamma|_{\text{im}(P_\Gamma)} \circ V^{-1} \circ V \circ P_\Gamma|_{\text{im}(P_\Gamma)} \circ S \\ &= P_\Gamma|_{\text{im}(P_\Gamma)} \circ P_\Gamma|_{\text{im}(P_\Gamma)} \circ S \\ &= P_\Gamma \circ S \end{aligned} \tag{3.5}$$

$$= S. \tag{3.6}$$

It remains to show that the theorem holds for the pair  $E_\lambda = \ell_1(\lambda)$ ,  $E_\kappa = \ell_1(\kappa)$  too. This time we split the argument into two steps (where the latter step roughly corresponds to the last two steps in the previous part of the proof).

- (i) Let  $S \in \mathcal{S}_{\ell_1(\kappa^+)}(\ell_1(\lambda))$ . Fix  $\varepsilon \in (0, 1)$ . It follows from Lemma 3.4 that we can take  $\Gamma \subseteq \lambda$  with  $|\Gamma| < \kappa^+$  and  $\|P_\Gamma S - S\| \leq \varepsilon$ . Clearly  $\text{im}(P_\Gamma) \cong \ell_1(|\Gamma|)$ .
- (ii) Since  $|\Gamma| \leq \kappa$  and  $T \notin \mathcal{S}_{\ell_1(\kappa)}(\ell_1(\lambda))$ , we have  $T \notin \mathcal{S}_{\ell_1(|\Gamma|)}(\ell_1(\lambda))$ . It follows from Corollary 3.6 that there is a closed subspace  $E$  of  $\ell_1(\lambda)$  such that  $E \cong \ell_1(|\Gamma|)$ ,  $T|_E$  is bounded below, and  $\text{im}(T|_E)$  is complemented in  $\ell_1(\lambda)$ . Proceeding exactly as in the  $p \in (1, \infty)$  case, we arrive at the corresponding version of equation (3.5), which shows that  $P_\Gamma S$  belongs to the (non-closed) algebraic two-sided ideal generated by  $T$ . Together with (i), this yields that  $S$  belongs to the closed, two-sided ideal generated by  $T$ .  $\square$

Let us conclude this section with observing that on long sequence spaces  $E_\lambda$  the ideal of operators with separable range coincides with the ideal of  $E_{\omega_1}$ -singular operators:

**Lemma 3.8.** *Let  $\lambda$  be an infinite cardinal number and consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_{\omega_1} := \ell_p(\omega_1)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_{\omega_1} := c_0(\omega_1)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_{\omega_1} := c_0(\omega_1)$ .

Then  $\mathcal{X}(E_\lambda) = \mathcal{S}_{E_{\omega_1}}(E_\lambda)$ .

*Proof.* By the last paragraph of Section 2.0.1, the containment  $\mathcal{X}(E_\lambda) \subseteq \mathcal{S}_{E_{\omega_1}}(E_\lambda)$  is clear. To see the other direction, suppose  $T \in \mathcal{B}(E_\lambda)$  is such that  $T \notin \mathcal{X}(E_\lambda)$ .

Assume first  $E_\lambda = \ell_1(\lambda)$  and  $E_{\omega_1} = \ell_1(\omega_1)$ . As  $\overline{\text{im}(T)}$  is a non-separable, closed subspace of  $E_\lambda$ , it follows from [17, point (5) on p. 185] that there is a closed (complemented) subspace  $W$  of  $E_\lambda$  such that  $W \subseteq \overline{\text{im}(T)}$  and  $W \cong E_{\omega_1}$ . Let us pick a normalised transfinite basic sequence  $(w_\alpha)_{\alpha < \omega_1}$  in  $W$  such that it is equivalent to the standard unit vector basis of  $E_{\omega_1}$ . Hence for each  $\alpha < \omega_1$  there is  $x_\alpha \in E_\lambda$  such that  $\|w_\alpha - Tx_\alpha\| < 1/2$ . It follows from [13, Example 30.12] or [10, Fact 5.2] that  $(Tx_\alpha)_{\alpha < \omega_1}$  is a transfinite basic sequence in  $E_\lambda$  equivalent to  $(w_\alpha)_{\alpha < \omega_1}$ , and hence to the standard unit vector basis of  $E_{\omega_1}$ . In particular, there is  $\delta \in (0, 1)$  such that  $\|Tx_\alpha - Tx_\beta\| \geq \delta$  for each distinct  $\alpha, \beta < \omega_1$ . Clearly, there is some  $n_0 \in \mathbb{N}$  such that the set  $\Gamma := \{\alpha < \omega_1 : \|x_\alpha\| \leq n_0\}$  has cardinality  $\omega_1$ . In conclusion,  $(x_\alpha)_{\alpha \in \Gamma}$  is a bounded transfinite sequence in  $E_\lambda$  such that  $\|Tx_\alpha - Tx_\beta\| \geq \delta$  for each distinct  $\alpha, \beta \in \Gamma$ , where  $|\Gamma| = \omega_1$ . Therefore [26, Corollary on p. 29] applies; there is a closed (complemented) subspace  $Z$  of  $E_\lambda$  such that  $Z \simeq E_{\omega_1}$  and  $T|_Z$  is bounded below. Consequently  $T \notin \mathcal{S}_{E_{\omega_1}}(E_\lambda)$ .

We now consider the remaining cases. As  $T$  is continuous, it follows that

$$\text{im}(T) \subseteq \overline{\text{span}}\{Te_\alpha : \alpha < \lambda\},$$

hence the right-hand side cannot be separable. This in particular implies that the set  $\{\alpha < \lambda : Te_\alpha \neq 0\}$  must have cardinality at least  $\omega_1$ , which in turn together with Lemma 3.3 yields  $T \notin \mathcal{S}_{E_{\omega_1}}(E_\lambda)$ .  $\square$

**3.1. An application:  $\sigma_{\text{SOT}}$ -closed ideals.** Let us briefly recall the notion of the strong operator topology on  $\mathcal{B}(X)$ . If  $X$  is a Banach space, then the *strong operator topology*  $\tau_{\text{SOT}}$  on  $\mathcal{B}(X)$  is the smallest  $\tau'$  topology on  $\mathcal{B}(X)$  such that for every  $x \in X$  the map

$$\varepsilon_x : \mathcal{B}(X) \rightarrow X; \quad T \mapsto Tx \tag{3.7}$$

is  $\tau'$ -to-norm continuous. The topology  $\tau_{\text{SOT}}$  is a linear, locally convex, Hausdorff topology on  $\mathcal{B}(X)$ . We say that a net  $(T_i)_{i \in I}$  in  $\mathcal{B}(X)$  *SOT-converges* to  $T \in \mathcal{B}(X)$  if  $(T_i x)_{i \in I}$  converges to  $Tx \in X$  in norm for every  $x \in X$ . This notion of convergence characterises convergence with respect to the  $\tau_{\text{SOT}}$  topology, in the sense that a net  $(T_i)_{i \in I}$  in  $\mathcal{B}(X)$  converges to  $T \in \mathcal{B}(X)$  in the  $\tau_{\text{SOT}}$  topology if and only if  $(T_i)_{i \in I}$  SOT-converges to  $T$ . It follows that a set  $C \subseteq \mathcal{B}(X)$  is  $\tau_{\text{SOT}}$ -closed if and only if for any net  $(T_i)_{i \in I}$  in  $C$  which SOT-converges to some  $T \in \mathcal{B}(X)$  it follows that  $T \in C$ .

Let us recall that the  $\tau_{\text{SOT}}$ -closure of the finite-rank operators  $\mathcal{F}(X)$  is the whole of  $\mathcal{B}(X)$ . Indeed, let  $\text{Fin } X$  be the set of all finite-dimensional subspaces of  $X$ . We consider  $\text{Fin } X$  ordered by the inclusion. For every  $F \in \text{Fin } X$  let us fix an idempotent  $P_F \in \mathcal{B}(X)$  with  $\text{im}(P_F) = F$ . Then  $(P_F)_{F \in \text{Fin } X}$  converges to  $I_X$  in the strong operator topology, as  $P_F x = x$  for each  $F \in \text{Fin } X$  and each  $x \in F$ . Consequently, whenever  $\mathcal{S}$  is a subset of  $\mathcal{B}(X)$  with  $\mathcal{F}(X) \subseteq \mathcal{S}$ , then the  $\tau_{\text{SOT}}$ -closure of  $\mathcal{S}$  is the whole of  $\mathcal{B}(X)$ . In particular, there is no non-trivial, proper, two-sided ideal of  $\mathcal{B}(X)$  that is  $\tau_{\text{SOT}}$ -closed.

The moral of the argument above is that the strong operator topology  $\tau_{\text{SOT}}$  is ‘too weak’ for  $\mathcal{B}(X)$  to have non-trivial, proper two-sided ideals that are  $\tau_{\text{SOT}}$ -closed at the same time. We are about to introduce a topology on  $\mathcal{B}(X)$  which sits naturally between  $\tau_{\text{SOT}}$  and the topology of convergence in operator norm, denoted by  $\tau_{\|\cdot\|_{\text{op}}}$ . We say that a set  $C \subseteq \mathcal{B}(X)$  is  $\sigma$ -SOT closed, whenever for every sequence  $(T_n)_{n=1}^{\infty}$  in  $C$  which SOT-converges to some  $T \in \mathcal{B}(X)$ ,  $T \in C$  follows. We say that  $U \subseteq \mathcal{B}(X)$  is  $\sigma$ -SOT open, whenever for any  $T \in U$  and a sequence  $(T_n)_{n=1}^{\infty}$  in  $\mathcal{B}(X)$  which SOT-converges to  $T$  there is  $N \in \mathbb{N}$  such that  $T_n \in U$  for each  $n \geq N$ . These notions correspond exactly as expected:

**Lemma 3.9.** *Let  $X$  be a Banach space. Then  $C \subseteq \mathcal{B}(X)$  is  $\sigma$ -SOT closed if and only if the complement  $U$  of  $C$  in  $\mathcal{B}(X)$  is  $\sigma$ -SOT open.*

*Proof.* We prove both directions by way of a contraposition.

Suppose  $U \subseteq \mathcal{B}(X)$  is not  $\sigma$ -SOT open. Hence there is a  $T \in U$  and a sequence  $(T_n)_{n=1}^{\infty}$  in  $\mathcal{B}(X)$  which SOT-converges to  $T$ , but for every  $N \in \mathbb{N}$  there is  $n \geq N$  such that  $T_n \notin U$ . Hence there is a strictly monotone increasing function  $\rho: \mathbb{N} \rightarrow \mathbb{N}$  such that  $T_{\rho(n)} \in C := \mathcal{B}(X) \setminus U$  for each  $n \in \mathbb{N}$ . As  $(T_{\rho(n)})_{n=1}^{\infty}$  also SOT-converges to  $T$  and  $T \notin C$ , we obtain that  $C$  is not  $\sigma$ -SOT closed.

Suppose that  $C \subseteq \mathcal{B}(X)$  is not  $\sigma$ -SOT closed. Hence there is a  $T \in U := \mathcal{B}(X) \setminus C$  and a sequence  $(T_n)_{n=1}^{\infty}$  in  $C$  which SOT-converges to  $T$ . Thus  $U$  cannot be  $\sigma$ -SOT open, as claimed.  $\square$

**Proposition 3.10.** *Let  $X$  be a Banach space. Let  $\sigma_{\text{SOT}}$  be the collection of all  $\sigma$ -SOT open subsets of  $\mathcal{B}(X)$ . Then  $\sigma_{\text{SOT}}$  is a topology on  $\mathcal{B}(X)$ .*

*Proof.* It is evident that  $\mathcal{B}(X) \in \sigma_{\text{SOT}}$ . The set  $\sigma_{\text{SOT}}$  is closed under taking finite intersections: Let  $(U_i)_{i=1}^m$  be a finite system in  $\sigma_{\text{SOT}}$  and let  $U := \bigcap_{i=1}^m U_i$ . Let  $T \in U$  and let  $(T_n)_{n=1}^{\infty}$  be a sequence in  $\mathcal{B}(X)$  such that it SOT-converges to  $T$ . Fix  $i \in \{1, \dots, m\}$ . As  $U_i \in \sigma_{\text{SOT}}$ , there is an  $N^{(i)} \in \mathbb{N}$  such that  $T_n \in U_i$  for each  $n \geq N^{(i)}$ . Let  $N := \max_{1 \leq i \leq m} N^{(i)}$ , then  $T_n \in U_i$  for each  $i \in \{1, \dots, m\}$  and  $n \geq N$ . Hence  $T_n \in U$  for all  $n \geq N$ , showing  $U \in \sigma_{\text{SOT}}$ . The set  $\sigma_{\text{SOT}}$  is closed under taking arbitrary unions: Let  $(U_i)_{i \in I}$  be a system in  $\sigma_{\text{SOT}}$  and let  $U := \bigcup_{i \in I} U_i$ . Let  $T \in U$  and let  $(T_n)_{n=1}^{\infty}$  be a sequence in  $\mathcal{B}(X)$  that SOT-converges to  $T$ . In particular  $T \in U_j$  for some  $j \in I$ , hence by  $U_j \in \sigma_{\text{SOT}}$  there is an  $N \in \mathbb{N}$  such that  $T_n \in U_j \subseteq U$  for each  $n \geq N$ . Thus  $U \in \sigma_{\text{SOT}}$ , therefore  $\sigma_{\text{SOT}}$  is a topology as claimed.  $\square$

We remark in passing that the topology  $\sigma_{\text{SOT}}$  is an instance of the so-called ‘topology induced by  $L^*$ -convergence’ (see, e.g., [6]). Such a topology is automatically  $T_1$  but need not be Hausdorff in general.

**Remark 3.11.** The reader may wonder at this point whether the topology  $\sigma_{\text{SOT}}$  is characterised by SOT-convergent sequences in  $\mathcal{B}(X)$ . The mere facts that results such as Lemma 3.9 and Proposition 3.10 hold do not automatically yield this in general.

Indeed, consider the space  $\mathcal{M}_b[0, 1]$  of real-valued, bounded, measurable functions on  $[0, 1]$ . One can define a topology  $\sigma_{\text{ae}}$  on  $\mathcal{M}_b[0, 1]$  the following way: A set  $C \subseteq \mathcal{M}_b[0, 1]$  is a.e.-closed whenever for every sequence  $(f_n)_{n=1}^{\infty}$  in  $C$  which converges to some  $f \in \mathcal{M}_b[0, 1]$  almost everywhere,  $f \in C$  follows. A set  $U \subseteq \mathcal{M}_b[0, 1]$  is a.e.-open if for any  $f \in U$  whenever  $(f_n)_{n=1}^{\infty}$  is a sequence in  $\mathcal{M}_b[0, 1]$  which converges to  $f$  almost everywhere, there is an  $N \in \mathbb{N}$  such that  $f_n \in U$  for each  $n \geq N$ . It is easy to see that the corresponding versions of Lemma 3.9 and Proposition 3.10 hold, that is  $C \subseteq \mathcal{M}_b[0, 1]$  is a.e.-closed if and only if  $U := \mathcal{M}_b[0, 1] \setminus C$

is a.e.-open, and the collection of a.e.-open subsets is a  $T_1$  topology on  $\mathcal{M}_b[0, 1]$ , which we may denote by  $\sigma_{ae}$ . However, as it is demonstrated by Ordman's argument from [21], there is a sequence of functions  $(f_n)_{n=1}^\infty$  in  $\mathcal{M}_b[0, 1]$  which converges to 0 with respect to the topology  $\sigma_{ae}$ , but it does not converge to 0 almost everywhere.

Fortunately,  $\sigma_{\text{SOT}}$  does not have this pathological property, as we shall see it from the subsequent lemma.

**Lemma 3.12.** *Let  $X$  be a Banach space, let  $T \in \mathcal{B}(X)$  and let  $(T_n)_{n=1}^\infty$  be a sequence in  $\mathcal{B}(X)$ . Then  $(T_n)_{n=1}^\infty$  SOT-converges to  $T$  if and only if it converges to  $T$  in the  $\sigma_{\text{SOT}}$  topology.*

*Proof.* It is clear from the definition that strong operator convergence implies convergence in the  $\sigma_{\text{SOT}}$  topology. We show the other direction by way of a contraposition. Suppose  $(T_n)_{n=1}^\infty$  does not SOT-converge to  $T$ . Hence there is  $x \in X$  and  $\varepsilon \in (0, 1)$  such that for each  $N \in \mathbb{N}$  there is  $n \geq N$  with  $\|Tx - T_n x\| \geq \varepsilon$ . We set  $U := \{S \in \mathcal{B}(X) : \|Sx - Tx\| < \varepsilon\}$ . Clearly  $T \in U$  and for each  $N \in \mathbb{N}$  there is  $n \geq N$  such that  $T_n \notin U$ . We claim that  $U \in \sigma_{\text{SOT}}$ . Indeed, let  $S \in U$  and let  $(S_n)_{n=1}^\infty$  be a sequence in  $\mathcal{B}(X)$  which SOT-converges to  $S$ . As  $\|Tx - Sx\| < \varepsilon$ , for  $\delta := \varepsilon - \|Tx - Sx\| \in (0, 1)$  we can take  $M \in \mathbb{N}$  such that  $\|Sx - S_n x\| < \delta$  holds whenever  $n \geq M$ . Hence  $\|Tx - S_n x\| < \varepsilon$  and thus  $S_n \in U$  for each  $n \geq M$ , as claimed.  $\square$

The second part of the next proposition is a consequence of the fact that the weak\*-topology of a Banach space  $X$  is sequential (or even Fréchet–Urysohn) if and only if  $X$  is finite-dimensional. We present the proof in full detail as we could not locate the relevant result in the literature.

**Proposition 3.13.** *Let  $X$  be a Banach space. Then  $\sigma_{\text{SOT}}$  is a Hausdorff topology on  $\mathcal{B}(X)$  with*

$$\tau_{\text{SOT}} \subseteq \sigma_{\text{SOT}} \subseteq \tau_{\|\cdot\|_{\text{op}}}.$$

*Both inclusions are proper if and only if  $X$  is infinite-dimensional.*

*Proof.* In Proposition 3.10 we saw that  $\sigma_{\text{SOT}}$  is indeed a topology on  $\mathcal{B}(X)$ . The containment  $\tau_{\text{SOT}} \subseteq \sigma_{\text{SOT}}$  is evident. Let us then show that  $\sigma_{\text{SOT}} \subseteq \tau_{\|\cdot\|_{\text{op}}}$ . Let  $C \subseteq \mathcal{B}(X)$  be a  $\sigma_{\text{SOT}}$ -closed subset. In view of Lemma 3.9 it is enough to show that  $C$  is closed in the operator norm. This is immediate: Let  $(T_n)_{n=1}^\infty$  be a sequence in  $C$  which converges to some  $T \in \mathcal{B}(X)$  in the operator norm. Then  $(T_n)_{n=1}^\infty$  clearly SOT-converges to  $T$ , hence  $T \in C$  and thus  $C$  is closed in the operator norm. Lastly,  $\sigma_{\text{SOT}}$  is Hausdorff plainly because  $\tau_{\text{SOT}} \subseteq \sigma_{\text{SOT}}$  holds and  $\tau_{\text{SOT}}$  itself is Hausdorff.

Assume now that  $X$  is infinite-dimensional, we show that  $\sigma_{\text{SOT}}$  differs from both  $\tau_{\text{SOT}}$  and  $\tau_{\|\cdot\|_{\text{op}}}$ .

(1) *We first show  $\sigma_{\text{SOT}} \subsetneq \tau_{\|\cdot\|_{\text{op}}}$ .* As  $X$  is infinite-dimensional, by the Josefson–Nissenzweig Theorem ([11, Theorem 3.27]), we may take a normalised sequence  $(f_n)_{n=1}^\infty$  in  $X^*$  which converges to 0 in the weak\*-topology. Let  $x_0 \in X$  be a unit vector, and define  $T_n := x_0 \otimes f_n$  for each  $n \in \mathbb{N}$ . As  $T_n z = \langle z, f_n \rangle x_0$  for each  $z \in X$  and  $n \in \mathbb{N}$ , it readily follows that  $(T_n)_{n=1}^\infty$  SOT-converges to  $0 \in \mathcal{B}(X)$ , which by Lemma 3.12 means that it converges to 0 in the  $\sigma_{\text{SOT}}$  topology. As  $\|T_n\| = 1$  for all  $n \in \mathbb{N}$  it follows that  $(T_n)_{n=1}^\infty$  cannot be convergent in the operator norm, and hence  $\sigma_{\text{SOT}} \subsetneq \tau_{\|\cdot\|_{\text{op}}}$ .

(2) *We now show  $\tau_{\text{SOT}} \subsetneq \sigma_{\text{SOT}}$ .* As  $X$  is infinite-dimensional, so is  $X^*$ , hence for each  $k \in \mathbb{N}$  we may pick a subspace  $Y_k$  of  $X^*$  with  $\dim Y_k = k$ . Moreover, by compactness, we may

choose a finite  $(1/k)$ -net  $S^{(k)}$  of the sphere  $\{h \in Y_k : \|h\| = k\}$  in  $Y_k$ . Let  $S := \bigcup_{k \in \mathbb{N}} S^{(k)}$ . We *claim* that  $0 \in X^*$  is in the weak\*-closure of  $S$ .

Indeed, let  $U$  be a weak\*-open neighbourhood of  $0 \in X^*$ . We can take  $x_1, \dots, x_n \in X$  and  $\varepsilon > 0$  such that

$$\{f \in X^* : \max_{1 \leq i \leq n} |\langle x_i, f \rangle| < \varepsilon\} \subseteq U.$$

Let  $C := \max_{1 \leq i \leq n} \|x_i\|$ , and take  $k \in \mathbb{N}$  sufficiently large with  $C/k < \varepsilon$  and  $n < k$ . Hence there is  $g \in Y_k$  such that  $\langle x_i, g \rangle = 0$  for each  $1 \leq i \leq n$ . We may assume without loss of generality that  $\|g\| = k$ . We can pick  $f \in S^{(k)}$  so that  $\|f - g\| \leq 1/k$ . Consequently,

$$|\langle x_i, f \rangle| = |\langle x_i, f - g \rangle| \leq \|x_i\| \|f - g\| \leq C/k < \varepsilon, \quad (1 \leq i \leq n)$$

hence  $f \in U$ . This shows  $U \cap S \neq \emptyset$  and thus the claim follows.

However, no sequence from  $S$  can converge to  $0 \in X^*$  in the weak\*-topology. For assume towards a contradiction that  $(f_n)_{n=1}^\infty$  is a sequence in  $S$  which converges to  $0 \in X^*$  in the weak\*-topology. Then  $(f_n)_{n=1}^\infty$  must be bounded by the Banach–Steinhaus Theorem, hence by the definition of  $S$  there is  $i_0 \in \mathbb{N}$  such that the set  $\{n \in \mathbb{N} : f_n \in S^{(i_0)}\}$  is infinite. Thus we may choose a subsequence  $(f'_n)_{n=1}^\infty$  of  $(f_n)_{n=1}^\infty$  such that  $f'_n \in S^{(i_0)}$  for each  $n \in \mathbb{N}$ . But  $S^{(i_0)}$  is finite so  $(f'_n)_{n=1}^\infty$  must be constant eventually, which contradicts the fact that it converges to  $0 \in X^*$  in the weak\*-topology.

Now, let us fix a unit vector  $x_0 \in X$ . It is clear from the above that  $0 \in \mathcal{B}(X)$  belongs to the  $\tau_{\text{SOT}}$ -closure but not to the  $\sigma_{\text{SOT}}$ -closure of the set  $\{x_0 \otimes f : f \in S\}$ , and hence  $\tau_{\text{SOT}} \subsetneq \sigma_{\text{SOT}}$ .  $\square$

From now on we may (and do) mutually interchangeably say that a sequence  $(T_n)_{n=1}^\infty$  in  $\mathcal{B}(X)$  ‘SOT-converges’ or ‘converges in the  $\sigma_{\text{SOT}}$  topology’ or even ‘converges in the strong operator topology’ to some  $T \in \mathcal{B}(X)$ .

We recall that the ideal of finite-rank operators  $\mathcal{F}(X)$  is  $\tau_{\text{SOT}}$ -dense in  $\mathcal{B}(X)$  for every Banach space  $X$ . This puts the following observation into context.

**Remark 3.14.** Let  $X$  be a Banach space. Consider the following statements:

- (i)  $X$  has a Schauder basis;
- (ii)  $\mathcal{F}(X)$  is  $\sigma_{\text{SOT}}$ -dense in  $\mathcal{B}(X)$ ;
- (iii)  $X$  has the bounded approximation property.

Then (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii).

The implication (i)  $\Rightarrow$  (ii) is elementary, as the sequence of coordinate projections SOT-converges to  $I_X$ .

To see (ii)  $\Rightarrow$  (iii), let us note first that by the hypothesis there is a sequence of finite-rank operators  $(T_n)_{n=1}^\infty$  which SOT-converges to  $I_X$ . By the Banach–Steinhaus Theorem,  $M := \sup_{n \in \mathbb{N}} \|T_n\| < \infty$ , hence [27, Proposition 4.3] implies that  $X$  has the bounded approximation property with constant  $M$ .

In particular, Remark 3.14 immediately shows that whenever  $X$  has a Schauder basis, there is no non-trivial, proper, two-sided ideal of  $\mathcal{B}(X)$  which is  $\sigma_{\text{SOT}}$ -closed. We can, however, always find such ideals whenever  $X$  is non-separable:

**Lemma 3.15.** *The norm-closed, two-sided ideal  $\mathcal{X}(X)$  of operators with separable range is  $\sigma_{\text{SOT}}$ -closed for any Banach space  $X$ .*

*Proof.* Let  $(T_n)_{n=1}^\infty$  be a sequence in  $\mathcal{X}(X)$  which SOT-converges to some  $T \in \mathcal{B}(X)$ . This immediately yields  $\text{im}(T) \subseteq \overline{\bigcup_{n=1}^\infty \text{im}(T_n)}$ , where the closure is taken with respect to the norm topology of  $X$ . As  $\text{im}(T_n)$  is separable for each  $n \in \mathbb{N}$ , the claim readily follows.  $\square$

We note that even if  $\mathcal{S}_X(X)$  is the unique maximal ideal of  $\mathcal{B}(X)$  for some Banach space  $X$ , it may or may not be  $\sigma_{\text{SOT}}$ -closed:

**Remark 3.16.** Let  $X := c_0$  or  $X := \ell_p$ , where  $1 \leq p < \infty$ . Then  $\mathcal{S}_X(X) = \mathcal{K}(X)$  is the unique maximal ideal of  $\mathcal{B}(X)$  by Corollary 2.4, but it cannot be  $\sigma_{\text{SOT}}$ -closed by Remark 3.14 as  $X$  has a Schauder basis. Let  $X := \ell_\infty$ , then  $\mathcal{S}_X(X) = \mathcal{X}(X)$  is the unique maximal ideal of  $\mathcal{B}(X)$  by Corollary 2.4, and it is  $\sigma_{\text{SOT}}$ -closed by Lemma 3.15.

We are now ready to prove Theorem B.

*Proof of Theorem B.* Let  $(T_n)_{n=1}^\infty$  be a sequence in  $\mathcal{S}_{E_\kappa}(X)$  which converges to  $T \in \mathcal{B}(X)$  in the strong operator topology.

Assume towards a contradiction that  $T \notin \mathcal{S}_{E_\kappa}(X)$ . Then there is a closed subspace  $Z$  of  $X$  with  $Z \cong E_\kappa$  such that  $T|_Z$  is bounded below by, say,  $\varepsilon \in (0, 1)$ . Let  $(z_\alpha)_{\alpha < \kappa}$  be a normalised transfinite sequence in  $Z$  equivalent to the standard unit vector basis of  $E_\kappa$ . By the linear independence, we may set

$$x_{\alpha,\beta}^{(s)} := \frac{z_\alpha - sz_\beta}{\|z_\alpha - sz_\beta\|} \in Z \quad (\{\alpha, \beta\} \in [\kappa]^2, s \in \mathbb{C}). \quad (3.8)$$

In particular,  $\|Tx_{\alpha,\beta}^{(s)}\| \geq \varepsilon$  for all  $\{\alpha, \beta\} \in [\kappa]^2$  and  $s \in \mathbb{C}$ . For each  $s \in \mathbb{C}$  and  $N \in \mathbb{N}$ , we define

$$\Lambda_N^{(s)} := \left\{ \{\alpha, \beta\} \in [\kappa]^2 : (\forall n \geq N) \left( \|Tx_{\alpha,\beta}^{(s)} - T_n x_{\alpha,\beta}^{(s)}\| < \varepsilon/2 \right) \right\}. \quad (3.9)$$

We *claim* that for each  $s \in \mathbb{C}$  there is  $N \in \mathbb{N}$  with  $|\Lambda_N^{(s)}| = \kappa$ . For assume towards a contradiction that there is  $s \in \mathbb{C}$  such that  $|\Lambda_N^{(s)}| < \kappa$  for all  $N \in \mathbb{N}$ , then  $|\bigcup_{n=1}^\infty \Lambda_n^{(s)}| < \kappa$  by Lemma 2.1. This is equivalent to saying that the set

$$\left\{ \{\alpha, \beta\} \in [\kappa]^2 : (\exists N \in \mathbb{N})(\forall n \geq N) \left( \|Tx_{\alpha,\beta}^{(s)} - T_n x_{\alpha,\beta}^{(s)}\| < \varepsilon/2 \right) \right\} \quad (3.10)$$

has cardinality strictly less than  $\kappa$ . However, this is impossible. Indeed, for each  $\{\alpha, \beta\} \in [\kappa]^2$  the sequence  $(T_n x_{\alpha,\beta}^{(s)})_{n=1}^\infty$  converges to  $Tx_{\alpha,\beta}^{(s)} \in X$ , hence there is  $N \in \mathbb{N}$  such that  $\|Tx_{\alpha,\beta}^{(s)} - T_n x_{\alpha,\beta}^{(s)}\| < \varepsilon/2$  for every  $n \geq N$ . This shows the claim.

Fix  $s \in \mathbb{C}$  and let us take  $N \in \mathbb{N}$  with  $|\Lambda_N^{(s)}| = \kappa$ . Let  $n \geq N$  be fixed. Then

$$\varepsilon/2 = \varepsilon - \varepsilon/2 \leq \|Tx_{\alpha,\beta}^{(s)}\| - \|Tx_{\alpha,\beta}^{(s)} - T_n x_{\alpha,\beta}^{(s)}\| \leq \|T_n x_{\alpha,\beta}^{(s)}\|. \quad (\{\alpha, \beta\} \in \Lambda_N^{(s)}) \quad (3.11)$$

We split the rest of the proof into cases.

- Suppose we are in case (1) or (2). We set the parameter  $s := 0$ , then simply  $x_{\alpha,\beta}^{(s)} = z_\alpha$  for each  $\{\alpha, \beta\} \in [\kappa]^2$ . So there is  $\Lambda \subseteq \kappa$  with  $|\Lambda| = \kappa$  such that  $\varepsilon/2 \leq \|T_n z_\alpha\|$  for each  $\alpha \in \Lambda$ .
  - Suppose we are in the case of (1), that is,  $E_\kappa = c_0(\kappa)$  and  $X$  has an M-basis. Then Proposition 3.1 yields that there is  $\Gamma \subseteq \Lambda$  with  $|\Gamma| = \kappa$  such that  $(T_n z_\alpha)_{\alpha \in \Gamma}$  consists of disjointly supported vectors. Then by [26, Remark 1 on p. 30], there is a closed subspace  $Y$  of  $X$  such that  $Y \cong c_0(\Gamma) \cong c_0(\kappa)$  and  $T_n|_Y$  is bounded below. Hence  $T_n \notin \mathcal{S}_{c_0(\kappa)}(X)$ , a contradiction.



- Suppose we are in the case of (2), that is,  $E_\kappa = \ell_p(\kappa)$  and  $X = \ell_p(\lambda)$  where  $\lambda \geq \kappa$  and  $p \in (1, \infty)$ . Then by Corollary 3.2 we have  $T_n \notin \mathcal{S}_{\ell_p(\kappa)}(\ell_p(\lambda))$ , a contradiction.
- Suppose we are in case (3), that is,  $E_\kappa = \ell_1(\kappa)$  and  $X$  is any Banach space. We set the parameter  $s := 1$ , then  $x_{\alpha,\beta}^{(s)} = (z_\alpha - z_\beta) \|z_\alpha - z_\beta\|^{-1}$ . As  $(z_\alpha)_{\alpha < \kappa}$  is equivalent to the standard unit vector basis of  $\ell_1(\kappa)$ , there is  $\delta \in (0, 1)$  such that  $\delta \leq \|z_\alpha - z_\beta\|$  for each distinct  $\alpha, \beta < \kappa$ . Consequently

$$\begin{aligned} \varepsilon/2 &\leq \|T_n x_{\alpha,\beta}^{(s)}\| = \|z_\alpha - z_\beta\|^{-1} \|T_n z_\alpha - T_n z_\beta\| \\ &\leq \delta^{-1} \|T_n z_\alpha - T_n z_\beta\|, \quad (\{\alpha, \beta\} \in \Lambda_N^{(s)}) \end{aligned} \quad (3.12)$$

hence  $\varepsilon\delta/2 \leq \|T_n z_\alpha - T_n z_\beta\|$ . Thus [26, Corollary on p. 29] implies that there is a closed (complemented) subspace  $Y$  of  $X$  with  $Y \cong \ell_1(\Lambda_N^{(s)}) \cong \ell_1(\kappa)$  such that  $T_n|_Y$  is bounded below. Hence  $T_n \notin \mathcal{S}_{\ell_1(\kappa)}(X)$ , a contradiction.  $\square$

One might wonder whether Theorem B holds for *all* uncountable cardinals. We shall see in Lemma 3.18 that this is not the case. To demonstrate this, we will use the following auxiliary lemma:

**Lemma 3.17.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ , and let  $\Lambda \subseteq \lambda$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := \ell_\infty^c(\kappa)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

Then  $P_\Lambda \in \mathcal{S}_{E_\kappa}(E_\lambda)$  if and only if  $|\Lambda| < \kappa$ .

*Proof.* We prove both directions by way of a contraposition.

Suppose  $|\Lambda| \geq \kappa$ . Let  $E := \text{im}(P_\Lambda) \cong E_{|\Lambda|}$ , clearly  $P_\Lambda|_E$  is bounded below. Hence  $P_\Lambda \notin \mathcal{S}_{E_{|\Lambda|}}(E_\lambda)$ , thus in particular  $P_\Lambda \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ .

Suppose that  $P_\Lambda \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ . Then there is a closed subspace  $E$  of  $E_\lambda$  with  $E \cong E_\kappa$  such that  $P_\Lambda|_E$  is bounded below. Thus

$$E_\kappa \cong E \cong \text{im}(P_\Lambda|_E) \subseteq \text{im}(P_\Lambda) \cong E_{|\Lambda|}, \quad (3.13)$$

consequently  $E_\kappa$  embeds into  $E_{|\Lambda|}$ . Hence  $|\Lambda| \geq \kappa$  must hold.  $\square$

**Lemma 3.18.** *Let  $\lambda, \kappa$  be infinite cardinals such that  $\lambda \geq \kappa$  and  $\text{cf}(\kappa) = \omega$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

The norm closed, two-sided ideal  $\mathcal{S}_{E_\kappa}(E_\lambda)$  is not  $\sigma_{\text{SOT}}$ -closed.

*Proof.* We only show the  $E_\lambda = \ell_p(\lambda)$ ,  $E_\kappa = \ell_p(\kappa)$ -case, the other case follows from an entirely analogous argument. As  $\text{cf}(\kappa) = \omega$ , we can take a sequence of cardinals  $(\kappa_n)_{n=1}^\infty$  such that  $\kappa_n < \kappa_{n+1} < \kappa$  for each  $n \in \mathbb{N}$ . We claim that  $(P_{\kappa_n})_{n=1}^\infty$  converges to  $P_\kappa \in \mathcal{B}(E_\lambda)$  in the strong operator topology. Let us note that this is sufficient to prove the lemma. Indeed,  $P_\kappa \notin \mathcal{S}_{E_\kappa}(E_\lambda)$  and  $P_{\kappa_n} \in \mathcal{S}_{E_\kappa}(E_\lambda)$  for each  $n \in \mathbb{N}$  by Lemma 3.17. In order to show the claim,

let us fix an  $x \in E_\lambda$  and  $\varepsilon \in (0, 1)$ . Take a finite set  $F \subseteq \lambda$  such that  $\sum_{\alpha \in \lambda \setminus F} |x(\alpha)|^p < \varepsilon^p$ . Clearly there is  $N \in \mathbb{N}$  such that  $F \subseteq \kappa_N$ . Consequently

$$\|P_\kappa x - P_{\kappa_n} x\|^p = \|P_{\kappa \setminus \kappa_n} x\|^p = \sum_{\alpha \in \kappa \setminus \kappa_n} |x(\alpha)|^p \leq \sum_{\alpha \in \lambda \setminus F} |x(\alpha)|^p < \varepsilon^p \quad (n \geq N), \quad (3.14)$$

which concludes the claim.  $\square$

We leave open the question of whether the above lemma holds for  $E_\lambda = \ell_\infty^c(\lambda)$  and  $E_\kappa = \ell_\infty^c(\kappa)$  when  $\lambda$  is uncountable and  $\lambda \geq \kappa$  with  $\text{cf}(\kappa) = \omega$ . Nonetheless, we make the following remark:

**Remark 3.19.** We note that the proof of Lemma 3.18 does not carry over to the  $\ell_\infty^c$ -case. Indeed, let  $\lambda$  be an uncountable cardinal and let  $\kappa$  be a cardinal with  $\lambda \geq \kappa$  and  $\text{cf}(\kappa) = \omega$ . Let  $E_\lambda := \ell_\infty^c(\lambda)$  and  $E_\kappa := \ell_\infty^c(\kappa)$ . Take a sequence of cardinals  $(\kappa_n)_{n=1}^\infty$  such that  $\kappa_n < \kappa_{n+1} < \kappa$  for each  $n \in \mathbb{N}$ . We *claim* that  $(P_{\kappa_n})_{n=1}^\infty$  does not  $\sigma_{\text{SOT}}$ -converge to  $P_\kappa \in \mathcal{B}(E_\lambda)$ . To see this we first note that  $\mathbb{1}_C \in E_\lambda$  for each countable  $C \subseteq \lambda$ . Hence for any  $C \subseteq \lambda$  countable set and any  $n \in \mathbb{N}$ ,

$$(P_\kappa \mathbb{1}_C - P_{\kappa_n} \mathbb{1}_C)(\alpha) = (P_{\kappa \setminus \kappa_n} \mathbb{1}_C)(\alpha) = \begin{cases} 1 & \text{if } \alpha \in C \cap (\kappa \setminus \kappa_n) \\ 0 & \text{otherwise} \end{cases} \quad (\alpha < \lambda). \quad (3.15)$$

Assume towards a contradiction that  $(P_{\kappa_n})_{n=1}^\infty$  does  $\sigma_{\text{SOT}}$ -converge to  $P_\kappa \in \mathcal{B}(E_\lambda)$ . Then from the above we conclude that for each countable  $C \subseteq \lambda$  there is  $N \in \mathbb{N}$  such that  $C \cap (\kappa \setminus \kappa_n) = \emptyset$  whenever  $n \geq N$ . Let  $C := \{\kappa_m : m \in \mathbb{N}\}$ , then there is  $N \in \mathbb{N}$  such that  $C \subseteq (\lambda \setminus \kappa) \cup \kappa_N$ . This is clearly impossible as  $\kappa_n \in \kappa$  and  $\kappa_n \notin \kappa_N$  for each  $n > N$ .

Theorem B, Remark 3.16, and Lemma 3.18 yield together a characterisation of  $\sigma_{\text{SOT}}$ -closedness of ideals of the form  $\mathcal{S}_{E_\kappa}(E_\lambda)$ .

**Corollary 3.20.** *Let  $\lambda, \kappa$  be infinite cardinals such that  $\lambda \geq \kappa$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

*The norm-closed, two-sided ideal  $\mathcal{S}_{E_\kappa}(E_\lambda)$  is  $\sigma_{\text{SOT}}$ -closed if and only if  $\text{cf}(\kappa) > \omega$ .*

To conclude this section, we demonstrate how the topology  $\sigma_{\text{SOT}}$  may be used to gain ‘algebraic’ information about ideals of  $\mathcal{B}(E_\lambda)$ .

**Proposition 3.21.** *Let  $\lambda, \kappa$  be infinite cardinals with  $\lambda \geq \kappa$ . Consider one of the following cases:*

- $E_\lambda := \ell_p(\lambda)$  and  $E_\kappa := \ell_p(\kappa)$  for  $p \in [1, \infty)$ ;
- $E_\lambda := c_0(\lambda)$  and  $E_\kappa := c_0(\kappa)$ .

*If  $\text{cf}(\kappa) = \omega$ , then  $\mathcal{S}_{E_{\kappa^+}}(E_\lambda)$  is singly generated as a (norm-)closed, two-sided ideal.*

*Proof.* By Lemma 3.18, the ideal  $\mathcal{S}_{E_\kappa}(E_\lambda)$  is not  $\sigma_{\text{SOT}}$ -closed, hence there is a sequence  $(T_n)_{n=1}^\infty$  in  $\mathcal{S}_{E_\kappa}(E_\lambda)$  which converges to some  $T \in \mathcal{B}(E_\lambda)$  in the strong operator topology, where  $T \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ . On the one hand Theorem 3.7 implies that  $\mathcal{S}_{E_{\kappa^+}}(E_\lambda)$  is contained in the closed, two-sided ideal generated by  $T$ . On the other hand  $\text{cf}(\kappa^+) = \kappa^+ > \omega$  and hence  $\mathcal{S}_{E_{\kappa^+}}(E_\lambda)$  is  $\sigma_{\text{SOT}}$ -closed by Theorem B, therefore  $T \in \mathcal{S}_{E_{\kappa^+}}(E_\lambda)$ . Thus  $\mathcal{S}_{E_{\kappa^+}}(E_\lambda)$  and the closed, two-sided ideal generated by  $T$  must coincide.  $\square$

The proposition above should be compared with [4, Proposition 4.3]. In the said result, it is shown that any element of  $\mathcal{X}(E_\lambda)$  generates  $\mathcal{X}(E_\lambda)$  as a closed, two-sided ideal (here  $E_\lambda = c_0(\lambda)$  or  $E_\lambda = \ell_p(\lambda)$ , where  $\lambda$  is any uncountable cardinal and  $p \in [1, \infty)$ .) It should be noted that  $\mathcal{X}(E_\lambda) = \mathcal{S}_{E_{\omega_1}}(E_\lambda)$  for  $E_\lambda = c_0(\lambda)$  and  $E_\lambda = \ell_p(\lambda)$  for  $p \in [1, \infty)$ , by Lemma 3.8.

**3.2. The SHAI property of long sequence spaces.** We recall that (a slightly more general version of) the following result was proved in [12, Lemma 2.6].

**Lemma 3.22.** *Let  $X$  and  $Y$  be non-zero Banach spaces, and let  $\psi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$  be a surjective, non-injective algebra homomorphism. Then*

$$\mathcal{E}(X) \subseteq \ker(\psi).$$

We might wonder what other ideals the kernel of a surjective, non-injective algebra homomorphism must contain. Let us recall the following standard terminology. If  $X$  and  $W$  are Banach spaces, then the set

$$\overline{\mathcal{G}}_W(X) := \overline{\text{span}}\{ST: T \in \mathcal{B}(X, W), S \in \mathcal{B}(W, X)\}$$

is a closed, two-sided ideal of  $\mathcal{B}(X)$  and it is called the *ideal of operators that approximately factor through  $W$* . In particular, if  $X$  has a complemented subspace isomorphic to  $W$ , and  $P \in \mathcal{B}(X)$  is an idempotent with  $\text{im}(P) \cong W$  then  $\overline{\mathcal{G}}_W(X)$  coincides with the closed, two-sided ideal generated by  $P$ .

**Proposition 3.23.** *Let  $X$  be a Banach space and suppose that  $W$  is a non-zero, complemented subspace of  $X$  such that  $W$  has the SHAI property. Let  $Y$  be a non-zero Banach space and let  $\psi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$  be a surjective, non-injective algebra homomorphism. Then*

$$\overline{\mathcal{G}}_W(X) \subseteq \ker(\psi).$$

*Proof.* Let  $P \in \mathcal{B}(X)$  be an idempotent with  $W = \text{im}(P)$ .

Let us observe that in order to prove the proposition it is enough to show that  $P \in \ker(\psi)$ . Indeed; if this holds then  $\overline{\mathcal{G}}_W(X) \subseteq \ker(\psi)$  by definition, as  $\ker(\psi)$  is a closed, two-sided ideal of  $\mathcal{B}(X)$ .

Assume in search of a contradiction that  $P \notin \ker(\psi)$ . Then  $Z := \text{im}(\psi(P))$  is a non-zero, closed (complemented) subspace of  $Y$ . Let us fix  $T \in \mathcal{B}(W)$ , we observe that

$$\psi(P|_W \circ T \circ P|_W)|_Z^Z \in \mathcal{B}(Z).$$

The only thing we need to check is that the range of  $\psi(P|_W T P|_W)|_Z$  is contained in  $Z$  which is clearly true since  $\psi(P)\psi(P|_W T P|_W)\psi(P) = \psi(P|_W T P|_W)$ . Consequently the map

$$\theta: \mathcal{B}(W) \rightarrow \mathcal{B}(Z); \quad T \mapsto \psi(P|_W \circ T \circ P|_W)|_Z^Z \quad (3.16)$$

is well-defined. It is immediate to see that  $\theta$  is a linear map. To see that it is multiplicative, it is enough to recall that  $P|_W P|_W = I_W$ , thus by multiplicativity of  $\psi$ , we obtain  $\theta(T)\theta(S) = \theta(TS)$  for any  $T, S \in \mathcal{B}(W)$ .

We show that  $\theta$  is surjective. To see this we fix  $R \in \mathcal{B}(Z)$ . Then  $\psi(P)|_Z R \psi(P)|_Z^Z \in \mathcal{B}(Y)$  so by surjectivity of  $\psi$  it follows that there exists  $A \in \mathcal{B}(X)$  such that  $\psi(A) = \psi(P)|_Z R \psi(P)|_Z^Z$ . Consequently  $\psi(PAP) = \psi(P)\psi(A)\psi(P) = \psi(P)|_Z R \psi(P)|_Z^Z$  and thus by the definition of  $\theta$

we obtain

$$\begin{aligned}\theta(P|_W^W \circ A \circ P|_W) &= \psi(P|_W \circ P|_W^W \circ A \circ P|_W \circ P|_W^W)|_Z^Z = \psi(P \circ A \circ P)|_Z^Z \\ &= \left( \psi(P)|_Z \circ R \circ \psi(P)|_Z \right) \Big|_Z^Z = R.\end{aligned}\tag{3.17}$$

This proves that  $\theta$  is a surjective algebra homomorphism. Since  $Z$  is non-zero, from the SHAI property of  $W$  it follows that  $\theta$  is injective.

Now let  $A \in \mathcal{B}(X)$  be such that  $A \in \ker(\psi)$ . Then  $\psi(A) = 0$  implies

$$\theta(P|_W^W \circ A \circ P|_W) = \psi(P \circ A \circ P)|_Z^Z = (\psi(P) \circ \psi(A) \circ \psi(P))|_Z^Z = 0.\tag{3.18}$$

Since  $\theta$  is injective it follows that  $P|_W^W A P|_W = 0$  or equivalently  $PAP = 0$ . We apply this in the following specific situation: We choose  $x \in W = \text{im}(P) \subseteq X$  and  $\xi \in X^*$  norm one vectors with  $\langle x, \xi \rangle = 1$ . As  $\psi$  is not injective, in particular we have  $x \otimes \xi \in \mathcal{F}(X) \subseteq \ker(\psi)$ , consequently  $P(x \otimes \xi)P = 0$ . Thus  $0 = (P(x \otimes \xi)P)x = \langle Px, \xi \rangle Px = \langle x, \xi \rangle x = x$ , a contradiction.

Consequently  $P \in \ker(\psi)$  must hold, as required.  $\square$

We obtain the following corollary for Banach spaces of continuous functions, which can be viewed as a strengthening of the first part of [16, Proposition 44].

**Corollary 3.24.** *Let  $K$  be a compact Hausdorff space. Let  $Y$  be a non-zero Banach space and let  $\psi: \mathcal{B}(C(K)) \rightarrow \mathcal{B}(Y)$  be a surjective, non-injective algebra homomorphism. Then  $\overline{\mathcal{G}}_{c_0}(C(K)) \subseteq \ker(\psi)$ .*

*Proof.* If  $C(K)$  has a complemented subspace isomorphic to  $c_0$  then [12, Proposition 1.2] and Proposition 3.23 yield the claim. Now assume that  $C(K)$  does not contain a complemented copy of  $c_0$ . By [2, Corollary 2] this is equivalent to saying that  $C(K)$  is a Grothendieck space. By [5] we thus have  $\mathcal{X}(C(K)) \subseteq \mathcal{W}(C(K))$ . By Pełczyński's theorem [22, Theorem 1], we also know that  $\mathcal{W}(C(K)) = \mathcal{S}(C(K))$ . Consequently, with Lemma 3.22 we conclude

$$\overline{\mathcal{G}}_{c_0}(C(K)) \subseteq \mathcal{X}(C(K)) \subseteq \mathcal{W}(C(K)) = \mathcal{S}(C(K)) \subseteq \mathcal{E}(C(K)) \subseteq \ker(\psi),\tag{3.19}$$

which finishes the proof.  $\square$

We are now ready to prove Theorem A.

*Proof of Theorem A.* We prove by transfinite induction. Let  $\lambda$  be a fixed infinite cardinal and let  $E_\lambda$  be  $c_0(\lambda)$ ,  $\ell_\infty^c(\lambda)$  or  $\ell_p(\lambda)$ , where  $p \in [1, \infty)$ . Suppose  $E_\kappa$  has the SHAI property for each cardinal  $\kappa < \lambda$ .

Assume towards a contradiction that there is a non-zero Banach space  $Y$  and a surjective, non-injective algebra homomorphism  $\psi: \mathcal{B}(E_\lambda) \rightarrow \mathcal{B}(Y)$ . We first observe that  $\ker(\psi) \neq \mathcal{B}(E_\lambda)$ , since  $Y$  is non-zero. Secondly  $Y$  cannot be finite-dimensional. Indeed, otherwise  $\mathcal{B}(Y)$  were finite-dimensional, hence  $\ker(\psi)$  were finite-codimensional in  $\mathcal{B}(E_\lambda)$ . But  $E_\lambda \cong E_\lambda \oplus E_\lambda$  therefore  $\mathcal{B}(E_\lambda)$  cannot have finite-codimensional proper two-sided ideals, as it follows, for example, from applying [19, Propositions 1.9 and 2.3] and [3, Proposition 1.3.34] successively. Fix a cardinal  $\kappa < \lambda$ . As  $E_\kappa$  is isomorphic to a complemented subspace of  $E_\lambda$ , there is an idempotent  $P_{(\kappa)} \in \mathcal{B}(E_\lambda)$  with  $\text{im}(P_{(\kappa)}) \cong E_\kappa$ . Clearly  $P_{(\kappa)} \notin \mathcal{S}_{E_\kappa}(E_\lambda)$ , hence by Theorem 3.7 it follows that  $\mathcal{S}_{E_{\kappa^+}}(E_\lambda) \subseteq \overline{\mathcal{G}}_{E_\kappa}(E_\lambda)$ . As  $E_\kappa$  has the SHAI property by the inductive hypothesis, we conclude from Proposition 3.23 that

$$\mathcal{S}_{E_{\kappa^+}}(E_\lambda) \subseteq \overline{\mathcal{G}}_{E_\kappa}(E_\lambda) \subseteq \ker(\psi).\tag{3.20}$$

We claim that  $\mathcal{S}_{E_\lambda}(E_\lambda) \subseteq \ker(\psi)$ . We consider three cases:

- (1)  $\lambda = \omega$ ;
- (2)  $\lambda$  is a successor cardinal;
- (3)  $\lambda$  is uncountable and not a successor cardinal.

(1) If  $\lambda = \omega$  then  $E_\lambda = c_0$  or  $E_\lambda = \ell_p$ , where  $p \in [1, \infty]$ . As Lemma 3.22 yields that we have  $\mathcal{E}(E_\lambda) \subseteq \ker(\psi)$ , the claim follows from Corollary 2.4.

(2) If  $\lambda$  is a successor cardinal then  $\lambda = \kappa^+$  for some cardinal  $\kappa < \lambda$ . From (3.20) we thus conclude

$$\mathcal{S}_{E_\lambda}(E_\lambda) = \mathcal{S}_{E_{\kappa^+}}(E_\lambda) \subseteq \ker(\psi).$$

(3) Lastly, let  $\lambda$  be an uncountable cardinal which is not a successor of any cardinal. By (3.20) we clearly have  $\mathcal{S}_{E_\kappa}(E_\lambda) \subseteq \mathcal{S}_{E_{\kappa^+}}(E_\lambda) \subseteq \ker(\psi)$  for each  $\kappa < \lambda$ . As  $\ker(\psi)$  is (norm-)closed, in view of [14, Lemma 3.15] we obtain

$$\mathcal{S}_{E_\lambda}(E_\lambda) = \overline{\bigcup_{\kappa < \lambda} \mathcal{S}_{E_\kappa}(E_\lambda)} \subseteq \ker(\psi).$$

Hence the claim is proved. Since  $\ker(\psi)$  is a proper, two-sided ideal of  $\mathcal{B}(E_\lambda)$  and  $\mathcal{S}_{E_\lambda}(E_\lambda)$  is maximal in  $\mathcal{B}(E_\lambda)$  by [14, Theorem 1.1], we must have  $\mathcal{S}_{E_\lambda}(E_\lambda) = \ker(\psi)$ . This is however equivalent to  $\mathcal{B}(E_\lambda)/\mathcal{S}_{E_\lambda}(E_\lambda) \cong \mathcal{B}(Y)$ , which is impossible. Indeed; the right-hand side is simple, since  $\mathcal{S}_{E_\lambda}(E_\lambda)$  is a maximal two-sided ideal of  $\mathcal{B}(E_\lambda)$ ; whereas  $\mathcal{B}(Y)$  is not simple since  $Y$  is infinite-dimensional. Thus  $\psi$  must be injective and the proof is complete.  $\square$

**3.3. The SHAI property is not a three-space property.** We remind the reader that it follows from [12, Proposition 1.6] that if  $E$  is a Banach space and  $F$  is a complemented subspace of  $E$  such that both  $F$  and  $E/F$  have the SHAI property then  $E$  itself has the SHAI property. Until now however we were not able to determine whether this holds without insisting on  $F$  being complemented in  $E$ .

In light of a recent deep result due to Koszmider and Laustsen ([16]) and with the aid of Theorem A, we can conclude now that this is not the case.

Briefly speaking, an Isbell–Mrówka space  $K_{\mathcal{A}}$  was constructed in [16] such that the algebra of operators of  $C_0(K_{\mathcal{A}})$  —the Banach space of continuous functions on  $K_{\mathcal{A}}$  vanishing at infinity— admits a character (see [16, Theorem 2 (iii)]). Let us recall some terminology and the details of the construction, for more details we refer the reader to [16, Section 1]. Given an almost disjoint family  $\mathcal{A} \subseteq [\mathbb{N}]^\omega$ , consider the Banach space

$$\mathcal{X}_{\mathcal{A}} := \overline{\text{span}}\{\mathbf{1}_B : B \in \mathcal{A} \cup [\mathbb{N}]^{<\omega}\}.$$

Clearly  $c_0 \subseteq \mathcal{X}_{\mathcal{A}}$ . In fact,  $\mathcal{X}_{\mathcal{A}}$  is a closed, self-adjoint, non-unital subalgebra of the  $C^*$ -algebra  $\ell_\infty$ .

On the one hand, a routine argument shows that  $\mathcal{X}_{\mathcal{A}}/c_0$  and  $c_0(\mathcal{A})$  are isometrically isomorphic as (non-unital)  $C^*$ -algebras.

On the other hand, the Gel'fand–Naimark Theorem yields a (non-compact) locally compact, Hausdorff, scattered space  $K_{\mathcal{A}}$  such that  $\mathcal{X}_{\mathcal{A}}$  and  $C_0(K_{\mathcal{A}})$  are isometrically isomorphic as  $C^*$ -algebras, hence as Banach spaces. Topological spaces of the form  $K_{\mathcal{A}}$  are called Isbell–Mrówka spaces.

Armed with Theorem A and [16, Theorem 2 (iii)] we are ready to demonstrate that the SHAI property fails to be a three-space property in every possible way.

**Proposition 3.25.**

- (i) *There is a Banach space  $E$  with the SHAI property that has a closed subspace  $F$  which does not have the SHAI property.*
- (ii) *There is a Banach space  $E$  with the SHAI property that has a closed subspace  $F$  such that  $E/F$  does not have the SHAI property.*
- (iii) *There is a Banach space  $E$  with a subspace  $F$  such that both  $F$  and  $E/F$  have the SHAI property, but  $E$  does not.*

*Proof.* (i) Let  $E := \ell_\infty$  and let  $F$  be an isomorphic copy of the James space  $J_2$  in  $E$ . (Such an  $F$  we can always find due to separability of  $J_2$ .) Now  $E$  has the SHAI property by [12, Proposition 1.2], but  $F$  does not have the SHAI property by [12, Example 3.4 (2)].

(ii) Let  $E := \ell_1$  and let  $F$  be a closed subspace of  $E$  such that  $E/F \cong J_2$ . (Such  $F$  exists again by separability of  $J_2$ .) Now  $E$  has the SHAI property by [12, Proposition 1.2], but  $E/F$  does not, as seen above.

(iii) By [16, Theorem 2], there is an uncountable almost disjoint family  $\mathcal{A} \subseteq [\mathbb{N}]^\omega$  such that  $\mathcal{B}(C_0(K_{\mathcal{A}}))$  has a character, where  $K_{\mathcal{A}}$  is the Isbell–Mrówka space corresponding to  $\mathcal{A}$ . Consequently by [12, Lemma 2.2] the Banach space  $C_0(K_{\mathcal{A}})$  does not have the SHAI property. On the one hand, as  $\mathcal{X}_{\mathcal{A}} \cong C_0(K_{\mathcal{A}})$ , it follows that  $\mathcal{X}_{\mathcal{A}}$  does not have the SHAI property either. On the other hand  $\mathcal{X}_{\mathcal{A}}/c_0 \cong c_0(\mathcal{A})$ , and it follows from [12, Proposition 1.2] and Theorem A that both  $c_0$  and  $c_0(\mathcal{A})$  have the SHAI property. Setting  $E := \mathcal{X}_{\mathcal{A}}$  and  $F := c_0$  concludes the proof.  $\square$

**3.4. Open problems.** We conclude this section with some open problems.

As discussed before,  $C(K)$ -spaces may or may not have the SHAI property. Indeed, the spaces  $c_0(\lambda)$ ,  $C(\beta\mathbb{N}) \cong \ell_\infty$  have the SHAI property (Theorem A), whereas  $C[0, \omega_1]$ ,  $C_0(K_{\mathcal{A}})$  and  $C(K_0)$  do not have the SHAI property; here  $K_0$  is a Koszmider space without isolated points ([12, Example 2.4 (3) and (6)]). Further naturally arising problems are:

**Question 3.26.** Does the space  $C(K)$  have the SHAI property, where

- (i)  $K = [0, 1]$ ,
- (ii)  $K = [0, \omega^\omega]$ ,
- (iii)  $K = \beta\mathbb{N} \setminus \mathbb{N}$ ,
- (iv)  $K = \beta\Gamma$  for an uncountable discrete space  $\Gamma$ ?

Let us also ask a question that, if answered negatively, would make various arguments concerning SHAI easier.

**Question 3.27.** Do there exist Banach spaces  $X$  and  $Y$  with  $X$  separable and  $Y$  non-separable such that there exists a surjective (but not injective) algebra homomorphism  $\psi: \mathcal{B}(X) \rightarrow \mathcal{B}(Y)$ ?

We have been told by W. B. Johnson that this very question had been considered before by various researchers.

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