

## REVIEW

## Biomarkers of Bronchial Asthma

Peter KUNC<sup>1</sup>, Jaroslav FABRY<sup>1</sup>, Miroslava LUCANSKA<sup>2</sup>, Renata PECOVA<sup>3</sup>

<sup>1</sup>Clinic of Pediatric Respiratory Diseases and Tuberculosis in National Institute of Pediatric Tuberculosis and Respiratory Diseases in Dolny Smokovec, Jessenius Faculty of Medicine in Martin, Comenius University in Bratislava, Slovak Republic, <sup>2</sup>Clinic of Otorhinolaryngology and Head and Neck Surgery, Jessenius Faculty of Medicine in Martin, Comenius University in Bratislava, University Hospital in Martin, Martin, Slovak Republic, <sup>3</sup>Department of Pathological Physiology, Jessenius Faculty of Medicine in Martin, Comenius University in Bratislava, Martin, Slovak Republic

Received October 3, 2019

Accepted November 28, 2019

### Summary

Asthma is a complex disease with a variable course. Efforts to identify biomarkers to predict asthma severity, the course of disease and response to treatment have not been very successful so far. Biomarker research has expanded greatly with the advancement of molecular research techniques. An ideal biomarker should be suitable to identify the disease as well the specific endotype/phenotype, useful in the monitoring of the disease and to determine the prognosis, easily to obtain with minimum discomfort or risk to the patient. An ideal biomarker should be suitable to identify the disease as well the specific endotype/phenotype, useful in the monitoring of the disease and to determine the prognosis, easily to obtain with minimum discomfort or risk to the patient - exhaled breath analysis, blood cells and serum biomarkers, sputum cells and mediators and urine metabolites could be potential biomarkers of asthma bronchiale. Unfortunately, at the moment, an ideal biomarker doesn't exist and the overlap between the biomarkers is a reality. Using panels of biomarkers could improve probably the identification of asthma endotypes in the era of precision medicine.

### Key words

Biomarkers • Bronchial asthma

### Corresponding author

R. Pěčová, Department of Pathological Physiology, Jessenius Faculty of Medicine in Martin, Comenius University Bratislava, Malá Hora 4C, 036 01 Martin, Slovak Republic. E-mail: [renata.pecova@uniba.sk](mailto:renata.pecova@uniba.sk)

### Introduction

Asthma is a complex disease with multiple phenotypes (Papi *et al.* 2018). With the increasing prevalence of bronchial asthma, the continuous lack of novel therapies and inefficient disease prevention, the demand for predictive biomarkers for allergic rhinitis and asthma is steadily increasing. Following the current clinical guidelines, it is essential to identify allergen sensitization patterns as well as lung function parameters (Zissler *et al.* 2015). Besides genetic predisposition, immunological changes in the airways may provide important information for allergic disease endotypes. Biomarker research has expanded greatly with the advancement of molecular research techniques. An ideal biomarker should be suitable to identify the disease as well the specific endotype/phenotype, useful in the monitoring of the disease and to determine the prognosis, easily to obtain with minimum discomfort or risk to the patient (Fitzpatrick 2015). The aim of this study is to provide a basic overview of biomarkers in asthma.

### Exhaled breath analysis

*Exhaled breath condensate* (EBC) is a non-invasive method of sampling the airway environment. It analyses both volatile and non-volatile compounds by saturating exhaled breath with water vapour and collecting

the condensed material. Examples of compounds collected in EBC include nitric oxide products, hydrogen peroxide, leukotrienes, and cytokines. Several components correlate with the asthma diagnosis, others with asthma severity (Medrek *et al.* 2017).

*Fractional nitric oxide in the exhaled breath* (FeNO) provides information about the inflammatory state of the airways (Dweik *et al.* 2011, Antosova *et al.* 2017a, Antosova *et al.* 2017b). Nitric oxide plays key roles in lung biology as bronchodilator and inflammatory mediator and is produced in the lung from nitric oxide synthases during the conversion of the amino acid L-arginine to L-citrulline. The biomarker FeNO is originated from nitric oxide production by the airway epithelium as a result of inducible nitric oxide synthase upregulation during the process of allergic inflammation (Fitzpatrick 2015, Topercerova *et al.* 2019). FeNO as a single, stand-alone biomarker might not be particularly useful and should perhaps be used as part of a more comprehensive panel (Fitzpatrick 2015). The current guidelines for the treatment of severe asthma do not recommend the use of FeNO in the routine for the management of adults and children with asthma (Chung *et al.* 2011). The measurement of exhaled volatile organic compounds by gas chromatography coupled with mass spectrometry could predict the risk for exacerbation in asthmatic children (Robroeks *et al.* 2013). These promising methods need to be standardised before a plus large implementation.

## Blood cells and serum biomarkers

*Blood eosinophil count* is not useful for the diagnosis of asthma (GINA), but it can serve as a prognostic biomarker and predict several therapeutic responses (Eguiluz-Gracia *et al.* 2018) in asthmatic patients with type 2 inflammation.

Currently, *blood neutrophils count* is not a biomarker for asthma diagnosis (GINA).

*Eosinophil cationic protein (ECP)* is found in the primary matrix of the eosinophil and is released during the eosinophil degranulation. Previous data found that serum ECP is increased in adults and children with atopic asthma, associated with airway resistance and bronchospasm (Koh *et al.* 2007). It has been suggested that ECP assessment could be useful for the initiation and dose titration of inhaled corticosteroids in younger children in whom other biomarkers might be less feasible to assess (Fitzpatrick 2015), but other complementary studies are needed to validate this strategy (Tiotiu 2018).

*Lipoxins* have anti-inflammatory action and play an important role in chemotaxis and related signal transduction (Fitzpatrick 2015).

*Periostin*, an extracellular matrix protein secreted by airway epithelial cells in response to IL-13 that regulates epithelial-mesenchymal interactions (Fitzpatrick 2015), has been associated with T2-high eosinophilic asthma (Grayson *et al.* 2018). Periostin expression is increased in the asthmatic airway (Lopez-Guisa *et al.* 2012). The stability of serum periostin over disease progression in adults with asthma (without seasonal effect) (Semprini *et al.* 2018) supports its use as a biomarker for type 2-high asthma.

*IgE* is an immunoglobulin which mediates type 1 hypersensitivity reactions and plays a key role in the pathogenesis of allergic asthma. It binds to IgE receptors on mast cells and basophils, producing cytokines that mediate T2 responses (Medrek *et al.* 2017). Serum IgE closely correlates with the risk of asthma (Burrows *et al.* 1989).

*Chitinases* are hydrolases characterised by their affinity to cleave chitin that are thought to play a role in remodelling and regulation of the extracellular matrix (Fitzpatrick 2015). The chitinase-like protein YKL-40 (human cartilage glycoprotein 39) same to be an interesting biomarker for distinguishing asthma from chronic obstructive pulmonary disease (COPD) and healthy controls (James *et al.* 2016). More studies are needed to prove how useful YKL-40 is in the assessment of future asthma outcomes and risk (Tiotiu 2018).

Recent data showed that airway mucosal expression of *CCL26* (the most differentially expressed gene) is the best discriminator for type 2 inflammation (Silkoff *et al.* 2017), *serum urokinase plasminogen activated receptor* is elevated in adult patients with severe, non-atopic asthma (Portelli *et al.* 2017), and the expression of ten selected *microRNA* (HS\_108.1, 112, 182.1, 240, 261.1, 3, 55.1, 91.1, has-miR-604, and has-miR-638) is higher in children with severe asthma (Midyat *et al.* 2016). *Serum high-sensitive C-reactive protein (hs-CRP)* is increased in asthmatic patients than in healthy control, in poorly controlled vs well controlled, and may represent a useful biomarker of airway inflammation in non-smoking asthmatic patients without complications, such as heart disease, hypertension, hyperlipidaemia, chronic obstructive pulmonary disease, or infection (Shimoda *et al.* 2015). Evaluation of inflammatory markers *interleukin-6 (IL-6)* and *matrix metalloproteinase-9 (MMP-9)* in serum showed higher levels in asthmatic patients vs

controls and were associated with more severe asthma (Naik *et al.* 2018). A high serum level of *IL-8* could discriminate COPD from asthma patients (Liu *et al.* 2014).

Although all advantages of serum biomarkers, it is important to remember that peripheral blood studies often do not reflect airway biology, and therefore peripheral blood biomarkers might not represent physiologic mechanisms in the airways (Grayson *et al.* 2018).

## Sputum cells and mediators

Induced sputum is a non-invasive method which allows quantifying the inflammatory cell pattern in airways of asthmatic patients. To obtain samples for sputum analysis, patients nebulize 3 % saline for 20 min and the sputum expectorated over this period is centrifuged, stained, and analysed by quantifying the number of different cell types (Medrek *et al.* 2017).

*Sputum quantitative cell count* is the reference standard to reflect the airway inflammation in asthma. The practical advantage of sputum differential cell counts is that this method is feasible even on frozen samples (Eguiluz-Gracia *et al.* 2018). Four inflammatory phenotypes have been identified in the Severe Asthma Research Program (SARP) cohort – eosinophilic ( $\geq 2$  % eosinophils in induced sputum), neutrophilic ( $\geq 40$  % neutrophils), mixed granulocytic and paucigranulocytic (Moore *et al.* 2013). The presence of sputum neutrophilia is one candidate predictive biomarker for non-T2 asthma (Medrek *et al.* 2017).

Changes in sputum eosinophil count over time reflect fluctuations in clinical asthma control (Demarche *et al.* 2017). The high level of the innate lymphoid cell (Group 2 ILC) in the sputum is correlated with severe asthma suggesting these cells could be a potential novel biomarker (Cowan *et al.* 2015).

Unfortunately, despite its use as a biomarker in many clinical trials, the use of sputum cells count in daily practice has limitations. This method requires specialized training, equipment, and laboratory for processing, patient coaching and cooperation, emergency protocols and equipment, is difficult to collect (impossible in young children), not easily repeatable, and had several contraindications (Fitzpatrick 2015).

Several *sputum mediators* could be the potential biomarkers. For the diagnostic of inflammatory pattern, *sputum eosinophil peroxidase* is correlated with sputum eosinophilia (Nair *et al.* 2013). *Specific microRNAs* could

discriminate neutrophilic from eosinophilic asthma (Maes *et al.* 2016), and *neutrophil myeloperoxidase* has the potential to differentiate asthma COPD overlap syndrome from asthma (Iwamoto *et al.* 2014). As a prognostic biomarker, sputum expression of human *tumour necrosis factor-like weak inducer of apoptosis* correlates with higher severity, poor asthma control and decreased lung function in children with non-eosinophilic asthma (Kim *et al.* 2018).

## Urine metabolites

*Bromotyrosine* is formed from post-translational modification of tyrosine protein residues by hypobromous acid produced by activated eosinophils during the process of a respiratory burst. It has many advantages as a potential biomarker given its stability and non-invasive detection in the urine. The utility of bromotyrosine in the clinical setting would probably be best when assessed as a part of a larger panel of inflammatory biomarkers (Fitzpatrick 2015).

*Leukotriene E<sub>4</sub>* is a stable and product of cysteinyl leukotriene metabolism possible to be measured non-invasively in urine samples. Urinary leukotriene *E<sub>4</sub>* (uLTE4) concentrations are increased in children with allergic asthma and adults with aspirin-exacerbated respiratory disease (Fitzpatrick 2015). Several studies suggest that uLTE4 might be an important biomarker in the selection of asthma therapy (Tiotiu 2018).

## Discussion

In asthma, and particularly in severe asthma, many biomarkers have been investigated but only a few of them can be easily used in clinical practice so far (Canonica *et al.* 2018). An ideal biomarker should be suitable to identify the disease as well the specific endotype/phenotype, useful in the monitoring of the disease and to determine the prognosis, easily to obtain with minimum discomfort or risk to the patient (Eguiluz-Gracia *et al.* 2018). Unfortunately, an ideal biomarker doesn't exist and the overlap between the biomarkers is a reality at the moment. Using panels of biomarkers could probably improve the identification of asthma endotypes in the era of precision medicine (Tiotiu 2018).

In conclusion, further research and validation of emerging biomarkers are needed to define the molecular phenotype of asthma. Viewing the heterogeneity of asthma, the development of composite biomarkers from blood, urine and exhaled breath seems to be a more appropriate solution

in practice to predict therapeutic response.

### Conflict of Interest

There is no conflict of interest.

### Acknowledgements

This work was supported by the project of Ministry of Health of the Slovak Republic - 2018/12-UKMT-8.

### References

- ANTOSOVA M, MOKRA D, PEPUCHA L, PLEVKOVA J, BUDAY T, STERUSKY M, BENCOVA A: Physiology of nitric oxide in the respiratory system. *Physiol Res* 66 (Suppl 2): S159-S172, 2017a. <https://doi.org/10.33549/physiolres.933673>
- ANTOSOVA M, MOKRA D, TONHAJZEROVA I, MIKOLKA P, KOSUTOVA P, MESTANIK M, PEPUCHA L, PLEVKOVA J, BUDAY T, CALKOVSKY V, BENCOVA A: Nasal nitric oxide in healthy adults - reference values and affecting factors. *Physiol Res* 66 (Suppl 2): S247-S255, 2017b. <https://doi.org/10.33549/physiolres.933680>
- CANONICA GW, FERRANDO M, BAIARDINI I, PUGGIONI F, RACCA F, PASSALACQUA G, HEFFLER E: Asthma: personalized and precision medicine. *Curr Opin Allergy Clin Immunol* 18: 51-58, 2018. <https://doi.org/10.1097/ACI.0000000000000416>
- CHUNG KF, WENZEL SE, BROZEK JL, BUSH A, CASTRO M, STERK PJ, ADCOCK IM, BATEMAN ED, BEL EH, BLEECKER ER, BOULET LP, BRIGHTLING C, CHANEZ P, DAHLEN SE, DJUKANOVIC R, FREY U, GAGA M, GIBSON P, HAMID Q, JAJOUR NN, MAUAD T, SORKNESS RL, TEAGUE WG: International ERS/ATS guidelines on definition, evaluation and treatment of severe asthma. *Eur Respir J* 43: 343-373, 2014. <https://doi.org/10.1183/09031936.00202013>
- COWAN DC, TAYLOR DR, PETERSON LE, COWAN JO, PALMAY R, WILLIAMSON A, HAMMEL J, ERZURUM SC, HAZEN SL, COMHAIR SA: Biomarker-based asthma phenotypes of corticosteroid response. *J Allergy Clin Immunol* 135: 877-883, 2015. <https://doi.org/10.1016/j.jaci.2014.10.026>
- DEMARCHE SF, SCHLEICH FN, PAULUS VA, HENKET MA, VAN HEES TJ, LOUIS RE: Asthma control and sputum eosinophils: a longitudinal study in daily practice. *J Allergy Clin Immunol Pract* 5: 1335-1343, 2017. <https://doi.org/10.1016/j.jaip.2017.01.026>
- DWEIK RA, BOGGS PB, ERZURUM SC, IRVIN CG, LEIGH MW, LUNDBERG JO, OLIN AC, PLUMMER AL, TAYLOR DR: American Thoracic Society Committee on Interpretation of Exhaled Nitric Oxide Levels (FENO) for Clinical Applications. An official ATS clinical practice guideline: interpretation of exhaled nitric oxide levels (FENO) for clinical applications. *Am J Respir Crit Care Med* 184: 602-615, 2011. <https://doi.org/10.1164/rccm.9120-11ST>
- EGUILUZ-GRACIA I, TAY TR, HEW M, ESCRIBESE MM, BARBER D, O'HEHIR RE, TORRES MJ: Recent developments and highlights in biomarkers in allergic diseases and asthma. *Allergy* 73: 2290-2305, 2018. <https://doi.org/10.1111/all.13628>
- FITZPATRICK AM: Biomarkers of asthma and allergic airway diseases. *Ann Allergy Asthma Immunol* 115: 335-340, 2015. <https://doi.org/10.1016/j.anai.2015.09.003>
- GRAYSON MH, FELDMAN S, PRINCE BT, PATEL PJ, MATSUI EC, APTER AJ: Advances in asthma in 2017: Mechanisms, biologics, and genetics. *J Allergy Clin Immunol* 142: 1423-1436, 2018. <https://doi.org/10.1016/j.jaci.2018.08.033>
- IWAMOTO H, GAO J, KOSKELA J, KINNULA V, KOBAYASHI H, LAITINEN T, MAZUR W: Differences in plasma and sputum biomarkers between COPD and COPD-asthma overlap. *Eur Respir J* 43: 421-429, 2014. <https://doi.org/10.1183/09031936.00024313>
- KARRASCH S, LINDE K, RÜCKER G, SOMMER H, KARSCH-VÖLK M, KLEIJNEN J, JÖRRES RA, SCHNEIDER A: Accuracy of FENO for diagnosing asthma: a systematic review. *Thorax* 72: 109-116, 2017. <https://doi.org/10.1136/thoraxjnl-2016-208704>
- KOH GC, SHEK LP, GOH DY, VAN BEVER H, KOH DS: Eosinophil cationic protein: is it useful in asthma? A systematic review. *Respir Med* 101: 696-705, 2007. <https://doi.org/10.1016/j.rmed.2006.08.012>

- LIU HC, LU MC, LIN YC, WU TC, HSU JY, JAN MS, CHEN CM: Differences in IL-8 in serum and exhaled breath condensate from patients with exacerbated COPD or asthma attacks. *J Formos Med Assoc* 113: 908-914, 2014. <https://doi.org/10.1016/j.jfma.2012.09.018>
- LOPEZ-GUISA JM, POWERS C, FILE D, COCHRANE E, JIMENEZ N, DEBLEY JS: Airway epithelial cells from asthmatic children differentially express proremodeling factors. *J Allergy Clin Immunol* 129: 990-997, 2012. <https://doi.org/10.1016/j.jaci.2011.11.035>
- MAES T, COBOS FA, SCHLEICH F, SORBELLO V, HENKET M, DE PRETER K, BRACKE KR, CONICKX G, MESNIL C, VANDESOMPELE J, LAHOUSSE L, BUREAU F, MESTDAGH P, JOOS GF, RICCIARDOLO FL, BRUSSELLE GG, LOUIS R: Asthma inflammatory phenotypes show differential microRNA expression in sputum. *J Allergy Clin Immunol* 137: 1433-1446, 2016. <https://doi.org/10.1016/j.jaci.2016.02.018>
- MEDREK SK, PARULEKAR AD, HANANIA NA: Predictive biomarkers for asthma therapy. *Curr Allergy Asthma Rep* 17: 69, 2017. <https://doi.org/10.1007/s11882-017-0739-5>
- MIDYAT L, GULEN F, KARACA E, OZKINAY F, TANAC R, DEMIR E, COGULU O, ASLAN A, OZKINAY C, ONAY H, ATASEVER M: MicroRNA expression profiling in children with different asthma phenotypes. *Pediatr Pulmonol* 51: 582-587, 2016. <https://doi.org/10.1002/ppul.23331>
- MOORE WC, HASTIE AT, LI X, LI H, BUSSE WW, JARJOUR NN, WENZEL SE, PETERS SP, MEYERS DA, BLEECKER ER, National Heart, Lung, and Blood Institute's Severe Asthma Research Program: Sputum neutrophil counts are associated with more severe asthma phenotypes using cluster analysis. *J Allergy Clin Immunol* 133: 1557-1563, 2014. <https://doi.org/10.1016/j.jaci.2013.10.011>
- NAIK SP, P A M, B S J, MADHUNAPANTULA SV, JAHROMI SR, YADAV MK: Evaluation of inflammatory markers interleukin-6 (IL-6) and matrix metalloproteinase-9(MMP-9) in asthma. *J Asthma* 54: 584-593, 2017. <https://doi.org/10.1080/02770903.2016.1244828>
- NAIR P, OCHKUR SI, PROTHEROE C, RADFORD K, EFTHIMIADIS A, LEE NA, LEE JJ: Eosinophil peroxidase in sputum represents a unique biomarker of airway eosinophilia. *Allergy* 68: 1177-1184, 2013. <https://doi.org/10.1111/all.12206>
- PAPI A, BRIGHTLING C, PEDERSEN SE, REDDEL HK: Asthma. *Lancet* 391: 783-800, 2018. [https://doi.org/10.1016/S0140-6736\(17\)33311-1](https://doi.org/10.1016/S0140-6736(17)33311-1)
- PORTELLI MA, MOSELEY C, STEWART CE, POSTMA DS, HOWARTH P, WARNER JA, HOLLOWAY JW, KOPPELMAN GH, BRIGHTLING C, SAYERS I: Airway and peripheral urokinase plasminogen activator receptor is elevated in asthma, and identifies a severe, nonatopic subset of patients. *Allergy* 72: 473-482, 2017. <https://doi.org/10.1111/all.13046>
- ROBROEKS CM, VAN BERKEL JJ, JÖBSIS Q, VAN SCHOOTEN FJ, DALLINGA JW, WOUTERS EF, DOMPELING E: Exhaled volatile organic compounds predict exacerbations of childhood asthma in a 1-year prospective study. *Eur Respir J* 42: 98-106, 2013. <https://doi.org/10.1183/09031936.00010712>
- SEMPRINI R, CASWELL-SMITH R, FINGLETON J, HOLWEG C, MATTHEWS J, WEATHERALL M, BEASLEY R, BRAITHWAITE I: Periostin Study Team. Longitudinal variation periostin levels in adults with stable asthma. *J Allergy Clin Immunol* 139: 1687-1688, 2017. <https://doi.org/10.1016/j.jaci.2016.11.041>
- SILKOFF PE, LAVIOLETTE M, SINGH D, FITZGERALD JM, KELSEN S, BACKER V, PORSBJERG CM, GIRODET PO, BERGER P, KLINE JN, CHUPP G, SUSULIC VS, BARIBAUD F, LOZA MJ, Airways Disease Endotyping for Personalized Therapeutics (ADEPT) study investigators: Identification of airway mucosal type 2 inflammation by using clinical biomarkers in asthmatic patients. *J Allergy Clin Immunol* 140: 710-719, 2017. <https://doi.org/10.1016/j.jaci.2016.11.038>
- SCHNEIDER A, LINDE K, REITSMA JB, STEINHAUSER S, RÜCKER G: A novel statistical model for analyzing data of a systematic review generates optimal cutoff values for fractional exhaled nitric oxide for asthma diagnosis. *J Clin Epidemiol* 92: 69-78, 2017. <https://doi.org/10.1016/j.jclinepi.2017.09.001>
- SHIMODA T, OBASE Y, KISHIKAWA R, IWANAGA T: Serum high-sensitivity C-reactive protein can be an airway inflammation predictor in bronchial asthma. *Allergy Asthma Proc* 36: e23-8, 2015. <https://doi.org/10.2500/aap.2015.36.3816>
- TIOTIU A: Biomarkers in asthma: state of the art. *Asthma Res Pract* 4: 10, 2018. <https://doi.org/10.1186/s40733-018-0047-4>

---

TOPERCEROVA J, KOLOMAZNIK M, KOPINCOVA J, NOVA Z, URBANOVA A, MOKRA D, MOKRY J, CALKOVSKA A: The effect of pulmonary surfactant on the airway smooth muscle after lipopolysaccharide exposure and its mechanisms. *Physiol Res* 68 (Suppl 3): S275-S285, 2019. <https://doi.org/10.33549/physiolres.934410>

---